

On Liouville  $F$ -Algebras\*

by

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**Abstract.** This paper investigates the spectra of elements from a Liouville  $F$ -algebra. A generalization of the notion of a Shilov boundary for a Banach algebra is defined and the principle result concerns the presence of algebraically principal closed maximal ideals on this boundary.

**1. Introduction.** A commutative  $F$ -algebra  $A$  with an identity element is called a Liouville  $F$ -algebra if the spectrum of each non-constant element in  $A$  is an unbounded subset of the complex plane  $C$  [2]. The entire functions  $E$  in the topology of uniform convergence on the compact subsets of  $C$  is an example of a singly generated Liouville  $F$ -algebra. Birtel [2] was interested in characterizing  $E$  when he defined the Liouville property. The first example of a singly generated Liouville  $F$ -algebra which properly contains  $E$  was constructed in [3].

In Section 2 we investigate conditions which guarantee that the spectrum of an element from a Liouville  $F$ -algebra is identifiable with  $C$ . We introduce and investigate a generalization of the Shilov boundary for a Banach algebra. The major result of this study is the existence of algebraically principal closed maximal ideals at non-isolated points on our boundary. The reader is referred to [8] for the basic information on  $F$ -algebras.

**2. Spectra in Liouville  $F$ -algebras.**

**DEFINITION 2.1.** An  $F$ -algebra  $A$  with identity element  $e$  is called a *Liouville  $F$ -algebra* provided the following condition is satisfied:

*If  $a \in A$  and there exists an  $M > 0$  such that  $|h(a)| \leq M$  for each  $h \in M_A$  then  $a = \lambda e$  for some  $\lambda \in C$ .*

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From this definition it follows immediately that a Liouville  $F$ -algebra is a semisimple algebra.

**PROPOSITION 2.2.** *If  $A$  is a Liouville  $F$ -algebra,  $x \in A$  and  $x \neq \lambda e$  for any  $\lambda \in C$ , then the spectrum of  $x$ ,  $\sigma(x)$ , is a dense connected subset of  $C$ . Moreover,  $\sigma(x)^c$  (complement of  $\sigma(x)$  in  $C$ ) contains no closed connected subsets other than single points.*

**Proof.** Assume that  $\sigma(x) \cap N(\lambda, r) = \emptyset$ , where  $N(\lambda, r) = \{z \in C : |z - \lambda| < r\}$ . Now  $f(z) = (z - \lambda)^{-1}$  is analytic on  $\overline{N(\lambda, r/2)}^c$ , an open subset of  $C$  containing  $\sigma(x)$ . A well known result for  $F$ -algebras implies that there exists a unique  $y \in A$  such that  $h(y) = f(h(x))$  for each  $h \in M_A$  [9, Theorem 10.1]. However,  $y \in A$  has a bounded spectrum, which contradicts the Liouville hypothesis since  $y \neq \lambda e$  for all  $\lambda \in C$ . Hence,  $\sigma(x)$  is a dense subset of  $C$ .

Now assume that  $\sigma(x) \subset V_1 \cup V_2$ , where  $\{V_i\}_{i=1}^2$  are separating non-empty open subsets of  $C$  with  $\sigma(x) \cap V_i \neq \emptyset$ ,  $i = 1, 2$ . The  $\{V_i\}_{i=1}^2$  may be chosen to be disjoint, for if  $V_1 \cap V_2 \neq \emptyset$  then  $\sigma(x) \cap (V_1 \cap V_2) \neq \emptyset$  since  $\sigma(x)$  is dense in  $C$ . This contradicts the fact that  $V_1$  and  $V_2$  separate  $\sigma(x)$ . For  $n$  sufficiently large,  $V_i \cap \sigma(x_n) \neq \emptyset$ ,  $i = 1, 2$ . Shilov has shown that there exists an idempotent element  $u_n \in \bar{A}_n$  such that  $\hat{u}_n(h) = f(\hat{x}_n(h))$ ,  $h \in M_{\bar{A}_n}$ , where  $f$  is the analytic function defined on  $V_1 \cup V_2$  satisfying  $f|_{V_1} \equiv 1$  and  $f|_{V_2} \equiv 0$  [9]. Moreover, if  $j > i$ , then  $\pi_i^j(u_j) \equiv u_i$  and,  $\pi_i^j(u_j) = \pi_i^j(u_j^2) = \pi_i^j(u_j)^2$ . The uniqueness of  $u_i \in \bar{A}_i$  modulo the radical implies  $\pi_i^j(u_j) = u_i$  [8]. Hence,  $A$  contains a proper idempotent element [9, Theorem 5.1]. This contradicts the Liouville hypothesis. Therefore,  $\sigma(x)$  is a connected subset of  $C$ .

Next let  $K$  be a connected closed subset of  $C$ , and assume  $K \subset \sigma(x)^c$ . We assume that  $K$  is a subset of  $S$ , the extended complex plane. If  $K$  is an unbounded subset of  $C$ , we adjoin the point at infinity to  $K$ . Let  $U = S - K$ . Now,  $\sigma(x) \subset U$  and  $\sigma(x)$  is a dense subset of  $C$ . Hence  $\sigma(x)$  is dense in  $U$ . If  $U$  is not a connected subset of  $C$ , then  $\sigma(x)$  would not be a connected subset of  $C$ , which contradicts the previous result. Hence,  $U$  is a connected subset of  $C$ , and by our assumption on  $K$ ,  $U$  is also an open subset of  $S$ . Moreover,  $S - U = K$  implies that  $U$  is a simply connected region in  $S$ . If  $K$  contains more than one point, then by the Riemann Mapping Theorem, there exists  $f \in \text{Hol}(U)$  such that  $f$  maps  $U$  onto the open unit disc [11]. Since  $\sigma(x) \subset U$  and  $A$  is closed under the application of analytic functions,  $f \circ \hat{x}$  defines a unique element of  $A$ . The transform  $f \circ \hat{x}$  is not constant since  $\sigma(x)$  is dense in  $U$  and  $f$  is not constant on  $\sigma(x)$ . Furthermore,  $f \circ \hat{x}$  has a bounded spectrum, which contradicts the Liouville property. Hence,  $K$  must consist of at most one point.

If  $A$  is a commutative  $F$ -algebra, then  $M_A$  may be topologized in at least two natural ways.

(1) The weak topology on  $M_A$  which  $M_A$  inherits as a subset of the dual space  $A'$  equipped with the weak topology induced on  $A'$  by  $A$  [9, p. 6].

(2) The direct limit topology on  $M_A$ : a set  $U \subset M_A$  is open if and only if  $U \cap M_{\bar{A}_n}$  is open relative to the weak topology on  $M_{\bar{A}_n}$  induced by  $\bar{A}_n$  for each  $n = 1, 2, \dots$

The weak topology on  $M_A$  is the weak\* topology determined by the subclass of sets of the form  $V_{h_0, \epsilon, \alpha} = \{h \in M_A : |\hat{a}(h) - \hat{a}(h_0)| < \epsilon\}$  where  $\alpha \in A$ . The direct limit topology on  $M_A$  is stronger than the weak topology on  $M_A$ . The technique used in the previous proposition yields the following:

**COROLLARY 2.3.** *If  $A$  is a Liouville  $F$ -algebra, then  $M_A$  is connected in the direct limit topology. Moreover, if  $h \in M_A$ , then  $h$  is not isolated with respect to infinitely many  $M_{\bar{A}_n}$ ,  $n = 1, 2, \dots$*

We note at this point that the known examples of singly generated Liouville  $F$ -algebras all have  $\sigma(\alpha) = C$  [2, 3]. However, the Liouville hypothesis is not sufficient to guarantee that  $\sigma(\alpha)$  is equal to  $C$  for all choices of a generator, as Example 2.4 will illustrate. We precede the example with a discussion of a method for forming singly generated Liouville  $F$ -algebras which will be referred to later.

If  $D$  is a compact subset of  $C$ , then  $\text{Hol}(D)$  denotes the algebra of functions which are analytic in  $\dot{D}$  (the interior of  $D$ ), and have a continuous extension to  $D$ . It is well known that  $\text{Hol}(D)$  is a Banach algebra under the norm,  $\|f\|_D = \sup_{z \in D} |f(z)|$  for  $f \in \text{Hol}(D)$ . A theorem of Mergelyan [11], states that  $\text{Hol}(D)$  is the uniform closure on  $D$  of the algebra of polynomials in  $z$ , if and only if  $D$  is a non-separating subset of  $C$ . Let  $\{D(n)\}_{n=1}^\infty$  denote an increasing sequence of compact non-separating subsets of  $C$ , and  $\sigma = \bigcup_{n=1}^\infty D(n)$ . Assume  $\pi_n^{n+1} : \text{Hol}(D(n+1)) \rightarrow \text{Hol}(D(n))$  is the natural homomorphism defined by  $\pi_n^{n+1}(f) = f|_{D(n)}$  for each  $f \in \text{Hol}(D(n+1))$ ,  $n = 1, 2, \dots$ . Since the sequence  $\{D(n)\}_{n=1}^\infty$  is an increasing sequence,  $\pi_n^{n+1}$  is continuous, and  $\pi_n^{n+1}(\text{Hol}(D(n)))$  is clearly dense in  $\text{Hol}(D(n))$ . Using Arens' terminology [1], let  $\text{Hol}(\sigma)$  denote the strong dense inverse limit of  $\{\text{Hol}(D(n)), \pi_n^{n+1}\}_{n=1}^\infty$ . In Michael's terminology,  $\text{Hol}(\sigma)$  is the projective limit of the Banach algebras  $\{\text{Hol}(D(n)), \|\cdot\|_{D(n)}\}_{n=1}^\infty$ .  $\text{Hol}(\sigma)$  is clearly a singly generated semisimple  $F$ -algebra and  $M_{\text{Hol}(\sigma)}$  is identifiable pointwise with  $\sigma(\alpha) = \bigcup_{n=1}^\infty D(n)$ .

**EXAMPLE 2.4.** Let

$$D(n) = \{z \in C : 0 \leq \arg z \leq 2\pi - 1/n : 1/n \leq |z| \leq n\}$$

$$\cup \{z \in C : \arg z = 2\pi - 1/k, k \geq n+1, 1/n \leq |z| \leq n\}.$$

LEMMA 2.5. If  $\sigma = \bigcup_{n=1}^{\infty} D(n)$  then  $A = \text{Hol}(\sigma)$  is a Liouville  $F$ -algebra. Furthermore,  $\bigcup_{n=1}^{\infty} D(n)$  is a proper subset of  $C$ .

Proof. We note that  $D(n) \subseteq D(n+1)$  for each  $n = 1, 2, \dots$ , and  $\sigma = \bigcup_{n=1}^{\infty} D(n) = C - \{0\}$ .  $\text{Hol}(\sigma)$  is a singly generated semisimple  $F$ -algebra with an identity element, and  $\sigma(a)$  is identifiable with  $\sigma(a) = C - \{0\}$ . The proof that  $A$  is a Liouville  $F$ -algebra follows from the argument used in the proof of Lemma 3 of [3].

The example may easily be modified to exclude infinitely many points from  $\sigma(a)$ . We now discuss what conditions must be placed on  $A$ , which will guarantee that  $\sigma(a) = C$  where  $a$  generates  $A$ .

DEFINITION 2.6. An algebra  $A$  is said to admit square roots, if for each  $f \in A$  with  $f^{-1} \in A$ ,  $x^2 - f = 0$  has a solution in  $A$ .

The algebra  $A$  in Example 2.4 does not admit square roots, as is demonstrated by the following argument. Since  $0 \notin \sigma(a)$ ,  $a^{-1} \in A$  [9, Theorem 5.2]. If  $s \in A$  were a solution to  $x^2 - a = 0$ , then  $s^2 = a$ , and  $s(z) = \pm \sqrt{z}$  for  $z \in \sigma(a)$ . Without loss of generality, assume that  $s(1) = 1$ . Now,  $s(z)$  is analytic off the positive real axis, and so  $s(z)$  defines a single branch of the square root function away from the positive real axis. The choice of  $D(n)$  implies that  $s(z)$  has a continuous extension to a circle  $\Gamma$  about the origin in the relative euclidean topology on  $\Gamma$ . This clearly is a contradiction. Hence,  $x^2 - a = 0$  has no solution in  $A$ .

Example 2.4 provides a counterexample to Theorem 3.1 of Birtel [2]. We now give the correct formulation of his proposition. We let  $\sigma(a)$  denote the Euclidean interior of  $\sigma(a) \subseteq C$ .

PROPOSITION 2.7. If  $A$  is a singly generated Liouville  $F$ -algebra, with generator  $a$ , and  $A$  admits square roots then  $\sigma(a) = C$  provided  $\sigma(a) \neq \emptyset$ .

Proof. Without loss of generality we assume the  $m$ -base for  $A$  is chosen such that  $\sigma(a_n) \subseteq \sigma(a_{n+1})$  for each  $n = 1, 2, \dots$ . Now  $\sigma(a) = \bigcup_{n=1}^{\infty} \sigma(a_n)$ . If  $\sigma(a) \neq \emptyset$ , then an elementary application of the Baire Category Theorem implies that  $\sigma(a_n) \neq \emptyset$  for all  $n$  sufficiently large.

Let  $\sigma(a) \not\subseteq C$  and without loss of generality we assume that  $0 \notin \sigma(a)$ . Then  $a$  is regular in  $A$ , i. e.,  $a^{-1} \in A$  [9, Theorem 5.2]. Let  $s \in A$  denote a solution to  $x^2 - a = 0$ . Now  $\hat{s}: M_A \rightarrow \sigma(s)$  is a one-one mapping since  $\hat{s}(h)^2 = \hat{a}(h)$  for each  $h \in M_A$  and  $\hat{a}: M_A \rightarrow \sigma(a)$  is a one-one mapping. Moreover, if  $\hat{s}(h) = -\hat{s}(k)$  for some  $h \in M_A$  and  $k \in M_A$  then  $\hat{a}(h) = \hat{a}(k)$  and  $h = k$ . Thus  $\sigma(s) \cap \sigma(-s) = \emptyset$  since  $0 \notin \sigma(a)$ . But then  $\sigma(s) \cap (-\hat{s} | \sigma(a_n)) = \emptyset$  for  $n$  sufficiently large i. e.,  $\sigma(s)$  must miss an open disk in  $C$ . This contradicts Proposition 2.2 and thus  $\sigma(a) = C$ .

### 3. A boundary for $F$ -algebras.

DEFINITION 3.1. Let  $A$  denote an  $F$ -algebra. If  $\underline{P}$  is an  $m$ -base of zero in  $A$ , then the boundary  $\Gamma_{\underline{P}}$  of  $A$  is defined as follows:  $\Gamma_{\underline{P}} = \bigcup_{k=1}^{\infty} \bigcap_{n=k}^{\infty} \partial_{\bar{A}_n}$  where  $\partial_{\bar{A}_n}$  denotes the Shilov boundary of  $\bar{A}_n$ .

PROPOSITION 3.2. If  $A$  is an  $F$ -algebra, then  $\Gamma_{\underline{P}}$  is independent of the choice of  $m$ -base for  $A$ .

Proof. Let  $\underline{P}$  and  $\underline{P}'$  denote two choices of  $m$ -base for  $A$ , and  $\{\bar{A}_n\}_{n=1}^{\infty}$  and  $\{\bar{A}'_n\}_{n=1}^{\infty}$  the associated sequence of Banach algebras determined by  $\underline{P}$  and  $\underline{P}'$  respectively. Let  $h \in \Gamma_{\underline{P}} = \bigcup_{k=1}^{\infty} \bigcap_{n=k}^{\infty} \partial_{\bar{A}_n}$ . Then there exists  $K$  such that  $h \in \partial_{\bar{A}_n}$  for all  $n \geq K$ . The hemicompactness of  $M_A$  with respect to the sequence  $\{M_{\bar{A}_n}\}_{n=1}^{\infty}$  implies that given  $n$ , there exists  $m = m(n)$  such that  $M_{\bar{A}'_n} \subseteq M_{\bar{A}_m}$ . Without loss of generality we assume  $m = m(n) \geq K$ . If  $h \in M_{\bar{A}'_n}$ , it suffices to prove that  $h \in \partial_{\bar{A}'_n}$ . Let  $r_n^m: \hat{A}_m \rightarrow \hat{A}'_n$  be the natural restriction homomorphism of  $\hat{A}_m$  into  $\hat{A}'_n$  defined by  $r_n^m(f) = f | M_{\bar{A}'_n}$  for each  $f \in \bar{A}_m$ . Now  $M_{\bar{A}'_n}$  is a compact subset of  $M_{\bar{A}_m}$ , and since for Banach algebras the Shilov boundary depends only on the transform algebras, we may apply Corollary 6.2 [10] to conclude that  $M_{\bar{A}'_n} \cap \partial_{\bar{A}_m} \subseteq \partial_{\bar{A}'_n}$ . Thus,  $h \in M_{\bar{A}'_n}$  implies  $h \in \partial_{\bar{A}'_n}$  and it follows that  $\Gamma_{\underline{P}} \subseteq \Gamma_{\underline{P}'}$ . Similarly,  $\Gamma_{\underline{P}'} \subseteq \Gamma_{\underline{P}}$  and the proposition is proven.

If  $A$  is now assumed to be a singly generated  $F$ -algebra and  $a$  denotes a generator for  $A$ , then  $\sigma(a)$  may be identified pointwise with the carrier space  $M_A$  of  $A$ . Moreover,  $\hat{a}(\Gamma) = \Gamma_a$  implies by Proposition 3.2 that  $\Gamma_a$  is independent of the choice of  $m$ -base for  $A$ . Since the topological boundary of  $\sigma(a_n)$ ,  $\text{bd} \sigma(a_n)$ , can be identified with  $\partial_{\bar{A}_n}$  whenever  $\bar{A}_n$  is singly generated [9], we have  $\Gamma_a = \bigcup_{k=1}^{\infty} \bigcap_{n=k}^{\infty} \text{bd} \sigma(a_n)$ . Also,  $\Gamma_a = \sigma(a) - \bigcup_{n=1}^{\infty} \sigma(a_n)$  where  $\sigma(a_n)$  denotes the Euclidean interior of  $\sigma(a_n)$ .

PROPOSITION 3.3. If  $A$  is a singly generated Liouville  $F$ -algebra with generator  $a$ , then  $\bar{\Gamma}_a = C - \bigcup_{n=1}^{\infty} \sigma(a_n)$  (closure in the Euclidean topology on  $C$ ).

Proof. Without loss of generality we choose an  $m$ -base  $\underline{P}$  for  $A$  such that  $\sigma(a_n) \subseteq \sigma(a_{n+1})$  for each  $n = 1, 2, \dots$ . If  $\sigma(a_n) = \emptyset$  for each  $n = 1, 2, \dots$ , then  $\Gamma_a = \bigcup_{k=1}^{\infty} \bigcap_{n=k}^{\infty} \text{bd} \sigma(a_n) = \bigcup_{k=1}^{\infty} \bigcap_{n=k}^{\infty} \sigma(a_n) = \bigcup_{k=1}^{\infty} \sigma(a_k) = \sigma(a)$ . Since  $\sigma(a)$  is dense in  $C$  (Proposition 2.2) we have  $\bar{\Gamma}_a = \bar{\sigma(a)} = C$ .

Without loss of generality we may assume  $\sigma(a_1) \neq \emptyset$ . Clearly  $\bar{\Gamma}_a \subseteq C - \bigcup_{n=1}^{\infty} \sigma(a_n)$ . Let  $\lambda \in C - \bigcup_{n=1}^{\infty} \sigma(a_n)$ . It suffices to prove that if  $N_r(\lambda) = \{z \in C: |z - \lambda| < r\}$  for any  $r > 0$ , then  $\overline{N_r(\lambda)} \cap \bar{\Gamma}_a \neq \emptyset$ . Assume  $\overline{N_r(\lambda)} \cap \bar{\Gamma}_a = \emptyset$  for some  $r > 0$ . Now  $[\sigma(a_n) \cap N_r(\lambda)]^c$  where the complement is taken with respect to  $\overline{N_r(\lambda)}$ , is a compact subset of  $\overline{N_r(\lambda)}$ . Let  $D_n$  denote the component of  $[\sigma(a_n) \cap N_r(\lambda)]^c$  containing  $\lambda$  and let  $D = \bigcap_{n=1}^{\infty} D_n$ .

We prove that  $D$  must contain a point  $x_0 \notin \sigma(a)$  where  $x_0 \neq \lambda$ . Let  $\gamma_r$  denote the circle of radius  $r$  about  $\lambda$ . Now  $\gamma_r \subset \sigma(a_n)$  for any  $n = 1, 2, \dots$ , since  $\sigma(a_n)$  is a non-separating subset of  $C$  and  $\lambda \notin \sigma(a)$ . Moreover, since  $\sigma(a)$  is a dense connected subset of  $C$ , we know that  $\sigma(a) \cap \gamma_r \neq \emptyset$ . Without loss of generality we may assume that  $\sigma(a_1) \cap \gamma_r \neq \emptyset$ . But  $\sigma(a_n)$  being a non-separating subset of  $C$ , implies that there exists an arc joining  $\lambda$  to  $\infty$  which misses  $\sigma(a_n)$ , and intersects  $\gamma_r$ . Thus, there exists  $x_n \in D_n \cap \gamma_r$  for each  $n = 1, 2, \dots$ . Because  $\gamma_r$  is compact,  $\{x_n\}_{n=1}^{\infty}$  has a limit point  $x_0 \in D$  since  $x_j \in D_k$  for each  $j \geq k$ . Moreover,  $x_0 \neq \lambda$  since  $x_0 \in \gamma_r$ .

Now  $D = \bigcap_{n=1}^{\infty} D_n$ . Since  $\{D_n\}_{n=1}^{\infty}$  is a decreasing sequence of compact connected subsets of  $C$ ,  $D$  is a compact, connected subset of  $C$ . Also,  $D \cap \sigma(a) \subseteq D \cap (\bigcup_{n=1}^{\infty} \sigma(a_n)) \cup (D \cap \bar{\Gamma}_a) = \emptyset$  since  $D_n \cap \sigma(a_n) = \emptyset$  for each  $m \geq n$  and  $D \cap \bar{\Gamma}_a \subseteq \overline{N_r(\lambda)} \cap \bar{\Gamma}_a = \emptyset$ . Thus,  $D$  is a closed connected subset of  $\sigma(a)^c$  which contains more than one point. This contradicts Proposition 2.2. Thus,  $\overline{N_r(\lambda)} \cap \bar{\Gamma}_a \neq \emptyset$  for any  $r > 0$  and the proposition is proven.

Even if  $A$  is a Liouville  $F$ -algebra with generator  $a$ ,  $\Gamma_a$  is in general not a connected subset of  $C$ . However, we do have the following proposition.

**PROPOSITION 3.4.** *If  $A$  is a singly generated Liouville  $F$ -algebra with generator  $a$ , then  $\bar{\Gamma}_a$  (closure now taken in the extended complex plane  $S$ ) is a connected subset of  $S$ .*

**Proof.** We again assume without loss of generality that  $\sigma(a_n) \subseteq \sigma(a_{n+1})$  for each  $n = 1, 2, \dots$ . Now  $\sigma(a_n)^c$  (complement in  $S$ ) is an open connected subset of  $S$  and hence  $\overline{\sigma(a_n)^c}$  (closure in  $S$ ) is a compact, connected subset of  $S$ . Moreover,  $\overline{\sigma(a_n)^c} \supseteq \overline{\sigma(a_{n+1})^c}$  implies that  $D = \bigcap_{n=1}^{\infty} \overline{\sigma(a_{n+1})^c}$  is a compact, connected subset of  $S$ . If  $\lambda \in \bar{\Gamma}_a$  and  $\lambda \neq \infty$  then  $\lambda \in \text{bd } \sigma(a_n)$  whenever  $\lambda \in \sigma(a_n)$ . Thus  $\lambda \in \sigma(a_n)^c$  for each  $n = 1, 2, \dots$ , and  $\lambda \in D$ . If  $\lambda = \infty$  then clearly  $\lambda \in D$  and thus  $\bar{\Gamma}_a \subseteq D$ . Conversely, if  $\lambda \in D$  and  $\lambda \neq \infty$

then  $\lambda \in \sigma(a_n)$  for each  $n = 1, 2, \dots$ . By Proposition 3.3,  $\bar{\Gamma}_a = C - \bigcup_{n=1}^{\infty} \sigma(a_n)$  implies that  $\lambda \in \bar{\Gamma}_a$ . Thus  $D = \bar{\Gamma}_a$  and the proposition is proven.

It follows from the above proposition that the closure of  $\Gamma_a$  in  $C$  is a Euclidean perfect set without any bounded components.

In [3], Birtel stated that if for some choice of  $m$ -base,  $\bigcap_{n=1}^{\infty} \text{bd } \sigma(a_n) = \emptyset$ , then  $\sigma(a) = C$ . [3, Theorem 3.2]. His proof assumed that  $A$  admits square roots as previously noted. This assumption is not needed, nor is the theorem dependent on the choice of  $m$ -base for  $A$ .

**PROPOSITION 3.5.** *If  $A$  is a singly generated Liouville  $F$ -algebra with generator  $a$  and  $\Gamma = \emptyset$ , then  $\sigma(a) = C$ . Moreover,  $\hat{a}: M_A \rightarrow C$  is a homeomorphism of  $M_A$  with the direct limit topology onto  $C$  with the Euclidean topology.*

**Proof.** Proposition 3.3 implies that  $C = \bigcup_{n=1}^{\infty} \sigma(a_n)$ . The remainder of the proof follows as in [2, Theorem 3.2].

In fact, when  $\Gamma = \emptyset$ , Birtel's proof in Theorem 3.3 together with Proposition 3.5 now yields the following characterization of the algebra  $\mathcal{E}$  of entire functions on  $C$ .

**PROPOSITION 3.6.** (Birtel) *If  $A$  is a singly generated Liouville  $F$ -algebra with identity then  $\Gamma = \emptyset$  if and only if  $A$  is topologically isomorphic to the algebra  $\mathcal{E}$  of entire functions on the complex plane with the compact-open topology.*

If  $A$  is a singly generated  $F$ -algebra, then the existence of Liouville  $F$ -algebras with  $\Gamma \neq \emptyset$  shows that our  $\Gamma$  is not a maximizing set for any  $\hat{a} \in \hat{A}$  where  $a \neq \lambda e$ . In Section 4 we show that the two known concepts of topological divisors of zero for  $F$ -algebras differ. For one of these concepts the non-topological divisors of zero may have a zero on  $\Gamma$ . We now construct an example which shows that  $\Gamma$  is in general not a determining set for a singly generated  $F$ -algebra.

**EXAMPLE 3.7.** For each positive integer  $n$  and  $k$ , define:

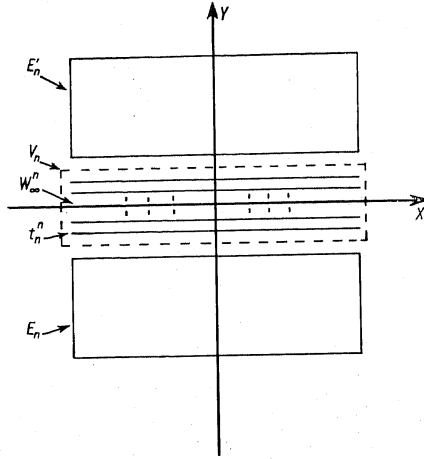
$$E'_n = \{z = x + iy: 1/n \leq y \leq n, -n+1/n \leq x \leq n-1/n\},$$

$$E_n = \{z = x + iy: -n \leq y \leq -1/n, -n+1/n \leq x \leq n-1/n\},$$

$$w_k^n = \{z = x + iy: y = \frac{2k+1}{2k(k+1)}, -n+1/n \leq x \leq n-1/n\},$$

$$t_k^n = \{z = x + iy: y = -\frac{2k+1}{2k(k+1)}, -n+1/n \leq x \leq n-1/n\},$$

$$w_\infty^n = \{z = x + iy: y = 0, -n+1/n \leq x \leq n-1/n\},$$



Let  $D_n = E_n \cup E'_n \cup w_\infty^n \cup \bigcup_{k=1}^{\infty} w_k^n \cup \bigcup_{k=1}^{\infty} t_k^n$ . Now  $D_n$  is a compact non-separating subset of  $C$ ,  $t_k^n \subseteq E_n$ ,  $w_k^n \subseteq E'_n$  for  $k = 1, 2, \dots, n-1$ , and  $C = \bigcup_{n=1}^{\infty} D_n$ . Let  $z_0$  be a fixed point in  $E_1$  and set

$$V_n = \{z = x + iy \mid -\frac{4n+3}{4n(n+1)} < y < \frac{4n+3}{4n(n+1)}, -n < x < n\}.$$

Note that  $\bigcup_{k=n}^{\infty} t_k^n \subseteq V_n$  and  $\bigcup_{k=n}^{\infty} w_k^n \subseteq V_n$ . Moreover,  $\bar{V}_n \cap E_n = \bar{V}_n \cap E'_n = \emptyset$  and if  $m \geq n$  then  $V_m \cap D_n \subseteq V_n$ . Also  $E_n \subseteq E_{n+1}$  and  $E'_n \subseteq E'_{n+1}$  for each  $n = 1, 2, \dots$

We now define a sequence of polynomials inductively as follows: Let  $p_1(z)$  be a polynomial in  $z$  such that  $p_1(z_0) = 1$ , and  $|p_1|_{\bar{V}_1 \cup E'_1} < 1$  ( $|p|_D = \sup_{z \in D} |p(z)|$ ). Such a polynomial exists by Runge's theorem. Assume  $p_1(z), \dots, p_{n-1}(z)$  have been constructed where  $p_i(z_0) = 1$ ,  $|p_i|_{\bar{V}_i \cup E'_i} < 1/2^i$  and  $|p_i - p_{i-1}|_{E'_i} < 1/2^i$  for  $i = 1, 2, \dots, n-1$ . We now show how to pick  $p_n(z)$ . Since  $\bar{V}_n, E_n$  and  $E'_n$  are disjoint, non-separating compact sets in  $C$  we can find a polynomial  $q(z)$  such that  $|q|_{\bar{V}_n \cup E'_n} < 1/2^{n+1}$  and  $|q - p_{n-1}|_{E_n} < 1/2^{n+1}$ . Let  $p_n(z) = q(z) + (1 - q(z_0))$ . Then  $p_n(z_0) = 1$ , and

$$\begin{aligned} |p_n|_{\bar{V}_n \cup E'_n} &= |q + (1 - q(z_0))|_{\bar{V}_n \cup E'_n} \leq |q|_{\bar{V}_n \cup E'_n} + |p_{n-1}(z_0) - q(z_0)| \\ &< 1/2^{n+1} + 1/2^{n+1} = 1/2^n \end{aligned}$$

since  $z_0 \in E_n$ . Furthermore,

$$\begin{aligned} |p_n - p_{n-1}|_{E_n} &= |q - p_{n-1} + 1 - q(z_0)|_{E_n} \leq |q - p_{n-1}|_{E_n} + |p_{n-1}(z_0) - q(z_0)| \\ &< 1/2^{n+1} + 1/2^{n+1} = 1/2^n. \end{aligned}$$

Thus, there exists a sequence of polynomials  $\{p_n(z)\}_{n=1}^{\infty}$  satisfying  $p_n(z_0) = 1$ ,  $|p_n|_{\bar{V}_n \cup E'_n} < 1/2^n$  and  $|p_n - p_{n-1}|_{E_n} < 1/2^n$ .

Let  $A$  denote the projective limit of  $\{\text{Hol}(D_n)\}_{n=1}^{\infty}$  using our previous construction. We view  $A$  as an algebra of functions on  $C = \bigcup_{n=1}^{\infty} D_n$ . Then the boundary  $\Gamma$  is  $\{z \in C : z \text{ real}\}$ .

**PROPOSITION 3.8.** *The algebra  $A$  is a singly generated Liouville  $F$ -algebra. Moreover, the sequence  $\{p_n(z)\}_{n=1}^{\infty}$  determines a non-zero  $f \in A$  satisfying  $f|_{\Gamma} \equiv 0$ . Furthermore,  $f(z) \equiv 0$  whenever  $\text{im}(z) \geq 0$ .*

*Proof.*  $A$  is clearly a single generated semisimple  $F$ -algebra. The proof that  $A$  satisfies the Liouville property is the same as in [3].

It suffices to prove that  $\lim_{n \rightarrow \infty} p_n(z) = f(z)$  exists for each  $z \in C$  and  $f|_{D_j} \in \text{Hol}(D_j)$  for each  $j = 1, 2, \dots$  Let 1 denote a fixed positive integer. We prove that  $\{p_n(z)\}_{n=1}^{\infty}$  is a Cauchy sequence on  $D_1$ . Let  $\varepsilon > 0$  be given. Choose  $j > 0$  such that  $j > 1$  and  $1/2^{j-2} < \varepsilon$ . Let  $m > j$ . We will show that  $|p_m(z) - p_j(z)| < \varepsilon$  for each  $z \in D_1$ . Let  $z$  be a fixed point in  $D_1$ .

If  $z \in D_1 \cap V_m$  then

$$|p_m(z) - p_j(z)| \leq |p_m(z)| + |p_j(z)| \leq |p_m|_{V_m} + |p_j|_{V_j} < 1/2^m + 1/2^j < 1/2^{j-1}$$

since  $z \in V_m \cap D_1 \subseteq V_j$  for  $m > j$ .

If  $z \notin D_1 \cap V_m$  then there exists a  $k > 0$  such that  $z \notin E_k \cup E'_k$  but  $z \in E_{k+1} \cup E'_{k+1}$ . Now  $m > k$  since  $z \in E_m \cup E'_m$ .

Case I. Let  $j > k$ . Then  $z \in E_j \cup E'_j \subseteq E_m \cup E'_m$  since  $j > 1$ . If  $z \in E_j$  then

$$\begin{aligned} |p_m(z) - p_j(z)| &\leq \sum_{n=j}^{m-1} |p_{n+1}(z) - p_n(z)| \leq \sum_{n=j}^{m-1} |p_{n+1} - p_n|_{E_{n+1}} \\ &\leq \sum_{n=j}^{m-1} 1/2^{n+1} < 1/2^j. \end{aligned}$$

If  $z \in E'_j$  then

$$|p_m(z) - p_j(z)| \leq |p_m(z)| + |p_j(z)| \leq |p_m|_{E'_m} + |p_j|_{E'_j} \leq 1/2^m + 1/2^j < 1/2^{j-1}.$$

Case II. Let  $k \geq j$ . Recall that  $z \in E_{k+1} \cup E'_{k+1}$ . Let  $z \in E_{k+1}$ . Since  $z \in E_n$  for  $n > k$  and since  $z \in V_k \cap D_1 \subset V_j$  for  $k \geq j$  we have:

$$\begin{aligned} |p_m(z) - p_j(z)| &\leq \sum_{n=k}^{m-1} |p_{n+1}(z) - p_n(z)| + |p_k(z)| + |p_j(z)| \\ &\leq \sum_{n=k}^{m-1} |p_{n+1} - p_n|_{E_{n+1}} + |p_k|_{V_k} + |p_j|_{V_j} \\ &\leq \sum_{n=k}^{m-1} 1/2^{n+1} + 1/2^k + 1/2^j < 1/2^{j-2}. \end{aligned}$$

Now let  $z \in E'_{k+1}$ . Then  $z \in E'_n$  for  $n > k$  and

$$\begin{aligned} |p_m(z) - p_j(z)| &\leq |p_m(z)| + |p_j(z)| \\ &\leq |p_m|_{E'_m \cup V_n} + |p_j|_{V_j} < 1/2^m + 1/2^j < 1/2^{j-1} \end{aligned}$$

since  $z \in V_k \cap D_1 \subset V_j$ .

Thus in all cases, if  $z \in D_1$ , then  $|p_m(z) - p_j(z)| < 1/2^{j-2} < \varepsilon$ . Then  $\{p_n(z)\}_{n=1}^{\infty}$  converges uniformly on  $D_1$  to a function  $f_1$  in  $\text{Hol}(D_1)$ . Since  $f_{i+1}|_{D_1} = f_1$  then  $f(z) = \lim_{n \rightarrow \infty} p_n(z)$  exists for each  $z \in C$  and defines an element  $f \in A$ . We note that  $f(z_0) = \lim_{n \rightarrow \infty} p_n(z_0) = 1$ . Also, if  $z$  is a real number then  $z \in \bigcap_{n=k} V_n$  for some  $k \geq 1$  and  $|p_n(z)| < 1/2^n$  for  $n \geq k$ . Thus  $f(z) = \lim_{n \rightarrow \infty} p_n(z) = 0$  for each  $z \in I$  i. e.,  $f|_I = 0$ . Similarly,  $|p_n|_{E'_n} < 1/2^n$  implies  $f(z) = 0$  whenever  $\text{im}(z) \geq 0$ . This completes the proof of the proposition.

The algebra of entire functions and the published examples of Liouville  $F$ -algebras are all integral domains. Example 3.7 illustrates that this is not a consequent of the Liouville property. By reversing the roles of  $E_n$  and  $E'_n$  we may construct a  $g \in A$  with  $g \neq 0$  and  $g(z) = 0$  whenever  $\text{im}(z) \leq 0$ . Then  $f \cdot g = 0$  where  $f \neq 0$  and  $g \neq 0$  and thus  $A$  has divisors of zero.

**4. Algebraically principal closed maximal ideals in singly generated  $F$ -algebras.** For Banach algebras we have the following characterization of principal maximal ideals. This answers a question posed in [12].

**PROPOSITION 4.1.** *Let  $A$  be a commutative semisimple Banach algebra with an identity element. If  $h \in M_A$  and  $h^{-1}(0) = xA$  for some  $x \in A$  then  $h \in \partial_A$  if and only if  $h$  is isolated in  $M_A$ .*

**Proof.** If  $h \in M_A$  and  $h^{-1}(0) = xA$  where  $h$  is isolated in  $M_A$  then we may assume that  $x$  is an idempotent element in  $A$  with  $\hat{x}(h) = 0$  and  $\hat{x}|_{M_A - \{h\}} = 1$ . It follows that  $h \in \partial_A$ . Conversely, assume that  $h$  is not

isolated in  $M_A$ . Now  $\psi(y) = xy$  for  $y \in A$  is continuous and one-one since  $h$  is not isolated in  $M_A$ . Moreover,  $\psi^{-1}$  is bounded by the Inverse Mapping

Theorem. Now  $\|\psi^{-1}\| = \sup_{xy \neq 0} \frac{\|\psi^{-1}(xy)\|}{\|xy\|} = \frac{1}{\inf_{y \neq 0} \frac{\|xy\|}{\|y\|}}$  since  $xy \neq 0$  if and only

if  $y \neq 0$  using the fact that  $h$  is not isolated in  $M_A$  and  $\hat{x}(h') \neq 0$

for each  $h' \in M_A - \{h\}$ . Thus  $\inf_{y \neq 0} \frac{\|xy\|}{\|y\|} = M \neq 0$ . By a result of Arens'

[0] there exists an extension  $B$  of  $A$  in which  $x \in A$  has an inverse. Thus  $h \in M_A$  does not extend to a multiplicative linear functional on  $B$  and it follows that  $h \notin \partial_A$ .

**PROPOSITION 4.2.** *If  $A$  is a singly generated semisimple  $F$ -algebra without proper idempotent elements then  $A$  contains a dense subalgebra  $B$  such that:*

(1)  $B$  is a singly generated semisimple  $F$ -algebra without proper idempotent elements in a stronger topology.

(2)  $M_A$  and  $M_B$  are homeomorphic with respect to their direct limit topologies.

(3)  $\Gamma_A$  is homeomorphic with  $\Gamma_B$  with respect to the direct limit topologies.

(4) Each closed maximal ideal of  $B$  is algebraically principal.

**Proof.** Let  $a$  denote a choice of generator for  $A$ .  $A$  is the inverse limit of  $\{\bar{A}_n\}_{n=1}^{\infty}$ . We assume without loss of generality that the seminorms are chosen on  $A$  such that  $\|e\|_n = 1$  and  $\|a\|_n \leq \|a\|_{n+1}$  for each  $a \in A$  and  $n \geq 1$ . We identify  $M_A$  with  $\sigma(a)$  (pointwise) and  $M_{\bar{A}_n}$  with  $\sigma(a_n)$ . As noted in Section 2,  $M_{\bar{A}_n}$  is homeomorphic with  $\sigma_{\bar{A}_n}(a_n)$ . Since  $A$  is a semisimple algebra, we may obtain a concrete realization for elements in  $A$  as functions on  $\sigma(a)$  by defining  $a(\lambda) = \hat{a}(h)$  where  $\hat{a}(h) = \lambda \in \sigma(a)$  for each  $h \in M_A$ . In particular,  $a(\lambda) = \lambda$  for each  $\lambda \in \sigma(a)$ .

If  $p(z)$  is a polynomial with complex coefficients in the complex variable  $z$  then  $p(z) = p(\lambda) + (z - \lambda)q_\lambda(z)$  where  $q_\lambda(z)$  is a polynomial in  $z$ . We note that  $q_\lambda(z)$  is uniquely determined and when  $\lambda$  is allowed to vary,  $q_\lambda(z)$  becomes a polynomial in the two variables  $\lambda$  and  $z$ . Now  $p(a) \in A$  and  $p(a) = p(\lambda)e + (a - \lambda e)q_\lambda(a)$  where  $q_\lambda(a) \in A$  is a similar decomposition in  $A$  for each  $\lambda \in \sigma_A(a)$ . The semisimplicity of  $A$  and the fact that  $M_A$  has no isolated points guarantees the uniqueness of the representation.

We define a new sequence of seminorms on the algebra of polynomials,  $\sigma[a] \subset A$ , inductively as follows: Set  $\sigma_{\bar{A}_n}(a_n) = \sigma(a_n)$ ,  $\sigma(a_0) = \sigma(a)$  and write  $p$  for  $p(a)$ . Let  $\|p\|_0 = \|p\|_1$ ;  $\|p\|_1 = \|p\|_1 + \sup_{\lambda \in \sigma(a_0)} |a - \lambda e|_0 \cdot \sup_{\lambda \in \sigma(a_0)} |q_\lambda|_0$ . If  $\|\cdot\|_i$  has been defined for  $i = 1, 2, \dots, n-1$  then set  $\|p\|_n = \|p\|_n +$

+  $\sup_{\lambda \in \sigma(a_{n-1})} |a - \lambda e|_{n-1} \cdot \sup_{\lambda \in \sigma(a_{n-1})} |q_{\lambda}|_{n-1}$ . Clearly  $|\cdot|_n$  is an additive seminorm and the submultiplicative property is easily proven by induction.

If  $N_n = \{p \in C[a]: \|p\|_n = 0\}$  and  $N'_n = \{p \in C[a]: |p|_n = 0\}$  then  $\|p\|_n \leq |p|_n$  for each  $n \geq 1$  and  $p \in C[a]$  implies that  $\bigcap_{n=1}^{\infty} N'_n \subseteq \bigcap_{n=1}^{\infty} N_n = \{0\}$  since  $A$  is an  $F$ -algebra. Let  $B_n = C[a]/N'_n$  and let  $\bar{B}_n$  denote the completion of  $B_n$  with respect to the quotient norm  $|\cdot|_n$  determined by  $|\cdot|_n$ .  $\bar{B}_n$  is a singly generated Banach algebra. If  $e'_n$  denotes the identity element in  $\bar{B}_n$  then we note that  $|e'_n|_n = \|e_n\|_n = 1$ . We use the notation  $a'_n$  to denote the generator  $a + N'_n$  of  $B_n$  and  $\sigma(a'_n)$  to denote the spectrum of  $a'_n \in \bar{B}_n$ .

We next prove that  $\sigma(a'_n) = \sigma(a_n)$  pointwise and thus  $M_{\bar{B}_n}$  is homeomorphic with  $M_{\bar{B}_n}$  for each  $n = 1, 2, \dots$ . If  $\lambda \in \sigma(a_n)$  then for any polynomial  $p \in C[a]$ ,  $|p(\lambda)| \leq \|p\|_n \leq |p|_n$  for each  $n \geq 1$ . Since polynomials are dense in  $\bar{B}_n$ ,  $\lambda \in \sigma(a'_n)$  and thus  $\sigma(a_n) \subseteq \sigma(a'_n)$  for each  $n \geq 1$ . We now prove by induction on  $n$  that  $\sigma(a'_n) = \sigma(a_n)$  for each  $n \geq 1$ .

Let  $u \in \sigma(a'_1)$  where  $u \notin \sigma(a_1)$ . Let  $\gamma$  denote a simple closed rectifiable curve such that  $\sigma(a_1) \subseteq (\text{interior of } \gamma)$  and  $u$  lies outside of  $\gamma$ . Using Runge's theorem, we may choose a sequence of polynomials  $\{p_k(z)\}_{k=1}^{\infty}$  in the complex variable  $z$  converging uniformly on  $\gamma$  to  $1/z - u$  and satisfying  $|p_k(u)| \geq k$  for each  $k \geq 1$ . It follows from Theorem 5.1 [6] that  $\{p_k(a_1)\}_{k=1}^{\infty}$  is a Cauchy sequence in  $\|\cdot\|_1$ . Indeed, there is a constant  $k_1 > 0$  such that  $\|p(a)\|_1 \leq K_1 \cdot \sup_{z \in \gamma} |p(z)|$  for any polynomial  $p(z)$ . Since  $p_k(z) = p_k(\lambda) + (z - \lambda)q_{k,\lambda}(z)$ , we have for  $z \in \gamma$ ,  $\lambda \in \sigma(a_1)$ :

$$\begin{aligned} |(z - \lambda)(q_{k,\lambda}(z) - q_{m,\lambda}(z))| &\leq |p_k(z) - p_m(z) + (p_m(z) - p_m(\lambda))| \\ &\leq 2 \sup_{z \in \gamma} |p_k(z) - p_m(z)| \xrightarrow[k, m]{} 0. \end{aligned}$$

Now

$$\sup_{\lambda \in \sigma(a_1)} |(z - \lambda)(q_{k,\lambda}(z) - q_{m,\lambda}(z))| \geq \inf_{\lambda \in \sigma(a_1)} |z - \lambda| \cdot \sup_{z \in \gamma} |q_{k,\lambda}(z) - q_{m,\lambda}(z)|.$$

Since  $\sigma(a_1)$  is a compact set contained in the interior of  $\gamma$ ,  $\inf_{\lambda \in \sigma(a_1)} |z - \lambda| = \delta, > 0$ . Thus

$$\begin{aligned} \sup_{\lambda \in \sigma(a_1)} \|q_{k,\lambda} - q_{m,\lambda}\|_1 &\leq K_1 \cdot \sup_{z \in \gamma} |q_{k,\lambda}(z) - q_{m,\lambda}(z)| \\ &\leq 2K_1/\delta_1 \cdot \sup_{z \in \sigma(a_1)} |p_k(z) - p_m(z)| \xrightarrow[k, m]{} 0. \end{aligned}$$

Then  $\{q_{k,\lambda}\}_{k=1}^{\infty}$  converges uniformly in  $\lambda \in \sigma(a_1)$  and

$$\|p_k - p_m\|_1 = \|p_k - p_m\|_1 + \sup_{\lambda \in \sigma(a_1)} \|a_1 - \lambda e_1\|_1 \sup_{\lambda \in \sigma(a_1)} \|q_{k,\lambda} - q_{m,\lambda}\|_1 \xrightarrow[k, m]{} 0.$$

Thus  $p_n(a'_1) \xrightarrow[n]{} b_1 \in \bar{B}_1$ . Now if  $u \in \sigma(a'_1)$ , then there exists  $h \in M_{\bar{B}_1}$  such that  $h(a'_1) = u$ . But  $h(b_1) = \lim_{n \rightarrow \infty} h(p_n(a'_1)) = \lim_{n \rightarrow \infty} p_n(u)$  which is a contradiction since  $|p_n(u)| \geq n$  for each  $n \geq 1$ . It follows that  $\sigma(a_1) = \sigma(a'_1)$ .

Now assume inductively that  $\sigma(a_{n-1}) = \sigma(a'_{n-1})$ . It suffices to prove that  $\sigma(a'_n) \subseteq \sigma(a_n)$ . Choose a simple closed rectifiable curve  $\gamma$  about  $\sigma(a_n) \supseteq \sigma(a_{n-1})$  as before, where  $u \in \sigma(a'_n) \cap \sigma(a_n)^c$  lies outside of  $\gamma$ . Theorem 5.1 [6], with our induction hypothesis, guarantees constants  $K_{n-1}$  and  $K'_n$  such that  $|p|_{n-1} \leq K_{n-1} \sup_{z \in \gamma} |p(z)|$  and  $\|p\|_n \leq K'_n \sup_{z \in \gamma} |p(z)|$  for each polynomial  $p$ . Next choose a particular sequence  $\{p_n(z)\}_{n=1}^{\infty}$  where  $p_n(z) \xrightarrow[n]{} 1/z - u$  on  $\sigma(a_n)$  and  $p_n(u) \geq n$  for each  $n \geq 1$ . Following the previous argument we have:

$$\|p_k - p_m\|_n = \|p_k - p_m\|_n + \sup_{\lambda \in \sigma(a_{n-1})} |a - \lambda e|_{n-1}.$$

$$\begin{aligned} \sup_{\lambda \in \sigma(a_{n-1})} |q_{k,\lambda} - q_{m,\lambda}|_{n-1} &\leq K'_n \sup_{z \in \gamma} |p_k(z) - p_m(z)| + K_{n-1} \sup_{z \in \gamma} |z - \lambda| \sup_{z \in \sigma(a_{n-1})} |q_{k,\lambda}(z) - q_{m,\lambda}(z)| \\ &\leq K \sup_{z \in \gamma} |p_k(z) - p_m(z)| \quad \text{where } K = K(K_{n-1}, K'_n, \delta_{n-1}). \end{aligned}$$

Again by our choice of  $\{p_k\}_{k=1}^{\infty}$  we have  $(a'_n - u e'_n)^{-1} \in \bar{B}_n$ , i. e.,  $u \notin \sigma(a'_n)$ . It follows that  $\sigma(a_n) = \sigma(a'_n)$  and thus  $M_{\bar{B}_n}$  and  $M_{\bar{B}_n}$  are homeomorphic with respect to their weak  $*$  topologies.

Let  $\pi_n^{n+1}: \bar{B}_{n+1} \rightarrow \bar{B}_n$  denote the natural homomorphism of  $\bar{B}_{n+1}$  into  $\bar{B}_n$  determined by setting  $\pi_n^{n+1}(p(a'_{n+1})) = p(a'_n)$ . Since  $|p(a'_n)|_n \leq |p(a'_{n+1})|_{n+1}$  for each  $n \geq 1$ ,  $\pi_n^{n+1}$  is well defined and continuous. The sequence  $\{\bar{B}_n, \pi_n^{n+1}\}$  determines an  $F$ -algebra  $B$ , the strong dense inverse limit of  $\{\bar{B}_n, \pi_n^{n+1}\}$  [1, Theorem 2.4]. Now  $\sigma[a] \subseteq A$  and  $\sigma[a] \subseteq B$ . Let  $\psi: B \rightarrow A$  denote the natural extension of the identity mapping to all of  $B$ .  $\psi$  is clearly a continuous homomorphism of  $B$  into  $A$ . We prove that  $\psi$  is actually an isomorphism of  $B$  into  $A$ .

We first obtain a representation for any  $b \in B$ . If  $b \in B$ , there exists  $\{p_n(a)\}_{n=1}^{\infty}$  such that  $p_n(a) \xrightarrow[n]{} b$  in  $B$ . Now

$$\|p_n - p_m\|_k = \|p_n - p_m\|_k + \sup_{\lambda \in \sigma(a_{k-1})} |a - \lambda e|_{k-1} \sup_{\lambda \in \sigma(a_{k-1})} |q_{n,\lambda} - q_{m,\lambda}|_{k-1} \rightarrow 0$$

for each  $k \geq 1$  implies that  $\{q_{n,\lambda}\}_{n=1}^{\infty}$  converges to  $b_\lambda \in B$  for each  $\lambda \in \sigma_B(a) = \bigcup_{n=1}^{\infty} \sigma(a'_n)$ . This yields a representation for  $b \in B$ , namely,  $b = b(\lambda)e' + (a - \lambda e')b_\lambda$ .

Applying  $\psi$ , we obtain  $\psi(b) = b(\lambda)e + (\alpha - \lambda e)\psi(b_\lambda) = \psi(b)(\lambda)e + (\alpha - \lambda e)\psi(b_\lambda)$  since  $b(\lambda) = \psi(b)(\lambda)$  for each  $\lambda \in \sigma_A(\alpha) = \sigma_B(\alpha)$ . If  $\psi(b) = 0$  then  $0 = (\alpha - \lambda e)\psi(b_\lambda)$ . If  $\lambda' \in \sigma_A(\alpha)$  and  $\lambda' \neq \lambda$  then  $[(\alpha - \lambda e)\psi(b_\lambda)](\lambda') = 0$  implies  $\psi(b_\lambda)(\lambda') = 0$  since  $(\alpha - \lambda e)(\lambda') = \lambda' - \lambda \neq 0$ . The fact that  $A$  contains no proper idempotent elements implies that  $\lambda$  is not an isolated point in  $\sigma_A(\alpha)$  (c.f. the proof of Proposition 2.2). Thus  $\psi(b_\lambda)(\lambda') \equiv 0$  for each  $\lambda' \in \sigma_A(\alpha)$ , i. e.,  $\psi(b_\lambda) \equiv 0$ . The semisimplicity of  $A$  implies that  $\psi(b_\lambda) = 0$ .

By our definition of  $\{\| \cdot \|_n\}_{n=1}^\infty$ , we have:

$$(1) \quad \|b\|_1 = \|\psi(b)\|_1 + \sup_{\lambda \in \sigma(\alpha_1)} \|\alpha - \lambda e\|_1 \sup_{\lambda \in \sigma(\alpha_1)} \|\psi(b_\lambda)\|_1.$$

In general, for  $n > 1$  we have:

$$(2) \quad \|b\|_n = \|\psi(b)\|_n + \sup_{\lambda \in \sigma(\alpha_{n-1})} \|\alpha - \lambda e'\|_{n-1} \sup_{\lambda \in \sigma(\alpha_{n-1})} \|b_\lambda\|_{n-1}.$$

By applying (1) we obtain  $\|b\|_1 = 0$ . Since  $\psi(b_\lambda) = 0$ , the previous argument applied to  $b_\lambda$  implies that  $\|b_\lambda\|_1 = 0$ . Thus  $\|b\|_2 = 0$ . In general, if  $\|b\|_{n-1} = 0$  then the above argument implies  $\|b_\lambda\|_{n-1} = 0$  for each  $\lambda \in \sigma(\alpha_{n-1})$ . By applying (2) we obtain  $\|b\|_n = 0$ . Since  $B$  is an  $F$ -algebra we have that  $b = 0$ . Thus  $\psi: B \rightarrow A$  is an isomorphism.

Now  $b = b(\lambda)e' + (\alpha - \lambda e')b_\lambda$  is a unique representation for  $b \in B$  since  $\psi$  is an isomorphism and  $A$  is semisimple. Thus each closed maximal ideal in  $B$  is algebraically principal. This completes the proof of the proposition.

**COROLLARY 4.3.** *If  $A$  is a singly generated Liouville  $F$ -algebra, then  $A$  contains a dense subalgebra  $B$  such that:*

- (1)  $B$  is a singly generated Liouville  $F$ -algebra in a stronger topology.
- (2)  $A$  is isomorphic with  $E$  if and only if  $B$  is isomorphic with  $E$ .
- (3) Each closed maximal ideal in  $B$  is algebraically principal.

**Proof.** Let  $B$  be the algebra constructed in the previous proposition. We identify  $B$  with its image  $\psi(B) \subseteq A$ . If  $b \in B \subseteq A$  has a bounded spectrum with respect to  $B$ , then  $M_A = M_B$  implies that  $b \in A$  has a bounded spectrum with respect to  $A$ . Hence  $B$  is a Liouville  $F$ -algebra. Moreover,  $A$  is isomorphic with  $E$  if and only if  $\Gamma_A = \emptyset$  (Proposition 3.6). The corollary now follows from the fact that  $\Gamma_A = \Gamma_B$ .

Two notions of topological divisors of zero have been proposed for a locally  $m$ -convex algebra. We state these here. Let  $R_{x+\lambda e}$  denote the natural mapping  $R_{x+\lambda e}: A \rightarrow A$  where  $R_{x+\lambda e}(y) = y(x + \lambda e)$ .

**DEFINITION 4.4.** (Arens) Let  $A$  be a topological algebra and  $x \in A$ . Then  $x + \lambda e$  is a strong topological divisor of zero if either  $R_{x+\lambda e}$  or  $L_{x+\lambda e}$  is not a topological isomorphism into [9, p. 46].

**DEFINITION 4.5.** (Michael) Let  $A$  be a locally  $m$ -convex algebra and let  $x \in A$ . Then  $x + \lambda e$  is a topological divisor of zero in  $A$  if, whenever  $\{V_i\}_{i=1}^\infty$  is an  $m$ -base for  $A$ , there exists an  $i$  such that  $x_i + \lambda e_i$  is a topological divisor of zero in  $A_i$  [9, p. 47].

For Banach algebras, these two definitions are equivalent [6]. Michael [9] notes in Proposition 11.3 that Definition 4.5 is stronger than Definition 4.4, and raises the question of their equivalence. Kuczma [7] has recently given an example where there are topological divisors of zero that are not strong topological divisors of zero, but his algebra is not semisimple. Our Proposition 4.2 provides a class of semisimple algebras in which the two definitions are different. We note that the conditions of the following proposition are satisfied if  $A$  is the algebra obtained by applying Proposition 4.2 to Example 2.4.

**PROPOSITION 4.6.** *Let  $A$  denote a singly generated semisimple  $F$ -algebra without proper idempotent elements, and  $h_\lambda \in M_A$ . Then  $\alpha - \lambda e$  is not a strong topological divisor of zero in  $A$  if and only if  $h_\lambda^{-1}(0)$  is algebraically principal. Moreover, if  $h_\lambda \in \Gamma_A$  then  $\alpha - \lambda e$  is a topological divisor of zero in  $A$ .*

**Proof.** Now  $R_{\alpha-\lambda e}: A \rightarrow (\alpha - \lambda e)A$  is clearly a linear homomorphism. We prove  $R_{\alpha-\lambda e}$  is one-one. If  $(\alpha - \lambda e)f = 0$  for some  $f \in A$ , then  $\hat{f}(h_\lambda) = 0$  for each  $h_\lambda' \neq h_\lambda$ ,  $h_\lambda' \in M_A$ . The algebra  $A$  has no proper idempotent elements and hence  $h_\lambda$  is not isolated in  $M_A$ . Thus  $\hat{f} \equiv 0$  and the semisimplicity of  $A$  implies  $f = 0$ . Multiplication is continuous in  $A$ , so  $R_{\alpha-\lambda e}$  is continuous. If  $(\alpha - \lambda e)A = h_\lambda^{-1}(0)$  then  $(\alpha - \lambda e)A$  is closed in  $A$  and  $R_{\alpha-\lambda e}$  is a topological isomorphism by the Inverse Mapping Theorem. Conversely, if  $R_{\alpha-\lambda e}$  is a topological isomorphism, then  $(\alpha - \lambda e)A$  is complete and hence closed in  $A$ . But  $(\alpha - \lambda e)A$  is dense in  $h_\lambda^{-1}(0)$  since  $A$  is singly generated by  $\alpha$ . Thus,  $h_\lambda^{-1}(0) = (\alpha - \lambda e)A$ .

Proposition 3.2 states that  $\Gamma_A$  is independent of the choice of  $m$ -base for  $A$ . Now fix an  $m$ -base for  $A$ . If  $h_\lambda \in \Gamma_A$ , then  $(\alpha - \lambda e)(h_\lambda) = \hat{\alpha}(h_\lambda) - \lambda = 0$ . But this implies that  $\alpha_n - \lambda e_n$  has a transform which vanishes on the Shilov boundary of  $\bar{A}_n$  for some  $n$ . Thus  $\alpha - \lambda e$  is a topological divisor of zero according to Definition 4.5.

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## On a class of operators on Orlicz spaces

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**Abstract.** Let  $L^\Phi$  be an Orlicz space over a  $\sigma$ -finite measure space. If  $\mathfrak{X}$  is a Banach space and  $t: L^\Phi \rightarrow \mathfrak{X}$  is a linear operator,  $\|t\|_\Phi = \sup \sum_{i=1}^n \|a_i t(\chi_{E_i})\|$  where the supremum

is taken over all measurable simple functions  $f = \sum_{i=1}^n \alpha_i \chi_{E_i}$   $\{E_i\}$  disjoint and  $\|f\|_\Phi < 1$ .

Under fairly general assumptions on  $\mathfrak{X}$  and  $\Phi$  it is shown that  $\|t\|_\Phi < \infty$  if and only if  $t(f) = \int fg du$  where  $g: \Omega \rightarrow \mathfrak{X}$  is measurable and the above Bochner integral exists for all  $f \in L^\Phi$ . Consequently it is shown that such operators are compact. Finally, under moderate assumptions on  $\Phi$ , it is shown that  $t: L^\Phi \rightarrow L^\Phi$  has  $\|t\|_\Phi < \infty$  if and only if  $t$ 's adjoint is of finite double norm, thus providing a new characterization of Hilbert-Schmidt operators.

**1. Introduction.** Let  $(\Omega, \Sigma, \mu)$  be a sigma-finite measure space,  $\Phi$  and  $\Psi$  be complementary Young's functions and  $L^\Phi(\Omega, \Sigma, \mu) (= L^\Phi)$  and  $L^\Psi(\Omega, \Sigma, \mu) (= L^\Psi)$  be the corresponding Orlicz spaces of (equivalence classes of) measurable functions on  $\Omega$ .  $L^\Phi$  is a Banach space under each of the equivalent norms  $N_\Phi$  and  $\|\cdot\|_\Phi$  defined for  $f \in L^\Phi$  by  $N_\Phi(f) = \inf\{K > 0: \int_\Omega \Phi(|f|/K) d\mu \leq 1\}$  and  $\|f\|_\Phi = \sup\{\int_\Omega fg d\mu: g \in L^\Psi, N_\Psi(g) \leq 1\}$ . If  $\mathfrak{X}$  is a Banach space and  $t$  is a bounded linear operator mapping  $L^\Phi$  into  $\mathfrak{X}$ , Dinculeanu has defined  $\|t\|_\Phi$  by

$$\|t\|_\Phi = \sup \sum_{i=1}^n \|a_i t(\chi_{E_i})\|,$$

where the supremum is taken over all measurable simple functions,  $f = \sum_{i=1}^n a_i \chi_{E_i}$ ,  $\{E_i\} \subset \Sigma$  disjoint, such that  $N_\Phi(f) \leq 1$ . This norm for operators has been the subject of some study by Dinculeanu in [1], [2], and [3]. The purpose of this note centers around proving a Bochner integral representation theorem for these operators, examining their compactness properties and looking at their rather close relationship with operators of finite double norm [8].