

Beweis. Seien  $X$  der Banachraum  $\{(x_n)_{n \in \mathbb{N}}: x_n \in X_n, \sup \|x_n\| < \infty\}$  und  $S_m \in B(X)$  mit  $S_m(x_n)_n = (\delta_{mn} T_n x_n)_n$ . Die  $S_m$  sind kompakt und hermitesch mit  $S_m^2 \notin H_m$  und wegen 1.3.(a) kann  $\|T_m\| = \|S_m\| = 2^{-m}$  angenommen werden. Weiter kann für die Elemente  $a_n$  aus 3.2  $\|a_n\| = 1$  angenommen werden. Dann gilt mit 1.3.(b), (c):  $T = \sum_{m=1}^{\infty} S_m$  ist kompakt und hermitesch. Aber:

$$\begin{aligned} & \sup \{ \|\exp(i\eta T^2)(a_n)_n\| : \eta \in \mathbf{R} \} \\ &= \sup \{ \|\exp(i\eta T_n^2) a_n\| : \eta \in \mathbf{R}, n \in \mathbb{N} \} > \sup \{ n \|a_n\| : n \in \mathbb{N} \} = \infty, \end{aligned}$$

d.h.,  $T^2 \notin H_{\infty}$ .

Literaturverzeichnis

[1] F. Bonsall, J. Duncan, *Complete normed algebras*, Springer, Berlin-Heidelberg-New York 1973.  
 [2] H. Ehmke, *Hermiteisch-äquivalente Elemente in einer kommutativen Banachalgebra* (Dissertation), Darmstadt 1975.  
 [3] G. Lumer, *Spectral operators, hermitian operators and bounded groups*, Acta Sci. Math. (Szeged) 25 (1964), pp. 75-85.  
 [4] S. Sakai, *C\*-algebras and W\*-algebras*, Springer, Berlin-Heidelberg-New York 1971.

FACHBEREICH MATHEMATIK  
 DER TECHNISCHEN HOCHSCHULE DARMSTADT

Received February 24, 1976

(1124)

Duality of linear operators in locally convex spaces

by

GERHARD GARSKE (Hagen)

**Abstract.** Let  $T: E \rightarrow F$  be a linear non-continuous operator between two locally convex spaces. Facts valid for continuous operators are applicable to  $T$  by either strengthening the topology of  $E$  or weakening the topology of  $F$ . The same point of view for the dual operator leads to a diagram which topological properties are examined for various topologies of the dual spaces.

**1.** Let  $T: E \rightarrow F$  be a closable linear operator between two locally convex spaces with domain  $D(T)$  dense in  $E$ . In order to apply methods available for continuous operators to the treatment of  $T$ , there are two obvious possibilities: either to strengthen the topology of  $D(T)$  by the graph topology or to weaken the topology of  $F$  by the finest locally convex topology that makes  $T$  continuous. Let  $E_T$  and  $F^T$  be these new spaces. They are isomorphic to  $\mathcal{G}(T)$ , the graph of  $T$ , and to  $(D(T) \times F) / \mathcal{G}(-T)$ , respectively. Let  $i_T$  and  $i^T$  be the corresponding continuous injections:

$$(1) \quad E_T \xrightarrow{i_T} E \xrightarrow{T} F \xrightarrow{i^T} F^T.$$

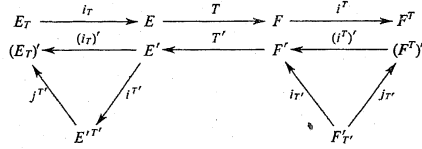
For a locally convex space  $X$ , let  $\mathcal{U}_X$  always denote a neighborhood base of 0. Then  $\{i_T^{-1}[U \cap T^{-1}(V)] : U \in \mathcal{U}_E, V \in \mathcal{U}_F\}$  is a neighborhood base of 0 for  $E_T$  and  $\{i^T[T(U) + V] : U \in \mathcal{U}_E, V \in \mathcal{U}_F\}$  and  $\{i^T[\Gamma[T(U) \cup V]] : U \in \mathcal{U}_E, V \in \mathcal{U}_F\}$  are neighborhood bases of 0 for  $F^T$ . Here  $\Gamma M$  is the absolutely convex hull of the set  $M$ . For a linear operator  $S$  we always write  $N(S)$  for its kernel and  $R(S)$  for its range.

Browder [1] implicitly uses the space  $E_T$  to examine closable operators.

Köthe [6] characterises openness and nearly-openness by relations of the equicontinuous sets of the dual spaces and in doing so implicitly uses the space  $F^T$ .

In Section 2 we consider questions arising from the dual line of (1). The results are applicable to the examination of state diagrams like those of Loustaunau [7] as well as to the examination of relatively continuous operators (Chilana [2], Förster [3]). This will be carried out in Sections 3 and 4.

**2.** If in (1) we pass to the duals and use for  $T'$  the notations just introduced for  $T$ , we get the diagram



(2)

$j_T$ , is the bijective mapping arising canonically from the algebraic isomorphism of the spaces  $F_T, G(T'), G(-T), ((D(T) \times F)/G(-T))$ , and  $(F^T)'$ . The mapping  $j^T$  is defined by  $j^T = (i_T)' \circ (i^T)^{-1}$  and in general it is only injective because  $(i_T)'$  is not surjective.

We are examining the topological properties of  $j_T$  and  $j^T$ : The dual spaces are equipped with polar topologies and we consider the cases in which  $E', F', (E_T)'$ , and  $(F^T)'$  have the weak topologies  $\sigma$ , the Mackey topologies  $\tau$ , the polar topologies of the precompact sets  $\pi$ , and the strong topologies  $\beta$ . In the sequel we always write  $\xi$  for one of these four types of topology. Correspondingly, a  $\xi$ -set is a finite, a weakly compact and absolutely convex, a precompact, or a bounded set, respectively.

It is obvious that we cannot expect openness of  $j_T$  if we take the topology for  $E'$  from  $E$  and not from  $D(T)$ : Let  $D$  be a dense subspace of  $E, F = D$ , and let  $T$  be the identity. Then  $F^T = E$  and  $E' = F'$ . For  $\xi = \sigma$  or  $\xi = \tau, \xi(E', E)$  is strictly finer than  $\xi(E', D)$ , supposed that  $D \neq E. F_T$  has  $\xi(E', E), (F^T)'$  has  $\xi(E', D)$ . So  $j_T$  is not open.

Counterexamples for  $\pi$  and  $\beta$  are available by specializing  $E$ . On the other hand, if  $j_T$  is continuous if  $E'$  has  $\xi(E', D(T))$ , it is continuous, too, if  $E'$  has  $\xi(E', E)$ , because  $F_T$  has a stronger topology in this case.

To prove that  $j_T$  is open we have to show: for  $\xi$ -sets  $K \subseteq D(T), M \subseteq F$  there exists a  $\xi$ -set  $N \subseteq F^T$  such that

$$(3) \quad N^0 \subseteq j_T [i_T^{-1} \circ T'^{-1}(K^0) \cap i_T^{-1}(M^0)].$$

For arbitrary operators  $S: G \rightarrow H$  between locally convex spaces and arbitrary sets  $Q \subseteq D(S)$  we have

$$(4) \quad S^{-1}(Q^0) = [S(Q)]^0 \cap D(S'),$$

$$(5) \quad Q^0 \cap R(S') = S'([S(Q)]^0).$$

Since  $(F^T)' = D([i^T \circ T]')$ , we therefore have for  $K \subseteq D(T)$

$$\begin{aligned}
 j_T [i_T^{-1} \circ T'^{-1}(K^0) \cap i_T^{-1}(M^0)] &= (i^T)^{-1} [T'^{-1}(K^0) \cap M^0] = (i^T \circ T)^{-1}(K^0) \cap (i^T)^{-1}(M^0) \\
 &= [i^T \circ T(K)]^0 \cap [i^T(M)]^0 = (i^T [T(K) \cup M])^0.
 \end{aligned}$$

Hence (3) is equivalent to

$$(7) \quad N^0 \subseteq (i^T [T(K) \cup M])^0.$$

In view of (5), to prove the continuity of  $j_T$ , it is sufficient to show that for any  $\xi$ -set  $N \subseteq F^T$  there exist  $\xi$ -sets  $K \subseteq D(T)$  and  $M \subseteq F$  such that

$$(8) \quad (i^T [T(K) \cup M])^0 \subseteq N^0 \quad \text{or} \quad (i^T [T(K) + M])^0 \subseteq N^0.$$

**THEOREM 1.** For the types  $\sigma, \tau, \pi$ , and  $\beta$  let  $E', F'$ , and  $(F^T)'$  simultaneously have the same type of topology from  $D(T), E$ , and  $F$ , respectively. Then  $j_T$  is open.

**Proof.** For  $\xi \neq \tau$  set  $N = i^T [T(K) \cup M]$  and for  $\xi = \tau$  set  $N = \Gamma(i^T [T(K) \cup M])$ ; then (7) is valid. It follows from the continuity of  $i^T \circ T$  and  $i^T$  that  $N$  is a  $\xi$ -set in both cases.

If the topology for  $E'$  is to be taken from  $E$  and not from  $D(T)$ , we have to strengthen the topology of  $(F^T)'$ :

**THEOREM 2.** For the types  $\sigma, \tau, \pi$ , and  $\beta$  let  $E', F'$ , and  $(F^T)'$  simultaneously have the same type of topology from  $E, F$ , and  $(E \times F)/G(-T)$ , respectively. Then  $j_T$  is open.

**Proof.**  $F^T$  is isomorphic to  $(D(T) \times F)/G(-T)$ ; let  $\tilde{i}^T$  be the mapping that maps  $F^T$  isomorphically into  $\tilde{F}^T = (E \times F)/G(-T)$ . Then we extend  $\tilde{i}^T \circ i^T \circ T$  continuously to a mapping  $\tilde{T}$  defined on all of  $E$ : since  $\tilde{i}^T \circ i^T \circ T$  maps  $x \in D(T)$  on the coset  $[(0, Tx)] = [(x, 0)]$ , set  $\tilde{T}x = [(x, 0)]$  for  $x \in E$ . Since  $\tilde{i}^T(F^T)$  is dense in  $\tilde{F}^T, (\tilde{F}^T)'$  is isomorphic to  $(F^T)'$  and we show that  $(\tilde{i}^T)^{-1} \circ j_T$  is an open mapping from  $F_T$  to  $(\tilde{F}^T)'$ : As for (7), we see that it is sufficient to show that for  $\xi$ -sets  $K \subseteq E, M \subseteq F$  there exists a  $\xi$ -set  $N \subseteq \tilde{F}^T$  with  $N^0 \subseteq [\tilde{T}(K) \cup \tilde{i}^T \circ i^T(M)]^0$ . Take  $N = \tilde{T}(K) \cup \tilde{i}^T \circ i^T(M)$  for  $\xi \neq \tau$  and  $N = \Gamma[\tilde{T}(K) \cup \tilde{i}^T \circ i^T(M)]$  for  $\xi = \tau$ .

**THEOREM 3.** Let  $F'$  and  $(F^T)'$  have the topologies  $\sigma(F', F)$  and  $\sigma((F^T)', F^T)$  and let  $E'$  have any topology. Then  $j_T$  is continuous.

**Proof.** Set in (8)  $K = \{0\}, M = (i^T)^{-1}(N)$ .

For the strong topologies we generally cannot expect that  $j_T$  is continuous.

This is a counterexample:

Let  $X = C^{\mathbb{N} \setminus \{1\}}$  and let  $F$  be the Banach space of those elements of  $X$  that have a finite sup-norm. For  $x \in X$  we always use the representation  $x = (x_i)_{i \in \mathbb{N} \setminus \{1\}}$ . If  $p_1, p_2, \dots$  is the sequence of prime numbers, we set for  $x \in X$  and  $n \in \mathbb{N}: q_n(x) = \sum_{k=1}^{\infty} |x_{kp_n}|$  and  $E = \{x \mid x \in F, \bigwedge_{n \in \mathbb{N}} q_n(x) < \infty\}$ . Let  $\gamma$  denote the metrizable topology of  $E$  generated by the  $q_n$ , let  $\rho$  denote the norm topology of  $F$ , and let  $T$  be the identical mapping

from  $E$  into  $F$ . It is easy to verify that  $T$  is closed. Let  $\omega$  denote the topology of the inductive limit of  $\varrho$  and  $\chi$  on  $F$ . A neighborhood base of 0 for  $\omega$  is  $\{\varepsilon(U + V_n) \mid \varepsilon > 0, n \in N\}$  with  $U = \{x \mid x \in F, \|x\| \leq 1\}$ ,  $V_n = \{x \mid x \in F, q_n(x) \leq 1\}$ . Let  $N = \{x^{(j,m)} \mid j \in N, m \in N\}$  with

$$x_i^{(j,m)} = \begin{cases} j & \text{if } i = \prod_{k=j+1}^l p_{k-1} \text{ for } j+1 \leq l \leq j+m, \\ 0 & \text{otherwise.} \end{cases}$$

$N$  is  $\omega$ -bounded: For  $n \in N, m \in N, j \in N$ , and  $j > n$  we have  $q_n(x^{(j,m)}) = 0$ , because  $x_i^{(j,m)} = 0$  for those  $i$  that are divisible by  $p_n$ . For  $j \leq n$  we have  $\|x^{(j,m)}\| = j$ . Therefore,  $N \subseteq n(U \cup V_n)$ . We now show: There exist no two sets  $K \subseteq E, M \subseteq F, K$   $\chi$ -bounded,  $M$   $\varrho$ -bounded, with  $N \subseteq \overline{\Gamma(K \cup M)}^\omega$ . It is sufficient to take absolutely convex  $K$  and  $M$ . Then this inclusion implies  $N \subseteq K + M + U + V_n$  for all  $n$ . Let  $q_n(K) \subseteq c_n, \|M\| \leq c$ . Take  $n > 2c + 4$  and take  $m$  such that  $2c_n \leq nm$ . Let  $x^{(n,m)} = y + z + u + v$  for  $y \in K, z \in M, u \in U, v \in V_n$ . There exist exactly  $m$  indices  $i$  that are divisible by  $p_n$  with  $x_i^{(n,m)} = n$ . Since  $q_n(y) \leq \frac{1}{2}nm$ , there exists one  $i$  among them such that  $|y_i| \leq \frac{1}{2}n$ . For this  $i$  we must have  $|z_i + u_i + v_i| \geq \frac{1}{2}n$  and, since  $|u_i| \leq 1, |v_i| \leq 1$ , also  $|z_i| \geq \frac{1}{2}n - 2 > c$ . This contradicts  $\|z\| \leq c$ . This shows that  $j_T$  is not continuous in this case, for, since  $E = D(T)$ , we would have to fulfil (8) and that is impossible, because passing to polars within  $((F^T)', F^T)$  yields  $N \subseteq N^{00} \subseteq \overline{\Gamma[i^T(T(K) \cup M)]}$  form (8).

Here  $E$  is representable as a closed subspace of a countable product of Banach spaces and hence is a Fréchet space.  $F$  is a Banach space.

So we can expect  $j_T$  to be continuous only if we make restrictions on the spaces  $E$  and  $F$  or on the operator  $T$ .

**THEOREM 4.** *If  $E', F'$ , and  $(F^T)'$  are furnished with  $\pi(E', E), \pi(F', F)$ , and  $\pi((F^T)', F^T)$ , respectively, and if  $E$  and  $F$  are metrizable, then  $j_T$  is continuous. This is already valid if  $E'$  has  $\pi(E', D(T))$ .*

**Proof.** First we show: Let  $G$  be a metrizable locally convex space,  $H \subseteq G$  a closed subspace,  $\nu: G \rightarrow G/H$  the canonical homomorphism,  $N \subseteq G/H$  precompact; then there exists a precompact set  $Q \subseteq G$  such that  $N \subseteq \overline{\Gamma\nu(Q)}$ . Since  $G/H$  is metrizable, too, there exists a null sequence  $\{\hat{x}_k\}$  in  $G/H$  with  $N \subseteq \overline{\Gamma\{\hat{x}_k \mid k \in N\}}$ . Let  $\{U_i\}$  be a neighborhood base of zero for  $E$  with  $U_1 \supseteq U_2 \supseteq \dots$ ; then  $\{\nu(U_i)\}$  is a neighborhood base of  $G/H$ . So for each  $i \in N$  there exists a  $k_i \in N$  such that for  $k \geq k_i$  we have  $\hat{x}_k \in \nu(U_i)$ . For  $k_i \leq k < k_{i+1}$  choose  $w_k \in \hat{x}_k$  with  $x_k \in U_i$ .  $\{w_k\}$  is a null sequence so that  $Q = \{w_k \mid k \in N\}$  has the desired properties. We apply this to  $G = D(T) \times F, H = G(-T)$  so that  $k = p(Q)$  and  $M = q(Q)$  are precompact if  $p$  and  $q$  are the projections from  $D(T) \times F$  onto  $D(T)$  and  $F$ , respectively. Now it follows from the construction of the canonical homomorphism  $\mu$  from  $D(T) \times F$  onto  $F^T$  that  $\mu(Q) \subseteq i^T[T(K) + M]$ . This implies (8).

**THEOREM 5.** *If  $E', F'$ , and  $(F^T)'$  are furnished with  $\beta(E', E), \beta(F', F)$ , and  $\beta((F^T)', F^T)$ , respectively, and if  $E$  and  $F$  are metrizable nuclear or metrizable Schwartz spaces, then  $j_T$  is continuous. This is already valid if  $E'$  has  $\beta(E', D(T))$ .*

**Proof.** Subspaces, products, and quotients modulo closed subspaces of nuclear spaces are nuclear, so  $F^T$  is nuclear. Since in nuclear spaces the properties precompactness and boundedness coincide, the assertion follows from (4). The same conclusion applies to Schwartz spaces.

**THEOREM 6.** *If  $E', F'$ , and  $(F^T)'$  are furnished with  $\beta(E', E), \beta(F', F)$ , and  $\beta((F^T)', F^T)$ , respectively and  $D(T)$  and  $F$  are (DF)-spaces, then  $j_T$  is continuous. This is already valid if  $E'$  has  $\beta(E', D(T))$ .*

**Proof.** It follows from the permanence properties of (DF)-spaces that  $F^T$  is a (DF)-space, too. Each bounded subset of  $F^T$  lies in the closed hull of the canonical image of a bounded subset of  $D(T) \times F$ . As in Theorem 4 this implies the assertion.

Since every normed space is a (DF)-space,  $j_T$  is continuous in the normed case.

**THEOREM 7.** *For the types  $\tau, \pi$ , and  $\beta$  let  $E', F'$ , and  $(F^T)'$  simultaneously have the same type of topology from  $D(T)$  (or  $E$ ),  $F$ , and  $F^T$ , respectively. Let  $T$  be open and suppose that  $N(T)$  and  $R(T)$  have topological complements in  $E$  and  $F$ , respectively. Then  $j_T$  is continuous.*

**Proof.** Let  $H$  and  $L$  be the topological complements of  $N(T)$  and  $R(T)$ , respectively. Since  $T$  is open  $\{i^T[T(U) + V_2] \mid U \in \mathcal{U}_E, V_2 \in \mathcal{U}_L\}$  is a neighborhood base of zero for  $F^T$ . Therefore the mappings  $(T|_{D(T) \cap H})^{-1} \circ u \circ (i^T)^{-1}$  and  $v \circ (i^T)^{-1}$  are continuous if  $u$  and  $v$  are the projections from  $F$  onto  $R(T)$  and  $L$ , respectively. So for a  $\xi$ -set  $N$  the sets  $K = (T|_{D(T) \cap H})^{-1} \circ u \circ (i^T)^{-1}(N)$  and  $M = v \circ (i^T)^{-1}(N)$  are  $\xi$ -sets with  $N \subseteq i^T[T(K) + M]$ . This implies (8).

**COROLLARY.**  $j_T$  is continuous for  $\tau, \pi$ , and  $\beta$  if  $T$  is a Fredholm operator and if  $E$  and  $F$  are Fréchet spaces or, more general, are spaces for which the open mapping theorem applies.

We cannot expect that  $j^T$  is open if we take the topologies for  $E'$  from  $E$  because  $E_T$  is bijectively mapped onto  $D(T)$  and not onto  $E$ . To prove the continuity of  $j^T$  we have to show: For each  $\xi$ -set  $J \subseteq E_T$  there exist  $\xi$ -sets  $K \subseteq E, M \subseteq F$  such that  $j^T[\Gamma[i^T(K) \cup i^T \circ T'(M)]] = (i_T)'(\Gamma[K^0 \cup \cup T'(M^0)]) \subseteq J^0$ . In view of (4) we therefore have to show

$$(9) \quad \Gamma[K^0 \cup T'(M^0)] \subseteq [i_T(J)]^0.$$

To prove the openness of  $j^T$  we accordingly have to show: For any two  $\xi$ -sets  $K \subseteq D(T), M \subseteq F$  there exists a  $\xi$ -set  $J \subseteq E_T$  such that  $[i_T(J)]^0 \subseteq \Gamma[K^0 \cup T'(M^0)]$  or

$$(10) \quad [i_T(J)]^0 \subseteq K^0 + T'(M^0).$$

**THEOREM 8.** For the types  $\sigma, \tau, \pi,$  and  $\beta$  let  $(E_T)', E',$  and  $F'$  simultaneously have the same type of topology from  $E_T, D(T)$  (or  $E$ ), and  $F$ , respectively. Then  $j^{\tau}$  is continuous.

**Proof.** Set  $K = i_{\tau}(J)$  and  $M = T \circ i_{\tau}(J)$ . Both are  $\xi$ -sets and (9) follows from (5):  $T'([T \circ i_{\tau}(J)]^0) = [i_{\tau}(J)]^0 \cap R(T')$ .

**THEOREM 9.** Let  $(E_T)'$  and  $E'$  have the topologies  $\sigma((E_T)', E_T)$  and  $\sigma(E', D(T))$ , respectively, and let  $F'$  have any topology. Then  $j^{\tau}$  is continuous.

**Proof.** Set  $J = i_{\tau}^{-1}(K)$ .

**THEOREM 10.** For the types  $\tau, \pi,$  and  $\beta$  let  $(E_T)', E',$  and  $F'$  simultaneously have the same type of topology from  $E_T, E,$  and  $F$ , respectively. Let  $T$  be open and suppose that  $N(T)$  and  $R(T)$  have topological complements in  $E$  and  $F$ , respectively. Then  $j^{\tau}$  is open.

**Proof.** Let  $H$  and  $L$  be the topological complements of  $N(T)$  and  $R(T)$ , respectively. Since  $T$  is open,  $\{i_{\tau}^{-1}(U_1 + [T^{-1}(V) \cap H]) \mid U_1 \in \mathcal{U}_{N(T)}, V \in \mathcal{U}_F\}$  is a neighborhood of zero for  $E_T$ . Therefore the mappings  $i_{\tau}^{-1} \circ s$  and  $i_{\tau}^{-1} \circ (T|_H)^{-1} \circ u$  are continuous if  $s$  is the projection from  $E$  to  $N(T)$  and  $u$  is the projection from  $F$  to  $R(T)$ . So  $J = \Gamma(i_{\tau}^{-1}[s(K) \cup (T|_H)^{-1} \circ u(M)])$  is a  $\xi$ -set if  $K \subseteq E$  and  $M \subseteq F$  are  $\xi$ -sets. Since  $T$  is open, we have  $N(T)^0 = R(T')$ , so  $E'$  and  $F'$  are representable as direct sums:  $E' = R(T') + H^0, F' = R(T)^0 + L^0$ . Now it is easy to check that (10) is valid. Note that  $K$  really may be taken from  $E$ , not only from  $D(T)$  here.

**COROLLARY.**  $j^{\tau}$  is open for  $\tau, \pi,$  and  $\beta$  (from  $E_T, E,$  and  $F$ , respectively) if  $T$  is a Fredholm operator and  $E$  and  $F$  are Fréchet spaces or, more general, are spaces for which the open mapping theorem applies.

3. Loustaunau [7] presents under very restrictive conditions a method to reduce the study of state diagrams for closed operators to the study of state diagrams for continuous operators. Such results follow from the facts developed above:

The following lemma shows that the operators  $T \circ i_{\tau}, T,$  and  $i^{\tau} \circ T$  always have the same state.

**LEMMA.** If one of the operators  $T \circ i_{\tau}, T,$  and  $i^{\tau} \circ T$  has one of the following properties so do the others, too:

- (i) injectivity,
- (ii) surjectivity,
- (iii) openness,
- (iv) denseness of the range in the range space.

**Proof.** Let  $R(i^{\tau} \circ T)$  be dense in  $F^{\tau}$ . Then we show for  $y \in F$  and  $V \in \mathcal{U}_F: (y + V) \cap R(T) \neq \emptyset$ . From the assumption follows  $i^{\tau}[y + T(U) + V] \cap R(i^{\tau} \circ T) \neq \emptyset$  for  $U \in \mathcal{U}_E$ ; hence there exist  $u \in U, v \in V, x \in E$  such that  $y + Tu + v = Tx$ ; hence  $y + V = T(x - u) \in R(T)$  and  $(y + V) \cap R(T) \neq \emptyset$ . So  $R(T)$  is dense in  $F$ .

The other implications are clear immediately.

Since  $i^{\tau}$  is continuous and defined on all of  $F$ , we have  $(i^{\tau} \circ T)' = T' \circ (i^{\tau})' = T' \circ i_{\tau'} \circ j_{\tau'}^{-1}$ . The state diagram for continuous operators informs about the possible states of  $i^{\tau} \circ T$  and  $(i^{\tau} \circ T)'$ .  $T$  has the same state as  $i^{\tau} \circ T, T'$  has the same state as  $T' \circ i_{\tau'}$ . Hence in those cases in which  $j_{\tau'}$  is a topological isomorphism the state diagram for continuous operators is valid for  $T$ , too.

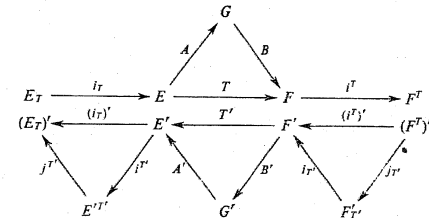
4. Chilana [2] and Förster [3] examine relatively continuous operators for applications in perturbation theory. An operator  $A: E \rightarrow G$  with  $D(T) \subseteq D(A)$  is called *relatively T-continuous* if for any continuous seminorm  $r$  on  $G$  there exist continuous seminorms  $p$  on  $E$  and  $q$  on  $F$  such that for  $x \in D(T)$

$$r(Ax) \leq p(x) + q(Tx).$$

The operator  $B: G \rightarrow F$  is called *relatively T-co-continuous* if for any two neighborhoods of zero  $U \subseteq E, V \subseteq F$  there exists a neighborhood of zero  $W \subseteq G$  such that

$$A(W) \subseteq T(U) + V.$$

Using the diagram (2), we equivalently have:  $A$  is relatively  $T$ -continuous if  $A \circ i_{\tau}$  is continuous.  $B$  is relatively  $T$ -co-continuous if  $i^{\tau} \circ B$  is continuous. If we extend (2) accordingly we easily get the results from [2] and [3] with the following thought:



Under suitable assumptions for the topologies of  $E, F,$  and  $G$  we have:  $i^{\tau} \circ B$  is continuous if and only if  $(i^{\tau} \circ B)'$  is continuous. Since  $(i^{\tau} \circ B)' \circ j_{\tau'} = B' \circ (i^{\tau})' \circ j_{\tau'} = B' \circ i_{\tau'}$ , relative  $T$ -co-continuity of  $B$  and relative  $T'$ -continuity of  $B'$  are equivalent in those cases in which  $j_{\tau'}$  is a topological isomorphism.

If  $A \circ i_{\tau}$  is continuous so does  $(i_{\tau})' \circ A'$ , since  $(i_{\tau})' \circ A' \subseteq (A \circ i_{\tau})'$ . Now  $(j_{\tau'}^{-1}) \circ (i_{\tau'})' \circ A' = i^{\tau'} \circ A'$ , so that relative  $T$ -continuity of  $A$  implies relative  $T'$ -co-continuity of  $A'$  in those cases in which  $(j_{\tau'}^{-1})$  is continuous and hence  $j^{\tau}$  is open.

References

[1] F. E. Browder, *Functional analysis and partial differential equations I*, Math. Ann. 138 (1959), pp. 55-79.  
 [2] A. K. Chilana, *Relatively continuous operators and some perturbation results*, J. London Math. Soc. II. Ser. 2 (1970), pp. 225-231.  
 [3] K. H. Förster, *Relativ co-stetige Operatoren in normierten Räumen*, Arch. Math. 25 (1974), pp. 639-645.  
 [4] J. Horvath, *Topological vector spaces and distributions I*, Addison-Wesley, Reading-Palo Alto-London-Don Mills 1966.  
 [5] G. Köthe, *Topologische lineare Räume I*, Springer, Berlin-Göttingen-Heidelberg 1960.  
 [6] - *General linear transformations of locally convex spaces*, Math. Ann. 159 (1965), pp. 309-328.  
 [7] J. Laustannau, *On the state diagram of a linear operator and its adjoint in locally convex spaces, II*, Math. Ann. 176 (1968), pp. 121-128.  
 [8] A. Pietsch, *Nukleare lokalkonvexe Räume*, 2. Aufl. Akademie-Verlag, Berlin 1969.

Received March 9, 1976

(1130)

On local ergodic theorems for positive semigroups

by

RYOTARO SATO (Sakado)

**Abstract.** For a one-parameter semigroup  $\Gamma = \{T_t; t > 0\}$  of positive linear operators on  $L_1$  of a  $\sigma$ -finite measure space which is assumed to be strongly integrable over every finite interval, the following local ergodic theorem is proved: If  $0 < f \in L_1$  and  $\|f\|_1 > 0$  imply  $\sup_{t>0} \|T_t f\|_1 > 0$ , then for any  $f \in L_1$  the limit

$$\lim_{b \rightarrow +0} \frac{1}{b} \int_0^b T_t f dt$$

exists and is finite almost everywhere. Under the assumption that  $\Gamma$  is locally bounded, i.e.,  $\sup_{0 < t < 1} \|T_t\|_1 < \infty$ , a necessary and sufficient condition is given for the possibility of completing  $\Gamma$  to a strongly continuous semigroup on  $[0, \infty)$ . A local ergodic theorem for the adjoint semigroup  $\Gamma^* = \{T_t^*; t > 0\}$  of  $\Gamma$  is also considered.

**1. Introduction and theorems.** Let  $(X, \mathcal{F}, \mu)$  be a  $\sigma$ -finite measure space with positive measure  $\mu$ , and let  $L_p(X) = L_p(X, \mathcal{F}, \mu)$ ,  $1 \leq p \leq \infty$ , denote the (complex) Banach spaces defined as usual with respect to  $(X, \mathcal{F}, \mu)$ . All sets and functions introduced below are assumed to be measurable; all relations are assumed to hold modulo sets of measure zero. If  $A$  is a subset of  $X$ , then  $1_A$  is the indicator function of  $A$  and  $L_p(A)$  denotes the Banach space of all  $L_p(X)$ -functions that vanish on  $X - A$ . Also,  $L_p^+(A)$  denotes the positive cone of  $L_p(A)$  consisting of nonnegative  $L_p(A)$ -functions. A linear operator  $T$  on  $L_p(X)$  is called *positive* if  $T(L_p^+(X)) \subset L_p^+(X)$ , and a *contraction* if  $\|T\|_p \leq 1$ . It is well known that if  $T$  is positive, then  $\|T\|_p < \infty$ . The adjoint of  $T$  is denoted by  $T^*$ .

Let  $\Gamma = \{T_t; t > 0\}$  be a one-parameter semigroup of positive linear operators on  $L_1(X)$ , i.e., all the  $T_t$  are positive linear operators on  $L_1(X)$  and  $T_t T_{t'} = T_{t+t'}$  for all  $t, t' > 0$ . In this paper we assume that  $\Gamma$  is strongly integrable over every finite interval. This means that for each  $f \in L_1(X)$  the vector-valued function  $t \rightarrow T_t f$  is integrable with respect to Lebesgue measure on every finite interval. It then follows from Lemma VIII.1.3 of [4] that  $\Gamma$  is strongly continuous on  $(0, \infty)$ , i.e., for each  $f \in L_1(X)$  and each  $s > 0$  we have  $\lim_{t \rightarrow s} \|T_t f - T_s f\|_1 = 0$ . Hence, by an approximation argument (cf. [13], Section 4), we observe that for each  $f \in L_1(X)$  there exists