continuous with respect to  $\sigma(A'',A')$  and  $\sigma(B'',B')$ , Lemma 2.1 implies that T maps the identity of A'' onto that of B''. If T is bipositive (resp. isometric), so is  $T^{**}$ , as was noted above. Thus Theorem 1.1 may be applied to show that (iv) combined with either (ii) or (iii) implies (i).

Note. As Kadison observes in [5], p. 502, his generalized Schwarz inequality may be used to show independently of the corresponding result for  $C^*$ -algebras with identity that in the above theorem (ii) and (iii) together imply (i).

For any  $C^*$ -algebra A, let  $H_A$  denote the real Banach space of the self-adjoint elements of A.

THEOREM 3.2. Let A and B be  $C^*$ -algebras and  $T: A \rightarrow B$  a vector space isomorphism. If T maps  $H_A$  isometrically onto  $H_B$ , then T is isometric.

Proof. By Lemma 2.4 T is bounded, so we have the bounded maps  $T^*\colon B'\to A'$  and  $T^{**}\colon A''\to B''$ . The real Banach space  $H_{A'}$  of the continuous Hermitian linear forms on A may be identified with the Banach space dual of  $H_A$  (see [1], p. 5). Similarly,  $(H_{A'})'$  identifies with  $H_{A''}$ . This follows form Corollary 12.1.3 (iii) in [1] and the fact that for any two vectors  $\xi$  and  $\eta$  in the Hilbert space underlying A'' the linear form  $x\mapsto (x\xi,\eta)$  belongs to the predual of A''. The argument used in [1] 1.2.6, p. 5 may be adapted to show that this identification preserves norms. Similar statements hold for B. We have  $\|T'\mid H_A\| = \|T''\mid H_B\| = \|T^{**}\mid H_{A''}\mid$ , and applying this result also to  $T^{-1}$  we see that  $T^{**}$  is isometric on  $H_{A''}$ . Theorem 2 in [5] combined with Theorem 5 in [4] then shows that  $T^{**}$ , hence T, is everywhere isometric.

#### References

- J. Dixmier, Les C\*-algèbres et leurs représentations, (Cahiers Scientifiques 29), Paris 1964.
- [2] N. Dunford, J. Schwartz, Linear operators, Part I: General theory. New York 1958.
- [3] A. Grothendieck, Un résultat sur le dual d'une O\*-algèbre. J. Math. Puros Appl. 36 (1957), pp. 97-108.
- [4] R. V. Kadison, Isometries of operator algebras, Ann. Math. 54 (1951), pp. 325-338.
- A generalized Schwarz inequality and algebraic invariants for operator algebras, Ann. Math. 56 (1952), pp. 494-503.
- [6] S. Sherman, The second adjoint of a C\*-algebra, Proc. Intern. Congr. Math. Cambridge 1 (1950), p. 470.
- [7] Z. Takeda, Conjugate spaces of operator algebras. Proc. Japan Acad. 30 (1954), pp. 90-95.

DEPARTMENT OF MATHEMATICS UNIVERSITY OF HELSINKI

Received December 10, 1971

(453)



# Some more Banach spaces which contain $l^1$

by

JAMES HAGLER (Berkeley, Cal.)

Abstract. Let  $X^*$  be a conjugate Banach space containing a subspace isomorphic to  $L^1(\mu)$ . Sufficient conditions on the measure  $\mu$  are given which insure that X contains a subspace isomorphic to  $l^1$ .

**Introduction.** The purpose of this paper is the extension of the results of Pełczyński [11] concerning the embedding of  $L^1(\mu)$  spaces into conjugate Banach spaces. The main result is the following:

THEOREM 1. Let X be a Banach space. Assume that either

- (I)  $X^*$  contains a (closed) subspace isomorphic to  $L^1(\mu)$  where  $\mu$  is a non purely atomic measure; or
- (II)  $X^*$  contains a (closed) subspace isomorphic to  $l^1(\Gamma)$  and the dimension of X is less than the cardinality of  $\Gamma$ .

Then X contains a subspace isomorphic to l<sup>1</sup>.

It is an immediate consequence of this theorem and results of Rosenthal [13] that if X is a separable Banach space with  $X^*$  non-separable and X is either an  $\mathscr{L}_{\infty}$  space or a quotient space of C [0, 1], then X contains a subspace isomorphic to  $l^1$ . (For the definition and properties of  $\mathscr{L}_p$  spaces, see [9] and [10].) It also follows from Theorem 1 and results in [11] that if X is separable and  $X^*$  satisfies either (I) or (II) of Theorem 1, then C [0, 1] is isomorphic to a quotient space of X.

The proof of Theorem 1 involves a modification of methods introduced by Pelczyński in [11] (except in (II) in the case where X is not separable). Pelczyński proved Theorem 1 under the added assumptions that the subspace of  $X^*$  isomorphic to  $L^1(\mu)$  or  $l^1(\varGamma)$  is a "seminorming" subspace of  $X^*$ , and, in case (II), that X is separable. (For the definition of seminorming, see [11], p. 232.) Delbaen [2] idependently proved Theorem 1 (I) and 1 (II) in the case where X is separable (using essentially the same idea as in Proposition 2 and the remark which follows it). Johnson and Rosenthal [6] have recently given a different proof of Theorem 1 (I) using weak-\* basic sequences.

The author wishes to express his appreciation to Professor Rosenthal for suggesting this problem and for many helpful conversations concerning it. **Preliminaries.** All Banach spaces will be real Banach spaces, and will be denoted by B, X, and Z. B will be said to be a subspace of X if B is a closed linear submanifold in X.  $S_X$  denotes the unit ball of X, i. e.,  $S_X = \{x \in X : \|x\| \le 1\}$ . We will refer to a bounded linear operator  $T : X \to B$  as an operator. An operator  $T : X \to B$  is an isomorphism if it is one-one with closed range. If T is an isomorphism from X onto B, then X and B are said to be isomorphic. A sequence  $(x_n)$  in X is a basic sequence if given any x in the closed linear span of the  $x_n$ 's, there exists a unique sequence of scalars  $(a_n)$  such that  $x = \sum_{n=1}^{\infty} a_n x_n$ . If  $(x_n)$  and  $(x_n)$  are basic sequences in Banach spaces X and X, then  $(x_n)$  and  $(x_n)$  are equivalent if given a sequence  $(a_n)$  of scalars, then  $\sum_{n=1}^{\infty} a_n x_n$  converges if and only if  $\sum_{n=1}^{\infty} a_n z_n$  converges If  $(x_n)$  and  $(x_n)$  are equivalent, then it follows from the closed graph theorem that their closed linear spans are isomorphic.

If X is a Banach space, then the conjugate or dual space of X is denoted by  $X^*$ . If  $T\colon X\to Y$  is an operator, then  $T^*\colon Y^*\to X^*$  denotes the adjoint operator to T. By the weak-\* topology on  $X^*$  we mean the X topology on  $X^*$ . (See for example [3], p. 420.) If Y is a subset of  $X^*$ , then  $\operatorname{cl}^*(Y)$  denotes the weak-\* closure of Y in  $X^*$ .

A subset Y of  $X^*$  is said to be *norming* if there exist  $\delta$ , K>0 such that  $\delta ||x|| \leq \sup\{|y(x)|: y \in Y\} \leq K ||x||$  for all  $x \in X$ , i. e., if  $||x||' = \sup\{|y(x)|: y \in Y\}$  defines a norm equivalent to the usual norm on X.

Remark. It follows easily from the Hahn-Banach Theorem that if Y is a bounded convex subset of  $X^*$ , then Y is norming if and only if there exists  $\delta > 0$  such that  $\operatorname{cl}^*(Y) \supset \delta S_{X^*}$ .

Let  $\Gamma$  be a set. Then  $l^1(\Gamma)$  ( $l^\infty(\Gamma)$  respectively) is the Banach space of real valued functions  $f\colon \Gamma \to \mathbf{R}$  such that

$$\|f\|_1 = \sum \{|f(\gamma)| \colon \gamma \, \epsilon \, \varGamma\} < \infty \; (\|f\|_\infty = \sup \{|f(\gamma)| \colon \gamma \, \epsilon \, \varGamma\} < \infty \; \text{respectively}).$$

If  $\operatorname{card}(\varGamma) = \aleph_0(\operatorname{card}(\varGamma))$  denotes the cardinality of  $\varGamma$ ), then we write  $l^1 = l^1(\varGamma)$  and  $l^\infty = l_\infty(\varGamma)$ . The usual basis for  $l^1$  is the basis  $(e_n)$  where

$$e_n(m) = \delta_{nm} = egin{pmatrix} 1 & \text{if } n = m, \\ 0 & \text{if } n \neq m, \end{pmatrix}$$
 for all integers  $m$ .

If  $\Omega$  is a set,  $\Sigma$  a  $\sigma$ -algebra of subsets of  $\Omega$  and  $\mu$  a (positive) measure, then by  $L^1(\mu) = L^1(\Omega, \Sigma, \mu)$  we mean the Banach space of equivalence classes of  $\mu$ -measurable functions on  $\Omega$  such that  $||f||_1 = \int |f| d\mu < \infty$ . If  $\Omega = [0, 1]$ ,  $\Sigma$  the  $\sigma$ -algebra of Borel subsets of [0, 1], and  $\mu$  Lebesgue measure, we denote  $L^1(\mu)$  by  $L^1$ .

If X is a Banach space, the dimension of X, denoted  $\dim(X)$ , is the least cardinal number m such that there exists a set  $\mathscr A$  in X of cardinality

 $\mathfrak{m}$  whose closed linear span is all of X. Similarly, the density character of a topological space K is the least cardinal number  $\mathfrak{m}$  such that there exists a dense set in K of cardinality  $\mathfrak{m}$ .

Results. The first proposition and the remark which follows give a sufficient condition for a Banach space X to contain a subspace isomorphic to  $l^1$ . In what follows, let  $\chi \colon X \to X^{**}$  denote the natural embedding of X into its second conjugate  $X^{**}$ .

PROPOSITION 2. Let  $T\colon Z\to X^*$  be an isomorphism. Assume that  $Y=T^*(\chi S_X)$  contains a basic sequence equivalent to the usual basis in  $l^1$ . Then X contains a subspace isomorphic to  $l^1$ .

Proof. Let  $(y_n)$  be a basic sequence in Y equivalent to the usual basis in  $l^1$ . For each n, pick  $x_n \in S_X$  such that  $T^*(\chi x_n) = y_n$ . It is easily verified that  $(x_n)$  is a basic sequence in X equivalent to the usual basis in  $l^1$ .

Remark. In Proposition 2, the set Y is a bounded convex subset of  $Z^*$  such that  $\operatorname{cl}^*(Y) \supset (1/\|T^{-1}\|) S_{Z^*}$ . (For

$$\begin{split} \|z\| &\leqslant \|T^{-1}\| \ \|Tz\| = \|T^{-1}\| \sup \{|\chi(x)(Tz)| \colon \ x \in S_X\} \\ &= \|T^{-1}\| \sup \{|T^*(\chi(x))(z)| \colon \ x \in S_X\} \\ &= \|T^{-1}\| \sup \{|y(z)| \colon \ y \in Y\} \end{split}$$

and the fact that  $\mathrm{cl}^*(X) = (1/\|T^{-1}\|)S_{Z^*}$  now follows from the remark on norming sets in the preliminaries.)

The next lemma provides the principal means in this paper of showing that certain Banach spaces contain a subspace isomorphic to  $l^1$ . In it, we examine certain bounded norming convex subsets of  $Z^*$  for the spaces  $Z = L^1(\mu)$  ( $\mu$  non purely atomic) and  $Z = l^1(\Gamma)$ .

LEMMA 3. (i) Let  $\mu$  be a non purely atomic measure. If Y is a bounded convex norming subset of  $[L^1(\mu)]^*$ , then Y contains a basic sequence  $(y_n)$  equivalent to the usual basis in  $l^1$ .

(ii) Let I' be an infinite set and Y a bounded convex norming subset of  $l^{\infty}(I')$  with the norm density character of  $Y < \operatorname{card}(I')$ . Then Y contains a basic sequence  $(y_n)$  equivalent to the usual basis in  $l^1$ .

The proof of (i) is identical to those of Propositions 2.2 and 2.3 of [11] together with the following observations: In the proofs of these propositions, Y is a closed subspace rather than a bounded convex set. However, the assumption that Y be closed is used there only to conclude that Y contains a subspace isomorphic to  $l^1$ , rather than elements  $(y_n)$  equivalent to the usual basis in  $l^1$ . Moreover, a linear manifold Y norms  $[L^1(\mu)]^*$  if for some  $\delta > 0$ ,  $\operatorname{cl}^*(S_Y) \supset \delta S_{[L^1(\mu)]^*}$ . The set  $S_Y$  is what we have called "Y".

The proof of (ii) is an immediate consequence of the next technical lemma, which generalizes Proposition 2.4 of [11]. In what follows, let m

denote an infinite cardinal number and  $\mathfrak{m}^+$  the successor cardinal to  $\mathfrak{m}$ . We use the fact that  $\mathfrak{m}^+$  is not the sum of  $\leqslant \mathfrak{m}$  cardinals each  $\leqslant \mathfrak{m}$ .

LEMMA 4. Let  $\Gamma$  be a set of cardinality  $\geqslant \mathfrak{m}^+$ , and Y a bounded convex subset of  $l^{\infty}(\Gamma)$  of norm density character  $\leqslant \mathfrak{m}$  such that  $\mathfrak{cl}^*(Y) \supset S_{l^{\infty}(\Gamma)}$ .

Let  $\Gamma_1, \ldots, \Gamma_n, \Gamma_{n+1}, \ldots, \Gamma_{n+m}$  be pairwise disjoint subsets of  $\Gamma$  with card  $(\Gamma_i) \geqslant m^+$  for all  $1 \leqslant i \leqslant n+m$ .

Then there exists  $y \in Y$  such that

$$\operatorname{card} \{ \gamma \in \Gamma_i : \ y(\gamma) \geqslant 1/2 \} \geqslant \mathfrak{m}^+ \qquad \text{for } 1 \leqslant i \leqslant n$$

and

$$\operatorname{card}\left\{\gamma \in \varGamma_i\colon \, y(\gamma) \leqslant -1/2\right\} \geqslant \mathfrak{m}^+ \quad \text{ for } n+1 \leqslant i \leqslant n+m \,.$$

Proof. Let  $\mathscr A$  be a set of cardinality  $\leqslant \mathfrak m$  and  $\{y_a\colon a\in\mathscr A\}$  a dense set in Y. Put  $\varDelta=\varGamma_1\times\ldots\times\varGamma_n\times\varGamma_{n+1}\times\ldots\times\varGamma_{n+m}$ . We claim that for each  $(\gamma_1,\ldots,\gamma_{n+m})\in \varDelta$ , there exists an  $a\in\mathscr A$  such that

$$y_a(\gamma_i) \geqslant 1/2$$
 for  $1 \leqslant i \leqslant n$  and

(\*)  $y_a(\gamma_i) \leqslant -1/2 \quad \text{ for } n+1 \leqslant i \leqslant n+m.$ 

To prove the claim, define  $r \in l^{\infty}(\Gamma)$  by

$$r(\gamma) = egin{cases} 1 & ext{if } \gamma \epsilon igcup_{i=1}^n arGamma_i, \ -1 & ext{if } \gamma \epsilon igcup_{i=n+1}^{n+m} arGamma_i, \ 0 & ext{otherwise.} \end{cases}$$

Also define (for  $1 \le i \le n+m$ )  $f_{i \in l^{1}(\Gamma)}$  by

$$f_i(\gamma) = \begin{cases} 1 & \text{if } \gamma = \gamma_i, \\ 0 & \text{otherwise.} \end{cases}$$

Then since  ${\rm cl}^*(Y)\supset S_{l^\infty(I')}$  and  $\{y_\alpha\colon \alpha\in\mathscr{A}\}$  is norm dense in Y, there exists an  $\alpha\in\mathscr{A}$  such that

$$\left|\sum_{\gamma \in \Gamma} r(\gamma) f_i(\gamma) - \sum_{\gamma \in \Gamma} y_{\alpha}(\gamma) f_i(\gamma)\right| < 1/2 \quad \text{ for } 1 \leqslant i \leqslant n + m.$$

Computing the left hand side of (1), we see that

$$|1-y_a(\gamma_i)| < 1/2$$
 for  $1 \le i \le n$  and

$$|-1-y_{\alpha}(\gamma_i)| < 1/2$$
 for  $n+1 \leq i \leq n+m$ .

The inequalities (\*) are immediate from (2), proving the claim.

Now let  $\Gamma'$  be a set of cardinality  $\mathfrak{m}^+$ , and for each  $i, 1 \leq i \leq n+m$ , let  $\varphi_i \colon \Gamma' \to \Gamma_i$  be an injection. Then the subset  $\Delta' \subset \Delta$  defined by  $\Delta' = \{(\varphi_1(\gamma'), \ldots, \varphi_{n+m}(\gamma')) \colon \gamma' \in \Gamma'\}$  is a set of cardinality  $\mathfrak{m}^+$  with the



property that if  $(\gamma_1, \ldots, \gamma_{n+m})$ ,  $(\delta_1, \ldots, \delta_{n+m}) \in \Delta'$  and  $\gamma_i = \delta_i$  for some i, then  $\gamma_i = \delta_i$  for all i.

It follows from the claim that for each  $\delta = (\gamma_1, \ldots, \gamma_{n+m}) \epsilon \Delta'$ , we can choose an  $\alpha = \alpha(\delta) \epsilon \mathscr{A}$  such that  $y_\alpha$  satisfies (\*) for  $\delta$ . Thus the function  $\psi \colon \Delta' \to \mathscr{A}$  defined by  $\psi(\delta) = \alpha(\delta)$  is well defined, and so,  $\Delta' = \bigcup \{\psi^{-1}(\alpha) : : a \epsilon \psi(\Delta')\}$ . Therefore, since  $\psi^{-1}(\alpha) \cap \psi^{-1}(\beta) = \emptyset$  if  $\alpha \neq \beta$ ,  $\mathfrak{m}^+ = \operatorname{card}(\Delta') = \mathcal{E} \{\operatorname{card} (\psi^{-1}(\alpha)) : a \epsilon \psi(\Delta')\}$ . But now, since  $\operatorname{card} (\psi(\Delta')) \leqslant \operatorname{card} (\mathscr{A}) \leqslant \mathfrak{m}$ , it follows from the remark preceding this lemma that for some  $\alpha \epsilon \mathscr{A}$ ,  $\operatorname{card} (\psi^{-1}(\alpha)) = \mathfrak{m}^+$ .

We claim that this  $\alpha$  satisfies the conclusion of lemma. To see this, let  $H_i\colon \varDelta \to \varGamma_i$  be the projection of  $\varDelta$  onto  $\varGamma_i$ . Then, by the definition of  $\varDelta'$ , card  $\left(H_i\left(\psi^{-1}(\alpha)\right)\right) = \mathfrak{m}^+$  for all  $1\leqslant i\leqslant n+m$ . Moreover,  $y_a(\gamma)\geqslant 1/2$  for all  $\gamma\in H_i\left(\psi^{-1}(\alpha)\right), \ 1\leqslant i\leqslant n, \ \text{and} \ y_a(\gamma)\leqslant -1/2 \ \text{for all} \ \gamma\in H_i\left(\psi^{-1}(\alpha)\right), \ n+1\leqslant i\leqslant n+m.$  This completes the proof of Lemma 4.

Proof of Lemma 3 (ii). By considering if necessary the set rY instead of the set Y (for some sufficiently large r>0), we may assume  $\operatorname{cl}^*(Y) = S_{l^\infty(I)}$ .

By Proposition 2.2 of [11], it suffices to show the following:

(\*\*) Given an integer m and a finite collection  $\Gamma_0, \ldots, \Gamma_{2^m-1}$  of pairwise disjoint subsets of  $\Gamma$  each of cardinality  $\geqslant m^+$ , there exists  $y \in Y$  such that card  $\{\gamma \in \Gamma_i : (-1)^i y(\gamma) \geqslant 1/2\} \geqslant m^+$  for  $0 \leqslant i \leqslant 2^m - 1$ .

But defining  $\Gamma_i' = \Gamma_{2i}$  for  $0 \le i \le 2^{m-1} - 1$  and  $\Gamma_{2^{m-1}+i}' = \Gamma_{2i+1}$  for  $0 \le i \le 2^{m-1} - 1$ , we can select (by Lemma 4) a  $y \in Y$  such that (\*\*') card  $\{\gamma \in \Gamma_i' \colon y(\gamma) \ge 1/2\} \ge m^+$  for  $0 \le i \le 2^{m-1} - 1$  and card  $\{\gamma \in \Gamma_i' \colon y(\gamma) \le -1/2\} \ge m^+$  for  $2^{m-1} \le i \le 2^m - 1$ .

This is equivalent to (\*\*), and completes the proof.

Theorem 1 now follows immediately from Proposition 2 and Lemma 3. For if  $T\colon L^1(\mu)\to X^*$  ( $T\colon l^1(\varGamma)\to X^*$  respectively) is an isomorphism and  $\mu,X$ , and  $\varGamma$  are as in the statement of the theorem, then  $Y=T^*(\chi S_X)$  is a bounded convex norming subset of  $[L^1(\mu)]^*$  (of  $l^\infty(\varGamma)$ ) and the density character of  $Y\leqslant \dim X< \operatorname{card}(\varGamma)$ , respectively. (This last is true since the density character of  $S_X=\dim X$  and Y is a continuous image of  $S_X$ . Hence the density character of  $Y\leqslant \dim X$  density character of  $S_X$ .) In either case, Y contains a basic sequence  $(y_n)$  equivalent to the usual basis in  $l^1$ , and the theorem follows from the remark following Proposition 2.

We remark that Theorem 3.4 of [11] can now be stated without the assumption that the subspace isomorphic to  $L^1(\mu)$  or  $l^1(\Gamma)$  is seminorming. We state some of these equivalences for the sake of completeness.

THEOREM 5. Let X be a separable Banach space. Then the following are equivalent:

X contains a subspace isomorphic to l<sup>1</sup>;

- (ii) C[0,1] is isomorphic to a quotient space of X. (If K is a compact Hausdorff space, then C(K) is the Banach space of continuous functions on K with  $||f|| = \sup\{|f(x)|: x \in K\}$  for  $f \in C(K)$ .)
  - (iii) X\* contains a subspace isomorphic to L¹.
  - (iv)  $X^*$  contains a subspace isomorphic to  $l^1(I)$  with  $card(I) = \mathfrak{C}$ .
  - (v)  $X^*$  contains a subspace isomorphic to  $C[0, 1]^*$ .
- (i)  $\Rightarrow$  (iii)  $\Rightarrow$  (iii), (iv), and (v) were all proved in [1.1.]. (iii)  $\Rightarrow$  (i) is a restatement of Theorem 1 (I) (since if  $\mu$  is non purely atomic then  $L^1(\mu)$  contains a subspace isometric to  $L^1$ ), and (iv)  $\Rightarrow$  (i) is a special case of Theorem 1 (II). (v)  $\Rightarrow$  (iii) is immediate since  $C[0,1]^*$  contains a subspace isometric to  $L^1$ .

Remark. The result of Gelfand [5] that  $L^1$  is not isomorphic to a subspace of a separable conjugate space now follows directly from the implication (iii)  $\Rightarrow$  (v) in Theorem 5 since  $C[0,1]^*$  is non separable.

We also have the following corollaries to Theorem 1.

COROLLARY 6. Let X be either

- (i) a separable  $\mathscr{L}_{\infty}$  space with a non separable dual; or
- (ii) isomorphic to a quotient space of  $C\left[0,1\right]$  with a non separable dual.

Then X contains a subspace isomorphic to l.

- Proof. (i) The assumptions imply that  $X^*$  is a non separable space such that  $X^{**}$  is injective. (Cf. [10], p. 335.) It now follows from the remark following Theorem 2.3 of [13], p. 217, that  $X^*$  contains a subspace isomorphic to  $l^1(\Gamma)$  for some uncountable set  $\Gamma$ . (Otherwise, it would follow from the remark that  $X^*$  is isomorphic to a subspace of  $L^1$ , which is impossible since  $X^*$  is non separable.) Hence, by Theorem 1 (II) X contains a subspace isomorphic to  $l^1$ .
- (ii) Let  $\varphi\colon C\left[0,1\right]\to X$  be an operator from  $C\left[0,1\right]$  onto X. Then  $\varphi^*\colon X^*\to C\left[0,1\right]^*$  is an isomorphism so (by assumption)  $\varphi^*(X^*)$  is a non-separable subspace of  $C\left[0,1\right]^*$ . It now follows from [13], Lemma 1.3, that  $\varphi^*(X^*)$  contains a subspace isomorphic to  $l^1(\Gamma)$  for some uncountable set  $\Gamma$ . (Otherwise, it would follow from this lemma that there exists a positive  $\mu\in C\left[0,1\right]^*$  (which we identify by the Riesz, Representation Theorem ([3], p. 265) with  $M\left[0,1\right]$ , the Banach space of regular Borel measures on [0,1]) such that  $\varphi^*(X^*)$  is a subspace of  $L^1(\mu)$ . But this is impossible, since for every  $\mu\in M\left[0,1\right]$ ,  $L^1(\mu)$  is separable). Hence X contains a subspace isomorphic to  $l^1$ . This completes the proof of the corollary.

Lewis and Stegall [7] have proved that if X is a separable  $\mathscr{L}_{\infty}$  space and  $X^*$  is separable, then  $X^*$  is isomorphic to  $l^1$ . Combining this result with Corollary 6 (i), we have the following:

COROLLARY 7. Let X be a separable  $\mathscr{L}_{\infty}$  space. Then either  $X^*$  is isomorphic to  $l^1$  or X contains a subspace isomorphic to  $l^1$ .

A final corollary to Theorem 1 is a topological result which depends heavily on work of Pelczyński and Semadeni [12] on spaces of continuous functions. Recall that a topological space K is dispersed if it contains no non-empty perfect subset. The weight of a topological space K (wt(K)) is the least cardinal number m such that there exists a base for the topology of K having cardinality m.

COROLLARY 8. Let K be a dispersed compact Hausdorff space. Then  $\operatorname{wt}(K) = \operatorname{card}(K)$ .

Proof. It is well known that  $\operatorname{wt}(K) = \dim C(K)$ , that  $\operatorname{wt}(K) \leq \operatorname{card}(K)$  ([4], p. 105), and that  $C(K)^*$  contains a subspace isometric to  $l^1(\Gamma)$  with  $\operatorname{card}(\Gamma) = \operatorname{card}(K)$ . If  $\operatorname{wt}(K) < \operatorname{card}(K)$ , then by Theorem 1 (II), C(K) contains a subspace isomorphic to  $l^1$ . But by the Main Theorem of [12], p. 214, K is not dispersed if C(K) contains a subspace isomorphic to  $l^1$ . Therefore  $\operatorname{wt}(K) = \operatorname{card}(K)$ .

Final Remarks. It can be shown that Theorem 1 (II) cannot be improved in the following sense: There exist Banach spaces X with  $\dim X > \aleph_0$  such that  $X^*$  contains a subspace isomorphic to  $l^1(\Gamma)$  (even as a complemented subspace) and such that  $\dim(X) < \operatorname{card}(\Gamma)$  but such that X does not contain a subspace isomorphic to  $l^1(\Omega)$  with  $\operatorname{card}(\Omega) > \aleph_0$ .

To see this, let m be an uncountable cardinal number such that  $\mathfrak{m}^{\aleph_0}=2^{\mathfrak{m}}$ , (cf. [14], pp. 153–154), and let  $\varDelta$  be a set of cardinality m. Let  $\varDelta^*$  be the one point compactification of the (discrete) set  $\varDelta$ . Then, by Propositions 3.1 and 3.2 of [8], the space  $K=\varDelta^*\times\varDelta^*\times\varDelta^*\times ...$  is homeomorphic to a weakly compact set in a Banach space. Thus by Proposition 1 of [1], C(K) is a weakly compactly generated (WCG) Banach space. It is easily seen that  $\dim C(K)=\mathfrak{m}$  and  $C(K)^*=M(K)$  contains a complemented subspace isometric to  $l^1(\varGamma)$  with  $\operatorname{card}(\varGamma)=2^{\mathfrak{m}}$ . However, it is well known (cf. [13], Remark 2, p. 214) that no WCG Banach space contains a subspace isomorphic to  $l^1(\varOmega)$  with  $\operatorname{card}(\varOmega)>\aleph_0$ . (For another example, see [13], p. 236.)

A corresponding problem for  $L^1(\mu)$  spaces is the following: If  $X^*$  contains a subspace isomorphic to  $L^1(\mu)$  where  $\mu$  is a finite homogeneous measure, does X contain a subspace isomorphic to  $l^1(\Gamma)$  with  $\operatorname{card}(\Gamma) = \dim (L^1(\mu))^*$  This was conjectured in [11] (under the additional assumption that  $L^1(\mu)$  is embedded as a seminorming subspace of  $X^*$ ). This problem appears still to be unsolved.

Finally, we mention that Theorem 1 and the corollaries which follow provide a positive solution in special cases to the question of Lindenstrauss: If X is separable and  $X^*$  is not, then does X contain a subspace isomorphic to  $l^1$ ?

J. Hagler

#### 42

#### References

- D. Amir, and J. Lindonstrauss, The structure of weakly compact sets in Banach spaces. Ann. of Math., 88 (1968), pp. 35-46.
- [2] F. Delbaen, A remark on A. Pelczyński's paper "On Banach spaces containing  $L_1(\mu)$ ".
- [3] N. Dunford, and J. T. Schwartz, Linear operators. Part I. New York 1958.
- [4] R. Engelking, Outline of general topology, Warsaw 1968.
- [5] I. M. Gelfand, Abstrakte Funktionen und lineare Operatoren, Mat. Sb., 4 (46), 1938, pp. 235-286.
- [6] W. B. Johnson, and H. P. Rosenthal, On w\*-basic sequences and their applications to the study of Banach spaces, Studia Math. 43 (1972), pp. 77-92.
- [7] D. R. Lewis, and C. Stegall, Banach spaces whose duals are isomorphic to l<sub>1</sub>(Γ), J. Functional Analysis, 12 (1973), pp. 177-187.
- [8] J. Lindenstrauss, Weakly compact sets their topological properties and the Banach spaces they generate, Proc. of the Symposium of Infinite Dimensional Topology, Princeton 1972.
- [9] and A. Pełczyński, Absolutely summing operators in  $\mathcal{L}_p$  spaces and their applications, Studia Math. 29 (1968), pp. 275-326.
- [10] and H. P. Rosenthal, The  $\mathcal{L}_p$  spaces, Israel J. Math. 7 (1969), pp. 227-239.
- [11] A. Pełozyński, On Banach spaces containing  $L_1(\mu)$ . Studia Math. 30 (1968), pp. 231-246.
- [12] and Z. Semadeni, Spaces of continuous functions (III) (Spaces C(Ω) for Ω without perfect subsets). Studia Math. 18 (1959), pp. 211-222.
- [13] H. P. Rosenthal, On injective Banach spaces and the spaces L<sup>∞</sup>(μ) for finite measures μ. Acta Math. 124 (1970), pp. 205-248.
- [14] W. Sierpinski, Cardinal and ordinal numbers, Warsaw 1958.

Received December 28, 1971 (456)



## STUDIA MATHEMATICA, T. XLVI. (1973)

### Smooth partitions of unity on some non-separable Banach spaces

b

### H. TORUŃCZYK (Warszawa)

Abstract. It is shown that every Hilbert space, any space of the form  $L_{2n}(X,\mu)$  and any space  $c_0(A)$  admit  $O^{\infty}$ -partitions of unity. Moreover, all reflexive Banach spaces admit partitions of class  $C^1$ . The above results are obtained by verifying that a sufficient (and necessary) condition for a space to admit  $O^k$ -partitions of unity is satisfied in those cases; the condition is stated in Theorem 1.

Let X be a metric space and let S be a set of real functions on X. We say that X admits S-partitions of unity if, given an open cover  $\mathscr U$  of X, there is a locally finite partition of unity  $(f_U)_{U\in\mathscr U}$  with  $f_U|X-U=0$  and  $f_U\in S$  for any  $U\in\mathscr U$ . It is of interest to know whether or not a given Banach space admits  $C^k$ -partitions of unity,  $k=1,2,\ldots,\infty$ . In the case of separable spaces Bonic and Frampton ([2], Th. 1), extending the method of Eells (cf. [7], pp. 28–30), proved:

(BF) A separable Banach space E admits  $C^k$ -partitions of unity iff there exists a non-constant function in  $C^k(E)$  with bounded support.

From this it follows that the separable Hilbert space and the spaces  $c_0$ ,  $l_{2n}$  and  $L_{2n}(0,1)$ ,  $n=1,2,\ldots$  admit  $C^{\infty}$ -partitions of unity (the  $C^{\infty}$ -partitions of unity on  $l_2$  were first constructed by Eells, see [7]). Combining (BF) with the result of Kadec–Restrepo ([6] and [11]) one obtains also that any separable Banach space with a separable dual admits  $C^1$ -partitions of unity. For further discussion of smooth partitions of unity on separable Banach spaces we refer the reader to [2].

However, it is not known whether the statement (BF) remains true for non-separable Banach spaces, and only very recently Wells [13] showed that each Hilbert space admits partitions of class  $C^1$ . The aim of this paper is to prove that each of the spaces  $c_0(A)$ ,  $l_2(A)$ ,  $L_{2n}(X, \mu)$  (A-an arbitrary set,  $(X, \mu) - a$  positive measure space,  $n \in N$ ) admits  $C^{\infty}$ -partitions of unity. We will also prove that any reflexive Banach space admits partitions of class  $C^1$ . Our approach is different from that of Wells and depends on the construction of some  $\sigma$ -locally finite base of open sets in  $c_0(A)$ .

The author would like to thank Cz. Bessaga and S. Troyanski for helpful discussions during the preparation of this note.