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Nonlinear generalizations of the Banach–Stone theorem

by

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**Abstract.** Let  $X, Y$  be locally compact sets. Assume there is a map  $T$  from  $C_0(X)$  onto  $C_0(Y)$  with  $(1-\varepsilon)\|f-g\| \leq \|Tf-Tg\| \leq (1+\varepsilon)\|f-g\|$ , for  $f, g \in C_0(X)$ , where  $\varepsilon$  is sufficiently small. Then  $C_0(X)$  and  $C_0(Y)$  are linearly isometric. Similar results hold for function algebras, extremely regular function spaces, and certain spaces of vector-valued functions.

**§ 0. Introduction.** In this paper we study the following problem:

Does there exist a universal constant  $\varepsilon > 0$  such that if  $T$  is a map from a Banach space  $A$  onto a Banach space  $B$  which satisfies

$$(*) \quad (1-\varepsilon)\|f-g\| \leq \|Tf-Tg\| \leq (1+\varepsilon)\|f-g\|, \quad \text{for } f, g \in A,$$

then

- (1)  $A$  and  $B$  are linearly homeomorphic,
- (2) if  $A$  and  $B$  are subspaces of  $C_0(X)$  and  $C_0(Y)$ , respectively, then  $X$  and  $Y$  are homeomorphic?

We prove that the answer to the first problem is positive if both  $A$  and  $B$  are  $C_0(X)$  spaces. The answer to the second problem is evidently negative, in general, since any Banach space can be represented in a number of ways as a subspace of a space  $C(X)$ , with different  $X$ . However, we prove here that the answer to this question is positive if  $A, B$  are extremely regular function spaces.

The source of our problem is the classical Banach–Stone theorem which states that the Banach spaces  $C(X)$  and  $C(Y)$  are linearly isometric if and only if  $X$  and  $Y$  are homeomorphic. In the sixties Amir [2] and Cambern [6, 7] proved that this result is stable: if there is a linear homeomorphism  $T$  from  $C(X)$  onto  $C(Y)$  with  $\|T\|\|T^{-1}\| < 2$  then  $C(X)$  and  $C(Y)$  are actually linearly isometric. During the next years, linear isomorphisms with a small bound were studied in a number of papers; see, e.g., [3–4, 8–9, 11–16, 20].

The assumption about small bound is essential. For example, the Banach space  $C[0, 1]$  is linearly homeomorphic to  $C(X)$ , for any compact, metric, uncountable space  $X$ . In the nonlinear case we even have the more

far-reaching, well-known result of Kadec [18] that any two separable infinite-dimensional Banach spaces are homeomorphic. The situation changes significantly if we consider uniform homeomorphisms. For example, if a Banach space  $E$  is uniformly homeomorphic to a Hilbert space  $H$ , then  $E$  and  $H$  are linearly homeomorphic [19]. Hence the answer to our first problem is positive if  $A$  is a Hilbert space. On the other hand, in 1977 Aharoni and Lindenstrauss [1] gave an example of two Banach spaces  $C_0(X)$  and  $C_0(Y)$  which are Lipschitz equivalent but not linearly homeomorphic. This means that the assumption that  $\varepsilon$  is small is essential also in the first problem.

**§ 1. The result.** We use the standard Banach space terminology. For a closed subspace  $A$  of  $C_0(X)$  we denote by  $\text{Ch}A$  the set of all points  $x_0 \in X$  such that for any  $\varepsilon > 0$  and any neighborhood  $U$  of  $x_0$  there is an  $f \in A$  with  $\|f\| = f(x_0) = 1$  and  $|f(x)| < \varepsilon$  for  $x \in X - U$ .  $A$  is called an *almost extremely regular subspace* of  $C_0(X)$  if  $\text{Ch}A$  is dense in  $X$  and is called *extremely regular* if  $\text{Ch}A = X$ . The main examples of almost extremely regular subspaces are function algebras. By a *function algebra* we mean any closed subalgebra of  $C_0(X)$  which separates points of  $X$ . By  $\partial A$  we denote the Shilov boundary of  $A$  and  $\text{Ch}A$  defined above coincides with the Choquet boundary of  $A$ . A function algebra  $A$  is an almost extremely regular subspace of  $C(\partial A)$  and it is extremely regular if  $\text{Ch}A = \partial A$ .

A map  $T$  between Banach spaces  $A$  and  $B$  is called  $\varepsilon$ -bi-Lipschitz if the condition (\*) is satisfied.

All our results hold both in the real and in the complex cases.

**THEOREM 1.** *Let  $X, Y$  be locally compact Hausdorff spaces, let  $A$  be an almost extremely regular subspace of  $C_0(X)$  and  $B$  an extremely regular subspace of  $C_0(Y)$ . Assume there is an  $\varepsilon$ -bi-Lipschitz map  $T$  from  $A$  onto  $B$  with  $T0 = 0$  and with  $\varepsilon \leq \varepsilon_0$ . Then there is a homeomorphism  $\varphi$  from  $X$  onto  $Y$  and*

$$(**) \quad \|(Tf) \circ \varphi - |f|\| \leq c(\varepsilon) \|f\|, \quad \forall f \in A,$$

where  $\varepsilon_0$  is an absolute constant and  $c(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ .

We postpone the proof of this result to the next section. Now we get two corollaries.

**THEOREM 2.** *Let  $X, Y, T, A, B, \varphi$  be as in Theorem 1 and assume  $X$  is paracompact. Then there is a scalar-valued continuous function  $u$  defined on  $X$ , of modulus one, such that:*

(a) *if the spaces  $A$  and  $B$  are real, then*

$$(1) \quad \|(Tf) \circ \varphi - u \cdot f\| \leq c'(\varepsilon) \|f\|, \quad \forall f \in A;$$

(b) *if  $A, B$  are complex, then  $X = X_1 \cup X_2$  and for any  $f \in A$  with  $\|f\| \leq 1$  we have*

$$(2) \quad |Tf(\varphi(x)) - u(x)f(x)| \leq c'(\varepsilon), \quad \forall x \in X_1,$$

$$(3) \quad |Tf(\varphi(x)) - u(x)\overline{f(x)}| \leq c'(\varepsilon), \quad \forall x \in X_2,$$

where  $c'(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ .

Note that (1) and (2)–(3) are formulated in different ways. In (1) and (\*\*\*) we have "...  $\leq c(\varepsilon)\|f\|$ ,  $\forall f \in A$ ," while in (2) and (3) we have "...  $\leq c(\varepsilon)$ ,  $\forall f \in A$  with  $\|f\| \leq 1$ ". The first type of statement is stronger, in general, since  $T$  is nonlinear.

Assume we can prove Theorem 1 with the weaker statement

$$\|(Tf) \circ \varphi - |f|\| \leq c(\varepsilon), \quad \forall f \in A, \|f\| \leq 1,$$

in place of (\*\*). If  $T$  is an  $\varepsilon$ -bi-Lipschitz map, then for any  $t > 0$  the map

$$A \ni f \rightarrow (1/t)T(tf) \in B$$

is also  $\varepsilon$ -bi-Lipschitz. Hence for any  $t > 0$  there is a homeomorphism  $\varphi_t: X \rightarrow Y$  such that

$$\|(Tf) \circ \varphi_t - |f|\| \leq tc(\varepsilon), \quad \forall f \in A, \|f\| \leq t.$$

Hence

$$(4) \quad \|(Tf) \circ \varphi_{t'} - |(Tf) \circ \varphi_{t''}|\| \leq (t' + t'')c(\varepsilon), \quad \forall f \in A, \|f\| \leq \min(t', t'').$$

Using (4) and the regularity of  $B$  it is easy to note that the map  $R^+ \ni t \rightarrow \varphi_t$  is locally constant, so it is constant.

The above means that in Theorem 1 our two types of equation are equivalent. The same situation is in the first part of Theorem 2 because two unimodular real-valued functions are identical or far from each other (with the distance equal to 2). A problem arises in the complex case. From (2) we deduce that for any  $t > 0$  there is a unimodular function  $u_t$  such that

$$|Tf(\varphi(x)) - u_t(x)f(x)| \leq tc(\varepsilon), \quad \forall f \in A, \|f\| \leq t, \forall x \in X,$$

but now  $u_t$  may depend on  $t$ . This may happen even if  $X$  and  $Y$  are just one-point sets [17]. To deduce Theorem 2 from Theorem 1 and then to prove Theorem 1, we need the following proposition, which is an immediate consequence of Proposition 2 of [10] (put, in Proposition 2,  $f := T$ ,  $x := 2f$ ,  $y := 2g$  and also  $x := 2f$ ,  $y := 0$ ).

**PROPOSITION.** *Let  $T$  be an  $\varepsilon$ -bi-Lipschitz map from a Banach space  $E$  onto a Banach space  $F$ , with  $\varepsilon < 1/3$  and  $T0 = 0$ . Then for any  $f, g \in E$  with  $\|f\|, \|g\| \leq 2$  we have*

$$\|(Tf + Tg) - T(f + g)\| \leq \varepsilon'$$

and

$$(G) \quad \|f+g\| - \varepsilon' \leq \|Tf + Tg\| \leq \|f+g\| + \varepsilon',$$

where  $\varepsilon' = 100\varepsilon^{1/10}$ .

Proof of Theorem 2. We define a carrier  $F: X \rightarrow 2^B$  by

$$F(x) = \{g \in B: \|g\| = 1 = g(\varphi(x))\}$$

Since  $B$  is extremely regular  $F(x)$  is nonempty for any  $x \in X$ . It is also easy to check that  $F$  is a norm lower semicontinuous, convex, complete carrier, so by the Michael Selection Theorem [5] there is a norm continuous function  $F: X \rightarrow B$  such that  $\|F(x)\| = 1 = F(x)(\varphi(x))$  for all  $x \in X$ . We define  $v$  on  $X$  by

$$v(x) = T^{-1}(F(x))(x), \quad \text{for } x \in X.$$

The map  $v$  is continuous and by (\*\*)

$$(5) \quad ||v(x)| - 1| \leq c(\varepsilon)(1 + 2\varepsilon), \quad \forall x \in X.$$

Put  $\tilde{A} = A/v := \{f/v: f \in A\}$  and define  $\tilde{T}: \tilde{A} \rightarrow B$  by  $\tilde{T}(f/v) = T(f)$ . The space  $\tilde{A}$  is an almost extremely regular subspace of  $C_0(X)$  and  $\tilde{T}$  is an  $(\varepsilon + 2c(\varepsilon))$ -bi-Lipschitz map. By the definition of  $\tilde{T}$  and (5) it is sufficient to prove Theorem 2 for  $\tilde{T}$  and  $\tilde{A}$  in place of  $T$  and  $A$ . We have also  $\tilde{T}^{-1}(F(x))(x) \equiv 1$ . Hence to simplify notation we can just assume that  $v \equiv 1$ ,  $X = Y$ ,  $\varphi = \text{id}$  and that

$$\| |Tf| - |f| \| \leq \varepsilon, \quad \forall f \in A, \|f\| \leq 3.$$

Fix  $x \in X$ . For any  $f \in A$  with  $\|f\| \leq 1$  we have

$$(6) \quad \| |T(f + T^{-1}(F(x)))| - |f + T^{-1}(F(x))| \| \leq \varepsilon.$$

By the Proposition and since  $\varphi(x) = x$  we have also

$$\begin{aligned} & \| |1 + Tf(x)| - |T(f + T^{-1}(F(x)))(x)| \| \\ & \leq \| (F(x) + Tf) - T(f + T^{-1}(F(x))) \| \leq \varepsilon'. \end{aligned}$$

Hence evaluating (6) at the point  $x$  we get

$$\| |1 + Tf(x)| - |1 + f(x)| \| \leq \varepsilon + \varepsilon'.$$

Hence, since  $|f(x)| \leq 1$  and  $|Tf(x)| \leq 1 + \varepsilon$ , by a direct computation we get, in the real case,

$$|Tf(x) - f(x)| \leq 2\varepsilon + \varepsilon',$$

and in the complex case:

$$(a) \quad |Tf(x) - f(x)| \leq 2\varepsilon + \varepsilon', \quad \text{or}$$

$$(b) \quad |Tf(x) - \overline{f(x)}| \leq 2\varepsilon + \varepsilon'.$$

Now to end the proof we have to show that in the complex case, for any  $x \in X$ , we have always  $Tf(x) \approx f(x)$  or always  $Tf(x) \approx \overline{f(x)}$  independently of  $f$ . Assume that

$$(7) \quad T(iF(x))(x) \approx iF(x)(x) = i,$$

and let  $f \in A$  with  $\|f\| \leq 1$ . Assume  $Tf(x) \approx \overline{f(x)}$ . Hence, by the previous result and the Proposition, we get

$$f(x) + i = f(x) + iF(x)(x) \approx T(f + iF(x))(x) \approx Tf(x) + i$$

or

$$\begin{aligned} f(x) + i &= f(x) + iF(x)(x) \approx \overline{T(f + iF(x))(x)} \\ &\approx \overline{Tf(x) - i} \approx f(x) - i. \end{aligned}$$

The latter is impossible, so (7) implies  $Tf(x) \approx f(x)$  for all  $f \in A$ . If  $T(iF(x))(x) = -i$ , then by exactly the same argument we get  $Tf(x) \approx \overline{f(x)}$  for all  $f \in A$ .

**COROLLARY.** Let  $A, B$  be uniform algebras such that  $\partial B = \text{Ch } B$ . Assume that there is an  $\varepsilon$ -bi-Lipschitz map from  $A$  onto  $B$ , with  $\varepsilon \leq \varepsilon_0$  (absolute constant). Then  $\partial A$  and  $\partial B$  are homeomorphic. Furthermore, if  $B = C(\partial B)$  then  $A = C(\partial A)$ .

**§ 2. Proof of Theorem 1.** To prove the theorem we first need some notation. For  $x_0$  in  $X$  a net  $(f_\alpha)_{\alpha \in A} \subset C_0(X)$  is called *peaking* at  $x_0$  if:

(i) for any  $\alpha \in A$ ,  $\|f_\alpha\| = 1 = f_\alpha(x_0)$ , and

(ii)  $f_\alpha \rightarrow 0$  uniformly off any neighborhood of  $x_0$ .

We denote by  $P_A^1(x_0)$  the set of all nets  $(f_\alpha)_{\alpha \in A}$  in  $A$  such that  $(f_\alpha)_{\alpha \in A}$  peaks at  $x_0$  and by  $P_A^2(x_0)$  the subset of  $P_A^1(x_0)$  consisting of nets which peak at  $x_0$ .

Fix  $M \geq 0$ . For any  $x_0 \in X$  and  $i = 1, 2$  we define

$$\begin{aligned} S_{x_0}^i &= \{y \in Y: \exists (f_\alpha)_{\alpha \in A} \in P_A^i(x_0) \exists (y_\alpha)_{\alpha \in A} \subset Y, \\ & \quad y_\alpha \rightarrow y \text{ and } \forall \alpha \in A |Tf_\alpha(y_\alpha)| \geq M\}. \end{aligned}$$

Evidently  $S_{x_0}^2 \subset S_{x_0}^1$ .

We divide the proof into a number of simple steps and at various points of the proof we use inequalities involving  $\varepsilon$  which are valid only if  $\varepsilon$  is sufficiently small; in these circumstances we will merely assume that  $\varepsilon$  is near zero. These assumptions are the source of  $\varepsilon_0$ .

The strategy of the proof is the following: Steps 1 and 2 show that, for a suitable  $M$  and any  $x \in \text{Ch } A$ , the sets  $S_x^1, S_x^2$  coincide and contain exactly

one point. This gives a function  $\varphi: \text{Ch } A \rightarrow Y$  defined by  $\{\varphi(x)\} = S_x^1 = S_x^2$ . Steps 3 and 4 show that

$$|Tf(\varphi(x))| \leq |f(x)| + o(\varepsilon_0),$$

and Steps 5,6 prove that

$$|Tf(\varphi(x))| \geq |f(x)| - o(\varepsilon),$$

which together give (\*\*). In the last four steps we show that  $\varphi$  is continuous and injective and can be extended to a continuous and still injective map from  $X$  onto  $Y$ . The main tools of the proof are the Proposition, which says that  $T$  is "almost" additive, and the peaking functions, which, as we show, are mapped to "almost" peaking functions.

Step 1. If  $M \leq 1 - \varepsilon - \varepsilon'$ , then for any  $x_0 \in \text{Ch } A$  we have  $S_{x_0}^2 \neq \emptyset$ .

Proof. If  $Y$  is compact, this is an immediate consequence of (G) with  $g = 0 = Tg$ . To consider the general case, let  $(f_\alpha)_{\alpha \in A} \in P_A^2(x_0)$ . Fix  $\alpha_0 \in A$ . For any  $\alpha \in A$  we have  $\|f_\alpha + f_{\alpha_0}\| = 2$ , so by (G),  $\|Tf_\alpha + Tf_{\alpha_0}\| \geq 2 - \varepsilon'$ . On the other hand,  $\|Tf_\alpha\| \leq 1 + \varepsilon$ , so we get

$$\sup \{|Tf_\alpha(y)|: y \in Y, |Tf_{\alpha_0}(y)| \geq 1 - \varepsilon\} \geq 1 - \varepsilon - \varepsilon'.$$

The set  $\{y \in Y: |Tf_{\alpha_0}(y)| \geq 1 - \varepsilon\}$  is compact, so its intersection with  $S_{x_0}^2$  is not empty.

Step 2. If  $M \geq \sqrt{2}/2 + 2\varepsilon'$ , then for any  $x_0 \in \text{Ch } A$  the set  $S_{x_0}^1$  has at most one point.

Proof. Assume  $y^1, y^2$  are two distinct points of  $S_{x_0}^1$  and let  $(f_\alpha^i)_{\alpha \in A_i} \in P_A^1(x_0)$ ,  $(y_\alpha^i)_{\alpha \in A_i} \subset Y$ ,  $i = 1, 2$ , be the corresponding nets given by the definition of  $S_{x_0}^1$ . Without loss of generality we can assume that  $Tf_\alpha^i(y_\alpha^i) \xrightarrow{\alpha \in A_i} \lambda^i$  with  $|\lambda^i| \geq M$  for  $i = 1, 2$ . Since  $B$  is extremely regular there are  $g_1, g_2 \in B$  such that

$$g_i(y^i) = \lambda^i/|\lambda^i| \quad \text{for } i = 1, 2, \quad \||g_1| + |g_2|\| \leq 1 + \varepsilon'/3.$$

We have

$$\liminf_\alpha \||Tf_\alpha^i + g_i|\| \geq 1 + M, \quad i = 1, 2;$$

hence, by the Proposition,

$$\liminf_\alpha \||f_\alpha^i + T^{-1}g_i|\| \geq 1 + M - \varepsilon', \quad i = 1, 2.$$

Hence, by the definition of the peaking sequence,  $|T^{-1}g_i(x_0)| \geq M - \varepsilon'$  for  $i$

$= 1, 2$ , and we get

$$\max(\|T^{-1}g_1 + T^{-1}g_2\|, \|T^{-1}g_1 - T^{-1}g_2\|) \geq \sqrt{2}(M - \varepsilon')$$

(in the real case we even have  $\max(\dots) \geq 2(M - \varepsilon')$ ). Now, by the Proposition or just by (\*) and since  $M > \sqrt{2}/2 + 2\varepsilon'$ , we get

$$\max(\|g_1 + g_2\|, \|g_1 - g_2\|) \geq \sqrt{2}(M - \varepsilon') - \varepsilon' > 1 + \varepsilon'/3,$$

which contradicts the assumption  $\||g_1| + |g_2|\| \leq 1 + \varepsilon'/3$ .

In the remaining part of the proof we assume that  $\sqrt{2}/2 + 2\varepsilon' < M = 1 - \varepsilon - \varepsilon'$ , and we define  $\varphi: \text{Ch } A \rightarrow Y$  by  $\{\varphi(x)\} = S_x^1$ .

We denote by  $\Gamma$  the set of all scalars of modulus one; this means  $\Gamma$  is a two-point set or a circle.

Step 3. Fix  $x_0 \in \text{Ch } A$  and  $f_0 \in A$  with  $\|f_0\| = 1 = f_0(x_0)$ . For any  $\lambda \in \Gamma$  we define  $\chi(\lambda) = T(\lambda f_0)(\varphi(x_0))$ . We have:

(i)  $\forall \lambda \in \Gamma \quad |\chi(\lambda)| \geq M.$

(ii)  $\{\chi(\lambda)/|\chi(\lambda)|: \lambda \in \Gamma\} = \Gamma.$

Proof. Let  $(f_\alpha)_{\alpha \in A} \in P_A^1(x_0)$ . As in Step 1 we have  $\|\lambda f_\alpha + \lambda f_0\| = 2, \forall \alpha \in A$ , hence

$$\|T(\lambda f_\alpha) + T(\lambda f_0)\| \geq 2 - \varepsilon', \quad \forall \alpha \in A,$$

and therefore

$$\sup \{|T(\lambda f_0)(y)|: y \in Y, |T(\lambda f_\alpha)(y)| \geq 1 - \varepsilon\} \geq 1 - \varepsilon' - \varepsilon.$$

It follows that there is a net  $(y_\alpha)$  such that  $|T(\lambda f_\alpha)(y_\alpha)| \geq 1 - \varepsilon$  and  $|T(\lambda f_0)(y_\alpha)| \geq 1 - \varepsilon' - \varepsilon$ . Hence, by the definition of  $S_{x_0}^1$ , we have

$$\sup \{|T(\lambda f_0)(y)|: y \in S_{x_0}^1\} \geq 1 - \varepsilon' - \varepsilon,$$

so, since  $S_{x_0}^1 = \{\varphi(x_0)\}$ , we get  $|\chi(\lambda)| \geq M$ .

To prove (ii), note that by our assumption (\*),  $\chi$  is continuous, and  $|\chi(\lambda) + \chi(-\lambda)| \leq \varepsilon'$ ; hence

$$\tilde{\chi}: \Gamma \ni \lambda \rightarrow \chi(\lambda)/|\chi(\lambda)| \in \Gamma$$

is a continuous function such that  $|\tilde{\chi}(\lambda) + \tilde{\chi}(-\lambda)| \leq 2(1 - 1/M) + \varepsilon' < 1$ , so  $\tilde{\chi}$  is surjective.

Step 4. For any  $f_0 \in A$  with  $\|f_0\| \leq 2$  and  $x \in \text{Ch } A$  we have

$$|Tf_0(\varphi(x))| \leq |f_0(x)| + 2\varepsilon' + \varepsilon.$$

Proof. We have

$$1 + |f_0(x)| = \inf \{\sup \{\|f_0 + \lambda f\|: \lambda \in \Gamma\}: f \in A, \|f\| = f(x) \leq 1\},$$

and by Step 3.

$$M + |Tf_0(\varphi(x))| \leq \inf \{ \sup \{ \|Tf_0 + T(\lambda f)\| : \lambda \in \Gamma \} : f \in A, \|f\| = f(x) \leq 1 \}.$$

Hence, by the assumption that  $M = 1 - \varepsilon - \varepsilon'$  and the Proposition,

$$|Tf_0(\varphi(x))| \leq |f_0(x)| + (1 - M) + \varepsilon' = |f_0(x)| + 2\varepsilon' + \varepsilon.$$

Step 5. Fix  $x_0 \in \text{Ch } A$  and  $g \in B$  with  $g(\varphi(x_0)) = \|g\| = 1$ . For any  $\lambda \in \Gamma$  we define  $K(\lambda) = T^{-1}(\lambda g)(x_0)$ . We have:

$$(i) \quad \forall \lambda \in \Gamma \quad |K(\lambda)| \geq 1 - 2\varepsilon' - \varepsilon.$$

$$(ii) \quad \{K(\lambda) : \lambda \in \Gamma\} = \Gamma.$$

Proof. (i) is an immediate consequence of Step 4, and we get (ii) exactly as in the proof of (ii) in Step 3.

Step 6. For any  $f_0 \in A$  with  $\|f_0\| \leq 1 + 2\varepsilon$  and  $x_0 \in \text{Ch } A$  we have

$$(8) \quad |Tf_0(\varphi(x_0))| \geq |f_0(x_0)| - 4\varepsilon'.$$

Proof. Since  $B$  is extremely regular, there is a  $g \in B$  such that  $\|g\| = 1 = g(\varphi(x_0))$  and

$$(9) \quad \|Tf_0 + |g|\| \leq 1 + |Tf_0(\varphi(x_0))| + 4\varepsilon.$$

By Step 5 there is a  $\lambda \in \Gamma$  such that

$$\|f_0 + T^{-1}(\lambda g)\| \geq |f_0(x_0)| + (1 - 2\varepsilon' - \varepsilon).$$

Hence, by the Proposition,

$$(10) \quad \|Tf_0 + \lambda g\| \geq |f_0(x_0)| + (1 - 2\varepsilon' - \varepsilon) - \varepsilon'.$$

From (9) and (10) we get (8).

Step 7.  $\varphi$  can be extended to a continuous function from  $X$  into  $Y$ . We denote the extended function by the same symbol.

Proof. Assuming the contrary, there is an  $x_0 \in X$  and two nets  $(x_\gamma^i)_{\gamma \in \Gamma_i}$ ,  $i = 1, 2$ , in  $\text{Ch } A$ , both converging to  $x_0$ , such that

$$y_\gamma^i = \varphi(x_\gamma^i) \xrightarrow{\gamma \in \Gamma_i} y^i,$$

where  $y^1 \neq y^2 \in Y$  (by Step 6, if  $Y$  is not compact,  $\varphi(x_\gamma)$  still cannot be divergent to  $\infty$ ). In particular, to prove the continuity of the original function  $\varphi$  we assume that  $(x_\gamma^2)_{\gamma \in \Gamma_2}$  is a sequence constantly equal to  $x_0$ . By Step 4, for any  $g \in B$  with  $\|g\| \leq 1$  we have

$$|g(y_\gamma^i)| \leq |T^{-1}g(x_\gamma^i)| + 2\varepsilon' + \varepsilon, \quad \text{for } i = 1, 2, \gamma \in \Gamma_i,$$

hence

$$(11) \quad |g(y^i)| \leq |T^{-1}g(x_0)| + 2\varepsilon' + \varepsilon, \quad \text{for } i = 1, 2.$$

Now, as in the proof of Step 2, we let  $g_1, g_2 \in B$  be such that  $g_i(y^i) = 1$  for  $i = 1, 2$  and  $\|g_1\| + \|g_2\| \leq 1 + \varepsilon$ . From (11) we get

$$|T^{-1}g_i(x_0)| \geq 1 - 2\varepsilon' - \varepsilon, \quad i = 1, 2.$$

Hence  $\max \|T^{-1}g_1 \pm T^{-1}g_2\| \geq \sqrt{2}(1 - 2\varepsilon' - \varepsilon)$ , so

$$\max \|g_1 \pm g_2\| \geq \sqrt{2}(1 - 2\varepsilon' - \varepsilon) - \varepsilon',$$

which contradicts the assumption  $\|g_1 \pm g_2\| \leq 1 + \varepsilon$ .

Step 8. If  $X$  is noncompact then neither is  $Y$ , and  $\varphi$  can be extended to a continuous map from  $X^* = X \cup \{\infty\}$  (the one-point compactification of  $X$ ) into  $Y^* = Y \cup \{\infty\}$  (the one-point compactification of  $Y$ ).

Proof. Assuming the contrary, there is a net  $(x_\gamma)_{\gamma \in \Gamma}$  in  $X$  tending to  $\infty$  such that  $\varphi(x_\gamma) = y_\gamma$  tends to some point  $y_0$  of  $Y$ . By Step 4 and since  $\text{Ch } A$  is dense in  $X$ , for any  $g \in B$ ,  $\|g\| \leq 1$ , we get

$$|g(y_0)| = \lim_\gamma |g(y_\gamma)| \leq \lim_\gamma |T^{-1}g(x_\gamma)| + 2\varepsilon' + \varepsilon = 2\varepsilon' + \varepsilon < 1,$$

which contradicts the assumption that  $B$  is extremely regular.

Step 9.  $\varphi$  maps  $X$  onto  $Y$ .

Proof. By the previous step  $\varphi$  is a closed map, so it is sufficient to show that  $\varphi(X)$  is dense in  $Y$ . Assuming the contrary, there is a  $g \in B$  with  $\|g\| = 1$  such that

$$\sup \{ |g(\varphi(x))| : x \in X \} < \varepsilon.$$

But on the other hand, by Step 6 and the Proposition,

$$\begin{aligned} \sup \{ |g(\varphi(x))| : x \in X \} &\geq \sup \{ |T^{-1}g(x)| : x \in X \} - 4\varepsilon' \\ &= \|T^{-1}g\| - 4\varepsilon' \geq \|g\| - 5\varepsilon' = 1 - 5\varepsilon'. \end{aligned}$$

Step 10.  $\varphi$  is injective.

Proof. Let  $x_1 \in X_0 := \text{Ch } A$ ,  $x_2 \in X$ ,  $x_1 \neq x_2$ , and let  $f \in A$  be such that  $\|f\| = f(x_1) = 1$  and  $|f(x_2)| < \varepsilon$ . By Steps 3 and 4,

$$|Tf(\varphi(x_1))| \geq 1 - \varepsilon' - \varepsilon, \quad |Tf(\varphi(x_2))| \leq 2(\varepsilon' + \varepsilon).$$

Hence  $\varphi(x_1) \neq \varphi(x_2)$ , so in particular  $\varphi|_{X_0}$  is injective.

Let us now pick one point from each set  $\varphi^{-1}(y)$ ,  $y \in Y$ , i.e. let us consider a function  $\psi : Y \rightarrow X$  such that  $\varphi \circ \psi$  is the identity map. By Step 8,  $\varphi$  is a closed map, so  $\psi$  is continuous. To prove that  $\varphi$  is injective we have



to prove that  $\psi$  is surjective. Since  $\varphi|_{X_0}$  is injective  $\psi(Y)$  contains  $X_0$ , so is a dense subset of  $X$ . Hence if  $Y$  is compact then we are done. If  $Y$  is not compact, then by Step 8,  $\psi$  can be extended to a continuous function from  $Y^*$  into  $X^*$  with  $\psi(\infty) = \infty$ ; now the domain is again compact and so is the image.

Now by Steps 7–10,  $\varphi$  is a homeomorphism from  $X$  onto  $Y$  and (\*\*) follows from Steps 4 and 6 with  $c(\varepsilon) = 4\varepsilon' = 400\varepsilon^{1/10}$ .

**§ 3. Remark.** Note that the complex Banach space  $C_0(X)$  is isometric to  $C_0^{\mathbb{R}}(X) \otimes H_2$  (the injective tensor product of the real  $C_0(X)$  space and the real two-dimensional Hilbert space) as well as to  $C_0^{\mathbb{R}}(X, H_2)$  (the real Banach space of  $H_2$ -valued continuous functions on  $X$  vanishing at infinity). The inspection of the proof of Theorem 1 immediately shows that we have only used the following properties of  $A \subset C_0^{\mathbb{R}}(X) \otimes H_2$ ,  $B \subset C_0^{\mathbb{R}}(Y) \otimes H_2$ :

(i)  $A$  is almost extremely regular and  $B$  is extremely regular.

(ii)  $\exists c > 1 \forall h_1, h_2 \in H_2, \|h_1\| = 1 = \|h_2\|,$

$$\max(\|h_1 + h_2\|, \|h_1 - h_2\|) \geq c.$$

(iii) The unit ball of  $H_2$  is compact.

Hence by exactly the same arguments we can get the following more general result.

**THEOREM 4.** *Let  $X, Y$  be locally compact Hausdorff spaces, let  $A$  be an almost extremely regular subspace of  $C_0(X)$  and  $B$  an extremely regular subspace of  $C_0(Y)$ , and let  $E$  be a strictly convex finite-dimensional Banach space. Assume  $T$  is an  $\varepsilon$ -bi-Lipschitz map from  $A \otimes E \subseteq C_0(X, E)$  onto  $B \otimes E \subseteq C_0(Y, E)$  with  $T0 = 0$  and  $\varepsilon \leq \varepsilon_0$ . Then there is a homeomorphism  $\varphi: X \rightarrow Y$  such that*

$$\left| \|Tf(\varphi(x))\| - \|f(x)\| \right| \leq c(\varepsilon)\|f\|, \quad \forall f \in A \otimes E,$$

where  $\varepsilon_0 > 0$  as well as the function  $c(\cdot)$ , with  $c(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ , depend on the modulus of convexity of  $E$  only.

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