

On the inversion of pseudo-differential operators

by

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Abstract. Let A be a properly supported pseudo-differential operator on a manifold X . An important problem is to know when A has a pseudo-differential inverse. If this inverse exists, one deduces immediately that A is elliptic and injective in $C_0^\infty(X)$. When X is a compact manifold, these conditions are nearly sufficient. In fact: Let A be a self-adjoint elliptic pseudo-differential operator on a compact manifold X . Suppose that A is injective in $C^\infty(X)$. Then A has a two-sided self-adjoint pseudo-differential inverse (see [1]).

Here we consider the case of a non-compact manifold. We have a function σ with certain properties, and we ask for the existence of properly supported pseudo-differential operators, A and B , such that $A \circ B$ is the identity operator I and σ is a principal symbol of A . We state the main result in 2. The rest of the paper is devoted to prove it.

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1. Notation and basic definitions. Let X be a C^∞ paracompact manifold of dimension N . If ξ is an element in the cotangent bundle $T^*(X)$, the length $|\xi|$ of ξ can be defined in terms of a riemannian metric on X . We shall also assume that there is given a volume element on X , which in any local coordinate system can be expressed as $fdx_1 \dots dx_n$, with $f \in C^\infty$ and $f > 0$.

A function $a = a(x, \xi) \in C^\infty(T^*(X))$, is in the class $S_{\rho, \delta}^m$, or is a symbol of order $m \in \mathbf{R}$ and type ρ, δ , $0 \leq 1 - \rho \leq \delta < \rho \leq 1$ if, in local coordinates, it satisfies

$$\left| \left(\frac{\partial}{\partial x} \right)^\alpha \left(\frac{\partial}{\partial \xi} \right)^\beta a(x, \xi) \right| \leq C_{\alpha, \beta} (1 + |\xi|)^{m - \rho|\beta| + \delta|\alpha|}$$

for all α, β .

This class is well defined on $T^*(X)$.

A linear and continuous operator $A: C_0^\infty(X) \rightarrow C^\infty(X)$ belongs to the class $I_{\rho, \delta}^m$, or is a properly supported pseudo-differential operator of order m and type ρ, δ if for a given local coordinate system defined in an open set U there exists $a(x, \xi) \in S_{\rho, \delta}^m$ such that for f with support in U and $x \in U$

$$Af(x) = \int e^{i\langle x, \xi \rangle} a(x, \xi) \hat{f}(\xi) d\xi$$

and the distribution kernel K_A of A vanishes outside a neighborhood of the diagonal. Therefore, we can write

$$Af(x) = \lim_{\epsilon \rightarrow 0} \int e^{i(x-y) \cdot \xi} a(x, y, \xi) \eta(\epsilon \xi) f(y) dy,$$

where $a(x, y, \xi) \in S_{0, \delta}^m$, $a(x, y, \xi) = 0$ for $|x - y| > M$ and $\eta \in C_0^\infty$ and equals 1 for $|\xi| \leq 1$.

$a(x, y, \xi)$ is called an *amplitude*. The class of $a(x, \xi)$ modulo $S_{0, \delta}^{m+(\delta-\epsilon)}$ is well defined on the cotangent bundle $T^*(X)$. It will be called the *principal symbol* of A , $\sigma_p(A)$. If a, b belong to $\sigma_p(A)$, we shall write $a \sim b$. A function on $T^*(X)$ which belongs to $\sigma_p(A)$ will also be called a *principal symbol* of A . We shall say that an operator A in $I_{0, \delta}^m$ is *elliptic* if it has a principal symbol a such that $|a(x, \xi)| \geq C |\xi|^m$, for $|\xi| \geq R$ and $(x, \xi) \in T^*(X)$. The operator A belongs to $I^{-\infty}$ if it is in $I_{0, \delta}^m$, for all $m \in \mathbf{R}$. For the properties of pseudo-differential operators that we shall use, see [1].

2. The main result.

THEOREM 1. Let $\sigma = \sigma(x, \xi)$ be a real function $\in C^\infty(T^*(X))$, positively homogeneous of degree $m \in \mathbf{R}$ in ξ for $|\xi| \geq 1$ and such that $\sigma(x, \xi) > 0$ for all $(x, \xi) \in T^*(X)$. Then

(i) If $m = 0$, there exist operators $A, B \in I_{1,0}^0$ with

$$A \circ B = I, \quad \sigma_p(A) \sim \sigma.$$

(ii) If $m \neq 0$, there exist operators A, B satisfying

$$A \in I_{1, \delta}^m, B \in I_{1, \delta}^{-m}, \quad \text{for all } 0 < \delta < 1,$$

$$A \circ B = I, \quad \sigma_p(A) \sim \sigma.$$

3. The local version of the main result. We shall first show the existence of an adequate covering of the manifold X .

LEMMA 1. There exists a covering $\{U_n\}_{n \geq 1}^{a \leq k}$ of X with relatively compact open sets such that, for each fixed a , $U_n \cap U_h = \emptyset$ if $n \neq h$.

Proof. When X is a Euclidean space, there exists a family of cubes $\{Q_n^a\}$ satisfying those conditions.

Then, let us consider the general case. The Whitney immersion theorem asserts that there exists a differentiable mapping $f: X \rightarrow \mathbf{R}^{2N+1}$ such that X is homeomorphic to $f(X)$, with the topology induced by \mathbf{R}^{2N+1} and $f(X)$ is closed in \mathbf{R}^{2N+1} (see [2]).

If $\{Q_n^a\}$ is the covering of \mathbf{R}^{2N+1} with cubes as above, the sets $U_n^a = f^{-1}[f(X) \cap Q_n^a]$ yield the desired covering of X .

Let $\{\varphi_n^a\}$ now be a partition of unity subordinated to the covering $\{U_n^a\}$. Consider, for each a, n ,

$$\sigma_n^a = e^{\varphi_n^a \log \sigma} = \sigma^{\varphi_n^a}.$$

It is easy to see that:

- (i) If $m = 0$, $\sigma_n^a \in S_{1,0}^0$.
- (ii) If $m > 0$, $\sigma_n^a \in S_{1, \delta}^m$ for all $0 < \delta < 1$.
- (iii) If $m < 0$, $\sigma_n^a \in S_{1, \delta}^m$ for all $0 < \delta < 1$.

In all three cases, $\sigma_n^a(x, \xi) = 1$, when $x \in X \setminus K_n^a$, for a compact set $K_n^a \subset U_n^a$.

We shall suppose, without loss of generality, that m is non-negative. In this case, $1/\sigma_n^a \in S_{1,0}^0$ when $m = 0$, and $1/\sigma_n^a \in S_{1, \delta}^0$ for all $0 < \delta < 1$ when $m > 0$.

We are now in position to give the localized version of Theorem 1:

THEOREM 2. There are operators $A = A_n^a$, $B = B_n^a$ such that

(i) If $m = 0$, then $A, B \in I_{1,0}^0$, and

$$A \circ B = I, \quad \sigma_p(A) \sim \sigma_n^a,$$

$A = I + \tilde{A}$, $B = I + \tilde{B}$, with $\tilde{A}, \tilde{B} \in I_{1,0}^0$, and the corresponding distribution kernels have compact support contained in $U_n^a \times U_n^a$.

(ii) If $m > 0$, then $A \in I_{1, \delta}^m$, $B \in I_{1, \delta}^0$ for all $0 < \delta < 1$.

Furthermore, these operators satisfy the conditions in (i), except that now $\tilde{A} \in I_{1, \delta}^m$ and $\tilde{B} \in I_{1, \delta}^0$, for all $0 < \delta < 1$, instead of $I_{1,0}^0$.

We shall prove this theorem in 5. If we accept it for a moment, we derive from it Theorem 1 in the following way:

For $1 \leq a \leq k$ fixed, the compositions

$$A^a = \dots \circ A_n^a \circ \dots \circ A_2^a \circ A_1^a,$$

$$B^a = B_1^a \circ B_2^a \circ \dots \circ B_n^a \circ \dots$$

have a meaning as operators on $C_0^\infty(X)$ and they define properly supported pseudo-differential operators. In fact, given a compact subset K of X and f with support in K , since the U_n^a are disjoint, there exists $N(K)$ such that

$$A_n^a \circ \dots \circ A_1^a(f) = A_N^a \circ \dots \circ A_1^a(f) \quad \text{for } n \geq N(K).$$

Furthermore, there exists also $N_1(K)$ such that

$$B_n^a(f) = f \quad \text{for } n \geq N_1(K).$$

Then, the operators

$$A = A^k \circ \dots \circ A^1 \quad B = B^1 \circ \dots \circ B^k$$

satisfy Theorem 1.

Remark. In general, given two operators $A \in I_{0, \delta}^{m_1}$, $B \in I_{0, \delta}^{m_2}$, $C = A \circ B \in I_{0, \delta}^{m_1+m_2}$. But in certain cases the order of C can be less than $m_1 + m_2$ as happens, for example, in this case.

4. Preliminary results.

LEMMA 2. Let U be a relatively compact open subset of X and let $\sigma = \sigma(x, \xi) \in S_{0,\delta}^m$, $m \geq 0$, a real symbol such that, for $0 < c_1 < c_2$ and a compact set $K \subset U$,

$$\begin{aligned} \sigma(x, \xi) &> c_1 \quad \text{for all } (x, \xi) \in T^*(X), \\ \sigma(x, \xi) &= c_2 \quad \text{if } x \in X \setminus K, \\ \sqrt{\sigma - c_1} &\in S_{0,\delta}^{m/2}. \end{aligned}$$

Then there exists a self-adjoint operator $A \in I_{0,\delta}^m$ such that

$$\sigma_p(A) \sim \sigma, \quad A \text{ is injective in } C_0^\infty(X),$$

$A = c_2 I + C$, $C \in I_{0,\delta}^m$ and the support of the distribution kernel K_C of C is a compact subset of $U \times U$.

Proof. It suffices to define

$$A = c_1 I + (\tilde{A} + \sqrt{c_2 - c_1} I)^* \circ (\tilde{A} + \sqrt{c_2 - c_1} I)$$

where the principal symbol of \tilde{A} coincides with $\sqrt{\sigma - c_1} - \sqrt{c_2 - c_1}$ and its kernel $K_{\tilde{A}}$ vanishes outside an adequate neighborhood of the diagonal in $X \times X$.

LEMMA 3. Let U be a relatively compact open subset of X and $\sigma = \sigma(x, \xi) \in S_{0,\delta}^0$ a real symbol such that

$$\sigma(x, \xi) > 0 \quad \text{for all } (x, \xi) \in T^*(X), \quad \sqrt{\sigma} \in S_{0,\delta}^0,$$

$1/\sigma \in S_{0,\delta}^{m'}$, for $m' \geq 0$ and satisfies the hypothesis of Lemma 2.

Then there exists a self-adjoint operator $B \in I_{0,\delta}^0$ with

$$\sigma_p(B) \sim \sigma, \quad B \text{ is injective in } C_0^\infty(X),$$

$B = cI + C$, for some $c > 0$ and $C \in I_{0,\delta}^0$, such that the support of the distribution kernel K_C is a compact subset of $U \times U$.

Proof. If $\sigma(x, \xi) = c$, $c > 0$, in the complement of a compact subset K of U , there exists $\tilde{B} \in I_{0,\delta}^0$ such that the principal symbol of \tilde{B} coincides with $\sqrt{\sigma} - \sqrt{c}$ and its kernel $K_{\tilde{B}}$ vanishes outside a neighborhood of the diagonal in $X \times X$. Then the operator $B_1 = (\tilde{B} + \sqrt{c}I)^* \circ (\tilde{B} + \sqrt{c}I)$ satisfies all conditions except, possibly, the injectivity. We shall modify B_1 , in order to obtain also this condition.

There is an operator $A \in I_{0,\delta}^{m'}$ satisfying Lemma 2 with respect to $1/\sigma$. Since $\sigma_p(A \circ B_1) \sim 1$, we can write $A \circ B_1 = I + D$, where D belongs to $I_{0,\delta}^{-(e-\delta)}$ and the distribution kernel K_D has compact support in $U \times U$.

Now, D is a compact operator in $L^2(X)$ and so the null space of $I + D$ is finite dimensional. Furthermore, it consists of C_0^∞ functions (see

[1], p. 36). Then, the null space M of B_1 as a bounded operator in $L^2(X)$ is also finite dimensional and consists of functions in $C_0^\infty(U)$.

Let $\{f_1, \dots, f_h\}$ be an orthonormal basis for M and let

$$Bf = B_1f + \sum_{j=1}^h (f, f_j)_{L^2} f_j, \quad f \in L^2(X).$$

The operator $\sum_{j=1}^h (f, f_j)_{L^2} f_j$, is the orthogonal projection on M . Since the f_j are in $C_0^\infty(U)$, it is an integral operator with C_0^∞ kernel, of compact support contained in $U \times U$. Thus, B satisfies the same conditions as B_1 . Furthermore, B is injective in $L^2(X)$. For if $Bf = 0$, since B_1 is self-adjoint, we have

$$0 = (B_1f, f_k)_{L^2} = - \sum_{j=1}^h (f, f_j)_{L^2} (f_j, f_k)_{L^2} = -(f, f_k)_{L^2}.$$

Then, $B_1f = Bf = 0$. Therefore, f is in M and since f is orthogonal to M , we conclude that $f = 0$.

It will be necessary to know, in Theorem 2, whether the L^2 -inverse of a certain pseudo-differential operator is also pseudo-differential (see [1]):

THEOREM 3. Let $C \in I_{0,\delta}^m$, $m < 0$, and suppose that the distribution kernel K_C of C has compact support $\subset K \times K$. Suppose further that $I + C$ is injective in $C_0^\infty(X)$. Then $I + C$ has a two-sided inverse of the form $I + C'$, $C' \in I_{0,\delta}^m$.

To prove this, we need the following

LEMMA 4. Let $\Phi: X \rightarrow L^2(X)$ be a strong measurable mapping with compact support $K_1 \subset X$. Then there exists a measurable function $\varphi: X \times X \rightarrow \mathbb{C}$, \mathbb{C} the complex field, such that

$$\Phi(x)(y) = \varphi(x, y) \quad \text{a.e. in } X.$$

Proof of Lemma 4. For each $x \in X$, $\Phi(x)$ is a class of square integrable functions such that any two of them coincide almost everywhere. We want to show that it is possible to select an element h_x in each class such that $\varphi(x, y) = h_x(y)$ is a measurable function on $X \times X$. The strong measurability of Φ , implies that there exists a sequence $\{\Phi_n\}_{n \geq 1}$ such that

- (i) $\Phi_n(x, y) = \sum_{j=1}^{H(n)} h_j^{(n)}(y) \chi_j^{(n)}(x)$, where $h_j^{(n)} \in L^2(X)$, and for each n , $\chi_j^{(n)}$ are the characteristic functions of measurable disjoint subsets of X .
- (ii) $\lim_{n \rightarrow \infty} \int |\Phi_n(x, y) - \Phi(x)(y)|^2 dy = 0$ a.e. in X .

Let $C_n = \{x \in X \mid \|\Phi_n(x, \cdot)\|_{L^2} \leq 2\|\Phi(x)\|_{L^2} \leq 2n\}$. Writing $\Phi_n =$

$= \Phi_n(x, y) \cdot \chi_{C_n}(y)$, χ_{C_n} the characteristic function of C_n , we have

$$\|\tilde{\Phi}_n(x, \cdot)\|_{L^2} \leq 2\|\Phi(x)\|_{L^2}, \quad x \in X, n \geq 1.$$

Let $K_1^{(m)} = \{x \in K_1 \mid \|\Phi(x)\|_{L^2} \leq m\}$, $m \geq 1$. According to the dominated convergence theorem we have

$$\lim_{n, k \rightarrow \infty} \int_{K_1^{(m)} \times X} |\tilde{\Phi}_n(x, y) - \tilde{\Phi}_k(x, y)|^2 dx dy = 0.$$

Since $K_1^{(m)} \subset K_1^{(m+1)}$, we can extract a subsequence $\{\tilde{\Phi}_{j_i}(x, y)\}$ converging a.e. in $K_1 \times X$; its limit is the desired function $\varphi(x, y)$.

Proof of Theorem 3. First, observe that $I+C$ is elliptic and is a bounded operator in $L^2(X)$. If f is in the null space of $I+C$, then $f \in C^\infty(X)$ and f has support in K , since $f = -Cf$ and Cf has support in K . Thus, $f \in C_0^\infty(X)$ and our hypotheses imply that $f = 0$. Therefore, $I+C$ is injective in $L^2(X)$ and since C is a compact operator in $L^2(X)$, it follows that $I+C$ has a two-sided inverse B_1 as an operator in $L^2(X)$. Since

$$B_1 \circ (I+C)f = f, \quad (I+C) \circ B_1 f = f, \quad f \in L^2(X),$$

given the assumed properties of C , it follows that $B_1 f = f$ if $\text{supp}(f)$ is disjoint from K , and $B_1 f = f$ outside K_1 for all f . Since $I+C$ is elliptic, it has a two-sided inverse B , modulo $I^{-\infty}$. This inverse has the form $B = I+C'$, with $C' \in I_{e, \delta}^m$. Now, B can actually be taken so that if K_1 is a compact set containing K in its interior, then $Bf = f$ for $\text{supp}(f)$ disjoint from K_1 and $Bf = f$ outside K_1 , for all f . For, let φ vanish outside K_1 and let $\varphi = 1$ on K . Then $I+\varphi C'\varphi$ has the desired property. (See [1], p. 37.) Furthermore, it is also a two-sided inverse of $I+C$ modulo $I^{-\infty}$.

Now, let

$$B \circ (I+C) = I+R_1, \quad (I+C) \circ B = I+R_2,$$

then R_1 and R_2 belong to $I^{-\infty}$. Setting $S = B - B_1$, we will show that $S \in I^{-\infty}$ which will imply that $B_1 \in I_{e, \delta}^3$ and our assertion will be established. Now, from the preceding identities and the fact that

$$B_1 \circ (I+C) = (I+C) \circ B_1 = I,$$

we obtain

$$S \circ (I+C) = R_1, \quad (I+C) \circ S = R_2$$

and multiplying on the right and on the left by B , we obtain, respectively,

$$S + S \circ R_2 = R_1 \circ B, \quad S + R_1 \circ S = B \circ R_2,$$

and multiplying the first equation on the left by R_1 and subtracting

from the second we obtain

$$S = R_1 \circ S \circ R_2 - R_1^2 \circ B + B \circ R_2.$$

Since $Bf = f$ and $B_1 f = f$ if $\text{supp}(f)$ is disjoint from K_1 , then $Sf = 0$ if $\text{supp}(f)$ is disjoint from K_1 . Furthermore, since $Bf = f$ and $B_1 f = f$ outside K_1 , it follows that $\text{supp}(Sf) \subset K_1$.

Now, suppose we show that $R_1 \circ S \circ R_2$ is an integral operator with square integrable kernel. Then, since $R_1^2 \circ B$ and $B \circ R_2$ are in $I^{-\infty}$, they are also integral operators with square integrable kernels, and S itself will be an integral operator with a square integrable kernel. Thus, on account of the above properties of S , this kernel will have support in $K_1 \times K_1$. But then, as is readily seen, $R_1 \circ S \circ R_2$ is an integral operator with a compactly supported $C^\infty(X \times X)$ kernel and therefore $R_1 \circ S \circ R_2 \in I^{-\infty}$. Thus, we will have that $S \in I^{-\infty}$, and our theorem will be established.

Let $x \in X$. The linear mapping

$$L^2(X) \rightarrow C,$$

$$f \rightarrow R_1 \circ S \circ R_2 f(x)$$

is continuous and, therefore, there exists $h_x \in L^2(X)$ such that

$$R_1 \circ S \circ R_2 f(x) = (f, h_x)_{L^2}.$$

If we show that the mapping

$$K_1 \xrightarrow{\varphi} L^2(X),$$

$$x \rightarrow h_x$$

is strongly measurable, on account of Lemma 4, we will deduce that there exists φ such that $\varphi(x, y) = \Phi(x)(y)$ a.e. in X .

It is sufficient to prove that Φ is weakly measurable. This is clear, for, given $f \in L^2(X)$, the function

$$K_1 \rightarrow C,$$

$$x \rightarrow R_1 \circ S \circ R_2 f(x) = \int_X h_x(y) f(y) dy$$

is continuous.

Furthermore, φ is a square integrable function. For, the mapping

$$L^2(X) \rightarrow C^0(K_1),$$

$$f \rightarrow R_1 \circ S \circ R_2 f$$

is continuous, where $C^0(K_1)$ indicates the class of continuous functions $f: X \rightarrow C$ with compact support in K_1 , with the topology of uniform

convergence. Thus, there exists $M > 0$ such that

$$\|f\|_{L^2} \leq 1 \quad \text{implies} \quad \sup_{x \in K_1} \left| \int_X \varphi(x, y) f(y) dy \right| \leq 1/M.$$

For a fixed $x \in X$,

$$\begin{aligned} \left(\int_X |\varphi(x, y)|^2 dy \right)^{1/2} &= \sup_{\|f\|_{L^2} \leq 1} |R_1 \circ S \circ R_2 f(x)| \\ &= \sup_{\|f\|_{L^2} \leq 1} \left| \int_X \varphi(x, y) f(y) dy \right| \leq 1/M. \end{aligned}$$

Therefore

$$\int_{X \times X} |\varphi(x, y)|^2 dy dx \leq 1/M^2 \text{ meas}(K_1).$$

5. Proof of Theorem 2. In order to simplify the notation, U will be a fixed open set of the covering $\{U_n^a\}$ and φ will be the corresponding function in the subordinate partition of unity. Let also $\sigma_1 = \sigma^p$.

It is clear that σ_1 satisfies the assumptions of Lemma 2, with $c_2 = c = 1$, and $\delta = 0$ if $m = 0$ or $0 < \delta < 1$ if $m > 0$.

On the other hand, $1/\sigma_1$ satisfies the assumptions of Lemma 3 with $c = 1$, $m' = m$ and $\delta = 0$ if $m = 0$ or $0 < \delta < 1$ if $m > 0$. Thus, we can obtain operators A and B_1 as in Lemmas 2 and 3, respectively. Therefore $A \circ B_1$ has the properties of Theorem 3 and then its L^2 -inverse \tilde{B} is a pseudo-differential operator.

Therefore the operators A and $B_1 \circ \tilde{B}$ verify Theorem 2 with respect to U .

Remark. When $m = 0$, both symbols σ_1 and $1/\sigma_1$ satisfy the assumptions of Lemma 2.

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Extensions of a Fourier multiplier theorem of Paley, II*

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Abstract. Let $A(U^N)$ be the algebra of functions that are analytic in the interior of the unit polydisc U^N and continuous on the closure of U^N . Denote the positive cone in the integer lattice Z^N by Z_+^N ; then, for each function f in $A(U^N)$, denote the Taylor coefficients of f by $\{\hat{f}(a)\}_{a \in Z_+^N}$. Call a function p on Z_+^N a *Paley multiplier* if

$\sum_{a \in Z_+^N} |p(a) \hat{f}(a)| < \infty$ for all f in $A(U^N)$. Call a region W in Z_+^N a *proper cone* if the ratios $\sum_{a \in W} (\min_n a_n) / |a|$, remain bounded away from 0 as a runs through W . Every element of $\ell^2(Z_+^N)$ is a Paley multiplier; it is shown in this paper that, if p is a Paley multiplier, then $\sum_{a \in W} |p(a)|^2 < \infty$ for every proper cone W . This is a considerable improvement on previous results, but it remains unknown, when $1 < N < \infty$, whether every Paley multiplier belong to $\ell^2(Z_+^N)$.

The proof is based on a simple construction that also yields partial solutions to some problems about homogenous expansions of functions in $A(U^N)$. Other applications of the constructions are also discussed.

1. Introduction. We use the notation and terminology of Rudin's book [29], except that we denote the Taylor coefficients of a function f in $A(U^N)$ by $\hat{f}(a)$ rather than $c(a)$. Such a function is completely determined by its restriction to the distinguished boundary T^N of U^N , and its Taylor coefficients are just the Fourier coefficients of its restriction to T^N .

Paley's theorem [26] is that, when $N = 1$, every Paley multiplier belongs to $\ell^2(Z^+)$. Helson [15] found a second proof of Paley's theorem, and generalized it to several variables in the following way. Choose a half-space S in Z^N , and let A be the set of continuous functions on T^N whose Fourier coefficients vanish off S ; then a function p , on the set S , has the property that $\sum_{a \in S} |p(a) \hat{f}(a)| < \infty$, for all f in A , if and only if $p \in \ell^2(S)$. Rudin ([28], p. 222) extended this result to the context of compact abelian groups with totally-ordered dual groups.

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