

References

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On an equation with reflection of order n

by

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If a differential equation contains together with the unknown function x(t) the function x(-t), then it is called a differential equation with reflection.

D. Przeworska-Rolewicz gives in [1] the general solution of an equation with reflection of order 1, i.e. of the equation

$$a_0x(t) + b_0x(-t) + a_1x'(t) + b_1x'(-t) = y(t),$$

where a_0 , a_1 , b_0 and b_1 are scalars.

In the present paper we consider the differential equation with reflection of order n.

$$(1) a_0 x(t) + b_0 x(-t) + \ldots + a_n x^{(n)}(t) + b_n x^{(n)}(-t) = y(t),$$

where the coefficients $a_0, \ldots, a_n, b_0, \ldots, b_n$ are constants. We give a general form of the solution of (1) under the following assumptions:

$$1^{\circ} a_n^2 - b_n^2 \neq 0;$$

$$2^{0} a_{j-k}a_{k}-b_{j-k}b_{k} \neq 0 \ (k=0,1,\ldots,n \ \text{and} \ j=k+1,\ldots,k+n);$$

 3° the polynomial $\sum\limits_{j=0}^{n}\lambda_{2j}t^{j}$ has single roots only for $k=0,1,\ldots,n,$ where

$$\lambda_j = egin{cases} \sum\limits_{k=0}^j c_{jk} & ext{ for } 0\leqslant j\leqslant n\,, \ \sum\limits_{k=j-n}^n c_{jk} & ext{ for } n< j\leqslant 2n\,, \end{cases}$$

(ii)
$$c_{jk} = (-1)^{j-k} (a_{j-k} a_k - b_{j-k} b_k) (a_n^2 - b_n^2)^{-1}.$$

1. Let S be a reflection: Sx(t) = x(-t). Since $S^2 = I$, where I is the identity operator, S is an involution. We write

$$(2) Dx(t) = x'(t).$$

It can be proved that the operator S satisfies the following conditions:

1° S is commuting with the operator D^{2n} :

$$SD^{2n} - D^{2n}S = 0;$$

 2° S is anticommuting with the operator D^{2n+1} :

$$SD^{2n+1} + D^{2n+1}S = 0.$$

2. Let X be a linear space over the field of complex scalars. We consider a linear equation of the form

$$(a_0I + b_0S) + (a_1I + b_1S)D + \ldots + (a_nI + b_nS)D^n = y,$$

where S is an involution on X and D is a linear operator transforming X into itself and anticommuting with S; $a_0, \ldots, a_n, b_0, \ldots, b_n$ are scalars. Let us write

(5)
$$A = \sum_{k=0}^{n} (a_k I + b_k S) D^k.$$

We prove for the operator A the following Theorem 1. Let

(6)
$$B = \sum_{m=0}^{n} [(-1)^{m} a_{m} I - b_{m} S] D^{m}$$

and $R_A = (a_n^2 - b_n^2)^{-1}B$. Then

$$AR_A = R_A A = \sum_{j=0}^n \lambda_{2j} D^{2j},$$

where λ_i and c_{ik} are defined by (i) and (ii) respectively.

Proof. We have

$$\begin{split} BA &= \sum_{k=0}^{n} \sum_{m=0}^{n} \left[(-1)^{m} a_{m} I - b_{m} S \right] D^{m} (a_{k} I + b_{k} S) D^{k} \\ &= \sum_{k=0}^{n} \sum_{m=0}^{n} \left[(-1)^{m} a_{m} I - b_{m} S \right] \left[a_{k} D^{m} + (-1)^{m} b_{k} S D^{m} \right] D^{k} \\ &= \sum_{k=0}^{n} \sum_{m=0}^{n} \left[(-1)^{m} a_{m} I - b_{m} S \right] \left[a_{k} I + (-1)^{m} b_{k} S \right] D^{m+k} \\ &= \sum_{k=0}^{n} \sum_{m=0}^{n} \left[(-1)^{m} (a_{m} a_{k} - b_{m} b_{k}) + (a_{m} b_{k} - a_{k} b_{m}) S \right] D^{m+k}. \end{split}$$

Let us remark that

$$(a_m b_k - a_k b_m) SD^{m+k} = -(a_k b_m - a_m b_k) SD^{m+k}$$

hence

$$\sum_{k=0}^{n} \sum_{m=0}^{n} (a_{m}b_{k} - a_{k}b_{m}) SD^{m+k} = 0.$$

This implies

(7)
$$BA = \sum_{k=0}^{n} \sum_{m=0}^{n} (-1)^{m} (a_{m} a_{k} - b_{m} b_{k}) D^{m+k}.$$

Similarly, we can show that BA = AB. Putting m = j - k in (7), we have

$$BA = \sum_{k=0}^{n} \sum_{j=k}^{n+k} (-1)^{j-k} (a_{j-k}a_k - b_{j-k}b_k) D^j.$$

Now we write

$$\lambda_j = egin{cases} \sum\limits_{k=0}^{j} {(-1)^{j-k} (a_{j-k} a_k - b_{j-k} b_k) (a_n^2 - b_n^2)^{-1}} & ext{ for } 0 \leqslant j \leqslant n, \ \sum\limits_{k=j-n}^{n} {(-1)^{j-k} (a_{j-k} a_k - b_{j-k} b_k) (a_n^2 - b_n^2)^{-1}} & ext{ for } n < j \leqslant 2n \end{cases}$$

and

$$R_A = (a_n^2 - b_n^2)^{-1} B.$$

It is easy to check that

(8)
$$AR_A = R_A A = \sum_{j=0}^{2n} \lambda_j D^j$$

and that AB contains only even powers of D. Finally, we obtain

$$AR_A = \sum_{j=0}^n \lambda_{2j} D^{2j}.$$

Let now D_T denote the domain of the operator T and Z_T the kernel of T:

$$Z_T = \{x \, \epsilon D_T \colon Tx = 0\}.$$

THEOREM 2. 1° $Z_A \subset Z_T$ and 2° $Z_{R_A} \subset Z_T$, where $T = \sum_{j=0}^n \lambda_{2j} D^{2j}$.

Indeed, if $x \in Z_T$, then Ax = 0 and

$$\left[\sum_{j=0}^{n} \lambda_{2j} D^{2j}\right] x = R_{\mathcal{A}}(Ax) = 0,$$

hence $x \in Z_T$. This implies that $Z_A \subset Z_T$. The proof of 2° is analogous. In the following we make use of assumption 3° (p. 69) in view of which the polynomial $\sum_{j=0}^n \lambda_{2j} D^{2j}$, considered as a polynomial with respect

to the variable D^2 , has only single roots. In [1] for n=1 the roots are single because the corresponding polynomial is of the form $D^2 - \lambda$. For $n\geqslant 2$ this polynomial may have multiple roots. Since we assume that the polynomial $\sum \lambda_{2j} D^{2j}$ has single roots only, we can write that

$$T = \sum_{j=0}^{n} \lambda_{2j} D^{2j} = \prod_{q=1}^{n} (D^2 - u_q I),$$

where u_q denotes the q-th root.

THEOREM 3. We have

(10)
$$Z_T = \{z: z = \sum\limits_{q=1}^n (z_q + Sz_q') ext{ for } z_q, z_q' \epsilon Z_{D-\sqrt{u_q}I} \},$$
 where $T = \prod\limits_{q=1}^n (D^2 - u_q I).$

Proof. Let us suppose that z is of the form (10). Then

$$\begin{split} \left[\prod_{q=1}^{n} (D^2 - u_q I)\right] z &= \left[\prod_{q=1}^{n} (D^2 - u_q I)\right] \sum_{q=1}^{n} (z_q + S z_q') \\ &= \left[\prod_{q=1}^{n} (D^2 - u_q I)\right] \left[\sum_{q=1}^{n} z_q + S \sum_{q=1}^{n} z_q'\right] \\ &= \left[\prod_{q=1}^{n} (D^2 - u_q I)\right] \sum_{q=1}^{n} z_q + S \left[\prod_{q=1}^{n} (D^2 - u_q I)\right] \sum_{q=1}^{n} z_q' = 0 \,. \end{split}$$

Therefore $z \in \mathbb{Z}_T$.

Conversely, let us suppose that $z \in Z_T$. We can decompose the space Z_T into a direct sum.

$$Z_T \stackrel{\cdot}{=} \mathop \oplus \limits_{q=1}^n \left[Z_{D-\sqrt{u_q}I} \oplus Z_{D+\sqrt{u_q}I}
ight],$$

because D is an algebraic operator on the space Z_T with single characteristic roots (cf. [2], p. 81-82). Hence

$$z=\sum_{q=1}^{n}(z_{q}+z_{q}^{\prime\prime}),$$

where $z_q \epsilon Z_{D-\sqrt{u_q}I}$ and $z_q'' \epsilon Z_{D+\sqrt{u_q}I}$ for $q=1,2,\ldots,n$. We have to prove that $z_q'' = Sz_q'$, where $z_q' \epsilon Z_{D-\sqrt{u_q}I}$ for $q=1,2,\ldots,n$. But $z_q'' \in Z_{D+\sqrt{u_q}I}$, hence $Dz_q'' = -\sqrt{u_q}z_q''$ and $\sqrt{u_q}Sz_q'' = S(\sqrt{u_q}z_q'') = -SDz_q''$ $= DSz'_q$. Therefore

$$(D-\sqrt{u_q}I)\,Sz_q^{\prime\prime}=0 \quad ext{ and } \quad z_q^\prime=Sz_q^{\prime\prime}\,\epsilon Z_{D-\sqrt{u_q}I}$$

for $q=1,2,\ldots,n$. But $z_q^{\prime\prime}=S^2z_q^{\prime\prime}=S(Sz_q^{\prime\prime})=Sz_q^{\prime}$, which gives the required form of z.



$$Z_{\mathcal{A}} = \left\{z \colon z = \xi \sum_{q=1}^{n} \sum_{i=0}^{n} \left\{ \left[(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i \right] I - \left[(b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i \right] S \right\} z_q \right\},$$

where $z_q \in Z_{D-\sqrt{u_q}I}$, ξ being a scalar, q = 1, 2, ..., n.

Proof. Theorem 2 implies $Z_A \subset Z_T$. From Theorem 3 we infer that every $z \in Z_T$ is of the form $\sum_{r=1}^{n} (z_q + Sz_q')$, where $z_q, z_q' \in Z_{D-\sqrt{u_q}I}$.

Similarly as in the proof of Theorem 2.4 in [1] we have for $q=1,2,\ldots,n$

$$Az_q = \left[\sum_{i=0}^n (a_iI + b_iS)D^i\right]z_q = (a_0I + b_0S)z_q + \ldots + (a_nI + b_nS)D^nz_q.$$

But

$$Dz_q = \sqrt{u_q} z_q$$
 and $DSz_q' = -\sqrt{u_q} Sz_q'$

because $z_q \epsilon Z_{D-\sqrt{u_q}I}$ and $Sz_q' \epsilon Z_{D+\sqrt{u_q}I}$ for $q=1,\,2,\,\ldots,\,n$. Hence

$$D^{i}z_{q}=u_{q}^{i/2}z_{q}, \quad D^{i}Sz_{q}^{\prime}=(-1)^{i}u_{q}^{i/2}Sz_{q}^{\prime}$$

for i = 1, 2, ..., 2n and q = 1, 2, ..., n. Then

$$D^{2i}z_q = u_q^i z_q, \qquad D^{2i+1}z_q = \sqrt{u_q} u_q^i z_q, \ D^{2i}Sz_q' = u_q^i Sz_q', \qquad D^{2i+1}Sz_q' = -\sqrt{u_q} u_q^i Sz_q'$$

for i = 1, 2, ..., 2n. Thus

$$Az_q = \sum_{q=1}^n \sum_{i=0}^n \{ [(a_{2i} + a_{2i+1} \sqrt{u_q}) u_q^i] I + [(b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i] S \} z_q.$$

Similarly, we can show that

$$ASz_q' = \sum_{q=1}^n \sum_{i=0}^n \{ [(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i] I + [(b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i] S \} Sz_q'.$$

Hence

$$\begin{split} Az &= \sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} + a_{2i+1} \sqrt{u_q}) u_q^i \right] z_q + \sum_{q=1}^{n} \sum_{i=0}^{n} \left[(b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i \right] S z_q + \\ &+ \sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i \right] S z_q' + \sum_{q=1}^{n} \sum_{i=0}^{n} \left[(b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i \right] z_q', \end{split}$$

but the space Z_T is a direct sum,

$$Z_T = igoplus_{q=1}^n [Z_{D-\sqrt{u_q}I} \oplus Z_{D+\sqrt{u_q}I}]$$

(see the proof of Theorem 3), and $z_q \epsilon Z_{D-\sqrt{u_q}I}$, $Sz_q' \epsilon Z_{D+\sqrt{u_q}I}$ and $T=\prod_{q=1}^n (D^2-u_qI)$, where $q=1,2,\ldots,n$. Thus the equality Az=0 holds if and only if

$$\sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} + a_{2i+1} \sqrt{u_q}) u_q^i z_q + (b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i z_q \right] = 0,$$

$$\sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i S z_q' + (b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i S z_q' \right] = 0.$$

Acting with S on both sides of the second equation of (11) and applying the property $S^2 = I$, we obtain the following system of equations:

(12)
$$\sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} + a_{2i+1} \sqrt{u_q}) u_q^i \right] z_q + \left[(b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i \right] z_q' = 0,$$

$$\sum_{q=1}^{n} \sum_{i=0}^{n} \left[(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i \right] z_q' + \left[(b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i \right] z_q = 0.$$

From these equations it follows that z_q and z'_q are linearly dependent for $q = 1, 2, \dots, m$. Indeed, the space X is a direct sum, which implies that (12) holds with and only if

(13)
$$\begin{bmatrix} \sum_{i=0}^{n} (a_{2i} + a_{2i+1} \sqrt{u_q}) u_q^i] z_q + [\sum_{i=0}^{n} (b_{2i} - b_{2i+1} \sqrt{u_q}) u_q^i] z_q' = 0, \\ [\sum_{i=0}^{n} (a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i] z_q' + [\sum_{i=0}^{n} (b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i] z_q = 0. \end{bmatrix}$$

This shows the linear dependence of z_q and z'_q . We can show that the determinant of the system (13) is

$$V = \sum_{k=0}^{n} \sum_{m=0}^{n} (-1)^{m} (a_{m} a_{k} - b_{m} b_{k}) u_{q}^{(m+k)/2} = \sum_{j=0}^{2n} \lambda_{j} u_{q}^{j/2}.$$

Since u_q (q = 1, 2, ..., n) are roots of the polynomial $\sum_{j=0}^{n} \lambda_{2j} D^2$ considered as a polynomial with respect to the variable D^2 , we have

$$V = \sum_{j=0}^{2n} \lambda_j u_q^{j/2} = 0.$$

It follows that (13) has non-zero solutions for z_q and z_q' . If we write

$$\xi_q = \sum_{i=0}^n \left(b_{2i} + b_{2i+1} \sqrt{u_q} \right) u_q^i, \quad \ \xi_q' = \sum_{i=0}^n \left(a_{2i} - a_{2i+1} \sqrt{u_q} \right) u_q^i,$$

we obtain from the second equation of (13) that $\xi_q z_q + \xi_q' z_q' = 0$ for q = 1, 2, ..., n. Hence

$$z = \sum_{q=1}^{n} \sum_{i=0}^{n} \{ [(a_{2i} - a_{2i+1} \sqrt{u_q}) u_q^i] I - [(b_{2i} + b_{2i+1} \sqrt{u_q}) u_q^i] S \} z_q,$$

which was to be proved.

THEOREM 5. If \tilde{x} is a solution of the equation

$$ig[\prod_{q=1}^n (D^2-u_qI)ig]x=y\,,$$

then $x = R_A \tilde{x}$ is a solution of the equation Ax = y.

Proof. Let \tilde{x} satisfy equation (*). Then

$$Ax = AR_A\tilde{x} = \left[\prod_{q=1}^n (D^2 - u_q I)\right]\tilde{x} = y.$$

Similarly, $t = A\tilde{x}$ is a solution of the equation $R_A t = y$.

Finally, we obtain the main theorem on the general form of the solution of the equations Ax = y and $R_A t = y$:

THEOREM 6. Let

$$A = \sum_{k=0}^{n} (a_k + b_k S) D^k,$$

where S is an involution acting in a linear space X, let D be an operator transforming X into itself and anticommuting with S and let, finally, $a_0, \ldots, a_n, b_0, \ldots, b_n$ be scalars. We assume that assumptions $1^{\circ}-3^{\circ}$ (p. 69) are satisfied.

If \tilde{x} is a solution of equation (*), then every solution of the equation Ax = y is of the form

$$x = R_{A}\tilde{x} + \sum_{q=1}^{n} \sum_{i=0}^{n} \{ [(a_{2i} - a_{2i+1}\sqrt{u_q})]I - [(b_{2i} + b_{2i+1}\sqrt{u_q})S] \} u_q^{i} z_q,$$

where $z_q \, \epsilon Z_{D-\sqrt{u_q}I}$, $R_{\mathcal{A}} = (a_n^2 - b_n^2)^{-1} B$ and

$$B = \sum_{m=0}^{n} \left[(-1)^m a_m - b_m S \right] D^m, \quad AR_A = R_A A = \prod_{q=1}^{n} \left(D^2 - u_q I \right).$$

Similarly, any solution of the equation $R_A t = y$ is of the form

$$t = Ax + \sum_{q=1}^{n} \sum_{i=0}^{n} \{ [(a_{2i} + a_{2i+1}\sqrt{u_q})]I - [(b_{2i} + b_{2i+1}\sqrt{u_q})S]\} u_q^i z_q.$$

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On conditional bases in non-nuclear Fréchet spaces

bу

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In the present paper we give some criteria for the nuclearity of Fréchet spaces with bases. Our main result is the following:

A. Let X be a Fréchet space with a basis. Then X is nuclear if and only if every basis of X is absolute (the basis $\{e_n\}$ is absolute if $\sum_{n=1}^{\infty} ||t_n e_n|| < \infty \text{ for each } x = \sum_{n=1}^{\infty} t_n e_n \text{ and each pseudonorm } ||\cdot|| \text{ on } X).$

For countably Hilbert spaces this result is strengthened as follows: B. A Hilbertian Fréchet space X with a basis is nuclear if and only if every basis $\{e_n\}$ of X is unconditional (i.e. $\sum_{n=1}^{\infty} |x^*(t_n e_n)| < \infty$ for each $x = \sum_{n=1}^{\infty} t_n e_n \epsilon X$, and each linear functional $x^* \epsilon X^*$).

Observe that the part "only if" of our results is a consequence of the Dynin-Mitiagin theorem [3] which asserts that in a nuclear space each basis is unconditional. We do not know whether the converse is true, however, we believe the following holds:

CONJECTURE (see [9]). A Fréchet space X with a basis is nuclear provided each basis in X is unconditional.

The conjecture is already established for Banach spaces, because the class of nuclear Banach spaces coincides with the class of finitedimensional spaces, and, by result of Pełezyński and Singer [9], in every infinite-dimensional Banach space with a basis there exists a conditional basis.

Statement B can be regarded as a generalization of a result due to Babenko asserting that in a Hilbert space there exists a conditional basis; [1], cf. also [4], [5] and [7].

Statement A is a generalization of an unpublished result of professor J. Rutherford (presented on the conference on functional analysis in Sopot 1968) that a Fréchet space satisfying the assumption of A is a Schwartz space.