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p-integral operators commuting with group representations and examples of quasi-p-integral operators which are not p-integral

by

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In this note we substantiate a conjecture of Persson and Pietsch (cf. [5], remark after Satz 46) that for each $p \ge 1$ there exists a quasi p-integral operator (resp. quasi p-nuclear operator) which is not p-integral (resp. p-nuclear). The case p=1 is well known; as an example it is enough to consider in a Hilbert space any operator of a Hilbert-Schmidt type which is not nuclear. The examples for p>1 will be constructed in the present note exploiting the fact that a p-integral operator which commutes with representations of a compact group G has a "G-invariant factorization".

1. Preliminaries. The capital letters X, Y and Z will stand for Banach spaces. An operator means bounded linear operator. C(K)-denotes the space of continuous complex-valued functions on a compact Hausdorff space K. A measure on K means a non-negative Borel measure on K with bounded total variation. If m is a measure on K, then $L_p(m,K)$ denotes the space of complex-valued functions f on K such that $m(|f|^p) < \infty$. We use the notation $m(f) = \int f dm$.

We recall the basic definitions from [5].

Let $1 \leq p < \infty$. An operator $v: C(K) \to Y$ is called *p-majorable* if there is a measure m on K such that

(1.1)
$$||vf||^p \leqslant m(|f|^p) \quad \text{for } f \in C(K).$$

An operator $u\colon X\to Y$ is called *p-integral* if there exists an isometrically isomorphic embedding $J\colon X\to C(K)$ and a *p*-majorable operator $v\colon C(K)\to Y$ such that u=vJ.

An operator $u: X \to Y$ is called *quasi p-integral* if there is an isometrically isomorphic embedding $I: Y \to Z$ such that Iu is a **p-integral** operator.

We shall need the following two facts. First of them is an obvious consequence of [5], Satz 45, and [6], Theorem 2 (cf. also [3], Proposition 3.1).

Proposition 1.1. For every operator $v: C(K) \to Y$ the following conditions are equivalent:

- (1.2) v is p-majorable,
- (1.3) v is p-integral,
- (1.4) v is quasi p-integral,
- (1.5) v is p-absolutely summing, i.e. there is a > 0 such that

$$\sum_{i=1}^{n} \|vf_i\|^p \leqslant a \sup_{\|m\| \leqslant 1} \sum_{i=1}^{n} \left| m(f_i) \right|^p$$

for every finite sequence (f_i) in C(K).

PROPOSITION 1.2. For every operator $u \colon X \to Y$ the following conditions are equivalent:

- (1.6) u is a p-integral operator,
- (1.7) for every isometrically isomorphic embedding $J_1\colon X\to C(K_1)$ (K_1 an arbitrary compact Hausdorff space) there exists a p-majorable operator $v_1\colon C(K_1)\to Y$ such that $u=v_1J_1$.

Proof.
$$(1.7) \Rightarrow (1.6)$$
. Obvious.

 $(1.6)\Rightarrow (1.7)$. Let u=vJ, where $J:X\to C(K)$ is an isometrically isomorphic embedding. First observe that if $v:C(K)\to Y$ is a p-majorable operator, then (1.1) implies that v is continuous in the $L_p(K,m)$ norm. Hence (regarding C(K) as a subspace of $L_\infty(K,m)$) there is (determined in a unique way) an operator $\tilde{v}\colon L_\infty(K,m)\to Y$ such that

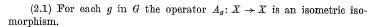
(1.8)
$$\|\tilde{v}f\|^p \leqslant m(|f|^p) \quad \text{for } f \in L_{\infty}(K, m)$$

and $\tilde{v}I = v$ where $I: C(K) \to L_\infty(K,m)$ is the natural isometrically isomorphic embedding. Since the space $L_\infty(K,m)$ has the Banach-Hahn extension property ([1], p. 105), for any isometrically isomorphic embedding $J_1\colon X\to C(K_1)$ there exists an operator $\tilde{I}\colon C(K_1)\to L_\infty(K,m)$ such that $\tilde{I}J_1=IJ$. We put $v_1=\tilde{v}\tilde{I}$. Then

$$v_1J_1 = \tilde{v}IJ_1 = \tilde{v}IJ = vJ = u$$
.

Finally using (1.8) one can easily check that v_1 satisfies condition (1.5) of Proposition 1.1. This completes the proof.

2. p-integral operators commuting with group representations. Let G be a topological group and let X be a Banach space. By an X-representation of G we mean a homomorphism $g \to A_g$ such that



(2.2) For each x in X the function $g \to A_g x$ is continuous.

Let $u: X \to Y$ be an operator. Let $g \to A_g$ and $g \to B_g$ be X and Y-representations of a topological group G. Let

$$(2.3) uA_{\sigma} = B_{\sigma}u \text{for } q \in G.$$

Then we say that u commutes with the representations $g \to A_g$ and $g \to B_g$. Now we are ready for the main result of this note which is similar to a result of Rudin [8].

PROPOSITION 2.1. Let G be a compact topological group. Let $g \to A_g$, $g \to B_g$ and $g \to C_g$ be X, Y and C(K) — representations of G. Let $u\colon X \to Y$ be a p-integral operator which commutes with the representations $g \to A_g$ and $g \to B_g$ and let $J\colon X \to C(K)$ be an isometrically isomorphic embedding which commutes with the representations $g \to A_g$ and $g \to C_g$.

Then there exist a measure n on K and an operator $w: C(K) \to Y$ such that

- $(2.4) \ wJ = u,$
- (2.5) w commutes with the representations $g \to C_g$ and $g \to B_g$,
- $(2.6) ||wf||^p \leqslant n(|f|^p) \text{ for } f \in C(K),$
- (2.7) $n(|C_{\alpha}f|) = n(|f|)$ for $g \in G$ and $f \in C(K)$.

Proof. By [2], p. 442, the isometry C_g is of the form $C_g f = a_g \cdot f \circ F_g^{-1}$ for $f \in C(K)$, where $a_g \in C(K)$ with $|a_g| = 1$ and $F_g \colon K \to K$ is a homeomorphism $(g \in G)$. Since $C_{gh} = C_g \circ C_h$ for g, h in G, we have

$$a_g \cdot (a_h \circ F_g^{-1}) \cdot f \circ F_h^{-1} \circ F_g^{-1} = a_{gh} \cdot f \circ F_{gh}^{-1}.$$

Passing to the modulus we get $f \circ F_h^{-1} \circ F_g^{-1} = f \circ F_{gh}^{-1}$ for $f \geqslant 0$. Thus $F_{gh} = F_g \circ F_h$ for g, h in G. Next, by (2.2), we infer that $F_{(\cdot)}(\cdot)$ is a continuous function on $G \times K$.

Since $u: X \to Y$ is a p-integral operator, Proposition 1.2 implies that there exist an operator $v: C(K) \to Y$ and a measure m on K satisfying (1.1) and such that vJ = u. Define for each g in G (via the Riesz Representation Theorem ([2], p. 265) the measure m_g on K by

$$m_{\sigma}(f) = m(f \circ F_{\sigma}^{-1})$$
 for $f \in C(K)$.

Let us set for $f \in C(K)$

$$(2.8) n(f) = \int_{\mathcal{I}} m_{\sigma}(f) dg,$$

$$wf = \int_G B_g^{-1} v C_g f dg,$$

where $\int_G \dots dg$ denotes the integral with respect to the normalized Haar measure of G. Clearly, formulas (2.8) and (2.9) well define the measure



n on K (observe that $\|n\|=\|m\|$ because $\|n\|=n(1)$ and $\|m\|=\|m_0\|=m(1)=m_0(1)$ for $g\in G$) and the operator $w\colon C(K)\to Y$. Using the identity u=vJ and the fact that the operators u and J commutes with the appropriate representations we get

$$B_g^{-1}vC_gJ=B_g^{-1}vJA_g=B_g^{-1}uA_g=u$$
 for $g \epsilon G$.

Thus integrating with respect to the normalized Haar measure we obtain (2.4). Next observe that for each g and h in G we have the identity

$$B_h B_g^{-1} v C_g = (B_{gh^{-1}})^{-1} v C_{gh^{-1}} C_h.$$

Thus integrating over the variable g and using the fact that the Haar measure is translation invariant we get $B_h w = wC_h$ for each h in G. This proves (2.5).

Using the fact that B_g^{-1} is an isometry and (1.1) we get

$$||B_{g}^{-1}vC_{g}f||^{p} = ||vC_{g}f||^{p} \leqslant m(|C_{g}f|^{p})$$

$$= m(|f \circ F_{g}^{-1}|^{p}) = m_{g}(|f|^{p}) \quad (g \in G, f \in C(K)).$$

Hence, integrating, we obtain

$$\begin{split} \|wf\|^p &= \|\int_{\mathcal{G}} B_g^{-1} v C_g f dg\|^p \leqslant \int_{\mathcal{G}} \|B_g^{-1} v C_g f\|^p dg \\ &\leqslant \int_{\mathcal{G}} m_g(|f|^p) dg = n(|f|^p). \end{split}$$

This proves (2.6).

Finally, we have

$$m_a(|C_h f|) = m_a(|f \circ F_h^{-1}|) = m(|f \circ F_{ha}^{-1}|) = m_{ah}(|f|) \quad (g, h \in G; f \in C(K)).$$

Thus integrating with respect to the Haar measure we get

$$n(|C_h f|) = \int_G m_{gh}(|f|) dg = n(|f|) \quad (h \in G; f \in C(K)).$$

This proves (2.7) and completes the proof of the Proposition.

3. Examples of quasi p-integral operators which are not p-integral. Let T denote the unit circle on the complex plane. Clearly, T is a compact abelian group. Denote by $L_p(T)$ the L_p -space with respect to the normalized Haar measure of T.

Let M be a non-empty subset of the set Z of the integers. Let X_M (resp. $Y_{M,p}$) denote the closed subspace of C(T) (resp. of $L_p(T)$) spanned by such characters z' that $r \in M$. Let, furthermore, $i_p \colon C(T) \to L_p(T)$ be the natural embedding (= the formal identity map, $i_p f = f$). Clearly, if $f \in X_M$, then $i_p f \in Y_{M,p}$ and the image $i_p(X_M)$ is dense in $Y_{M,p}$. Let us define $u_{M,p} \colon X_M \to Y_{M,p}$ by the relation

$$(3.1) J_{M,p} u_{M,p} = i_p J_M,$$

where $J_{M,p}: Y_{M,p} \to L_p(T)$ and $J_M: X_M \to C(T)$ denote the natural isometrically isomorphic embeddings.

LEMMA 3.1. For every non-empty subset M of the set Z the operator $u_{M,p}: X_M \to Y_{M,p}$ is quasi p-integral.

Proof. Obviously the operator $i_p\colon C(T)\to L_p(T)$ is p-majorable. Hence the operator i_pJ_M is p-integral. The desired conclusion follows now from (3.1) and the definition of quasi p-integral operators.

Let R(T) denote the set of rational functions on T, i.e.

$$R(T) = \{f \in C(T) : f(z) = \sum_{r \in Z} a_r z^r; a_r = 0 \text{ for almost all } r\}.$$

Define $\tilde{P}_M: R(T) \to R(T)$ by

$$ilde{P}_{M}f = \sum_{r \in M} a_{r}z^{r} \quad ext{ for } \quad f = \sum_{r \in Z} a_{r}z^{r} \in R(T).$$

Proposition 3.2. The following conditions are equivalent:

- (3.2) $u_{M,p}$ is a p-integral operator,
- (3.3) \tilde{P}_M is bounded in $L_p(T)$ norm,
- (3.4) $Y_{M,p}$ is a complemented subspace of $L_p(T)$.

Proof. (3.2) \Rightarrow (3.3) First we define the action of the group T on $X_M,\,Y_{M,p}$ and C(T) by

$$(3.5) f \to f_a \text{for } a \in T,$$

where $f_a(z) = f(az) (a \epsilon T, z \epsilon T)$.

Since $(z')_a = a^r z^r$ for each a in T and for each r in Z, we easily check that (3.5) defines X_M , $Y_{M,p}$ and C(T)-representations. Clearly, $u_{M,p}$ and J_M commutes with this representations. Hence, by Proposition 2.1 there is an operator $w: C(T) \to Y_{M,p}$ satisfying conditions $(2.4) \cdot (2.7)$ with G = K = T, $u = u_{M,p}$, $J = J_M$, $X = X_M$ and $Y = Y_{M,p}$. Let us put for conveniency $w(z^r) = f_r$ $(r \in Z)$. By (2.4) and (3.1), we have

$$(3.6) f_r = z^r for r \in M.$$

On the other hand, (2.5) implies that

$$(f_r)_a = w((z^r)_a) = w((az)^r) = a^r f_r \quad (a \in T; r \in Z).$$

Hence

$$(f_r)_a(1) = f_r(a) = a^r f_r(1).$$

Thus, taking into account that $f_r \in Y_{M,p}$ and $z^r \notin Y_{M,p}$ for $r \notin M$, we infer that

$$(3.7) f_r = 0 for r \notin M.$$



Clearly, (3.6), (3.7) and the linearity of w imply that $wf = \tilde{P}_M f$ for f in R(T). Hence, by (2.6), $\|\tilde{P}_M f\|^p \leq n(|f|^p)$ for $f \in C(T)$ and for some measure n on T. By (2.7) and the definition of the action of T on C(T) we infer that the measure n is translation-invariant and therefore n is a Haar measure on T (in general n is not the normalized Haar measure of T!). Thus \tilde{P}_M is bounded in L_p (T) norm.

 $(3.3)\Rightarrow (3.4)$. Since R(T) is dense in $L_p(T)$ and $\tilde{P}_M(R(T))\subset Y_{M,p}$, (3.3) implies that there is the unique extension of \tilde{P}_M to an operator, say P, from $L_p(T)$ into $Y_{M,p}$. Clearly, P is a projection (= a bounded linear idempotent) from $L_p(T)$ onto $Y_{M,p}$.

 $(3.4)\Rightarrow (3.2)$. Let $Q:L_p(T)\xrightarrow[]{\text{onto}} Y_{M,p}$ be a projection. We put $w=Qi_p$. Then clearly $u_{M,p}=wJ_M$ and $\|wf\|^p\leqslant \|Q\|^p n_1(|f|^p)$, where n_1 denotes the normalized Haar measure of T. This shows that $u_{M,p}$ is a p-integral operator and completes the proof of the Proposition.

In view of Proposition 3.2 and Lemma 3.1 the existence for every $p \geqslant 1$ of quasi p-integral operators which are not p-integral is a simple consequence of the following known fact (cf. [7], p. 36):

Lemma 3.3. Let $1 \leq p < +\infty$. If \tilde{P}_M is bounded in $L_p(T)$ norm for every subset M of Z, then p=2.

Proof. We consider first the case where $1 \le p \le 2$. By a standard "glinding hump" procedure we conclude that the assumption of the Lemma implies that there is c > 0 such that for every subset M of Z

$$\|\tilde{P}_M f\|_p \leqslant c \|f\|_p \quad \text{for } f \in R(T).$$

Here $||f||_p = (n_1(|f|^p))^{1/p}$ denotes the $L_p(T)$ norm of f. Hence for $f = \sum_{r \in \mathbb{Z}} a_r z^r \epsilon R(T)$ and for every sequence (s_r) such that $s_r = \pm 1$ for $r \epsilon Z$ we have

$$\left\|\sum_{r\in\mathbb{Z}}a_rs_rz^r\right\|_{\mathcal{P}}\leqslant (1+2c)\left\|f\right\|_{\mathcal{P}}.$$

Therefore (remembering that $1 \le p \le 2$), by a theorem of Orlicz [4],

$$(3.8) ||f||_2 = \Big(\sum_{n \in \mathbb{Z}} |a_r|^2\Big)^{1/2} \leqslant (1+2e)b_p ||f||_p \leqslant (1+2e)b_p ||f||_2$$

for $f \in R(T)$,

where b_p is a constant depending only on p. Thus on R(T) the $L_p(T)$ -norm and $L_2(T)$ -norm are equivalent. Since R(T) is dense in every $L_q(T)$ for $1 \leq q < +\infty$, (3.8) implies that $L_p(T)$ and $L_2(T)$ coincide as the classes of functions. Hence p=2.

The proof in the case where 2 reduces by a standard duality argument to the previous case. This completes the proof.

4. The existence of quasi p-nuclear operators which are not p-nuclear. We recall (cf. [5], [9]) that an operator $u: X \to Y$ is said to be p-nuclear if there exist a sequence (x_q^*) of linear functionals on X and a sequence (y_q) of elements of Y such that

$$ux = \sum_{q=1}^{\infty} x_q^*(x) y_q$$
 for x in X ,

$$\Big(\sum_{q=1}^{\infty}\|x_{q}^{*}\|^{p}\Big)^{1/p}<+\infty \quad \text{ and } \quad \sup_{\|y^{*}\|\leqslant 1}\,\Big(\sum_{q=1}^{\infty}|y^{*}(y_{q})|^{p*}\big)^{1/p^{*}}<+\infty,$$

where $p^* = p(p-1)^{-1}$.

An operator $u\colon X\to Y$ is called *quasi p-nuclear* if there exist a Banach space Z and an isometrically isomorphic embedding $I\colon Y\to Z$ such that the operator Iu is p-nuclear.

Following [5] let the symbols $I_p(X, Y)$, $I_p^Q(X, Y)$, $N_p(X, Y)$, and $N_p^Q(X, Y)$ denote the Banach spaces (under the appropriate norms defined in [5]) of p-integral, quasi p-integral, p-nuclear and quasi p-nuclear operators from X into Y, respectively. Let 1 . According to the Persson-Pietsch duality theory (cf. [5], Satz 52 and Satz 53) if

(4.1) Y is a reflexive Banach space,

(4.2) X and Y have the metric approximation property (cf. e.g. [5] for the definition),

then there are natural isometric isomorphisms (onto!)

$$d: (N_p(Y, X))^* \to I_{p^*}^Q(X, Y),$$

$$d_Q: (N_p^Q(Y, X))^* \to I_{p^*}(X, Y),$$

where $p^* = p(p-1)^{-1}$ and Z^* denotes the dual space of a Banach space Z. Furthermore if $j_N: N_p(Y, X) \to N_p^Q(Y, X)$ and $j_I: I_{p^*}(X, Y) \to I_{p^*}^Q(X, Y)$ denotes the natural embeddings, then

$$(4.3) dj_N^* = j_I d_Q,$$

where j_N^* denotes the adjoint operator of j_N . Since an operator u is an isomorphism (= a linear homeomorphism) if and only if the adjoint operator u^* has the same property, (4.3) implies the following fact:

COROLLARY 4.1. If the spaces X and Y satisfy conditions (4.1) and (4.2), then $N_p(Y,X) = N_p^Q(Y,X)$ (i.e. j_N is an isomorphism) if and only if $I_{p^*}(X,Y) = I_{p^*}^Q(X,Y)$ (i.e. j_I is an isomorphism).

We shall apply this Corollary in the case where $X = X_M$ and $Y = Y_{M,p^*}$. Clearly, Y_{M,p^*} is reflexive as a closed linear subspace of $L_{p^*}(T)$. To verify (4.2) observe that the Cesàro means form in the spaces



 X_M and Y_{M,p^*} a sequence of finite-dimensional operators (each of norm one) which tends pointwise to the identity operators of the spaces.

Combining Corollary 4.1 with Proposition 3.2 we obtain

PROPOSITION 4.2. If \tilde{P}_M is unbounded in $L_{p^*}(T)$ -norm, then $N_p(Y_{M,p^*}, X_M) \neq N_p^Q(Y_{M,p^*}, X)$ (1 .

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Multipliers and tensor products of L^p -spaces of locally compact groups*

b;

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In an earlier paper concerned with induced representations [12] we introduced a definition of tensor product for Banach modules. Section 1 of the present paper contains general remarks on the relationship between multipliers of Banach modules and this definition of tensor product. In the following sections, motivated by theorems of Figà-Talamanca, Gaudry, Hörmander, and Eymard [5, 6, 10, 4] concerning multipliers of the L^p -spaces of locally compact groups, we give concrete representations as function spaces for the tensor products of these L^p -spaces, and we indicate how the theorems of the above-named authors can be reformulated in terms of these representations.

We would like to thank F. Greenleaf and L. Maté for several stimulating conversations about multipliers.

1. Multipliers and tensor products. Let A be a Banach algebra. By a left (right) Banach A-module we mean [12] a Banach space, V, which is a left (right) A-module in the algebraic sense, and for which

$$||av|| \le ||a|| ||v||$$
 for all $a \in A$ and $v \in V$.

If V and W are left (right) Banach A-modules, then $\operatorname{Hom}_{A}(V,W)$ will denote the Banach space of all continuous A-module homomorphisms from V to W with the operator norm. The elements of $\operatorname{Hom}_{A}(V,W)$ are traditionally called *multipliers* from V to W. If V is a left (right) Banach A-module, then V^* , the dual of V, is a right (left) Banach A-module under the adjoint action of A.

For completeness we include the definition of the tensor product of Banach modules which was introduced in [12]. Let V and W be respectively a left and right Banach A-module. Let $V\otimes_{\tau}W$ denote the

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