

maximal ideal space \mathfrak{M} . If there exist two real functions f_1, f_2 in B and a positive non-zero Borel measure ν on \mathfrak{M} , with compact support, such that

$$(4.1) \quad \int_{\mathfrak{M}^2} (u^2 + v^2) \|\nu \text{Exp}[i(uf_1 + vf_2)]\|_{\mathcal{E}} d\nu d\nu < \infty$$

then B contains a closed ideal which is not generated by a single function.

COROLLARY. Let $A_p(G)$ be the Banach algebras defined as in [6]. If $1 < p < \infty$ and G is not discrete, $A_p(G)$ contains a closed ideal which is not singly generated.

Proof. It follows from Lemma 2.2 that condition (4.1) is satisfied for $A_p(G)$.

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A remark on the δ -characteristic and the $d_{\mathcal{E}}$ -characteristic of linear operators in a Banach space

by

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Abstract. Let X be a Banach space, and \mathcal{E} a total space of continuous linear functionals on X which is also a Banach space. It is proved that $I+T$ is a $\mathcal{O}_{\mathcal{E}}$ -operator provided $T: X \rightarrow X$ is compact and \mathcal{E} is preserved by the conjugate operator T' . The paper is closely related to the work of D. Przeworska-Rolewicz and S. Rolewicz.

Let X be a linear space (over the field of real or complex numbers) and let A be a linear operator mapping X into itself and such that Ax is defined for all $x \in X$ ($D_A = X$). Let the set of all such operators be denoted by $L_0(X)$.

We denote by

$$N(A) = \{x \in X; Ax = 0\}$$

the kernel of the operator A , and by

$$R(A) = \{y \in X; y = Ax, x \in X\}$$

the range of the operator A , and define

$$\alpha_A = \dim N(A), \quad \beta_A = \dim X/R(A)$$

(\dim denotes the dimension of a linear set and $X/R(A)$ means the quotient space). The ordered pair (α_A, β_A) is called the δ -characteristic of the operator A . The index of the operator A is the number

$$\text{ind } A = \beta_A - \alpha_A.$$

By X' the space of all linear functionals on X is denoted. Let $\mathcal{E} \subset X'$ be a total space of linear functionals on X , i.e. if $\xi(x) = 0$ for all $\xi \in \mathcal{E}$ then $x = 0$. We write

$$N_{\mathcal{E}}(A') = \{\xi \in \mathcal{E}; \xi(Ax) = 0 \text{ for all } x \in X\}$$

and define

$$\beta_A^{\mathcal{E}} = \dim N_{\mathcal{E}}(A').$$

The ordered pair $(\alpha_A, \beta_A^{\mathcal{E}})$ is called the $d_{\mathcal{E}}$ -characteristic of A .

For a given total space $\mathcal{E} \subset X'$ and $A \in L_0(X)$ we define the conjugate operator $A': \mathcal{E} \rightarrow X'$ by the relation

$$A' \xi(x) = \xi(Ax) \quad \text{for all } \xi \in \mathcal{E}, x \in X.$$

We have evidently

$$N(A) = \{\xi \in \mathcal{E}; A' \xi = 0\} = \{\xi \in \mathcal{E}; A' \xi(x) = 0 \text{ for all } x \in X\} = N_{\mathcal{E}}(A')$$

and therefore also

$$(1) \quad \alpha_{A'} = \beta_A^{\mathcal{E}}.$$

For a given total space $\mathcal{E} \subset X'$ and $A \in L_0(X)$ the inclusion $R(A') \subset \mathcal{E}$ does not always hold. We say that the space \mathcal{E} is preserved by the conjugate operator A' if $R(A') \subset \mathcal{E}$.

LEMMA 1 (cf. Theorem 1.2 from A III, § 1 in [3]). *If $A \in L_0(X)$ and the total space $\mathcal{E} \subset X'$ is preserved by A' then*

$$(2) \quad \alpha_{A'} \leq \beta_A$$

and also

$$(3) \quad \beta_A^{\mathcal{E}} \leq \beta_A.$$

It is evident that the \bar{d} -characteristic and \bar{d}_E -characteristic of an operator $A \in L_0(X)$ are not equal in general (cf. the examples in [1]). For a comparison of the given concepts of the dimensional characteristic of A it is sufficient to study the numbers β_A and $\beta_A^{\mathcal{E}}$. Operators for which the \bar{d} -characteristic equals the \bar{d}_E -characteristic are called Φ_E -operators (cf. [1], [3]).

THEOREM 1. *Let X be a linear space, and $\mathcal{E} \subset X'$ a total space of linear functionals on X , $A \in L_0(X)$ such that \mathcal{E} is preserved by the conjugate operator A' .*

If $\text{ind} A = 0$ and $\alpha_A \leq \alpha_{A'}$ then $\beta_A = \beta_A^{\mathcal{E}}$, i.e. A is a Φ_E -operator.

Proof. The assumption $\text{ind} A = 0$ implies $\alpha_A = \beta_A$. Hence the inequality $\alpha_A \leq \alpha_{A'}$ can be written in the form $\beta_A \leq \alpha_{A'}$. Using (1) we obtain $\beta_A \leq \beta_A^{\mathcal{E}}$. This inequality together with (3) yields our assertion.

LEMMA 2. *Let X be a linear space, $\mathcal{E} \subset X'$ a total space, Θ a linear set in X' such that $\mathcal{E} \subset \Theta$. Let $A \in L_0(X)$ and A', \tilde{A} are the conjugate operators with respect to \mathcal{E}, Θ respectively (Θ is evidently also a total space of linear functionals on X). Then*

$$(4) \quad \alpha_{A'} \leq \alpha_{\tilde{A}}.$$

Proof. If $\xi \in \mathcal{E} \subset \Theta$, then we have by definition $A' \xi = \tilde{A} \xi$. Hence $N(A') = N(\tilde{A}) \cap \mathcal{E}$ and therefore $\dim N(A') \leq \dim N(\tilde{A})$.

If X is a linear space and $\mathcal{E} \subset X'$ a total space, then for a given $w \in X$ the relation $F_x(\xi) = \xi(w)$ evidently defines a linear functional on \mathcal{E} , i.e. for any $w \in X$ we have an element $\kappa w = F_x \in \mathcal{E}'$. The map $\kappa: X \rightarrow \mathcal{E}'$

is called the *natural embedding of X into \mathcal{E}'* and is a monomorphism. The image κX of X in \mathcal{E}' is a total space of linear functionals on \mathcal{E} . (For these facts see [3], A III, § 1.)

Since $\kappa X \subset \mathcal{E}'$ is a total space, we can define for a given operator $A' \in L_0(\mathcal{E})$ the conjugate operator $A'': \kappa X \rightarrow \mathcal{E}'$ by the relation

$$A'' \kappa w(\xi) = \kappa w(A' \xi) = (A' \xi)(w) \quad \text{for } w \in X, \xi \in \mathcal{E}.$$

If, moreover, for $A \in L_0(X)$ the total space $\mathcal{E} \subset X'$ is preserved by A' , then also κX is preserved by A'' because we have $A'' \kappa w = \kappa A w \in \kappa X$ for any $w \in X$. Hence it follows that in this case the operator A'' conjugate to A' is (up to the natural embedding κ) identical with the operator A .

In the sequel let X be a Banach space, and X^+ the space of all continuous linear functionals on X . X^+ is also a Banach space. Further, let $\mathcal{E} \subset X^+$ be a total space of continuous linear functionals on X . Then we have

$$|\xi(w)| \leq \|\xi\|_{X^+} \|w\|_X$$

for $\xi \in \mathcal{E}, w \in X$ (norms in X, X^+ respectively). For $\xi \in \mathcal{E}$ we write $\|\xi\|_{\mathcal{E}} = \|\xi\|_{X^+}$. \mathcal{E} with the norm $\|\cdot\|_{\mathcal{E}}$ forms a normed space and we have

$$(5) \quad |\xi(w)| \leq \|\xi\|_{\mathcal{E}} \|w\|_X$$

for all $\xi \in \mathcal{E}, w \in X$.

For any $w \in X$ the natural embedding κ described above defines a linear functional on \mathcal{E} ($\kappa w(\xi) = \xi(w)$). By (5), $\kappa w \in \mathcal{E}'$ for any $w \in X$ a continuous linear functional, i.e., we have $\kappa w \in \mathcal{E}'$ for any $w \in X$, $\kappa: X \rightarrow \mathcal{E}'$. The image κX of X in \mathcal{E}' is a total space and the map $\kappa: X \rightarrow \mathcal{E}'$ is a monomorphism.

For a given continuous $A \in L_0(X)$ we define $A^+ \in L_0(X^+)$ by the relation

$$A^+ f(w) = f(Aw) \quad \text{for } f \in X^+, w \in X,$$

and similarly $A'^+ \in L_0(\mathcal{E}')$ can be defined for a continuous $A' \in L_0(\mathcal{E})$ as follows:

$$A'^+ \varphi(\xi) = \varphi(A' \xi) \quad \text{for } \varphi \in \mathcal{E}', \xi \in \mathcal{E}.$$

If $A' \in L_0(\mathcal{E})$ is continuous, then it is possible to define the conjugate operator $A'': \kappa X \rightarrow \mathcal{E}'$ as in the above case of a general linear space X . In the case under consideration $A'' \kappa w$ is a continuous linear functional for every $w \in X$, i.e. $A'': \kappa X \rightarrow \mathcal{E}'$.

LEMMA 3. *Let X be a Banach space, and let $\mathcal{E} \subset X^+$ be a total space of continuous linear functionals on X . If $A \in L_0(X)$ is a continuous operator such that \mathcal{E} is preserved by the conjugate operator A' , then*

$$(6) \quad \alpha_{A''} = \dim N(A'') \leq \dim N(A'^+) = \alpha_{A'}$$

holds.

Proof. The natural embedding κX of X into \mathcal{E}' is a total space of continuous linear functionals on \mathcal{E} ($\kappa X \subset \mathcal{E}'$). The operators A'', A'^+

are the conjugates to $A' \in L_0(\mathcal{E})$ with respect to the total spaces ${}_X X, \mathcal{E}^+$ respectively. The assertion of our Lemma follows directly from Lemma 2.

Using the fact that the operator A'' is (up to the monomorphism \varkappa) identical with the operator A , we obtain $\alpha_{A''} = \alpha_A$, and Lemma 3 can be reformulated as follows:

LEMMA 4. *If the assumptions of Lemma 3 are fulfilled, then*

$$(7) \quad \alpha_A \leq \alpha_{A'+}.$$

THEOREM 2. *Let X be a Banach space, and $\mathcal{E} \subset X^+$ a total space of continuous linear functionals on X . Let $A \in L_0(X)$ be a continuous operator and let \mathcal{E} be preserved by the conjugate operator A' .*

If $\text{ind} A = 0$ and $\alpha_{A'+} \leq \alpha_{A'}$, then the \bar{d} -characteristic of A is equal to the \bar{d}_E -characteristic of A .

Proof. By the assumption $\alpha_{A'+} \leq \alpha_{A'}$ and by Lemma 4 we obtain $\alpha_A \leq \alpha_{A'}$. This inequality together with $\text{ind} A = 0$ gives by Theorem 1 the equality $\beta_A = \beta_A^{\mathcal{E}}$ and our Theorem is proved.

THEOREM 3. *Let X be a Banach space, and let $\mathcal{E} \subset X^+$ be a total space of continuous linear functionals on X . Let $A \in L_0(X)$ be continuous and let \mathcal{E} be preserved by A' .*

If $\text{ind} A = \text{ind} A' = 0$, then the \bar{d} -characteristic of A is equal to the \bar{d}_E -characteristic of A .

Proof. By (1) we have $\alpha_{A'+} = \beta_{A'}^{\mathcal{E}^+}$. Then inequality (3) from Lemma 1 assumes in our case the form $\beta_{A'+}^{\mathcal{E}^+} \leq \beta_{A'}$ and hence we obtain

$$\alpha_{A'+} \leq \beta_{A'}.$$

Since $\text{ind} A' = 0$, we have $\alpha_{A'} = \beta_{A'}$ and the last inequality has the form $\alpha_{A'+} \leq \alpha_{A'}$. Hence all the assumptions of the previous Theorem 2 are fulfilled and our assertion is a consequence of this theorem.

THEOREM 4. *Let X be a Banach space, and $\mathcal{E} \subset X^+$ a total space of continuous linear functionals on X which is also a Banach space. Let $T \in L_0(X)$ be a compact (completely continuous) operator such that \mathcal{E} is preserved by the conjugate operator T' . Then the \bar{d} -characteristic of the operator $A = I + T$ is equal to its \bar{d}_E -characteristic (I is the identical operator in X).*

Proof. The operator $A = I + T \in L_0(X)$ is continuous. It is well known that if T is compact then $\text{ind} A = 0$. We recall that the operator $T' \in L_0(\mathcal{E})$ is also compact (see Theorem 7.4 in O III from [3]). Hence we have $\text{ind} A' = 0$ and the theorem follows from Theorem 3.

Remark 1. In paper [1] a theorem which is similar to our Theorem 4 is established under the additional assumption that the compact operator $T \in L_0(X)$ is approximable by finite-dimensional operators. This theorem is followed in [1] by the authors' remark (cf. page 121, line 3 from below) which gives the impression that this result without the assumption of

approximability of T by a finite-dimensional operator would also be of interest.

P. Enflo has recently shown that the answer to the approximation problem (approximation of compact operators by finite-dimensional ones) is negative. Hence our Theorem 4 cannot be simply derived from the above-quoted Theorem of D. Przeworska-Rolewicz and S. Rolewicz.

Remark 2. If we use the terminology of § 7, O III in [3] then, provided the assumptions of Theorem 4 are fulfilled, we can state that if T is an element of the ideal of compact operators in the algebra $B_0(X, \mathcal{E})$ of continuous linear operators on X with conjugates preserving \mathcal{E} then $A = I + T$ is a Φ_E -operator. This gives also the answer to the question formulated in Remark on p. 247 in [2] and in Remark on p. 297 in [3].

Finally let us mention that the assumption that \mathcal{E} is also a Banach space in Theorem 4 seems to be necessary since without this assumption the equation $\text{ind} A' = 0$ is not satisfied in general.

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