

## The Mayer-Vietoris and the Puppe sequences in $K$ -theory for $C^*$ -algebras

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**Abstract.** We show the existence of a Mayer-Vietoris and a Puppe sequences in the  $K$ -theory for  $C^*$ -algebras. Both sequences generalize the respective sequences in the commutative case in the sense that they reduce to those sequences under the identification  $K_x(C_0(X)) = \tilde{K}^*(X)$  if all algebras involved are chosen to be commutative, i.e. of the form  $C_0(X)$  for a locally compact space  $X$ . The sequences are used to calculate the  $K$ -theory of certain bundle- $C^*$ -algebras with continuous identity field.

**0. Notation and preliminaries.** For any  $C^*$ -algebra  $A$  call  $SA := \{f: [0, 1] \rightarrow A \text{ continuous, } f(0) = 0 = f(1)\}$  the *suspension* of  $A$ . For two  $C^*$ -algebras  $A$  and  $B$  we say that two morphisms  $\varphi_i: A \rightarrow B$ ,  $i = 0, 1$ , are *homotopic* if there exists a family  $\Phi_t: A \rightarrow B$  of morphisms for  $t \in [0, 1]$  such that  $\Phi: I \times A \rightarrow B$  defined by  $\Phi(t, a) = \Phi_t(a)$  is jointly continuous and  $\Phi_t = \varphi_i$  for  $i = 0, 1$ . We write  $\varphi_1 \simeq \varphi_0$ . The morphism  $\varphi: A \rightarrow B$  is called a *homotopy equivalence* if there exists a morphism  $\psi: B \rightarrow A$  such that  $\varphi \circ \psi \simeq \text{id}_B$  and  $\psi \circ \varphi \simeq \text{id}_A$ . A  $C^*$ -algebra  $C$  is called *contractible* if  $\text{id}_C \simeq 0: C \rightarrow C$ . Recall (cf. [3]) that the  $K$ -functor does not distinguish homotopic morphisms. Thus homotopy equivalences induce isomorphisms and contractible  $C^*$ -algebras have vanishing  $K$ -groups.

**I. Mayer-Vietoris sequence.** Let  $B_1, B_2$  and  $C$  be  $C^*$ -algebras and  $f_i: B_i \rightarrow C$   $C^*$ -morphisms for  $i = 1, 2$ . Suppose  $f_2$  is onto. Consider the pullback

$$\begin{array}{ccc}
 D & \xrightarrow{g_1} & B_1 \\
 g_2 \downarrow & & \downarrow f_1 \\
 B_2 & \xrightarrow{f_2} & C
 \end{array}$$

The  $C^*$ -algebra  $D$  can be written as  $\{(b_1, b_2) \in B_1 \oplus B_2: f_1(b_1) = f_2(b_2)\}$ . Then there is a natural inclusion  $j: D \rightarrow B_1 \oplus B_2$ . The map  $j$  induces group homomorphisms  $j_*: K_*(D) \rightarrow K_*(B_1) \oplus K_*(B_2)$ .

We define group homomorphisms  $v_*: K_*(B_1) \oplus K_*(B_2) \rightarrow K_*(C)$  by  $v_*: (f_1)_* - (f_2)_*$ , where  $(f_i)_*: K_*(B_i) \rightarrow K_*(C)$  is the group homomorphism

induced by  $f_i$  for  $i = 1, 2$ . This means, for  $b_i \in K_*(B_i)$ , that  $v_*(b_1 \oplus b_2) = (f_1)_*(b_1) - (f_2)_*(b_2)$ .

There are two more maps which play an important role in the Mayer-Vietoris sequence. We show the construction of  $\alpha_0: K_0(C) \rightarrow K_1(D)$ ; the map  $\alpha_1: K_1(C) \rightarrow K_0(D)$  is constructed analogously.

Note first that there is a natural isomorphism between  $\ker f_2$  and  $\ker g_1$ . Let  $l: \ker f_2 \rightarrow D$  be the inclusion induced by that isomorphism. Note also that the surjectivity of  $f_2$  implies that  $g_1$  is onto. Thus we get the following commutative diagram with exact rows:

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & \ker f_2 & \xrightarrow{l} & D & \xrightarrow{g_1} & B_1 & \longrightarrow & 0 \\
 & & \parallel & & \downarrow g_2 & & \downarrow f_1 & & \\
 0 & \longrightarrow & \ker f_2 & \xrightarrow{i} & B_2 & \xrightarrow{f_2} & C & \longrightarrow & 0
 \end{array}$$

This diagram induces the following commutative diagram with exact rows:

$$\begin{array}{ccccccccccc}
 (g_1)_* & \longrightarrow & K_0(B_1) & \xrightarrow{\partial_g} & K_1(\ker f_2) & \xrightarrow{L_*} & K_1(D) & \xrightarrow{(g_1)_*} & K_1(B_1) & \xrightarrow{\partial_g} & 0 \\
 & & \downarrow (f_1)_* & & \parallel & & \downarrow (g_2)_* & & \downarrow (f_1)_* & & \\
 (f_2)_* & \longrightarrow & K_0(C) & \xrightarrow{\partial_f} & K_1(\ker f_2) & \xrightarrow{L_*} & K_1(B_2) & \xrightarrow{(f_2)_*} & K_1(C) & \xrightarrow{\partial_f} & 0
 \end{array}$$

Now we define  $\alpha_0: K_0(C) \rightarrow K_1(D)$  by  $\alpha_0 := l_* \circ \partial_f$ .

**THEOREM** (Mayer-Vietoris sequence, cf. [2], [6]). *Let  $B_1, B_2$  and  $C$  be  $C^*$ -algebras,  $f_i: B_i \rightarrow C$  be  $C^*$ -morphisms for  $i = 1, 2$  and let  $D$  be the pullback over  $f_1$  and  $f_2$ . Moreover, assume that  $f_2$  is surjective. Then the following sequence is exact:*

$$\begin{array}{ccccccc}
 K_0(D) & \xrightarrow{j_*} & K_0(B_1) \oplus K_0(B_2) & \xrightarrow{v_*} & K_0(C) & & \\
 \alpha_1 \uparrow & & & & \downarrow \alpha_0 & & \\
 K_1(C) & \xleftarrow{v_*} & K_1(B_1) \oplus K_1(B_2) & \xleftarrow{j_*} & K_1(D) & & 
 \end{array}$$

**Proof.** First we show that  $\text{im } j_* \subset \ker v_*$ . For  $d \in K_*(D)$  we have

$$\begin{aligned}
 v_*(j_*(d)) &= v_*((g_1)_*(d) \oplus (g_2)_*(d)) \\
 &= (f_1)_*((g_1)_*(d)) - (f_2)_*((g_2)_*(d)) = (f_1 \circ g_1)_*(d) - (f_2 \circ g_2)_*(d) = 0.
 \end{aligned}$$

The reverse inclusion is obtained by a diagram chase in the above diagram. Let  $b_1 \in K_*(B_1)$  and  $b_2 \in K_*(B_2)$  be such that  $(f_1)_*(b_1) = (f_2)_*(b_2)$ . Then  $\partial_g(b_1) = \partial_f \circ (f_1)_*(b_1) = \partial_f \circ (f_2)_*(b_2) = 0$  whence there exists  $d \in K_*(D)$  such that  $(g_1)_*(d) = b_1$ . We have  $(f_2)_*(b_2 - (g_2)_*(d)) = 0$  so that there exists  $a \in K_*(\ker f_2)$  with  $i_*(a) = b_2 - (g_2)_*(d)$ . Now we set  $d' = d + l_*(a)$  and obtain

$(g_1)_*(d') = (g_1)_*(d) + 0 = b_1$  and  $(g_2)_*(d') = (g_2)_*(d) + (g_2 \circ l)_*(a) = (g_2)_*(d) + i_*(a) = b_2$ . Thus we have proved that  $\text{im } j_* = \ker v_*$ .

It remains to be shown that the Mayer-Vietoris sequence is exact at the corners. We show that for the right side, the left side is proved analogously. To see that  $\text{im } v_* \subset \ker \alpha_*$  calculate for  $b_i \in K_*(B_i)$  that  $\alpha_*((f_1)_*(b_1) - (f_2)_*(b_2)) = \alpha_*((f_1)_*(b_1)) - \alpha_*((f_2)_*(b_2)) = l_* \circ \partial_g(b_1) - l_* \circ \partial_f \circ (f_2)_*(b_2) = 0$ .

The reverse inclusion again requires a little diagram chase. Suppose, for  $c \in K_*(C)$ , that  $\alpha_*(c) = 0$ . Then  $l_* \circ \partial_f(c) = 0$  and there exists a  $b_1 \in K_*(B_1)$  with  $\partial_g(b_1) = \partial_f(c)$ . Therefore  $\partial_f((f_1)_*(b_1) - c) = \partial_g(b_1) - \partial_f(c) = 0$ . This in turn implies that there exists a  $b_2 \in K_*(B_2)$  with  $(f_2)_*(b_2) = (f_1)_*(b_1) - c$ , thus  $c = v_*(b_1 \oplus b_2)$ .

The inclusion  $\text{im } \alpha_* \subset \ker j_*$  is seen from the following calculation for  $c \in K_*(C)$ . We have  $j_*(\alpha_*(c)) = j_*(l_* \circ \partial_f(c)) = (g_1)_* \circ l_* \circ \partial_f(c) \oplus (g_2)_* \circ l_* \circ \partial_f(c) = 0 \oplus i_* \circ \partial_f(c) = 0$ .

Finally we get the reverse inclusion again by diagram chasing. Note that  $\ker j_* = \ker(g_1)_* \cap \ker(g_2)_*$ . Thus for  $d \in \ker j_*$  there exists an  $a \in K_*(\ker f_2)$  with  $l_*(a) = d$ . We get  $i_*(a) = (g_2)_* \circ l_*(a) = 0$  and hence there exists a  $c \in K_*(C)$  with  $\partial_f(c) = a$ . This implies that  $\alpha_*(c) = l_* \circ \partial_f(c) = l_*(a) = d$ . This concludes the proof. ■

## II. Puppe sequence.

**DEFINITION.** Let  $A$  and  $B$  be  $C^*$ -algebras and  $\varphi: B \rightarrow A$  a  $C^*$ -morphism. Define the *mapping cone*, denoted by  $C_\varphi$ , as follows:

$$C_\varphi = \{(b, f) \in B \oplus P(A) : \varphi(b) = f(0), f(1) = 0\},$$

where  $P(A) := \{f: I \rightarrow A \text{ continuous}\}$  is the algebra of paths in  $A$ .

Given the map  $i: SA \rightarrow C_\varphi$  defined by  $i(f) = (0, f)$  we get a sequence of  $C^*$ -algebras which we call the *Puppe sequence*:

$$SB \xrightarrow{S\varphi} SA \xrightarrow{i} C_\varphi \xrightarrow{v_\varphi} B \xrightarrow{\varphi} A$$

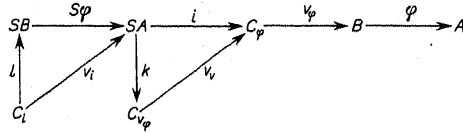
where  $S\varphi(g) := \varphi \circ g$  and  $v_\varphi((b, f)) := b$ .

**THEOREM.** *The Puppe sequence induces the following exact sequence in  $K$ -theory:*

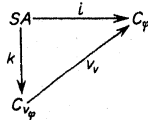
$$\begin{array}{ccccc}
 K_0(C_\varphi) & \longrightarrow & K_0(B) & \longrightarrow & K_0(A) \\
 \uparrow & & & & \downarrow \\
 K_1(A) & \longleftarrow & K_1(B) & \longleftarrow & K_1(C_\varphi)
 \end{array}$$

**Proof.** First we show that we can replace  $K_*(SB)$  and  $K_*(SA)$  by  $K_*(C_i)$  and  $K_*(C_{v_\varphi})$  respectively. In fact, we construct maps  $k: SA \rightarrow C_{v_\varphi}$

and  $l: C_i \rightarrow SB$  that induce isomorphisms in  $K$ -theory and give a diagram of the following kind that is commutative up to homotopy:

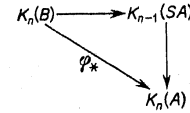


We have  $C_{v_\varphi} = \{(b, f, g) \in B \oplus P(A) \oplus P(B) : \varphi(g(0)) = f(0), f(1) = 0, b = g(0), g(1) = 0\}$  which we can identify with  $\{(f, g) \in P(A) \oplus P(B) : \varphi(g(0)) = f(0), f(1) = 0, g(1) = 0\}$ . There is a map  $k: SA \rightarrow C_{v_\varphi}$  defined by  $k(f) := (f, 0)$ . It is clearly injective. Now consider the cone  $CB := \{g \in P(B) : g(1) = 0\}$  and the map  $\mu: C_{v_\varphi} \rightarrow CB$  defined by  $\mu((f, g)) = g$ . Since  $((1-t)\varphi(g(0)), g) \in C_{v_\varphi}$  for any  $g \in CB$  we see that  $\mu$  is surjective. Clearly  $\ker \mu = k(SA)$ . But the cone  $CB$  is contractible and therefore the six-term sequence associated to  $0 \rightarrow SA \rightarrow C_{v_\varphi} \rightarrow CB \rightarrow 0$  shows that  $k_*: K_*(SA) \rightarrow K_*(C_{v_\varphi})$  is an isomorphism. Moreover, the natural map  $v_\varphi: C_{v_\varphi} \rightarrow C_\varphi$  defined by  $(f, g) \mapsto (g(0), f)$  makes the following triangle commutative:



Now consider the mapping cone  $C_i = \{(f, g, F) \in SA \oplus P(C_\varphi) \subset SA \oplus P(B) \oplus P(P(A)) : i(f) = (g(0), F(\cdot, 0)), (g(1), F(\cdot, 1)) = (0, 0)\}$ . We can identify  $C_i$  with the algebra  $\{(g, F) \in P(B) \oplus P(P(A)) : \varphi \circ g = F(0, \cdot), F(1, \cdot) = F(\cdot, 1) = 0, g(0) = g(1) = 0\}$ , as one easily sees, and consider the map  $l: C_i \rightarrow SB$  given by  $l(g, F) = g$ . For a given  $g \in SB$  set  $F(s, t) := (\varphi \circ g(t))(1-s)$ ; then  $(g, F) \in C_i$  and  $l(g, F) = g$  whence  $l$  is surjective. The kernel of  $l$  is  $\{(g, F) \in P(B) \oplus P(P(A)) : F(0, \cdot) = F(1, \cdot) = F(\cdot, 1) = 0\}$  which is isomorphic to the cone  $C(SA)$ . Thus  $l_*: K_*(C_i) \rightarrow K_*(SB)$  is an isomorphism. The map  $v_i: C_i \rightarrow SA$  is given by  $(g, F) \mapsto F(\cdot, 0)$ . Consider the family of maps  $\Phi_t: C_i \rightarrow SA$  defined by  $\Phi_t(s) := F(s(1-t), st)$ ; then  $\Phi_0 = v_i$ ,  $\Phi_1(s) = F(0, s)$  and  $\Phi_t$  is a homotopy. Clearly  $\Phi_1 = S\varphi \circ l$ .

Now it suffices to prove that any sequence  $C_\varphi \xrightarrow{v_\varphi} B \xrightarrow{\varphi} A$ , where  $C_\varphi$  is the mapping cone of  $\varphi$  and the map  $v_\varphi$  is the projection onto the first factor, induces an exact sequence in  $K$ -theory. But this sequence gives rise to the short exact sequence  $0 \rightarrow SA \rightarrow C_\varphi \rightarrow B \rightarrow 0$  which in turn induces the exact sequence  $K_*(C_\varphi) \rightarrow K_*(B) \rightarrow K_*(A)$ . Since the triangle



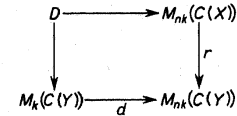
where the vertical map is the suspension isomorphism commutes we deduce that  $K_*(C_\varphi) \rightarrow K_*(B) \rightarrow K_*(A)$  is exact. Thus the following sequence is exact:

$$K_*(SB) \xrightarrow{S\varphi_*} K_*(SA) \rightarrow K_*(C_\varphi) \rightarrow K_*(B) \xrightarrow{\varphi_*} K_*(A)$$

and we remark that  $C_{S\varphi} = S(C_\varphi)$  so that we can close the exact sequence to obtain the diagram in the statement of the theorem. ■

**III. Examples.** The Mayer-Vietoris and the Puppe sequences can be applied to calculate the  $K$ -theory of  $C^*$ -algebras that are represented as section algebras of  $C^*$ -bundles. The following simple examples show how to calculate the  $K$ -groups of a section algebra from the  $K$ -groups of restrictions to smaller spaces.

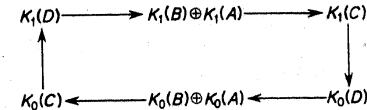
Let  $Y \subset X$  be compact spaces. Define a  $C^*$ -algebra  $D$  as the following pullback:



Here  $r$  simply denotes the restriction to  $Y$  and  $d$  is the map that assigns the block diagonal matrix

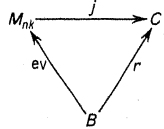
$$\begin{bmatrix} f & & \\ & \ddots & \\ & & f \end{bmatrix}$$

to an  $f \in M_k(C(Y))$ , the  $k \times k$ -matrix algebra over the continuous functions on  $Y$ . For the sake of brevity we define  $B := M_{nk}(C(X))$ ,  $A := M_k(C(Y))$  and  $C := M_{nk}(C(Y))$ . We obtain the Mayer-Vietoris sequence

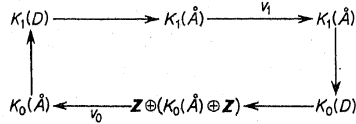


The map  $d_*: K_*(A) \rightarrow K_*(C)$  is, if we identify  $K_*(A)$  with  $K_*(C)$  under  $\varphi_*: K_*(A) \rightarrow K_*(C)$  induced by the inclusion  $\varphi: A \rightarrow C$  which maps  $a \in A$  to the matrix in  $C$  that has  $a$  in the upper left corner and zeros elsewhere, just multiplication by  $n$ . If  $X$  is a contractible space and  $y_0 \in Y$ , the map

ev:  $B \rightarrow M_{nk} = M_{nk}(C)$  given as the evaluation at  $y_0$  is a homotopy equivalence. Thus with the canonical embedding  $j: M_{nk} \rightarrow C$  we get a commutative triangle up to homotopy:



Thus the triangle in  $K$ -theory induced by this one commutes, and since  $ev_*$  is an isomorphism, we can replace  $K_*(B)$  by  $K_*(M_{nk})$  and  $r_*$  by  $j_*$ . If we set  $\hat{A} := \{f \in A : f(y_0) = 0\}$  we get a split exact sequence  $0 \rightarrow \hat{A} \rightarrow A \rightarrow M_{nk} \rightarrow 0$  and hence we get a split exact sequence in  $K$ -theory  $0 \rightarrow K_*(\hat{A}) \rightarrow K_*(A) \rightarrow K_*(M_{nk}) \rightarrow 0$ . Note that  $K_1(M_{nk}) = K_1(M_k) = K_1(C) = 0$  and  $K_0(M_{nk}) = K_0(M_k) = K_0(C) = \mathbb{Z}$ . Hence we get the following exact sequence:



where the maps  $v_1$  and  $v_0$  are given as follows:  $v_1(a) = -na$  for  $a \in K_1(\hat{A})$  and

$$v_0(m \oplus (a \oplus l)) = (0 \oplus m) - n(a \oplus l) = -na \oplus (m - nl)$$

for  $m \oplus (a \oplus l) \in \mathbb{Z} \oplus (K_0(\hat{A}) \oplus \mathbb{Z})$ . If we assume that  $K_1(\hat{A})$  is torsion free, then  $v_1$  is injective and therefore  $K_1(D) \cong K_0(\hat{A}) \oplus \mathbb{Z}/\text{im } v_0$ . But for  $c, d \in K_0(\hat{A})$  and  $m_c, m_d \in \mathbb{Z}$  we have  $c \oplus m_c - d \oplus m_d \in \text{im } v_0$  if and only if there is an  $a \in K_0(\hat{A})$  and  $m, l \in \mathbb{Z}$  such that  $c - d = -na$  and  $m_c - m_d = m - nl$ . The condition on the integers is always satisfied, thus  $K_1(D) \cong K_0(\hat{A})/nK_0(\hat{A}) = K_0(\hat{A}) \otimes \mathbb{Z}/n\mathbb{Z}$  if  $K_0(\hat{A})$  is torsion free, too. Further, we have the exact sequence  $0 \rightarrow K_1(\hat{A})/\text{im } v_1 \rightarrow K_0(D) \rightarrow \ker v_0 \rightarrow 0$ . We assumed  $K_0(\hat{A})$  to be torsion free, so  $\ker v_0 = \{m \oplus (a \oplus l) \in \mathbb{Z} \oplus (K_0(\hat{A}) \oplus \mathbb{Z}) : -na = 0, m = nl\} \cong \mathbb{Z}$ . Thus the sequence splits and since  $\text{im } v_1 = nK_1(\hat{A})$  we have  $K_0(D) \cong (K_1(\hat{A}) \otimes \mathbb{Z}/n\mathbb{Z}) \oplus \mathbb{Z}$ . If we now observe that  $K_*(\hat{A}) \cong K_*(C_0(Y)) = \tilde{K}^*(Y)$  we get the following

EXAMPLE 1. Let  $Y \subset X$  be compact spaces such that  $X$  is contractible and  $\tilde{K}^*(Y)$  torsion free, and let  $D$  be the  $C^*$ -algebra of continuous functions from  $X$  into  $M_{nk}$  such that the values on  $Y$  are block diagonal matrices with identical blocks of size  $k \times k$ . Then  $K_0(D) = (\tilde{K}^0(Y) \otimes \mathbb{Z}/n\mathbb{Z}) \oplus \mathbb{Z}$  and  $K_1(D) = \tilde{K}^0(Y) \otimes \mathbb{Z}/n\mathbb{Z}$ .

Similarly one calculates

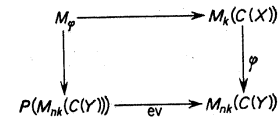
EXAMPLE 2. Let  $Y \subset X$  be compact spaces with  $X$  contractible and  $D$  the algebra of continuous functions  $X \rightarrow M_{nk}$  that map  $Y$  to block diagonal matrices with blocks of size  $k \times k$ . Then  $K_0(D) = (\tilde{K}^0(Y))^{n-1} \oplus \mathbb{Z}^n$  and  $K_1(D) = (\tilde{K}^1(Y))^{n-1}$ .

The assumption that  $X$  be contractible has of course been made to avoid problems in calculation which arise from the fact that we do not know the map  $r_*: K_*(B) \rightarrow K_*(C)$  in general. There are some more cases where we know this map.

EXAMPLE 3. Let  $Y \subset X$  be compact spaces and  $Y$  a deformation retract of  $X$ . Let  $D$  be the  $C^*$ -algebra of continuous functions  $X \rightarrow M_{nk}$  such that the values on  $Y$  are block diagonal matrices with identical blocks of size  $k \times k$ . Then  $K_*(D) \cong K^*(Y)$ . If the condition that the blocks be identical is dropped we have:  $K_*(D) \cong K^*(X) \oplus (K^*(Y))^{n-1}$ .

We have seen that torsion in the  $K$ -groups can cause trouble. In some cases we can get around that using the Puppe sequence.

Let  $X$  and  $Y$  be compact spaces and  $f: Y \rightarrow X$  a continuous map. Consider the mapping cone  $C_f$ . We obtain a map  $f': Y \rightarrow C_f$  which is the composition of  $f$  and the canonical map  $g: X \rightarrow C_f$ . Now consider the  $C^*$ -algebras  $M_k(C(X))$  and  $M_{nk}(C(Y))$ . We get a map  $\varphi: M_k(C(X)) \rightarrow M_{nk}(C(Y))$  by  $\varphi(a) := d(a \circ f)$  [cf. Example 1 for the definition of  $d$ ]. Consider the mapping cylinder  $M_\varphi$  given by the pullback



where  $ev$  is the evaluation at  $t = 0$ . Note that  $P(M_{nk}(C(Y)))$  is canonically isomorphic to  $M_{nk}(C(Y \times I))$  and  $M_k(C(X))$  is canonically isomorphic to the algebra of maps  $X \rightarrow M_{nk}$  whose values are block diagonal matrices with identical blocks of size  $k \times k$ . Thus we see that  $C_\varphi$  is the  $C^*$ -algebra of maps from  $C_f$  into  $M_{nk}$  whose values on  $g(X)$  are block diagonal matrices with identical blocks of size  $k \times k$  and which vanish on  $y_0 \in C_f$ , the vertex of the cone. Now it is easy to get

EXAMPLE 4. Let  $X$  and  $Y$  be compact spaces and  $f: Y \rightarrow X$  a continuous function. Let  $C_f$  be the mapping cone of  $f$  and  $D$  the  $C^*$ -algebra of continuous functions from  $C_f$  into  $M_{nk}$  whose values on the canonical image of  $X$  in  $C_f$  are block diagonal matrices with identical blocks of size  $k \times k$ . Let  $\hat{D}$  be the subalgebra of  $D$  consisting of those maps that vanish on  $y_0 \in C_f$ , the

vertex of the cone. Then  $K_*(D) \cong K_*(\dot{D})$  and we get the following exact sequence:

$$\begin{array}{ccccc} K_1(\dot{D}) & \longrightarrow & K^1(X) & \xrightarrow{nf^1} & K^1(Y) \\ & & & & \downarrow \\ K^0(Y) & \xleftarrow{nf^0} & K^0(X) & \longleftarrow & K_0(\dot{D}) \end{array}$$

Finally, if we drop the condition on the blocks, we get

EXAMPLE 5. Let  $X$  and  $Y$  be compact spaces and  $f: Y \rightarrow X$  a continuous function. Let  $C_f$  be the mapping cone of  $f$  and  $D$  the  $C^*$ -algebra of maps from  $C_f$  into  $M_{nk}$  whose values on the canonical image of  $X$  in  $C_f$  are block diagonal matrices with blocks of size  $k \times k$ . Let  $\dot{D} := \ker \text{ev}$ , where  $\text{ev}$  is the evaluation at the vertex  $y_0 \in C_f$ . Then  $K_1(D) \cong K_1(\dot{D})$  and  $K_0(D) \cong Z \oplus K_0(\dot{D})$ . Moreover, we have the following exact sequence:

$$\begin{array}{ccccc} K_1(\dot{D}) & \longrightarrow & \bigoplus_1 K^1(X) & \xrightarrow{\Sigma f^1} & K^1(Y) \\ & & & & \downarrow \\ K^0(Y) & \xleftarrow{\Sigma f^0} & \bigoplus_1 K^0(X) & \longleftarrow & K_0(\dot{D}) \end{array}$$

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Received August 29, 1983  
 Revised version February 14, 1985

(1916)

$\tau$ -smooth linear functionals on vector lattices  
 of real-valued functions

by

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Abstract. A vector lattice  $E$  of real-valued functions is said to be a strong Daniell lattice if every positive linear functional  $\Phi: E \rightarrow \mathbb{R}$  is  $\tau$ -smooth (i.e.  $\lim \Phi(f_\alpha) = 0$  for every net  $(f_\alpha)$  in  $E$  with  $f_\alpha \downarrow 0$ ). Under some additional assumptions which, in general, cannot be omitted, several characterizations of strong Daniell lattices are given. These results are then applied to the vector lattices  $\mathcal{C}(\mathcal{L}, \mathcal{B})$  and  $\mathcal{C}^b(\mathcal{L}, \mathcal{B})$  of  $\mathcal{L}$ -continuous (and bounded) functions with  $\mathcal{B}$ -bounded support, where  $\mathcal{L}$  denotes a lattice of sets and  $\mathcal{B}$  is an  $\mathcal{L}$ -bounding system.

1. Introduction. This paper is a continuation of [4]. However, whereas in [4] we are concerned with the characterization of Daniell lattices (i.e. vector lattices  $E$  of real-valued functions having the property that every positive linear functional on  $E$  is  $\sigma$ -smooth), we consider in this paper only such vector lattices on which every positive linear functional is  $\tau$ -smooth. Under some additional assumptions which, in general, cannot be omitted, we give several characterizations of these so-called strong Daniell lattices. As application of these general characterization theorems, we can prove, among others, the following results:

- (1) For a completely regular space  $X$  the following statements are equivalent:
  - (a)  $X$  is realcompact.
  - (b) The space of all continuous functions on  $X$  is a strong Daniell lattice.
  - (c) The space of all Baire-measurable functions on  $X$  is a strong Daniell lattice.
- (2) If  $(X, \mathcal{A})$  is a measurable space, then  $X$  is  $\mathcal{A}$ -complete ([1]) iff the space of all  $\mathcal{A}$ -measurable functions on  $X$  is a strong Daniell lattice. In particular, a topological space  $X$  is Borel-complete ([11]) iff the space of all Borel-measurable functions on  $X$  is a strong Daniell lattice.

Some special cases of our results can be found in [10] and [17]. However, the methods of proof are different. Our proceeding seems to be more direct; in contrast to [10] and [17], we do not make use of any compactification.

Throughout this paper  $X$  will denote an arbitrary nonvoid set and  $E \subset \mathbb{R}^X$  a vector lattice (with respect to pointwise operations).  $1_Q$  denotes the indicator function of a subset  $Q$  of  $X$ . For  $f \in E$  we put  $\|f\|$