

$X = C[-a, a]$. The operator K is anticommutative with S . Indeed,

$$\begin{aligned} (SKx)(t) &= \int_{-a}^a K(-t, s)x(s)ds, \\ (KSx)(t) &= \int_{-a}^a K(t, s)x(-s)ds = -\int_a^{-a} K(t, -u)x(u)du \\ &= \int_{-a}^a K(t, -u)x(u)du = -\int_{-a}^a K(-t, u)x(u)du = -(SKx)(t), \end{aligned}$$

because $K(-t, s) = -K(t, -s)$.

Let us consider the equation $(a_0^2 - b_0^2 \neq 0 \neq a_1^2 - b_1^2)$

$$(4.2) \quad a_0 x(t) + b_0 x(-t) + a_1 \int_{-a}^a K(t, s)x(s)ds + b_1 \int_{-a}^a K(-t, s)x(s)ds = y(t),$$

$$y \in C[-a, a].$$

According to Theorem 2.9, for solving (4.2) it is sufficient to know a solution of the equation $(K^2 - \lambda I)x = y$ and all the solutions of the equation $(K - \sqrt{\lambda}I)z_1 = 0$. But

$$(K^2 x)(t) = \int_{-a}^a K_1(t, s)x(s)ds, \quad \text{where } K_1(t, s) = \int_{-a}^a K(t, u)K(u, s)du.$$

This means that for solving (4.2) it is enough to solve the following equations:

$$\begin{aligned} -\lambda \tilde{x}(t) + \int_{-a}^a K_1(t, s)\tilde{x}(s)ds &= y(t), \\ -\sqrt{\lambda}z_1(t) + \int_{-a}^a K(t, s)z_1(s)ds &= 0. \end{aligned}$$

References

[1] D. Przeworska-Rolewicz, *Sur les équations involutives et leurs applications*, Studia Math. 20 (1961), p. 95-117.

[2] — and S. Rolewicz, *Equations in linear spaces*, Monografie Matematyczne 47, Warszawa 1968.

[3] — *On periodic solutions of linear differential-difference equations with constant coefficients*, Studia Math. 32 (1968), p. 69-73.

INSTYTUT MATEMATYCZNY POLSKIEJ AKADEMII NAUK
INSTITUTE OF MATHEMATICS OF THE POLISH ACADEMY OF SCIENCES

Reçu par la Rédaction le 8. 7. 1968

A uniform algebra with non-global peak points

by

W. E. MEYERS (Vancouver)

1. Introduction. By a *uniform algebra* A on a topological space X we mean an algebra of continuous complex-valued functions on X which contains the constants and is closed under uniform convergence on compact subsets of X . A point $p \in X$ is said to be a *local peak point* of A in X if there exists a neighborhood U of p in X and a function $a \in A$ such that $a(p) = 1$ and $|a(x)| < 1$ if $x \in U \setminus \{p\}$. If X is compact and the space $M(A)$ of non-zero continuous homomorphisms of A , with the w^* -topology, is (homeomorphic to) X , it is known that every local peak point of A in X is a global peak point, i.e., U can be taken to be X [3]. It is the purpose of this paper to show that this result is not true for general uniform algebras. We exhibit a uniform algebra A on a σ -compact space M (which is set-wise just the complex numbers C) which has local peak points in $M = M(A)$ but has no global peak points. In fact, A contains no non-constant bounded functions.

2. The construction. We describe a sequence of subsets M_m of the plane which satisfy

- (i) $M_m \subset M_{m+1}$;
- (ii) $\bigcup_{m=1}^{\infty} M_m = C$;
- (iii) each M_m is compact and non-separating;
- (iv) for every z_0 on the positive y -axis, there is a sequence $\{z_m\}_{m=1}^{\infty}$, $z_m \in M_m - M_{m-1}$, such that $z_m \rightarrow z_0$;
- (v) for every positive integer m and every z_0 on the positive y -axis with $|z_0| \leq m$ there is a sequence $\{z_n\}_{n=1}^{\infty} \subset M_m$ such that $z_n \rightarrow z_0$;
- (vi) for every z not lying on the positive y -axis, z lies in the interior int M_m of M_m for some m .

Let B_m be the union of the lines $\{(1/n, y) : m/2n \leq y \leq m\}$ and the line segments joining the following pairs of points:

$$(0, -m) \text{ and } (m, -m); \quad (m, -m) \text{ and } (m, m);$$



$$(m, m) \text{ and } \left(\frac{1}{m}, m\right); \quad \left(\frac{1}{m}, m\right) \text{ and } \left(\frac{1}{m}, \frac{1}{2}\right);$$

$$\left(\frac{1}{m}, \frac{1}{2}\right) \text{ and } (0, 0); \quad (0, 0) \text{ and } (0, m).$$

Take M_m to be the closed and bounded subset of C bounded by B_m and its reflection about the y -axis.

For each m , let $A_m = (P[M_m])$ be the uniform closure on M_m of the algebra P of polynomials in z . By a well-known theorem of Mergelyan, A_m is the commutative Banach algebra of all continuous functions on M_m which are analytic on $\text{int } M_m$. Let $\pi_j^m: A_m \rightarrow A_j$, $m \geq j$, be the algebra isomorphism given by $\pi_j^m(f_m) = f_m|_{M_j}$, $f_m \in A_m$. The collection $\{A_m, \pi_j^m\}$ determines a dense inverse limit system [1]; Let A be the inverse limit of this system and write π_m for the canonical projection of A into A_m . Then A is a commutative F -algebra whose non-zero continuous homomorphism space M is σ -compact and can be identified set-wise with C . Further, A can be regarded as a uniform algebra on M with $M(A) = M$ by defining $f(z) = \pi_m(f)(z)$, $f \in A$, $z \in M_m$.

Birtel and Lindberg show in [2] that an algebra A defined in this way contains no bounded functions except constants, so of course no point of M can be a (global) peak point of A in M . However, if $q = iy$, $y > 1$, then q is a local peak point of A in M , as the following lemmas show.

LEMMA 1. Let $q = iy_0$, $y_0 > 1$, and take an integer r with $y_0 < r-1$ and $|y_0-1| > 1/r$. Let

$$K_m = \left\{ (x, y) : \frac{4m+7}{4(m+1)(m+2)} \leq |x| \leq \frac{4m+1}{4m(m+1)}, 1 \leq y \leq r \right\}$$

and let K be the closure in C of $\bigcup_{m=1}^{\infty} K_m$. Then there is a neighborhood U of q in M with $U \subset K$.

Proof. Set

$$Y_m = \left\{ (x, y) : \frac{4m+1}{4m(m+1)} \leq |x| \leq \frac{4m+3}{4m(m+1)}, 1 \leq y \leq m \right\}.$$

Then Y_m is compact, $Y_m \cap M_m = \emptyset$, and $Y_m \subset M_{m+1}$. We find for each m a polynomial p_m as follows: Let

$$f_1(z) = \begin{cases} 2, & z \in Y_1, \\ 0, & z \in M_1. \end{cases}$$

By Mergelyan, there is a polynomial p_1 with $\|p_1 - f_1\|_{M_1 \cup Y_1} < \frac{1}{4}$. Assume now that p_{m-1} has been chosen. Since

$$f_m(z) = \begin{cases} p_{m-1}(z), & z \in M_m, \\ 2, & z \in Y_m, \end{cases}$$

is continuous on $M_m \cup Y_m$ and analytic on the interior of this set, there is a polynomial p_m such that $\|p_m - f_m\|_{M_m \cup Y_m} < 1/2^{m+1}$. If $\epsilon > 0$ and m is taken large enough so that $\sum_{k=m}^{\infty} \frac{1}{2^{k+2}} < \epsilon$, then for $n > m$,

$$\|p_n - p_m\|_{M_m} \leq \sum_{k=m}^{n-1} \|p_{k+1} - p_k\|_{M_m} \leq \sum_{k=m}^{n-1} (\|p_{k+1} - f_{k+1}\|_{M_m} + \|f_{k+1} - p_k\|_{M_m})$$

$$< \sum_{k=m}^{n-1} \frac{1}{2^{k+2}} < \epsilon,$$

so $\{p_n\}_{n=1}^{\infty}$ converges uniformly on each set M_m and thus determines an element $f \in A$. But

$$|f(q)| \leq |p_1(q)| + \sum_{k=1}^{\infty} |p_{k+1}(q) - p_k(q)| < \frac{1}{4} + \sum_{k=1}^{\infty} \frac{1}{2^{k+2}} < 1$$

while if $z \in Y_m$ for some m , then

$$|f(z)| \geq |p_m(z)| - \sum_{k=1}^{\infty} |p_{k+1}(z) - p_k(z)| > \left(2 - \frac{1}{2^{m+1}}\right) - \sum_{k=m}^{\infty} \frac{1}{2^{k+2}} > 1.$$

Thus if $Y = \bigcup_{m=1}^{\infty} Y_m$, then $\{z \in M : |f(z)| < 1\} \cap Y = \emptyset$, so the w^* -open neighborhood $U = \{z : |f(z)| < 1, |z - q| < 1/r\}$ of q in M is contained in K since $K \cup Y = \{z : |z - q| < 1/r\}$.

LEMMA 2. There is an element $a \in A$ which peaks at q in K .

Proof. Set $X_m = M_m \cup K$ and let $U_m = \{z : |z - q| < 1/m\}$. Note that X_m is a compact non-separating subset of C . We find by induction polynomials b_m which satisfy

- (1) $\|b_{m+1} - b_m\|_{X_m} < \frac{1}{2^{m-1}}$,
- (2) $\|b_{m+1} - b_m\|_{K \cup U_m} < \frac{1}{2^{m+1}}$,
- (3) $b_m(q) = 3 \left(1 - \frac{1}{2^m}\right)$,
- (4) $\|b_m\|_K \leq 3 \left(1 - \frac{1}{2^{m+1}}\right)$.



By Mergelyan, there is a function $h_m \in (P|X_m)$ which peaks in X_m at q . Let φ_m be a conformal map of $\text{int } M_m$ onto $\{z : |z| < \frac{1}{2}\}$ and φ_0 a conformal map of the right half-plane H onto $\{z : |z| < 1\}$ with continuous boundary values taken so that $\varphi_0(q) = 1$.

Write

$$h_m(z) = \begin{cases} \varphi_m(z), & z \in \text{int } M_m, \\ \varphi_0(z), & z \in K_n \cap H, n > m, \\ \varphi_0\left(z + \frac{1}{n}\right), & z \in K_n \setminus H, n > m, \end{cases}$$

and extend h_m to a function continuous on X_m with $|h_m(z)| < 1$ for $z \in M_m \setminus \{q\}$.

Let $a_1 \in P$ be such that $\|a_1 - f_1\|_{X_1} < \frac{1}{3}$ and set $b_1 = \frac{3}{2}(a_1/a_1(q))$. Then $\|b_1\|_K < \frac{3}{2}(1 + \frac{1}{3})(1 - \frac{1}{3}) \leq 3(1 - \frac{1}{4})$ and $b_1(q) = 3(1 - \frac{1}{2})$. Suppose b_1, \dots, b_k have been picked satisfying (1)-(4). Since $b_k(q) = 3(1 - 1/2^k)$, there is some $j > k$ such that

$$|b_k(z)| < 3\left(1 - \frac{1}{2^k}\right) + \frac{1}{2^{k+2}} \quad \text{for } z \in U_j.$$

Choosing n_j large enough so that $\|h_j^{n_j}\|_{X_j \cup U_j} < \frac{1}{16}$ and $a_j \in P$ with $\|a_j - h_j^{n_j}\|_{X_j} < \frac{1}{16}$, set $g_j = a_j - \frac{1}{3}$.

Then $\|g_j\|_{X_j} < 1$, $\|g_j\|_{X_j \cup U_j} < \frac{1}{4}$, and $|g_j(q)| > \frac{3}{4}$. Let

$$b_{k+1} = b_k + \frac{3}{2^{k+1}} \frac{g_j}{g_j(q)}.$$

It is easily checked that b_{k+1} satisfies (1), (2), and (3). To see (4), note that if $z \in K \cap U_j$,

$$|b_{k+1}(z)| \leq 3\left(1 - \frac{1}{2^k}\right) + \frac{1}{2^{k+2}} + \frac{3}{2^{k+1}} \cdot \frac{4}{3} = 3\left(1 - \frac{1}{2^{k+2}}\right)$$

while if $z \in K \setminus U_j$,

$$|b_{k+1}(z)| \leq 3\left(1 - \frac{1}{2^{k+1}}\right) + \frac{3}{2^{k+1}} \cdot \frac{4}{3} \cdot \frac{1}{4} < 3\left(1 - \frac{1}{2^{k+2}}\right).$$

Thus we have by induction the desired polynomials b_m . By (1), $\{b_m\}_{m=1}^\infty$ converges uniformly on the sets M_m to $b \in A$. By (3), $b(q) = 3$. If $z \in K \setminus \{q\}$, then there exists m such that $z \in U_m$, so by (2) and (4)

$$|b(z)| \leq |b_m(z)| + \sum_{k=m}^\infty |b_{k+1}(z) - b_k(z)| \leq 3\left(1 - \frac{1}{2^{m+1}}\right) + \frac{1}{2^m} = 3 - \frac{1}{2^{m+1}} < 3.$$

Thus $a = b/3$ peaks at q in K and the lemma is proved.

That q is a local peak point of A in M (where $q = iy, y > 1$) now follows immediately since the w^* -neighborhood U of q is contained in K .

References

[1] R. Arens, *Dense inverse limit rings*, Mich. Math. J. 5 (1958), p. 169-182.
 [2] F. Birtel and J. A. Lindberg, *A Liouville algebra of non-entire functions*, Studia Mathematica 25 (1964), p. 27-31.
 [3] H. Rossi, *The local maximum modulus principle*, Ann. Math. (2) 72 (1960), p. 1-11.

Reçu par la Rédaction le 15. 8. 1968