

il existerait une fonction  $\Phi(t)$  mesurable et essentiellement bornée telle que

$$(1) \quad \int_0^T f(t) \Phi(t) dt \neq 0$$

et

$$(2) \quad \int_0^T (g * k)(t) \Phi(t) dt = 0,$$

quelle que soit la fonction continue  $k$ . En posant  $\Psi(t) = \Phi(T-t)$ ,  $\int_0^T k(t)(g * \Psi)(T-t) dt = k * (g * \Psi)(T) = (k * g) * \Psi(T) = \int_0^T (g * k)(t) \Phi(t) dt = 0$ , d'où l'on déduit que  $g * \Psi = 0$  presque partout. En vertu du théorème de Titchmarsh et de l'hypothèse faite sur  $g$  on a  $\Psi = 0$  presque partout, ce qui contredit (1).

Nous pouvons maintenant démontrer notre

**THÉORÈME.** *Pour tout opérateur  $\frac{f}{g} \in \mathfrak{M}$  tel que  $g$  n'est pas identiquement nulle au voisinage de l'origine, il existe une suite  $\{k_n\}$ ,  $k_n \in C[0, \infty)$ , telle que  $k_n \rightarrow \frac{f}{g}$  dans  $\mathfrak{M}$ .*

**Démonstration.** D'après le Lemme, il existe des fonctions  $k_n$  continues sur  $[0, n]$  telles que

$$(3) \quad \int_0^n |(g * k_n)(t) - f(t)| dt < \frac{1}{n}.$$

Ceci entraîne  $|1 * g * k_n - 1 * f| \leq 1/n$  pour  $0 \leq t \leq n$ . On peut supposer que  $k_n \in C[0, \infty)$ , ce qui ne restreint pas la généralité. Cela étant, on a  $1 * g * k_n \rightarrow 1 * f$  dans  $C[0, \infty)$ , donc

$$k_n = \frac{1 * g * k_n}{1 * g} \rightarrow \frac{1 * f}{1 * g} = \frac{f}{g} \quad \text{dans } \mathfrak{M},$$

la division étant ici entendue comme l'opération inverse de la convolution.

Ajouté pendant la correction. Le résultat ci-dessus a été implicitement obtenu par M. I. Fenyő (qui nous a obligeamment attiré l'attention) dans son ouvrage *Á Mikusiński-féle operátorfogalom és disztribúció fogalma közti kapcsolatáról*, Á Magyar Tud. Akad. Mat. és Fiz. Tud. Osztályának Közleményei 8 (1958), p. 385-392.

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## Weak\* bases in conjugate Banach spaces

by

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**Introduction.** The notion of a basis introduced by J. Schauder [8] has a natural extension to topological linear spaces [2]. A basis in a topological linear space  $U$  is such a sequence  $\{x_n\} \subset U$  that to every  $x$  in  $U$  there corresponds a unique sequence  $\{a_n\}$  of scalars for which the following equation holds:

$$(1) \quad x = \sum_{n=0}^{\infty} a_n x_n.$$

Convergence of the series is that of the topology on  $U$ . Here the coefficients are obviously additive and homogeneous functionals on  $U$ :

$$(2) \quad a_n = \varphi_n(x), \quad n = 1, 2, \dots$$

When all these coefficient functionals are continuous on  $U$ , the basis  $\{x_n\}$  is called [2] a *Schauder basis*.

In the present paper we shall examine the particular case when  $U$  is the conjugate space  $E^*$  of a Banach space  $E$  (over the real or complex field), endowed with its weak topology  $\sigma(E^*, E)$ ; this space is locally convex. In this case we shall use for the bases and the Schauder bases of  $U$ , the terms: weak\* basis and weak\* Schauder basis of  $E^*$ , respectively.

We shall also use the notation  $\sum_{n=1}^{\infty} g_n$  for the series in the weak topology  $\sigma(E^*, E)$ ; thus,

$$\sum_{n=1}^{\infty} g_n = g \quad (g_n, g \in E^*)$$

means that

$$\sum_{n=1}^{\infty} g_n(x) = g(x) \quad \text{for all } x \in E.$$

In § 1 we show an example of a weak\* basis which is not a weak\* Schauder basis. § 2 contains our main result which is the construction of a  $\sigma(E^*, E)$ -separable conjugate Banach space  $E^*$  in which there exists

no weak\* Schauder basis. Finally, in § 3 we prove that the existence of a weak\* Schauder basis in the conjugate space  $E^*$  of a separable Banach space  $E$ , is equivalent to the existence of a basis in  $E$ .

**§ 1. A weak\* basis which is not a weak\* Schauder basis.** It is known (see [2]) that several classes of topological linear spaces  $U$ , such as Fréchet spaces and strict inductive limits of Fréchet spaces, do not tolerate non-Schauder bases (i. e. every basis in  $U$  is a Schauder basis). On the other hand, in [2], § 6, there is also given an example of a basis in a topological linear space  $U$ , which is not a Schauder basis; however, the space  $U$  in that example is not locally convex.

In this paragraph we shall give such an example in a locally convex space  $U$ . We shall prove that the non retro-basis  $\{f_n\}$  of  $E^* = l$  ( $E = c_0$ ) constructed by B. R. Gelbaum in [6] is a weak\* basis, but not a weak\* Schauder basis.

In fact, the sequence  $\{f_n\}$  is defined [6] by

$$(3) \quad f_n(x) = (-1)^{n+1} \xi_1 + \xi_n \quad \text{for all } x = \{\xi_n\} \in c_0 \\ (n = 1, 2, \dots).$$

Let us show that  $\{f_n\}$  is a weak\* basis of  $l$ . Since  $\{f_n\}$  is a basis of  $l$  [6], every  $f \in l$  admits a unique representation

$$f = \sum_{n=1}^{\infty} a_n f_n,$$

the convergence of the series being the strong (i. e. the norm) convergence on  $l$ . Consequently, every  $f \in l$  admits a representation

$$(4) \quad f = \sum_{n=1}^{\infty} a_n f_n,$$

and it remains to show that this representation is also unique. Assume that

$$\sum_{n=1}^{\infty} b_n f_n = 0,$$

i. e. that

$$(5) \quad \lim_{k \rightarrow \infty} \sum_{n=0}^k b_n f_n(x) = 0 \quad \text{for all } x \in c_0.$$

For  $x_j = \{0, \dots, 0, 1, 0, \dots\} \in c_0$  (1 in  $j$ -th place) we have, by (3),

$$f_n(x_j) = (-1)^{n+1}, \quad n = 1, 2, 3, \dots$$

and

$$f_n(x_j) = \delta_{nj}, \quad n = 1, 2, 3, \dots; \quad j = 2, 3, \dots$$

Consequently, applying (5) for  $x = x_j$  ( $j = 1, 2, \dots$ ), we obtain

$$b_1 - b_2 + b_3 - \dots + (-1)^{n+1} b_n + \dots = 0,$$

$$b_2 = b_3 = \dots = b_n = \dots = 0,$$

whence

$$b_n = 0, \quad n = 1, 2, \dots,$$

which proves the uniqueness of the representation (4). Thus  $\{f_n\}$  is a weak\* basis in  $l$ .

On the other hand, it is easy to see that the first coefficient functional  $\varphi_1$  is given by

$$(6) \quad \varphi_1(f) = \eta_1 + \eta_2 - \eta_3 + \eta_4 - \eta_5 + \dots \quad \text{for all } f = \{\eta_n\} \in l.$$

In fact, this may be obtained either as a consequence of the above uniqueness of the representation (4), together with [6] <sup>(1)</sup>, or from (3), by a simple direct computation. Now, since  $\varphi_1 \notin c_0$ , it is not  $\sigma(l, c_0)$ -continuous on  $l$ , and thus  $\{f_n\}$  is not a weak\* Schauder basis. This concludes the proof of our assertion.

**§ 2. The Schauder basis problem for separable locally convex spaces and its solution.** A locally convex space  $U$  which possesses a Schauder basis  $\{x_n\}$  is clearly separable: the set of all linear combinations of the form

$$\sum_{n=1}^m r_n x_n,$$

where the  $r_n$  are rational numbers and where  $m$  is a positive integer, constitutes a countable set everywhere dense in  $U$ . It is natural to ask whether or not the converse is also true, i. e.:

THE SCHAUDER BASIS PROBLEM. Does every separable locally convex space <sup>(2)</sup> possess a Schauder basis?

In this paragraph we shall prove that the answer to this problem is negative, by exhibiting a  $\sigma(E^*, E)$ -separable conjugate Banach space  $E^*$  in which there exists no weak\* Schauder basis.

THEOREM 1. If the conjugate space  $E^*$  of a Banach space  $E$  possesses a weak\* Schauder basis  $\{f_n\}$ , then the space  $E$  has a basis.

<sup>(1)</sup> In this case we must take into account that in the first line of [6], p. 189, there is a misprint: instead of  $f_1 = \{1, -1, 1, \dots\}$  should be  $f_1 = \{1, 1, -1, 1, -1, \dots\}$ .

<sup>(2)</sup> It would not be reasonable to formulate this problem for more general topological linear spaces. E. g. the spaces  $L^p$  for  $0 < p < 1$  admit no continuous linear functional ([4], Theorem 1) and hence no Schauder basis is admitted.

Proof. Since  $\{f_n\}$  is a weak\* Schauder basis in  $E^*$ , the coefficient functionals

$$a_n = \varphi_n(f), \quad n = 1, 2, \dots,$$

are all  $\sigma(E^*, E)$ -continuous, and consequently, there exists a sequence  $\{x_n\} \subset E$  such that

$$\varphi_n(f) = f(x_n) \quad \text{for all } f \in E^* \quad (n = 1, 2, \dots).$$

Then, from  $\varphi_i(f_j) = \delta_{ij}$  we infer that

$$f_j(x_i) = \delta_{ij}, \quad i, j = 1, 2, \dots,$$

i. e. that  $(x_n, f_n)$  is a biorthogonal system. Since  $\{f_n\}$  is a weak\* basis in  $E^*$ , we have, in the sense of the weak topology  $\sigma(E^*, E)$ ,

$$\lim_{m \rightarrow \infty} \sum_{n=1}^m f(x_n) f_n = \lim_{m \rightarrow \infty} \sum_{n=1}^m \varphi_n(f) f_n = f \quad \text{for all } f \in E^*.$$

Consequently, by a theorem of S. Karlin ([7], Theorem 2),  $\{x_n\}$  is a basis of the space  $E$ .

COROLLARY 1. If the conjugate space  $E^*$  of a Banach space  $E$  possesses a weak\* Schauder basis  $\{f_n\}$ , then the space  $E$  is separable.

COROLLARY 2. Let  $E$  be a non-separable Banach space, such that its conjugate space  $E^*$  is separable for the weak topology  $\sigma(E^*, E)$ . Then  $E^*$  has no weak\* Schauder basis.

Now we shall show that such a Banach space  $E$  exists, i. e. that the converse of [3], p. 124, Theorem 4<sup>(3)</sup>, is not valid. This will also yield the negative answer to the Schauder basis problem.

Let us denote by  $Q_0$  a compact<sup>(4)</sup> non-metrizable topological space, containing a countable everywhere dense set. Such a space exists, see e. g. [1], Remark 4 of the first complement to Chapter VII. Denote by  $C(Q_0)$  the space of all scalar-valued continuous functions defined on  $Q_0$ , endowed with the usual vector operations and with the usual norm  $\|x(\cdot)\| = \max_{q \in Q_0} |x(q)|$ .

THEOREM 2. The Banach space  $E = C(Q_0)$  constructed above is non-separable, but its conjugate space  $E^*$  is separable for the weak topology  $\sigma(E^*, E)$ . Consequently,  $E^*$  has no weak\* Schauder basis.

Proof. Since  $Q_0$  is non metrizable, the space  $E = C(Q_0)$  is non-separable (see e. g. [5], p. 437, Exercise 17).

<sup>(3)</sup> Let us recall this theorem of Banach: If a Banach space  $E$  is separable, then its conjugate space  $E^*$  is  $\sigma(E^*, E)$ -separable.

<sup>(4)</sup> We use the term "compact" in the sense of Bourbaki (i. e. bicompact Hausdorff).

On the other hand, let  $T = \{t_k\} \subset Q_0$  be a sequence, everywhere dense in  $Q_0$ . We shall show that the countable set of all finite linear combinations of the form

$$\sum_{i=1}^m r_i f_{t_{k_i}},$$

where  $t_{k_1}, \dots, t_{k_m} \in T$ ,  $r_1, \dots, r_m$  are rational scalars, and where the  $f_q$  ( $q \in T$ ) are defined by

$$(7) \quad f_q[x(\cdot)] = x(q) \quad \text{for all } x(\cdot) \in C(Q_0),$$

is everywhere dense in  $E^*$  for the weak topology  $\sigma(E^*, E)$ . In fact, let us recall (see e. g. [5], p. 441, Lemma 6), that every extremal point of the unit cell  $S^*$  of  $E^*$  is of the form  $\alpha f_q$ , where  $q \in Q_0$ ,  $\alpha$  is a scalar with  $|\alpha| = 1$ , and where  $f_q$  is defined by (7). Let us also recall that by the Krein-Milman theorem ([5], p. 440, Theorem 4),  $S^*$  is the  $\sigma(E^*, E)$ -closed convex hull of the set of its extreme points. Consequently, if  $f_0 \in S^*$  and if

$$V_{x_1, \dots, x_n, \epsilon}(f_0) = \{f \in E^* \mid |f(x_j) - f_0(x_j)| < \epsilon, j = 1, \dots, n\}$$

is a given  $\sigma(E^*, E)$ -neighbourhood of  $f_0$ , then there exists a finite linear combination<sup>(5)</sup>

$$(8) \quad \sum_{i=1}^m \lambda_i f_{q_i} \in V_{x_1, \dots, x_n, \epsilon}(f_0) \quad \left( \sum_{i=1}^m |\lambda_i| = 1 \right).$$

Now, since  $T = \{t_k\}$  is dense in  $Q_0$  and since the mapping  $q \rightarrow f_q$  ( $q \in Q_0$ ) is a homeomorphism if we endow  $E^*$  with the weak topology  $\sigma(E^*, E)$ , (see e. g. [5], p. 442, Lemma 7), it follows that for every  $\delta > 0$  there exist  $m$  elements  $t_{k_1}, \dots, t_{k_m} \in T$  such that

$$f_{t_{k_i}} \in V_{x_1, \dots, x_n, \delta}(f_{q_i}), \quad i = 1, \dots, m,$$

i. e. that

$$|f_{q_i}(x_j) - f_{t_{k_i}}(x_j)| < \delta, \quad j = 1, \dots, n; i = 1, \dots, m.$$

Furthermore, for every  $\gamma > 0$  we can choose  $m$  rational scalars  $r_1, \dots, r_m$  so that

$$|\lambda_i - r_i| < \gamma, \quad i = 1, \dots, m.$$

Then we shall have, for suitable  $\delta$  and  $\gamma$ ,

$$\begin{aligned} & \left| \sum_{i=1}^m \lambda_i f_{q_i}(x_j) - \sum_{i=1}^m r_i f_{t_{k_i}}(x_j) \right| \\ & \leq \sum_{i=1}^m |\lambda_i f_{q_i}(x_j) - r_i f_{t_{k_i}}(x_j)| < \epsilon, \quad j = 1, \dots, n, \end{aligned}$$

<sup>(5)</sup> The coefficients  $\alpha_i$  with  $|\alpha_i| = 1$  we have enclosed in the  $\lambda_i$ 's.

whence, taking into account (8),

$$\left| \sum_{i=1}^m r_i f_{i_{k_i}}(x_j) - f_0(x_j) \right| < 2\varepsilon,$$

i. e.

$$\sum_{i=1}^m r_i f_{i_{k_i}} \in V_{x_1, \dots, x_n, 2\varepsilon}(f_0).$$

Consequently  $S^*$ , and hence also  $E^*$ , is  $\sigma(E^*, E)$ -separable.

Finally, Corollary 2 shows that  $E^*$  has no weak\* Schauder basis, and the proof of Theorem 2 is complete.

**§ 3. The restricted weak\* Schauder basis problem in conjugate Banach spaces.** It is natural to ask whether or not the converse of Corollary 1 is also true, i. e.:

**THE RESTRICTED WEAK\* SCHAUDER BASIS PROBLEM.** *Does the conjugate space  $E^*$  of an arbitrary separable Banach space  $E$  possess a weak\* Schauder basis?*

We have seen in the preceding paragraph that the general weak\* Schauder basis problem (i. e. does every  $\sigma(E^*, E)$ -separable conjugate Banach space possess a weak\* Schauder basis?) has a negative answer. Now we shall prove that the *restricted* weak\* Schauder basis problem, formulated above, is equivalent to the classical basis problem<sup>(6)</sup> (which is till now unsolved). For this purpose we shall prove that the converse of theorem 1 is also true, i. e. that we have

**THEOREM 3.** *The conjugate space  $E^*$  of a Banach space  $E$  possesses a weak\* Schauder basis  $\{f_n\}$  if and only if the space  $E$  has a basis.*

**Proof.** The necessity part is nothing else but theorem 1.

Conversely, assume that the space  $E$  has a basis  $\{x_n\}$ . Let  $\{f_n\} \subset E^*$  be the sequence of the coefficient functionals corresponding to the basis  $\{x_n\}$ . We shall prove that  $\{f_n\}$  is a weak\* Schauder basis of  $E^*$ . It is known ([3], p. 106, Theorem 1) that every  $f \in E^*$  admits a representation

$$(9) \quad f = \sum_{n=1}^{\infty} f(x_n) f_n.$$

Now, assume that

$$\sum_{n=1}^{\infty} a_n f_n = 0,$$

i. e. that

$$\lim_{k \rightarrow \infty} \sum_{n=1}^k a_n f_n(x) = 0 \quad \text{for all } x \in E.$$

<sup>(6)</sup> I. e. to the following problem: does every separable Banach space possess a basis?

Then, for  $x = x_j, j = 1, 2, \dots$ , taking into account the biorthogonality relations  $f_n(x_j) = \delta_{nj}, n, j = 1, 2, \dots$ , we obtain

$$a_j = 0, \quad j = 1, 2, \dots,$$

which proves the uniqueness of the coefficients of the representation (9). Thus  $\{f_n\}$  is a weak\* basis of  $E^*$ .

Finally, the coefficient functionals

$$\varphi_n(f) = f(x_n) \quad (f \in E^*, \quad n = 1, 2, \dots)$$

of the representation (9) are obviously  $\sigma(E^*, E)$ -continuous, and thus  $\{f_n\}$  is a weak\* Schauder basis of  $E^*$ . This completes the proof of Theorem 3.

**COROLLARY 3.** *The restricted weak\* Schauder basis problem is equivalent to the classical basis problem.*

Added in proof. By using theorem 4 of the paper of J. Dixmier, *Sur un théorème de Banach*, Duke Math. Journ. 15 (1948), p. 1057-1071, one can give a more simple proof of theorem 2 above and one can also prove the following theorem:

**THEOREM 2'.** *The conjugate space  $m^*$  (of the non separable Banach space  $m$ ) is separable for the weak topology  $\sigma(m^*, m)$ . Consequently,  $m^*$  has no weak\* Schauder basis.*

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