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## Hypoelliptic and entire elliptic convolution equations in subspaces of the space of distributions (II)

by

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In part I of this work (see [5]) we showed how to define in a general manner hypoelliptic and entire elliptic convolution operators in subspaces of the space of distributions. We also characterized hypoelliptic and entire elliptic convolution operators in the space  $\mathcal{S}'$  of tempered distributions.

The purpose of this paper is to study hypoelliptic convolution operators in the space  $\mathcal{X}'_1 (= \mathcal{A}_\infty)$  of distributions of exponential growth introduced by Sebastião e Silva [4] and Hasumi [1].

The space  $\mathcal{C}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  of convolution operators in  $\mathcal{X}'_1$  (which is a space of distributions) was characterized in [1] and its topological properties were investigated in [6].

Using the notation of [5] we define  $\mathcal{E}\mathcal{X}'_1$  to be the set of all  $C^\infty$ -functions  $f \in \mathcal{X}'_1$  such that, for every  $S \in \mathcal{C}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$ , the convolution  $S * f$  is a  $C^\infty$ -function and  $S \rightarrow S * f$  is a continuous mapping from  $\mathcal{C}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  into the space  $\mathcal{E}$  of all  $C^\infty$ -functions in  $R^n$ . Then a distribution  $S \in \mathcal{C}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  is said to be *hypoelliptic* in  $\mathcal{X}'_1$ , if every solution  $U \in \mathcal{X}'_1$  of the convolution equation

$$(1) \quad S * U = F$$

is in  $\mathcal{E}\mathcal{X}'_1$ , when  $F \in \mathcal{E}\mathcal{X}'_1$ ; in that case equation (1) is also called *hypoelliptic* in  $\mathcal{X}'_1$ .

As a supplement of the standard notation (see [3] and [5]) we use  $N^n$  as the set of all points in  $R^n$ , whose coordinates are non-negative integers; we write  $N$  and  $R$  instead of  $N^1$  and  $R^1$  respectively. Furthermore, we denote by  $P^n$  ( $Q^n$  resp.) the set of all points  $p = (p_1, \dots, p_n)$  ( $q = (q_1, \dots, q_n)$  resp.) such that  $p_j = 1$  or  $-1$  ( $q_j = 1$  or  $0$  resp.). In particular,  $Q^n$  contains the points  $\mathbf{1} = (1, 1, \dots, 1)$  and  $\mathbf{0} = (0, 0, \dots, 0)$ .

For a point  $x = (x_1, \dots, x_n) \in R^n$  we sometimes write  $x = (x', x_n)$ , where  $x' = (x_1, \dots, x_{n-1}) \in R^{n-1}$ . Also, for  $x = (x_1, \dots, x_n)$  and  $\xi = (\xi_1, \dots, \xi_n)$  in  $R^n$  we use the product  $x\xi = (x_1\xi_1, \dots, x_n\xi_n)$  beside the scalar

product  $x \cdot \xi = x_1 \xi_1 + \dots + x_n \xi_n$ . The same notation applies to points in  $C^n$ , which are denoted by  $z = x + iy$  or  $\zeta = \xi + i\eta$ ,  $x, y, \xi, \eta \in R^n$ .

Given an  $a \in R$ ,  $a > 0$ ,  $I_a$  stands for the open cube in  $R^n$  with center at the origine and side  $2a$ , i.e.

$$I_a = \{x = (x_1, \dots, x_n) \in R^n : |x_j| < a, j = 1, \dots, n\};$$

$\bar{I}_a$  is the closure of  $I_a$ .

A horizontal strip in  $C^n$  around  $R^n$  of width  $b > 0$  is defined as

$$\Gamma_b = \{z = (z_1, \dots, z_n) \in C^n : |\Im z_j| \leq b, j = 1, \dots, n\}.$$

We constantly make use of the function

$$\sigma_b(z) = \sum_{\mu \in R^n} e^{b\mu \cdot z} = \prod_{j=1}^n (e^{bz_j} + e^{-bz_j}),$$

where  $z = (z_1, \dots, z_n) \in C^n$  and  $b \in R$ .

**1. The basic spaces.** For the convenience of the reader we characterize briefly the basic spaces used in this paper.

$\mathcal{X}_1$  is the space of all  $C^\infty$ -functions  $q$  in  $R^n$  such that  $\sigma_k(x) D^r q(x)$  is bounded in  $R^n$ , for every  $k \in N$  and  $r \in N^n$ . The topology in  $\mathcal{X}_1$  is defined by the system of semi-norms

$$v_k(q) = \sup_{x \in R^n, |r| \leq k} \sigma_k(x) |D^r q(x)|, \quad k = 0, 1, \dots$$

Then  $\mathcal{X}_1$  is a Fréchet nuclear space ([1], proposition 1).

The dual  $\mathcal{X}'_1$  of  $\mathcal{X}_1$  is the space of distributions of exponential growth. A distribution  $T$  is in  $\mathcal{X}'_1$  if and only if  $T$  can be represented in the form

$$T = D^r [\sigma_\mu(x) f(x)],$$

where  $r \in N^n$ ,  $\mu \in R$  and  $f$  is a bounded, continuous function on  $R^n$  ([1], proposition 3). Under the strong topology  $\mathcal{X}'_1$  is a complete Montel space.

The space  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  of convolution operators in  $\mathcal{X}'_1$  can be characterized as follows ([1], proposition 9). A distribution  $S$  is in  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  if and only if, for every  $k \in N$ ,  $S$  can be represented as a finite sum of derivatives of continuous functions, whose products with  $\sigma_k(x)$  are bounded in  $R^n$ . The topology of  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  is that induced in  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  by the space  $\mathcal{L}_b(\mathcal{X}'_1, \mathcal{X}'_1)$ ; it makes  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  into a complete Montel space (see [6]).

Note that the convolution  $S * T$  can be defined even if neither  $S$  nor  $T$  is in  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$ . If e.g. for  $\mu < \nu$ ,  $\sigma_\nu S$  and  $\frac{1}{\sigma_\mu} T$  are bounded distri-

butions, then one can find continuous functions  $F_r$ ,  $r \in N^n$ ,  $|r| \leq k$ , and  $G$  such that

$$(2) \quad S = \sum_{|r| \leq k} D^r F_r, \quad T = D^s G$$

and the convolutions  $F_r * G$  exist in the usual sense. Then we set

$$S * T = \sum_{|r| \leq k} D^{r+s} (F_r * G).$$

One can show that the convolution  $S * T$  so defined does not depend on the representation (2).

The set  $\mathcal{E}\mathcal{X}'_1$  can be identified with the dual  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  of  $\mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  similarly as in the case of the set  $\mathcal{E}\mathcal{S}'$  (see [5], p. 322). Thus  $\mathcal{E}\mathcal{X}'_1$  consists of all  $C^\infty$ -functions  $f$  such that one can find a  $k \in N$  satisfying the condition

$$D^r f(x) = O(\sigma_k(x))$$

as  $|x| \rightarrow \infty$ , for all  $r \in N^n$  ([6], theorem 10).

For a function  $\varphi \in \mathcal{X}'_1$ , its Fourier transform

$$\hat{\varphi}(\xi) = \int_{R^n} e^{-2\pi i \xi \cdot x} \varphi(x) dx$$

can be extended over  $C^n$  as an entire function such that

$$w_k(\hat{\varphi}) = \sup_{\zeta \in V_k} (1 + |\zeta|)^k |\hat{\varphi}(\zeta)| < \infty, \quad k = 1, 2, \dots$$

The space  $K_1$  of all entire functions with the latter property corresponds to  $\mathcal{X}_1$  under the Fourier transform. If the topology in  $K_1$  is defined by the system of semi-norms  $w_k$ ,  $k = 1, 2, \dots$ , then the Fourier transform is a topological isomorphism of  $\mathcal{X}_1$  onto  $K_1$  ([1], proposition 4).

The dual  $K'_1$  of  $K_1$  is the space of Fourier transforms of distributions from  $\mathcal{X}'_1$ . For a distribution  $T \in \mathcal{X}'_1$  its Fourier transform  $\hat{T}$  is defined by the Parseval equation

$$\hat{T}_\xi \cdot \varphi(\xi) = T_x \cdot \varphi(-x).$$

$K'_1$  is provided with the strong topology. Then the Fourier transform is a topological isomorphism of  $\mathcal{X}'_1$  onto  $K'_1$ .

The Fourier transform  $\hat{S}$  of a distribution  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  is a  $C^\infty$ -function extendable over  $C^n$  as an entire function; moreover, for every  $k \in N$  there exists an  $l \in N$  such that

$$\sup_{\zeta \in V_k} \frac{|\hat{S}(\zeta)|}{(1 + |\zeta|)^l} < \infty$$

(see [1], propositions 8 and 9, or [6], theorem 3). Also, for  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  and  $T \in \mathcal{X}'_1$  we have the formula

$$\widehat{S * T} = \widehat{S} \widehat{T},$$

where the product on the right-hand side is well defined in  $K'_1$ .

**2. Hypoelliptic operators in  $\mathcal{X}'_1$ . Necessary condition.** We prove a necessary condition for a convolution operator  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  to be hypoelliptic in  $\mathcal{X}'_1$ . The proof is based on an idea similar to that used in [5] for convolution operators in  $\mathcal{S}'$ . We begin with a lemma.

**LEMMA 1.** *Let  $T$  be a distribution, whose Fourier transform  $\widehat{T}$  is of the form*

$$(3) \quad \widehat{T} = \sum_{j=1}^{\infty} a_j \delta_{(j\zeta)},$$

where the  ${}_j\zeta = {}_j\xi + i{}_j\eta \in \mathcal{O}^n$  satisfy conditions

$$(4) \quad |{}_j\zeta| > 2|{}_{j-1}\zeta| > 2^j, \quad |{}_j\eta| \leq B,$$

and  $a_j$  are complex numbers such that

$$(5) \quad a_j = O(|{}_j\zeta|^\mu)$$

for some  $\mu \in \mathbb{N}$ ; then the series in (3) converges in  $K'_1$ . We assert that  $T \in \mathcal{E}\mathcal{X}'_1$  if and only if

$$(6) \quad a_j = o(|{}_j\zeta|^{-\nu}),$$

for every  $\nu \in \mathbb{N}$ .

**Proof.** By virtue of equality (3) and condition (5),

$$T = \sum_{j=1}^{\infty} a_j e^{2\pi i x \cdot {}_j\zeta},$$

where the series converges in  $\mathcal{X}'_1$ . If the coefficients  $a_j$  satisfy condition (6), then the last series and all its term-by-term derivatives converge uniformly in  $R^n$  on dividing by  $e^{2\pi i x \cdot {}_j\zeta}$ . Consequently  $T$  is in  $\mathcal{E}\mathcal{X}'_1$ .

Conversely, assume that  $T$  is a function from  $\mathcal{E}\mathcal{X}'_1$ . Then, for every  $\nu \in \mathbb{N}$  and every  $\varphi \in \mathcal{X}'_1$ ,

$$e^{2\pi i u \cdot x} \Delta^\nu T_x \cdot \varphi(-x) \rightarrow 0,$$

as  $|u| \rightarrow \infty$ ,  $u \in \mathcal{O}^n$ ,  $|\mathcal{S}u| \leq B$ ;  $\Delta^\nu$  is the iterated Laplace operator. Hence, passing to the Fourier transform, we see that

$$(7) \quad \tau_u[(\zeta \cdot \zeta)^\nu \widehat{T}_\zeta] \cdot \widehat{\varphi}(\zeta) = \sum_{j=1}^{\infty} a_j ({}_j\zeta \cdot {}_j\zeta)^\nu \widehat{\varphi}({}_j\zeta - u) \rightarrow 0,$$

as  $|u| \rightarrow \infty$ ,  $u \in \mathcal{O}^n$ ,  $|\mathcal{S}u| \leq B$ . We fix a function  $\varphi \in \mathcal{X}'_1$  such that

$$(8) \quad |\widehat{\varphi}(0)| \geq 1.$$

Suppose now that condition (6) is not satisfied. Then there is a  $\varrho > 0$  and a  $\nu_0 \in \mathbb{N}$  such that

$$(9) \quad |{}_j\zeta|^{2\nu_0} |a_j| \geq \varrho$$

for a subsequence of  $\{a_j\}$ , which we may take as the whole sequence without loss of generality. Also, since  $\widehat{\varphi} \in K_1$ ,

$$(10) \quad \widehat{\varphi}(\zeta) = O(|\zeta|^{-\mu-2\nu_0-1}),$$

as  $|\zeta| \rightarrow \infty$ ,  $\zeta = \xi + i\eta \in \mathcal{O}^n$ ,  $|\eta| \leq B$ .

We set now  ${}_j u = {}_j\zeta$ . Making use of (4), (5) and (10) we obtain the estimation

$$\sum_{\substack{j=1 \\ j \neq k}}^{\infty} a_j ({}_j\zeta \cdot {}_j\zeta)^{\nu_0} \widehat{\varphi}({}_j\zeta - k u) = O(2^{-k}).$$

On the other hand, conditions (8) and (9) imply that, for sufficiently large  $k$ ,

$$|a_k| |k\zeta \cdot k\zeta|^{\nu_0} |\widehat{\varphi}(0)| \geq \frac{\varrho}{2}.$$

This contradicts the convergence (7). Our assertion is thus established.

**Remark.** The above lemma is a generalization of lemma 1 in [5], which can be obtained by setting  $B = 0$ .

**THEOREM 1.** *If a distribution  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  is hypoelliptic in  $\mathcal{X}'_1$ , then for every  $B \geq 0$  there are constants  $a$  and  $A$  such that the Fourier transform  $\widehat{S}$  of  $S$  satisfies the condition*

$$(11) \quad |\widehat{S}(\zeta)| \geq |\zeta|^a \quad \text{for } \zeta = \xi + i\eta \in \mathcal{O}^n, |\eta| \leq B, |\xi| \geq A.$$

**Proof.** Suppose that condition (11) is not satisfied. Then there exists a  $B \geq 0$  and a sequence of points  ${}_j\zeta = {}_j\xi + i{}_j\eta \in \mathcal{O}^n$ , defined as in lemma 1, such that

$$(12) \quad |\widehat{S}({}_j\zeta)| < |{}_j\zeta|^{-j}.$$

The series

$$\sum_{j=1}^{\infty} \delta_{(j\zeta)}$$

converges in  $K'_1$  to  $\widehat{U}$ , say. Hence  $U \in \mathcal{X}'_1$  and, by lemma 1,  $U$  is not in  $\mathcal{E}\mathcal{X}'_1$ . But the convolution  $S * U$  can be transformed according to the formula

$$\widehat{S * U} = \widehat{S} \widehat{U} = \sum_{j=1}^{\infty} \widehat{S}({}_j\zeta) \delta_{(j\zeta)}.$$

Applying now inequality (12) and once more lemma 1 we conclude that  $S*U$  is in  $\mathcal{E}\mathcal{K}'_1$ . Thus  $S$  is not hypoelliptic in  $\mathcal{K}'_1$ , q.e.d.

If a partial differential operator with constant coefficients, i.e. an operator of the form

$$S = P(D)\delta,$$

where  $P(D)$  denotes a polynomial of derivation and  $\delta$  the Dirac measure, is hypoelliptic in  $\mathcal{K}'_1$ , then it is hypoelliptic in  $\mathcal{O}'$ . This follows from theorem 1 and a theorem of Hörmander ([2], p. 99, theorem 4.1.3).

**3. Two lemmas.** The following two lemmas are necessary for our investigations in the next section.

LEMMA 2. Let  $\gamma(\zeta)$  be a function defined in the horizontal strip  $V_b$  as

$$\gamma(\zeta) = \begin{cases} 0 & \text{for } \xi = \Re\zeta \in I_a, \\ 1 & \text{otherwise.} \end{cases}$$

Then, for every  $p \in P^n$ ,

$$(13) \quad \int_{l_p} \gamma(\zeta) e^{2\pi i \zeta \cdot x} d\zeta = \frac{1 - e^{-2\pi b p \cdot x}}{(2\pi i)^n x^1} \prod_{j=1}^n (e^{2\pi i a x_j} - e^{-2\pi i a x_j}),$$

where  $l_p = l_{p_1} \times l_{p_2} \times \dots \times l_{p_n}$  and  $l_{p_j}$  consists of three line segments: from  $-a$  to  $-a + ibp_j$ , from  $-a + ibp_j$  to  $a + ibp_j$ , and from  $a + ibp_j$  to  $a$ .

Proof. We use the contours  $l_p^1 = l_{p_1}^1 \times \dots \times l_{p_n}^1$  and  $l_p^2 = l_{p_1}^2 \times \dots \times l_{p_n}^2$ , where  $l_{p_j}^1$  is the line segment from  $-a + ibp_j$  to  $a + ibp_j$  and  $l_{p_j}^2$  consists of two line segments from  $-a$  to  $-a + ibp_j$ , and from  $a + ibp_j$  to  $a$ .

The lemma will be proved by induction on the number of variables  $n$ . For  $n = 1$ , let  $\gamma_1$  be the function of one variable, which corresponds to  $\gamma$ . Then we have

$$\int_{l_p^1} \gamma_1(\zeta) e^{2\pi i \zeta \cdot x} d\zeta = 0$$

and

$$\int_{l_p^2} \gamma_1(\zeta) e^{2\pi i \zeta \cdot x} d\zeta = \frac{1 - e^{-2\pi b p \cdot x}}{2\pi i x} (e^{2\pi i a x} - e^{-2\pi i a x}),$$

where  $p = 1$  or  $-1$ . Thus equality (13) is satisfied in case of one variable.

In order to perform the induction step we use the points  $x', \zeta', p', \mathbf{1}' \in \mathcal{O}^{n-1}$  as defined in the introduction. We also write e.g.  $l_{p'} = l_{p_1} \times l_{p_2} \times$

$\dots \times l_{p_{n-1}}$  and denote by  $\gamma_{n-1}$  the function of  $n-1$  variables corresponding to  $\gamma$ . Then one can easily verify that, for every  $p \in P^n$ ,

$$(14) \quad \int_{l_p} \gamma(\zeta) e^{2\pi i \zeta \cdot x} d\zeta = \int_{l_{p'}} \gamma_{n-1}(\zeta') e^{2\pi i \zeta' \cdot x'} d\zeta' \int_{l_{p_n}} e^{2\pi i \zeta_n x_n} d\zeta_n + \int_{l_{p'}} e^{2\pi i \zeta' \cdot x'} d\zeta' \int_{l_{p_n}} e^{2\pi i \zeta_n x_n} d\zeta_n.$$

Assume now that equality (13) is true for  $n-1$  variables, i.e.

$$\int_{l_{p'}} \gamma_{n-1}(\zeta') e^{2\pi i \zeta' \cdot x'} d\zeta' = \frac{1 - e^{-2\pi b p' \cdot x'}}{(2\pi i)^{n-1} (x')^{\mathbf{1}'}} \prod_{j=1}^{n-1} (e^{2\pi i a x_j} - e^{-2\pi i a x_j}).$$

Then the right-hand side of (14) can be transformed into the form

$$\begin{aligned} & \frac{1 - e^{-2\pi b p' \cdot x'}}{(2\pi i)^{n-1} (x')^{\mathbf{1}'}} \prod_{j=1}^{n-1} (e^{2\pi i a x_j} - e^{-2\pi i a x_j}) \frac{e^{-2\pi b p_n x_n}}{2\pi i x_n} (e^{2\pi i a x_n} - e^{-2\pi i a x_n}) + \\ & + \frac{1}{(2\pi i)^{n-1} (x')^{\mathbf{1}'}} \prod_{j=1}^{n-1} (e^{2\pi i a x_j} - e^{-2\pi i a x_j}) \frac{1 - e^{-2\pi b p_n x_n}}{2\pi i x_n} (e^{2\pi i a x_n} - e^{-2\pi i a x_n}) \\ & = \frac{1 - e^{-2\pi b p \cdot x}}{(2\pi i)^n x^{\mathbf{1}}} \prod_{j=1}^n (e^{2\pi i a x_j} - e^{-2\pi i a x_j}), \end{aligned}$$

which shows that equality (13) holds also for  $n$  variables, q.e.d.

LEMMA 3. Let  $f(\zeta)$  be a function defined for  $\zeta = \xi + i\eta \in V_b$ , which is analytic for  $\xi \in \mathbb{R}^n \setminus I_a$ , continuous for  $\xi \in \mathbb{R}^n \setminus I_a$  and vanishes for  $\xi \in I_a$ . Furthermore, let  $l_p, p \in P^n$ , be the contours from lemma 2. Then consider the function

$$v(z, t) = \sum_{p \in P^n} \left[ \frac{e^{2\pi b p \cdot (z-t)}}{\sigma_{2\pi b}(z-t)} - \frac{e^{2\pi b p \cdot z}}{\sigma_{2\pi b}(z)} \right] \int_{l_p} f(\zeta) e^{2\pi i \zeta \cdot (z-t)} d\zeta,$$

which is analytic for  $z \in V_c$ ,  $c < 1/4b$ , and  $t \in \mathbb{R}^n$ . We assert that

$$(15) \quad v(z, t) = \frac{1}{\sigma_{2\pi b}(z) \sigma_{2\pi b}(z-t)} \sum_{p \in P^n} \sum_{\alpha \in \mathcal{Q}^n \setminus \{1\}} e^{2\pi b p \cdot z} \times$$

$$\times [e^{-2\pi b p(1-a) \cdot t} - e^{-2\pi b p(1-a) \cdot t}] \int_{l_{p,q}} f(\zeta + ibp q) e^{2\pi i \zeta \cdot (z-t)} d\zeta;$$

$l_{p,\alpha} = l_{p_1,\alpha_1} \times \dots \times l_{p_n,\alpha_n}$  and  $l_{p_j,\alpha_j}$  is either  $l_{p_j}$  or the segment of the  $x_j$ -axis from  $-a$  to  $a$ , depending on whether  $q_j = 0$  or  $q_j = 1$ .

Proof. Let  $d_j$  denote the segment of the  $x_j$ -axis from  $-a$  to  $a$  and  $d = d_1 \times \dots \times d_n$ . We also write  $d' = d_1 \times \dots \times d_{n-1}$ ,  $l_{p',\alpha'} = l_{p_1,\alpha_1} \times \dots \times l_{p_{n-1},\alpha_{n-1}}$ , etc.

The lemma will be proved again by induction on  $n$ . In case  $n = 1$  we obtain

$$v(z, t) = \frac{1}{\sigma_{2\pi b}(z)\sigma_{2\pi b}(z-t)} \sum_{p=\pm 1} [e^{-2\pi b p t} - e^{2\pi b p t}] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta,$$

which is the desired formula (15), since  $q = 0$ .

For the general case of  $n$  variables we first observe that

$$(16) \quad v(z, t) = \frac{2^{2n-1}}{\sigma_{2\pi b}(\mathbf{0}', z_n)\sigma_{2\pi b}(\mathbf{0}', z_n - t_n)} \times \\ \times \sum_{p \in P^n} \left\{ e^{2\pi b p_n z_n} \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \times \right. \\ \times \int_{l_p \times d_n} f(\zeta', \zeta_n + i b p_n) e^{2\pi i \zeta' (z' - t')} d\zeta' + e^{-2\pi b p_n t_n} \times \\ \times \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta + \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \times \\ \left. \times [e^{-2\pi b p_n t_n} - e^{2\pi b p_n t_n}] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta \right\}.$$

In fact, by Cauchy's integral theorem,

$$\int_{l_p' \times l_p_n^2} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta = \int_{d' \times l_p_n^2} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta$$

identically in  $z$  and  $t$ . Hence we infer that

$$\sum_{p \in P^n} \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \int_{l_p' \times l_p_n^2} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta \equiv 0$$

and consequently

$$\sum_{p \in P^n} e^{4\pi b p_n z_n - 2\pi b p_n t_n} \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta \\ = \sum_{p \in P^n} e^{2\pi b p_n z_n} \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \int_{l_p \times d_n} f(\zeta', \zeta_n + i b p_n) e^{2\pi i \zeta' (z' - t')} d\zeta'.$$

Formula (16) follows immediately by application of the latter equality.

Suppose now that equality (15) is true for  $n-1$  variables. Then we obtain

$$(17) \quad \sum_{p \in P^n} \left\{ e^{2\pi b p_n z_n} \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \times \right. \\ \times \int_{l_p' \times d_n} f(\zeta', \zeta_n + i b p_n) e^{2\pi i \zeta' (z' - t')} d\zeta' + e^{-2\pi b p_n t_n} \times \\ \times \left[ \frac{e^{2\pi b p' \cdot (z' - t')}}{\sigma_{2\pi b}(z' - t', 0)} - \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} \right] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta \left. \right\} \\ = \frac{2}{\sigma_{2\pi b}(z', 0)\sigma_{2\pi b}(z' - t', 0)} \sum_{p \in P^{n-1}} \sum_{q \in Q^{n-1}} \{ e^{2\pi b (p' q' \cdot z' + p_n z_n)} \times \\ \times [e^{-2\pi b p' (1-q) t'} - e^{2\pi b p' (1-q) t'}] \times \\ \times \int_{l_p' \times d_n} f(\zeta' + i b p' q', \zeta_n + i b p_n) e^{2\pi i \zeta' (z' - t')} d\zeta' + \\ + e^{2\pi b (p' q' \cdot z' - p_n t_n)} [e^{-2\pi b p' (1-q) t'} - e^{2\pi b p' (1-q) t'}] \times \\ \times \int_{l_p' \times d_n \times l_p} f(\zeta' + i b p' q', \zeta_n) e^{2\pi i \zeta (z-t)} d\zeta \}.$$

Moreover,

$$\sigma_{2\pi b}(z' - t', 0) e^{2\pi b p' \cdot z'} \\ = 2 \sum_{q \in Q^{n-1}} \exp \{ 2\pi b p' q' \cdot z' + 2\pi b p' q' \cdot (z' - t') + 2\pi b p' (1-q) \cdot t' \}$$

and therefore

$$(18) \quad \sum_{p \in P^n} \frac{e^{2\pi b p' \cdot z'}}{\sigma_{2\pi b}(z', 0)} [e^{-2\pi b p_n t_n} - e^{2\pi b p_n t_n}] \int_{l_p} f(\zeta) e^{2\pi i \zeta (z-t)} d\zeta \\ = \frac{2}{\sigma_{2\pi b}(z', 0)\sigma_{2\pi b}(z' - t', 0)} \sum_{p \in P^{n-1}} \sum_{q \in Q^{n-1}} e^{2\pi b p' q' \cdot z' + 2\pi b p' (1-q) t'} \times \\ \times [e^{-2\pi b p_n t_n} - e^{2\pi b p_n t_n}] \int_{l_p' \times d_n \times l_p} f(\zeta' + i b p' q', \zeta_n) e^{2\pi i \zeta (z-t)} d\zeta.$$

Combining (16) with (17) and (18) we conclude that equality (15) holds also for  $n$  variables, q.e.d.

COROLLARY. For every  $r, s \in N^n$ ,  $v(z, t)$  satisfies the growth condition

$$(19) \quad \sup \frac{|\sigma_{2\pi b}(z) D_s^r D_t^s v(z, t)|}{\sigma_{2\pi b}(t)} < \infty,$$

where the supremum is taken over all  $z \in V_c$ ,  $c < 1/4b$ , and  $t \in R^n$ .

Condition (19) can be proved by estimating the derivatives of each term of the sum in (15). For example, if  $r = s = 0$ , it is sufficient to show that

$$\frac{1}{\sigma_{2nb}(z - qz - t + qt)} \int_{l_p, q} f(\zeta + ibp q) e^{2\pi i \zeta \cdot (z-t)} d\zeta$$

is bounded for every  $p \in P^n$ ,  $q \in Q^n$ , and to apply the inequality

$$\left| \frac{e^{2\pi i p q z}}{\sigma_{2nb}(qz - qt)} \right| \leq \sigma_{2nb}(qt).$$

The same argument can be used for arbitrary  $r, s \in N^n$ . We omit the details of the proof.

**4. Hypoelliptic operators in  $\mathcal{X}'_1$ . Sufficient condition.** We now prove that condition (11) of theorem 1 is also sufficient for a distribution  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  to be hypoelliptic in  $\mathcal{X}'_1$ . For this purpose we need an appropriate family of parametrices for  $S$ , which we define as follows. Given any  $b > 0$ , we say that  $P$  is a  $b$ -parametrix for  $S$ , if the product  $\sigma_{2nb}P$  is a bounded distribution and

$$(20) \quad S * P = \delta - W,$$

where  $W$  is a  $C^\infty$ -function such that

$$(21) \quad \sup_{\xi \in R^n} \sigma_{2nb}(\xi) |D^r W(\xi)| < \infty$$

for all  $r \in N^n$ .

**THEOREM 2.** *If  $S \in \mathcal{O}'_c(\mathcal{X}'_1 : \mathcal{X}'_1)$  satisfies condition (11), then for every  $b > 0$  there exists a  $b$ -parametrix for  $S$ .*

**Proof.** By assumption, for every  $b > 0$  there is an  $a > 0$  and an  $\alpha \in R$  such that

$$(22) \quad |\hat{S}(\zeta)| \geq |\zeta|^\alpha,$$

when  $\zeta = \xi + i\eta \in V_b$  and  $\xi \in R^n \setminus I_a$ . We define the function  $f$  in  $V_b$  by the formula

$$(23) \quad f(\zeta) = \begin{cases} 0 & \text{for } \xi \in I_a, \\ \frac{1}{\hat{S}(\zeta)(\zeta \cdot \zeta)^\mu} & \text{for } \xi \in R^n \setminus I_a, \end{cases}$$

where  $\mu \in N$  is chosen so large that

$$(24) \quad |f(\zeta)| \leq M |\xi|^{-n-1}$$

for some constant  $M$ . Condition (22) guarantees that such a  $\mu$  exists. Then the function

$$g(\xi) = \sum_{p \in P^n} f(\xi + ibp)$$

is integrable over  $R^n$ . Its inverse Fourier transform  $\tilde{g}(x)$  is given by the formula

$$(25) \quad \tilde{g}(x) = \sum_{p \in P^n} e^{2\pi i b p \cdot x} \int_{-\infty + ibp}^{\infty + ibp} f(\zeta) e^{2\pi i \zeta \cdot x} d\zeta;$$

$\tilde{g}(x)$  is continuous and bounded in  $R^n$ .

But  $f(\zeta)$  is analytic for  $\xi \in R^n \setminus I_a$ , continuous for  $\xi \in R^n \setminus I_a$  and satisfies condition (24). Therefore, by repeated application of Cauchy's integral theorem, integration in (25) along the lines  $\xi_j + ibp_j$ ,  $-\infty < \xi_j < \infty$  ( $j = 1, \dots, n$ ), can be replaced by integration along the real lines and the quadrangles with vertices at  $-a$ ,  $-a + ibp_j$ ,  $a + ibp_j$ ,  $a$ , in the indicated direction. It also has to be observed that, except for the integral over  $R^n$ , integration along a real line can be reduced to the segment from  $-a$  to  $a$ , again by Cauchy's integral theorem. This procedure leads to the formula

$$(26) \quad \frac{\tilde{g}(x)}{\sigma_{2nb}(x)} = \int_{R^n} f(\xi) e^{2\pi i \xi \cdot x} d\xi + \sum_{p \in P^n} \frac{e^{2\pi i b p \cdot x}}{\sigma_{2nb}(x)} \int_{l_p} f(\zeta) e^{2\pi i \zeta \cdot x} d\zeta,$$

where the contours  $l_p$  are those defined in lemma 2.

We assert that

$$(27) \quad P = \left( -\frac{\Delta}{4\pi^2} \right)^\mu \left( \frac{\tilde{g}}{\sigma_{2nb}} \right)$$

is a  $b$ -parametrix for  $S$ . In fact,  $P$  satisfies the growth condition for a  $b$ -parametrix, i.e.  $\sigma_{2nb}P$  is a bounded distribution. Furthermore, by virtue of (26),  $P$  is a sum of the distribution

$$P_1 = \left( -\frac{\Delta}{4\pi^2} \right)^\mu \tilde{f},$$

where  $\tilde{f}$  is the inverse Fourier transform of  $f$ , and the function

$$P_2(x) = \left( -\frac{\Delta}{4\pi^2} \right)^\mu \sum_{p \in P^n} \frac{e^{2\pi i b p \cdot x}}{\sigma_{2nb}(x)} \int_{l_p} f(\zeta) e^{2\pi i \zeta \cdot x} d\zeta,$$

which belongs to  $\mathcal{E}'_1$ .

Now, in view of (23) and the definition of  $\gamma(\zeta)$  in lemma 2,

$$(\widehat{S * P_1})(\xi) = \hat{S}(\xi)(\xi \cdot \xi)^\mu f(\xi) = \gamma(\xi),$$

and so

$$(28) \quad S * P_1 = \delta - W_1,$$

where

$$W_1(x) = \int_{I_u} e^{2\pi i \xi \cdot x} d\xi = \frac{1}{(2\pi i)^n x^1} \prod_{j=1}^n (e^{2\pi i a x_j} - e^{-2\pi i a x_j}).$$

Next we define the function  $h(x, t)$  on  $\mathbb{R}^{2n}$  as

$$h(x, t) = \sum_{\rho \in \mathbb{P}^n} \frac{e^{2\pi i \rho \cdot x}}{\sigma_{2\pi b}(\rho)} \int_{I_\rho} f(\xi) e^{2\pi i \xi \cdot (x-t)} d\xi.$$

For any fixed  $x \in \mathbb{R}^n$ ,  $h(x, t)$  is in  $\mathcal{E}\mathcal{X}'_1$  as a function of  $t$ . Moreover,

$$(29) \quad \left(-\frac{\Delta_t}{4\pi^2}\right)^\mu S_t \cdot h(x, t) = \sum_{\rho \in \mathbb{P}^n} \frac{e^{2\pi i \rho \cdot x}}{\sigma_{2\pi b}(\rho)} \int_{I_\rho} \gamma(\xi) e^{2\pi i \xi \cdot x} d\xi \\ = W_1(x) - \frac{1}{(\pi i)^n x^1 \sigma_{2\pi b}(x)} \prod_{j=1}^n (e^{2\pi i a x_j} - e^{-2\pi i a x_j}),$$

by equality (23) and lemma 2.

On the other hand,

$$(30) \quad (S * P_2)(x) = \left(-\frac{\Delta_t}{4\pi^2}\right)^\mu S_t \cdot [h(x, t) + v(x, t)],$$

where  $v(x, t)$  is the function from lemma 3.

But

$$W_2(x) = \left(-\frac{\Delta_t}{4\pi^2}\right)^\mu S_t \cdot v(x, t)$$

is a  $C^\infty$ -function, which satisfies condition (21), by the corollary following lemma 3. Thus from (28), (29) and (30) we conclude that  $P$  satisfies equation (20) with the function

$$W(x) = W_2(x) - \frac{1}{(\pi i)^n x^1 \sigma_{2\pi b}(x)} \prod_{j=1}^n (e^{2\pi i a x_j} - e^{-2\pi i a x_j}),$$

which has the desired properties.

**THEOREM 3.** *If  $S \in \mathcal{O}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  and, for every  $b > 0$ , there exists a  $b$ -parametrix for  $S$ , then  $S$  is hypoelliptic in  $\mathcal{X}'_1$ .*

**Proof.** Assume that  $U$  is a solution in  $\mathcal{X}'_1$  of the equation

$$S * U = F,$$

where  $F \in \mathcal{E}\mathcal{X}'_1$ . Then there exists a  $k \in \mathbb{N}$  such that  $\frac{1}{\sigma_{2\pi k}} U$  is a bounded distribution and

$$\sup_{x \in \mathbb{R}^n} \frac{1}{\sigma_{2\pi k}(x)} |D^r F(x)| < \infty$$

for every  $r \in \mathbb{N}^n$ .

Let now  $P$  be a  $b$ -parametrix for  $S$ ,  $b > k$ , and  $W$  the corresponding function in (20). Note that  $P$  and  $W$  may not be in  $\mathcal{O}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$ . Still we can write

$$(31) \quad U = U * \delta = U * (S * P) + U * W,$$

where the convolutions with  $U$  on the right-hand side are well defined (see section 1). Moreover,

$$U * (S * P) = (U * S) * P = F * P$$

and the last term belongs to  $\mathcal{E}\mathcal{X}'_1$ . Also  $U * W$  is obviously in  $\mathcal{E}\mathcal{X}'_1$ . Thus  $U$  is, in fact, in  $\mathcal{E}\mathcal{X}'_1$ , q.e.d.

Combining theorem 2 and theorem 3 we obtain

**THEOREM 4.** *A distribution  $S \in \mathcal{O}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  satisfying condition (11) is hypoelliptic in  $\mathcal{X}'_1$ .*

In view of theorem 1 we can now state the following corollary:

**COROLLARY.** *Condition (11) is necessary and sufficient for a distribution  $S \in \mathcal{O}'_c(\mathcal{X}'_1; \mathcal{X}'_1)$  to be hypoelliptic in  $\mathcal{X}'_1$ .*

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