

**PROBLEM 1.** Let  $A$  be a complex noncommutative topological algebra. Does it follow that  $A$  has generalized topological divisors of zero?

This problem can be answered in the negative in the case of a real algebra. The counterexample is the Banach algebra  $\mathcal{Q}$ . A positive answer to the following problem would imply a positive solution of Problem 1.

**PROBLEM 2.** Suppose that  $A$  is a complex topological algebra with the property that for arbitrary nets  $(x_i), (y_i), i \in I$ , of elements of  $A$  the condition  $\lim_i x_i y_i = 0$  implies  $\lim_i y_i x_i = 0$ . Does it follow that  $A$  is a commutative algebra?

The positive answer to Problem 2 would give a generalization of the following result due to Le Page [2]: If  $A$  is a complex Banach algebra and there is a positive constant  $k$  such that  $\|xy\| \leq k\|yx\|$  for all  $x$  and  $y$  in  $A$  then the algebra  $A$  is commutative. Using a technique similar to that of [2] one can obtain a positive solution to Problem 2 in the case when  $A$  is an  $m$ -pseudoconvex algebra.

**PROBLEM 3.** Suppose that a topological algebra  $A$  has generalized topological divisors of zero. Does there exist a commutative subalgebra of  $A$  also possessing generalized topological divisors of zero?

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### Near isometries of spaces of weak\* continuous functions, with an application to Bochner spaces

by

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**Abstract.** For a Banach dual  $E$  and a compact Hausdorff space  $X$  we denote by  $C(X, E_{\sigma^*})$  the Banach space of continuous functions  $F$  from  $X$  to  $E$  when the latter space is provided with its weak\* topology, normed by  $\|F\|_{\infty} = \sup_{x \in X} \|F(x)\|$ . Here we show that if  $X$  and  $Y$  are extremally disconnected compact Hausdorff spaces and  $E$  is a uniformly convex Banach space with  $C(X, E_{\sigma^*})$  and  $C(Y, E_{\sigma^*})$  nearly isometric, then  $X$  and  $Y$  are homeomorphic. The result has the following immediate consequence for Bochner spaces. If  $(\Omega_i, \Sigma_i, \mu_i)$  are  $\sigma$ -finite measure spaces,  $i = 1, 2$ , and  $E$  a uniformly smooth Banach space such that  $L^1(\mu_1, E)$  and  $L^1(\mu_2, E)$  are nearly isometric or that  $L^{\infty}(\mu_1, E^*)$  and  $L^{\infty}(\mu_2, E^*)$  are nearly isometric, then  $L^1(\mu_1, E)$  is isometric to  $L^1(\mu_2, E)$  and  $L^{\infty}(\mu_1, E^*)$  is isometric to  $L^{\infty}(\mu_2, E^*)$ .

**0. Introduction.** Throughout this paper the letter  $E$  stands for a Banach space, while  $X$  and  $Y$  denote compact Hausdorff spaces.  $U$  denotes the closed unit ball in  $E$  and  $S$  the surface of  $U$ . Interaction between elements of a Banach space and those of its dual will be denoted by  $\langle \cdot, \cdot \rangle$ . We will write  $E_1 \cong E_2$  to indicate that the Banach spaces  $E_1$  and  $E_2$  are isometric.

Given  $X$ , assume that  $E$  is a Banach dual. Then  $C(X, E_{\sigma^*})$  stands for the Banach space of continuous functions  $F$  on  $X$  to  $E$  when the latter space is provided with its weak\* topology, normed by  $\|F\|_{\infty} = \sup_{x \in X} \|F(x)\|$ .

If  $(\Omega, \Sigma, \mu)$  is a positive measure space and  $E$  is any Banach space then, for  $1 \leq p \leq \infty$ , the Bochner spaces  $L^p(\Omega, \Sigma, \mu, E)$  will be denoted by  $L^p(\mu, E)$  when there is no danger of confusing the underlying measure spaces involved. For the definitions and properties of these spaces we refer to [10].

Following Banach [1, p. 242] we will call the Banach spaces  $E_1$  and  $E_2$  nearly isometric if  $1 = \inf\{\|T\| \|T^{-1}\|\}$ , where  $T$  runs through all isomorphisms of  $E_1$  onto  $E_2$ . It is of course equivalent to suppose that  $1 = \inf\{\|T\|\}$ , where  $\|T^{-1}\| = 1$  and hence  $T$  is a norm-increasing isomorphism of  $E_1$  onto  $E_2$ . For if  $T$  is any continuous isomorphism of one Banach space onto

another, we obtain an isomorphism  $\hat{T}$  having the desired properties by defining  $\hat{T}$  to be equal to  $\|T^{-1}\|T$ .

In [6] the isometries of spaces  $C(X, E_{\sigma^*})$  were investigated for  $X$  extremally disconnected and  $E$  uniformly convex. (Spaces  $C(X, E_{\sigma^*})$  with  $X$  extremally disconnected arise naturally as the biduals of spaces of norm-continuous functions [7], and, more generally, as the duals of spaces of vector measures and of Bochner  $L^1$  spaces [8].) It was shown in [6] that if  $X$  and  $Y$  are two such compact spaces with  $C(X, E_{\sigma^*})$  and  $C(Y, E_{\sigma^*})$  isometric, then  $X$  and  $Y$  are homeomorphic. In Section 1 of this article we show that a modification of the arguments of [6] allows us to replace "isometric" by "nearly isometric". Specifically, we prove the following:

**THEOREM 1.** *Let  $X$  and  $Y$  be extremally disconnected compact Hausdorff spaces and  $E$  a uniformly convex Banach space. If  $C(X, E_{\sigma^*})$  and  $C(Y, E_{\sigma^*})$  are nearly isometric then  $X$  and  $Y$  are homeomorphic.*

In Section 2 we show that our Theorem 1 has an immediate consequence for Bochner spaces. We prove

**THEOREM 2.** *Let  $(\Omega_i, \Sigma_i, \mu_i)$  be  $\sigma$ -finite measure spaces for  $i = 1, 2$ , and  $E$  a uniformly smooth Banach space. Assume that  $L^1(\mu_1, E)$  and  $L^1(\mu_2, E)$  are nearly isometric, or that  $L^\infty(\mu_1, E^*)$  and  $L^\infty(\mu_2, E^*)$  are nearly isometric. Then  $L^1(\mu_1, E) \cong L^1(\mu_2, E)$  and  $L^\infty(\mu_1, E^*) \cong L^\infty(\mu_2, E^*)$ .*

This latter result was obtained in [5] by quite different arguments, far more computational in nature, for the special case in which  $E = E^*$  is Hilbert space. The initial result of this sort established for  $E$  the space of scalars is due to Y. Benyamini [3].

Much of what we do in Section 2 is dependent upon the notions of category measure and hyperstonean space. If  $X$  is an extremally disconnected compact Hausdorff space then we will call a nonnegative extended real-valued Borel measure  $\mu$  on  $X$  a *category measure* if

- (i) every nonempty clopen set has positive measure,
- (ii) every nowhere dense Borel set has measure zero, and
- (iii) every nonempty clopen set contains another nonempty clopen set with finite measure.

An extremally disconnected space  $X$  that admits a category measure is called *hyperstonean*. This is equivalent to the definition of hyperstonean space obtained via the use of normal measures [2, p. 26]. (In [2], [5] and [8] category measures are referred to as "perfect measures".)

**1. Near isometries of weak\* continuous functions.** Throughout this section  $X$  and  $Y$  will denote extremally disconnected compact Hausdorff spaces and  $E$  a fixed uniformly convex Banach space. We assume that  $C(X, E_{\sigma^*})$  and  $C(Y, E_{\sigma^*})$  are nearly isometric. Recall that  $E$  uniformly convex means that  $\delta(\varepsilon) > 0$  when  $0 < \varepsilon \leq 2$ , where

$$\delta(\varepsilon) = \inf_{e_1, e_2 \in U} \{1 - \|(e_1 + e_2)/2\| : \|e_1 - e_2\| \geq \varepsilon\}.$$

Also recall that  $E$  uniformly convex implies that  $E$  is reflexive [9, p. 147], and it thus makes sense to consider the weak\* topology of  $E$ . Here, of course, the weak and weak\* topologies coincide, but we state our results for the latter topology since it is precisely the topology on a dual space which arises within the various mathematical contexts considered in [7] and [8]. Also, certain facts about spaces of weak\* continuous functions will be needed in Section 2.

The proof of Theorem 1 will be established by means of a sequence of lemmas. The proof of the first lemma is contained in Lemma 1 of [4].

**LEMMA 1.** *If  $\eta > 0$  and  $e_1, e_2 \in E$  with  $\|e_j\| \geq \eta$  for  $j = 1, 2$  then there are scalars  $\alpha_j$  with  $|\alpha_j| \leq 1, j = 1, 2$ , such that*

$$\|\alpha_1 e_1 + \alpha_2 e_2\| \geq \eta(1 - \delta(1))^{-1}.$$

From now until the end of this section  $\eta$  will denote a fixed positive number less than one and such that  $\eta(1 - \delta(1))^{-1} > 1$ .  $T$  will then denote a fixed isomorphism of  $C(X, E_{\sigma^*})$  onto  $C(Y, E_{\sigma^*})$  with  $\|T^{-1}\| = 1$  satisfying

- (1)  $\|T\|^2 - \|T\| < \eta$ ,
- (2)  $\eta(1 - \delta(1))^{-1}/\|T\|^2 > 1$ , and
- (3)  $1 - 1/\|T\| < \delta((1 - \eta)/2)$ .

For any clopen subset  $C$  of  $X$  and any  $e \in S$  we then define  $\varrho_e(C)$  by

$$\varrho_e(C) = \text{cl}(\{y \in Y : \|T(e \cdot \chi_C)(y)\| > \eta\}).$$

Since, for  $F \in C(Y, E_{\sigma^*})$ ,  $\|F(\cdot)\|$  is lower semicontinuous on  $Y$  it follows that  $\varrho_e(C)$  is a clopen subset of  $Y$ .

**LEMMA 2.** *If  $e_1, e_2 \in S$  then for any clopen subset  $C$  of  $X$  we have  $\varrho_{e_1}(C) = Y - \varrho_{e_2}(X - C)$ .*

**Proof.** We first show that  $\varrho_{e_1}(C)$  and  $\varrho_{e_2}(X - C)$  are disjoint. Suppose, to the contrary, that  $\varrho_{e_1}(C) \cap \varrho_{e_2}(X - C) \neq \emptyset$ . Then the fact that if two open subsets of an extremally disconnected space have empty intersection so do their closures would imply the existence of a  $y \in Y$  with  $\|T(e_1 \cdot \chi_C)(y)\| > \eta$  and  $\|T(e_2 \cdot \chi_{X-C})(y)\| > \eta$ . By Lemma 1 there exist scalars  $\alpha_j$  with  $|\alpha_j| \leq 1, j = 1, 2$ , such that

$$\|\alpha_1 T(e_1 \cdot \chi_C)(y) + \alpha_2 T(e_2 \cdot \chi_{X-C})(y)\| > \eta(1 - \delta(1))^{-1}.$$

But for all such scalars  $\alpha_j$  we have  $\|\alpha_1 e_1 \cdot \chi_C + \alpha_2 e_2 \cdot \chi_{X-C}\|_\infty \leq 1$ , which together with our assumption (2) giving  $\|T\| \leq \|T\|^2 < \eta(1 - \delta(1))^{-1}$ , provides a contradiction. Thus  $\varrho_{e_1}(C)$  and  $\varrho_{e_2}(X - C)$  are indeed disjoint.

If  $\varrho_{e_1}(C) \cup \varrho_{e_2}(X - C)$  is not all of  $Y$  then its complement,  $B$ , is a nonempty clopen subset of  $Y$  and on  $B$  we have  $\|T(e_1 \cdot \chi_C)(y)\| \leq \eta$  and

$\|T(e_2 \cdot \chi_{X-C})(y)\| \leq \eta$ . Choose any  $e \in S$  and note that  $(1-\eta)e \cdot \chi_B$  is an element of  $C(Y, E_{\sigma^*})$  with

$$\|T(e_1 \cdot \chi_C)/\|T\| \pm (1-\eta)e \cdot \chi_B\|_{\infty} \leq 1$$

$$\text{and } \|T(e_2 \cdot \chi_{X-C})/\|T\| \pm (1-\eta)e \cdot \chi_B\|_{\infty} \leq 1$$

so that, since  $\|T^{-1}\| = 1$ ,

$$\|e_1 \cdot \chi_C/\|T\| \pm T^{-1}((1-\eta)e \cdot \chi_B)\|_{\infty} \leq 1$$

$$\text{and } \|e_2 \cdot \chi_{X-C}/\|T\| \pm T^{-1}((1-\eta)e \cdot \chi_B)\|_{\infty} \leq 1.$$

But  $\|T^{-1}((1-\eta)e \cdot \chi_B)\|_{\infty} \geq (1-\eta)/\|T\|$  so that there exists an  $x \in X$  with

$$\|T^{-1}((1-\eta)e \cdot \chi_B)(x)\| > (1-\eta)/(2\|T\|).$$

Now  $x$  belongs to either  $C$  or  $X-C$ , say  $x \in C$ , so that the segment joining  $e_1 \cdot \chi_C(x)/\|T\| + T^{-1}((1-\eta)e \cdot \chi_B)(x)$  and  $e_1 \cdot \chi_C(x)/\|T\| - T^{-1}((1-\eta)e \cdot \chi_B)(x)$  has length greater than  $(1-\eta)/\|T\|$ . Consequently, one minus the norm of the midpoint of this segment, a quantity which is  $1-1/\|T\|$ , is greater than  $\delta((1-\eta)/\|T\|)$ . But since (1) implies that  $\|T\| < 2$  we have  $\delta((1-\eta)/\|T\|) \geq \delta((1-\eta)/2)$  which contradicts (3) and completes the proof of the lemma.

LEMMA 3. Let  $e \in S$ ,  $x \in X$ , and let  $\{C_{x,i}: i \in I_x\}$  be the family of clopen neighborhoods of  $x$ . Then the family  $\{\varrho_e(C_{x,i}): i \in I_x\}$  of clopen subsets of  $Y$  has the finite intersection property.

Proof. Suppose, to the contrary, that there exist clopen neighborhoods  $C_{x,i_k}$  of  $x$ ,  $k = 1, \dots, n$ , such that  $\bigcap_{k=1}^n \varrho_e(C_{x,i_k}) = \emptyset$ . In order to simplify the notation, throughout the remainder of this proof we will denote  $C_{x,i_k}$  by  $C_k$ ,  $1 \leq k \leq n$ . Then

$$Y = Y - \bigcap_{k=1}^n \varrho_e(C_k) = \bigcup_{k=1}^n [Y - \varrho_e(C_k)]$$

and, by Lemma 2, this latter set is  $\bigcup_{k=1}^n \varrho_e(X - C_k)$ .

Let  $C = \bigcap_{k=1}^n C_k$  and consider  $\varrho_e(C)$ . For some  $k$ ,  $1 \leq k \leq n$ , we must have that  $\varrho_e(C) \cap \varrho_e(X - C_k)$  is nonvoid. Again using the fact that if two open subsets of an extremally disconnected space are disjoint then so are their closures, we conclude that there is a  $y \in Y$  with  $\|T(e \cdot \chi_C)(y)\| > \eta$  and  $\|T(e \cdot \chi_{X-C_k})(y)\| > \eta$ . Thus by Lemma 1 there exist scalars  $\alpha_i$  with  $|\alpha_i| \leq 1$ ,  $i = 1, 2$ , such that

$$\begin{aligned} \|\alpha_1 T(e \cdot \chi_C) + \alpha_2 T(e \cdot \chi_{X-C_k})\|_{\infty} &\geq \|\alpha_1 T(e \cdot \chi_C)(y) + \alpha_2 T(e \cdot \chi_{X-C_k})(y)\| \\ &> \eta(1-\delta(1))^{-1}. \end{aligned}$$

But since  $C$  and  $X - C_k$  are disjoint, for all choices of such scalars  $\alpha_i$  we have  $\|\alpha_1 e \cdot \chi_C + \alpha_2 e \cdot \chi_{X-C_k}\|_{\infty} \leq 1$ , which, together with the fact that  $\|T\| \leq \|T\|^2 < \eta(1-\delta(1))^{-1}$ , again provides a contradiction and completes the proof of the lemma.

Now let  $e$ ,  $x$ , and  $\{C_{x,i}: i \in I_x\}$  be as in the statement of Lemma 3. By that lemma the set

$$Y_{x,e} = \bigcap_{i \in I_x} \varrho_e(C_{x,i})$$

is a nonvoid subset of  $Y$ , and we define the set  $Y_x$  by

$$Y_x = \bigcup_{e \in S} Y_{x,e}.$$

LEMMA 4. If  $x_1, x_2 \in X$ ,  $x_1 \neq x_2$ , then  $Y_{x_1} \cap Y_{x_2} = \emptyset$ .

Proof. Suppose, to the contrary, that  $Y_{x_1} \cap Y_{x_2} \neq \emptyset$ ; say  $y_0 \in Y_{x_1} \cap Y_{x_2}$ . Let  $C$  be a clopen neighborhood of  $x_1$  which does not contain  $x_2$ . Then by the definition of  $Y_{x_1}$  there is an  $e_1 \in S$  such that  $y_0 \in Y_{x_1, e_1}$  and thus  $y_0 \in \varrho_{e_1}(C)$ . Similarly, there is an  $e_2 \in S$  such that  $y_0 \in Y_{x_2, e_2}$  and, as  $X - C$  is a clopen neighborhood of  $x_2$ , we have  $x_2 \in \varrho_{e_2}(X - C)$ . Hence  $y_0 \in \varrho_{e_1}(C) \cap \varrho_{e_2}(X - C)$  which contradicts Lemma 2 and completes the proof of this lemma.

We now define the subset  $Y_1$  of  $Y$  by

$$Y_1 = \bigcup_{x \in X} Y_x.$$

It then follows from Lemma 4 that we obtain a well-defined mapping  $h$  from  $Y_1$  onto  $X$  by setting, for  $y \in Y_1$ ,

$$h(y) = x \quad \text{if } y \in Y_x.$$

Next, consider the isomorphism  $R$  from  $C(Y, E_{\sigma^*})$  onto  $C(X, E_{\sigma^*})$  defined by  $R = \|T\|T^{-1}$ . Then for any clopen subset  $B$  of  $Y$  and any  $e \in S$  we define the clopen subset  $\tau_e(B)$  of  $X$  by

$$\tau_e(B) = \text{cl}(\{x \in X: \|R(e \cdot \chi_B)(x)\| > \eta\}).$$

Since  $\|R\| = \|T\|$ , conditions (1), (2) and (3) are satisfied with  $T$  replaced by  $R$ . Also  $\|R^{-1}\| = 1$ . It thus follows, by interchanging the roles of  $X$  and  $Y$  and those of  $T$  and  $R$ , that if  $y \in Y$  and  $\{B_{y,j}: j \in J_y\}$  is the family of clopen neighborhoods of  $y$ , the set

$$X_{y,e} = \bigcap_{j \in J_y} \tau_e(B_{y,j})$$

is a nonvoid subset of  $X$ . We set

$$Y_y = \bigcup_{e \in S} X_{y,e}.$$

Then, by what we have established,  $X_{y_1} \cap X_{y_2} = \emptyset$  if  $y_1 \neq y_2$  so that if we put

$$X_1 = \bigcup_{y \in Y} X_y,$$

we obtain a well-defined map  $k$  of  $X_1$  onto  $Y$  by setting, for  $x \in X_1$ ,

$$k(x) = y \quad \text{if } x \in X_y.$$

LEMMA 5. *If  $x \in X_y$  and if  $C$  is any clopen neighborhood of  $x$  then for every clopen neighborhood  $B$  of  $y$  there exists an  $e_B \in S$  such that*

$$\{y' \in Y: \|T(e_B \cdot \chi_C)(y')\| > \eta/\|T\|\} \cap B \neq \emptyset.$$

Proof. Let  $x, B$  and  $C$  be as given above. Since  $x \in X_y$  there is an  $e \in S$  with  $x \in X_{y,e}$ . Thus

$$x \in \tau_e(B) = \text{cl}(\{x' \in X: \|R(e \cdot \chi_B)(x')\| > \eta\}),$$

so there exists an  $x_1 \in C$  with

$$\|R(e \cdot \chi_B)(x_1)\| = \|\|T\| T^{-1}(e \cdot \chi_B)(x_1)\| > \eta,$$

i.e.  $\|T^{-1}(e \cdot \chi_B)(x_1)\| > \eta/\|T\|$ . Let  $u = T^{-1}(e \cdot \chi_B)(x_1)$  and let  $e_B = u/\|u\|$ . Then

$$\|T^{-1}(e \cdot \chi_B) + e_B \cdot \chi_C\|_\infty \geq \|T^{-1}(e \cdot \chi_B)(x_1) + e_B \cdot \chi_C(x_1)\| > 1 + \eta/\|T\|,$$

so that (as  $T$  is norm-increasing)  $\|e \cdot \chi_B + T(e_B \cdot \chi_C)\|_\infty > 1 + \eta/\|T\|$ . Since (1) implies that  $\|T\| < 1 + \eta/\|T\|$ , there must exist a  $y_1 \in B$  with  $\|T(e_B \cdot \chi_C)(y_1)\| > \eta/\|T\|$ .

LEMMA 6. *If  $y \in Y_1$  and  $h(y) = x$  then  $x \in X_1$  and  $k(x) = y$ .*

Proof. Suppose that  $y \in Y_1$ ,  $h(y) = x$ , and that either  $x \notin X_1$  or  $x \in X_1$  but  $k(x) \neq y$ . Then in either case there would exist an  $x' \in X_1$ ,  $x' \neq x$ , with  $y = k(x')$ . Now  $h(y) = x$  means  $y \in Y_x$  so  $y \in Y_{x,e}$  for some  $e \in S$ . Hence if  $D$  is any clopen neighborhood of  $x$  we have  $y \in \varrho_e(D)$ . Choose such a  $D$  which does not contain  $x'$ . Then as  $k(x') = y$  we have  $x' \in X_y$ , and since  $C = X - D$  is a clopen neighborhood of  $x'$  and  $B = \varrho_e(D)$  a clopen neighborhood of  $y$ , by Lemma 5 there is an  $e_B \in S$  with

$$\{y' \in Y: \|T(e_B \cdot \chi_C)(y')\| > \eta/\|T\|\} \cap B \neq \emptyset.$$

Choose a point  $y_1$  in this latter intersection and pick  $\varphi \in E^*$  with  $\|\varphi\| = 1$  such that  $\langle T(e_B \cdot \chi_C)(y_1), \varphi \rangle = \|T(e_B \cdot \chi_C)(y_1)\|$ . Then

$$W = B \cap \{y' \in Y: \text{Re} \langle T(e_B \cdot \chi_C)(y'), \varphi \rangle > \eta/\|T\|\}$$

is an open neighborhood of  $y_1$  contained in  $\varrho_e(D) = \text{cl}(\{y' \in Y: \|T(e \cdot \chi_D)(y')\| > \eta\})$ , so that there is a point  $y_2 \in W$  with  $\|T(e \cdot \chi_D)(y_2)\| > \eta$

$\geq \eta/\|T\|$ . Hence, by Lemma 1, there exist scalars  $\alpha_1, \alpha_2$  with  $|\alpha_j| \leq 1$  for  $j = 1, 2$  and

$$\begin{aligned} \|\alpha_1 T(e \cdot \chi_D) + \alpha_2 T(e_B \cdot \chi_C)\|_\infty &\geq \|\alpha_1 T(e \cdot \chi_D)(y_2) + \alpha_2 T(e_B \cdot \chi_C)(y_2)\| \\ &\geq \eta(1 - \delta(1))^{-1}/\|T\|, \end{aligned}$$

a quantity which, by (2), is greater than  $\|T\|$ . But, as  $C = X - D$ , for all choices of such scalars  $\alpha_j$  we have  $\|\alpha_1 e \cdot \chi_D + \alpha_2 e_B \cdot \chi_C\|_\infty \leq 1$ , and this contradiction completes the proof of the lemma.

The proof of Theorem 1 is then completed by the following:

LEMMA 7.  *$Y = Y_1$  and  $h$  is a homeomorphism of  $Y$  onto  $X$ .*

Proof. The previous lemma shows that  $X = h(Y_1) \subseteq X_1$ . It also shows that  $Y = k(X_1) \subseteq Y_1$ . (For  $h$  maps  $Y_1$  onto  $X$ ; hence given  $x \in X_1 \subseteq X$  there is a  $y \in Y_1$  with  $h(y) = x$ . Then by the previous lemma  $k(x) = y \in Y_1$ .) Thus  $h$  maps  $Y$  onto  $X$ ,  $h$  is injective since  $k$  is a function, and  $k = h^{-1}$ .

We must show that  $h$  is continuous. Thus suppose  $A$  is a closed subset of  $X$ . If  $y \notin k(A)$  then  $y = k(x)$  for some  $x \notin A$ . Let  $C_x$  be a clopen neighborhood of  $x$  disjoint from  $A$  and let  $e \in S$ . As we now know that  $Y_x = Y_{x,e} = \{y\}$ , it follows that  $y \in \varrho_e(C_x)$ . And since  $A$  is contained in the clopen set  $X - C_x$ , it follows by the same reasoning that  $k(A) \subseteq \varrho_e(X - C_x)$  which, by Lemma 2, is equal to  $Y - \varrho_e(C_x)$ . Hence the open set  $\varrho_e(C_x)$  does not meet  $k(A)$ . Consequently, if we choose such a neighborhood  $C_x$  for each  $x \notin A$ , we have  $k(A) = Y - \bigcup_{x \notin A} \varrho_e(C_x)$ , a closed set. Thus  $h = k^{-1}$  is continuous, and is hence a homeomorphism of  $Y$  onto  $X$ .

## 2. An application to Bochner spaces.

Proof of Theorem 2. Assume that  $(\Omega_i, \Sigma_i, \mu_i)$ ,  $i = 1, 2$ , and  $E$  satisfy the hypotheses of Theorem 2. Since  $E$  is uniformly smooth,  $E^*$  is uniformly convex [9, p. 147]. We may, without loss of generality, suppose that  $\Omega_i$  is a hyperstonean space, that  $\Sigma_i$  is the  $\sigma$ -field of Borel subsets of  $\Omega_i$ , and that  $\mu_i$  is a category measure for  $i = 1, 2$ . (See, e.g., Section 2.A of [11].)

We first assume that  $L^\infty(\mu_1, E^*)$  and  $L^\infty(\mu_2, E^*)$  are nearly isometric. It is known that the dual of  $L^1(\mu_i, E)$  is  $C(\Omega_i, E_\sigma^*)$  for  $i = 1, 2$  [8, Theorem 1], where the interaction between elements  $F \in L^1(\mu_i, E)$  and  $G \in C(\Omega_i, E_\sigma^*)$  is given by

$$\langle F, G \rangle = \int \langle F(\omega), G(\omega) \rangle d\mu_i(\omega),$$

and also known that there exists an isometry of  $L^\infty(\mu_i, E^*)$  into  $C(\Omega_i, E_\sigma^*)$  [11, Proposition 2.4]. But since  $E^*$  is reflexive it has the Radon-Nikodym property [10, p. 218], so that (as our measure spaces are  $\sigma$ -finite)  $L^\infty(\mu_i, E^*)$  is also the dual of  $L^1(\mu_i, E)$  [10, p. 98]. Thus the isometry of Proposition 2.4 of [11] is surjective. It follows that  $C(\Omega_1, E_\sigma^*)$  and  $C(\Omega_2, E_\sigma^*)$  are nearly

isometric. Hence, by Theorem 1, there exists a homeomorphism  $k$  of  $\Omega_1$  onto  $\Omega_2$ .

Next, for Borel sets  $B \subseteq \Omega_2$ , we define  $\lambda(B) = \mu_1[k^{-1}(B)]$ . If then  $A$  is a Borel subset of  $\Omega_1$  we have  $\mu_1(A) = \lambda(k(A)) = \int_{k(A)} d\lambda$  so that the map

$$* \sum_{j=1}^n e_j \chi_{A_j} \rightarrow \sum_{j=1}^n e_j \chi_{k(A_j)}$$

carries the dense subspace of simple functions in  $L^1(\Omega_1, \Sigma_1, \mu_1, E)$  isometrically onto the corresponding subspace of  $L^1(\Omega_2, \Sigma_2, \lambda, E)$  and can thus be extended to an isometry of  $L^1(\Omega_1, \Sigma_1, \mu_1, E)$  onto  $L^1(\Omega_2, \Sigma_2, \lambda, E)$ . Then multiplication by the scalar function  $d\lambda/d\mu_2$  carries this latter space isometrically onto  $L^1(\Omega_2, \Sigma_2, \mu_2, E)$ . Hence  $L^1(\mu_1, E) \cong L^1(\mu_2, E)$  and consequently  $L^\infty(\mu_1, E^*) \cong L^\infty(\mu_2, E^*)$ .

If we assume that  $L^1(\mu_1, E)$  and  $L^1(\mu_2, E)$  are nearly isometric, then their duals  $L^\infty(\mu_1, E^*)$  and  $L^\infty(\mu_2, E^*)$  are nearly isometric and the proof follows as above.

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#### On symmetric bases in nonseparable Banach spaces

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**Abstract.** It is shown that if  $E$  and  $F$  are nonseparable Banach spaces with symmetric bases and each of these spaces is isomorphic to a subspace of the other space, then the bases are equivalent (and hence the two spaces are isomorphic). In particular, in a nonseparable Banach space with a symmetric basis, any two such bases are equivalent.

The purpose of this paper is to prove the following

**THEOREM.** *Let  $E$  and  $F$  be nonseparable Banach spaces with symmetric bases  $(u_i)_{i \in I}$  and  $(v_j)_{j \in J}$ , respectively. If  $E \hookrightarrow F$  and  $F \hookrightarrow E$  (isomorphic embeddings), then  $E$  and  $F$  are isomorphic:  $E \approx F$ . In fact, in this case the bases  $(u_i)$  and  $(v_j)$  are equivalent, i.e., there exists an isomorphism  $T$  from  $E$  onto  $F$  such that  $T(\{u_i: i \in I\}) = \{v_j: j \in J\}$ .*

(Thus, for some bijection  $\tau: I \rightarrow J$ ,  $Tu_i = v_{\tau(i)}$  for all  $i \in I$ , and every such bijection determines the corresponding isomorphism.)

**COROLLARY.** *If a nonseparable Banach space  $E$  has a symmetric basis, then any two symmetric bases of  $E$  are equivalent.*

These results show that there is a sharp distinction between the nonseparable and separable Banach spaces with symmetric bases. Nothing of the above type is valid in the separable case (see [1] and [2]) if we insist on having conclusions that the bases are equivalent. Whether or not the theorem is true in this case if the assertion were merely  $E \approx F$ , seems to be unknown.

We start with some explanations and a general construction.

A family  $(x_\alpha)_{\alpha \in A}$  of elements in a Banach space  $X$  is called a *symmetric basis* of  $X$  ([4]) if

(a) it is an *unconditional basis* of  $X$  ([3]), i.e., for every  $x \in X$  there is a unique family of scalars  $(t_\alpha)_{\alpha \in A}$  such that  $x = \sum_{\alpha \in A} t_\alpha x_\alpha$  (unconditional convergence or summability), and

(b) whenever a series  $\sum_{\alpha \in A} t_\alpha x_\alpha$  converges (unconditionally), then so does the series  $\sum_{\alpha \in A} t_{\varphi(\alpha)} x_\alpha$ ; for every bijection  $\varphi: A \rightarrow A$ .