

Nous avons $K_p(u_n^*) = 0$ pour $n \leq p$ et $\|u_n^*(t) - u_n(t)\| = 2/n$. Nous obtenons, par analogie avec le calcul du travail [13],

$$\|\hat{K}_p u_n^* - \hat{K}_p u_n\|_{H^\mu} \geq \frac{\ln n}{n} = \frac{1}{2} \ln n \|u_n^* - u_n\|_{H^\mu} \quad (\text{pour } n \leq p).$$

On peut choisir un nombre p tel que $2/\ln p < \lambda_0$. Donc, il en résulte que pour $p > \exp 2/\lambda_0$ et pour toutes les fonctions K_p l'inégalité suivante est satisfaite: $1/q < \lambda_0$.

Le domaine d'existence des solutions de l'équation (13) est alors essentiellement plus grand que le domaine d'unicité.

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INSTYTUT MATEMATYCZNY POLSKIEJ AKADEMII NAUK
INSTITUT MATHÉMATIQUE DE L'ACADÉMIE POLONAISE DES SCIENCES

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Holomorphic vector-valued functions and Hartogs' theorems

by

R. E. EDWARDS (London)

1. The aim of this note is to show how certain parts of the existing theory of vector-valued holomorphic functions may be used to obtain close analogues of theorems of Hartogs (see e. g. [1], pp. 137-142) about functions of several complex variables. Hartogs' theorems themselves are not obtained in as much as we find it necessary to impose a priori conditions of local boundedness. However, repayment for this initial expense comes in the form of increased generality and the weakening of other hypotheses involved.

Holomorphic functions with values in a Banach space are discussed in [3], pp. 92 et seq. A briefer, more general, and in some respects more convenient account is given in § 2 of [2].

2. **General results.** In this section \mathcal{E} will denote a Fréchet space, \mathcal{E}' its topological dual, and \langle, \rangle the bilinear form expressing the duality between \mathcal{E} and \mathcal{E}' .

PROPOSITION 1. *Let M be a locally compact space, μ a positive measure on M , φ a function mapping M into \mathcal{E} . Assume that the following conditions are satisfied:*

- (1) φ is almost separably-valued;
- (2) there exists a subset A of \mathcal{E}' generating a vector subspace $[A]$ which is sequentially weakly dense in \mathcal{E}' and such that, for each $L \in A$, the function

$$t \rightarrow \langle \varphi(t), L \rangle$$

is μ -measurable;

- (3) $\int^* p(\varphi(t)) d\mu(t) < +\infty$ for each continuous seminorm p on \mathcal{E} .

Then the weak integral $\int \varphi(t) d\mu(t)$, a priori an element of the algebraic dual \mathcal{E}'^* of \mathcal{E}' , in fact belongs to \mathcal{E} .

Proof. Thanks to (1) we may assume that \mathcal{E} itself is separable. (2) shows at once that $t \rightarrow \langle \varphi(t), L \rangle$ is measurable for each L in E' , and (3) shows that in addition this same function is μ -integrable. So the weak integral certainly exists as an element of \mathcal{E}'^* , say u . In order to show that u lies in \mathcal{E} , it suffices to show that the linear

form $L \rightarrow \langle u, L \rangle$ on \mathcal{C}' is such that its restriction to each equicontinuous subset Q of \mathcal{C}' is weakly continuous. However, \mathcal{C} being separable, the weak topology induces on Q a metrisable topology. Moreover, there is a continuous seminorm p on \mathcal{C} such that $|\langle x, L \rangle| \leq p(x)$ for x in \mathcal{C} and L in Q . If then a sequence (L_n) extracted from the set Q converges weakly to $L \in Q$, we have $|\langle \varphi(t), L_n \rangle| \leq p(\varphi(t))$ for all t and all n , and $\lim_{n \rightarrow \infty} \langle \varphi(t), L_n \rangle = \langle \varphi(t), L \rangle$ for all t . Thus, by Lebesgue's theorem,

$$\lim_n \langle u, L_n \rangle = \lim_{n \rightarrow \infty} \int \langle \varphi(t), L_n \rangle d\mu(t) = \int \langle \varphi(t), L \rangle d\mu(t) = \langle u, L \rangle,$$

and the desired continuity is established. Thus Proposition 1 is proved.

PROPOSITION 2. *Let \mathcal{C} be a separable Fréchet space, and let φ be a mapping of the polydisk*

$$P = \{t = (t_i)_{1 \leq i \leq m} \in C^m: \sup_{1 \leq i \leq m} |t_i| < 1\}$$

into \mathcal{C} which satisfies the following conditions:

(a) *there exists a subset A of \mathcal{C}' such that $[A]$ is sequentially weakly dense in \mathcal{C}' and such that, if $t^0 = t_i^0 \in P$, numbers r_i can be found satisfying $0 < r_i < 1 - |t_i^0|$ for which*

$$(2.1) \quad (\theta_1, \dots, \theta_m) \rightarrow \langle \varphi(t_1^0 + r_1 e^{i\theta_1}, \dots, t_m^0 + r_m e^{i\theta_m}), L \rangle$$

is measurable for each $L \in A$, whilst

$$(2.2) \quad \int_0^{2\pi} \dots \int_0^{2\pi} p(\varphi(t_1^0 + r_1 e^{i\theta_1}, \dots, t_m^0 + r_m e^{i\theta_m})) d\theta_1 \dots d\theta_m < +\infty$$

for each continuous seminorm p on \mathcal{C} ;

(b) *there exists a total subset Θ of the space \mathcal{C}' such that, for each $T \in \Theta$, $t \rightarrow \langle \varphi(t), T \rangle$ is holomorphic on P .*

The conclusion is that φ is holomorphic on P .

Proof. Let c_i be the positively oriented circumference with centre t_i^0 and radius r_i , and let $P' \subset P$ be the open polydisk with distinguished boundary $c_1 \times \dots \times c_m$. By (a) and Proposition 1, if t lies in P' the weak integral

$$\psi(t) = (2\pi i)^{-m} \int_{c_1} \dots \int_{c_m} \varphi(s) ds_1 \dots ds_m / (s_1 - t_1) \dots (s_m - t_m)$$

lies in \mathcal{C} ; and it is clear that ψ is holomorphic on P' . It $T \in \Theta$, then

$$\langle \psi(t), T \rangle = (2\pi i)^{-m} \int_{c_1} \dots \int_{c_m} \langle \varphi(s), T \rangle ds_1 \dots ds_m / (s_1 - t_1) \dots (s_m - t_m),$$

and this is equal to $\langle \varphi(t), T \rangle$ because of (b). Since Θ is total, it follows that φ and ψ agree on P' . Thus φ is holomorphic on some neighbourhood of each point of P , and the proof is complete.

Remark. Condition (a) will certainly be satisfied if φ is locally bounded and (2.1) is measurable for each $L \in A$.

3. A theorem of the Hartogs' type. Throughout this section X and Y will denote complex manifolds. If D is a relatively compact open set in Y , $\mathcal{C}(D)$ will be the space of functions continuous on \bar{D} and holomorphic on D . This is a Banach space when equipped with the norm.

$$\|g\| = \sup_{y \in \bar{D}} |g(y)|;$$

the supremum here could equally well be taken over \bar{D} or over D^* , the frontier of D relative to Y . $\mathcal{C}(D)$ is isomorphic with a vector subspace of the space $C(D^*)$ of continuous functions on D^* , likewise equipped with the sup norm. D^* being metrisable and compact, $C(D^*)$ is separable; hence the same is true of $\mathcal{C}(D)$. (For our purposes it is somewhat more convenient to take $\mathcal{C}(D)$ thus defined, rather than the Fréchet space of functions holomorphic on D with the compact-open topology.)

We shall wish to apply Proposition 2 to spaces of the type $\mathcal{C}(D)$ with D a relatively compact domain. So it is convenient to observe here that each point y of \bar{D} defines an element ε_y of $\mathcal{C}(D)'$ defined by $\langle g, \varepsilon_y \rangle = g(y)$ for g in $\mathcal{C}(D)$. Further, if S is a dense subset of the set D^* , then $A = \{\varepsilon_y: y \in S\}$ generates a sequentially weakly dense vector subspace $[A]$ of $\mathcal{C}(D)'$. Indeed, in view of the isomorphic imbedding of $\mathcal{C}(D)$ into $C(D^*)$, any element of $\mathcal{C}(D)'$ is of the type

$$g \rightarrow \int g(y) d\mu(y),$$

where μ is a Radon measure on D^* ; and any such μ is the vague limit of a sequence of measures of the form

$$\sum_n c_n \varepsilon_{y_n} \quad (y_n \in S).$$

Again, if E is any somewhere-dense (i. e. not nowhere-dense) subset of D , then $\theta = \{\varepsilon_y: y \in E\}$ is a total subset of $\mathcal{C}(D)'$. If Y is of (complex) dimension one, the same is true whenever $E \subset D$ admits a limiting point in D .

Other choices of θ are possible. For example, one might take θ to consist of the linear forms

$$g \rightarrow \int g(y) d\sigma(y),$$

where σ ranges over any total set of measures on D^* .

With these remarks in mind, we proceed to prove a general theorem of the Hartogs type. In what follows, measurability of a function h on X will be understood in this sense: if h is expressed locally as a function $H(z_1, \dots, z_m)$ of local coordinates, then

$$H(z_1 + r_1 e^{i\theta_1}, \dots, z_m + r_m e^{i\theta_m})$$

is a measurable function of $(\theta_1, \dots, \theta_m)$.

THEOREM 1. *Let X and Y be complex manifolds, \mathcal{D} a relatively compact open set in Y , and f a function on $X \times \bar{D}$ which satisfies the following conditions:*

- (i) f is locally bounded on $X \times \bar{D}$;
- (ii) for each $x \in X$, the partial function $f_x: y \rightarrow f(x, y)$ belongs to $\mathcal{C}(D)$;
- (iii) there exists a set $A \subset \mathcal{C}(D)'$ generating a vector subspace $[A]$ which is sequentially weakly dense in $\mathcal{C}(D)'$ and such that, for each $L \in A$, $x \rightarrow \langle f_x, L \rangle$ is measurable on X ;
- (iv) there exists a total subset Θ of $\mathcal{C}(D)'$ such that, for each $T \in \Theta$, $x \rightarrow \langle f_x, T \rangle$ is holomorphic on X .

The conclusion is that the mapping $\varphi: X \rightarrow \mathcal{C}(D)$ defined by $\varphi(x) = f_x (x \in X)$ is holomorphic; in particular, f is holomorphic on $X \times D$.

Proof. By localisation we may assume that X is a polydisk P . It is clear that $\varphi: P \rightarrow \mathcal{C}(D)$ satisfies the conditions of Proposition 2, whence the result.

In view of the remarks preceding Theorem 1 it is clear that, apart from the additional hypothesis (i), Theorem 1 contains numerous analogues and extensions of Hartogs' results ([1], p. 137, Lemma 2; p. 139, Theorem 2 and Lemma 3; p. 140, Theorem 4; p. 141, Theorem 5). Condition (i) may be modified somewhat in accordance with (2.2) adapted to the cases in hand. In the most obvious forms of the analogues, D does not appear explicitly since Theorem 1 is applied to "arbitrarily large" relatively compact domains D .

As another example, we give now an application of Proposition 2 to a theorem of the Hartogs type for mixed real and complex variables. For simplicity we shall suppose that X is a disk in the complex plane and Y an interval of the real axis, but generalizations are easily effected.

THEOREM 2. *Suppose that $f(x, y)$ is defined for complex x satisfying $|x| < R$ and real y satisfying $a < y < b$ ($0 < R \leq +\infty$; $-\infty \leq a < b \leq +\infty$). Suppose also that f satisfies the following conditions:*

- (i) for each $x, y \rightarrow f(x, y)$ is C^∞ on (a, b) , whilst $\partial^k f / \partial y^k$ is locally bounded in the pair (x, y) for each integer $k \geq 0$;
- (ii) for each $y, x \rightarrow \partial^k f / \partial y^k$ is measurable for each integer $k \geq 0$;

(iii) for each of a total set Θ of distributions T with compact supports in (a, b) ,

$$x \rightarrow \langle f(x, y), T(y) \rangle$$

is holomorphic for $|x| < R$.

Then f has the form

$$(3.1) \quad f(x, y) = \sum_{n \geq 0} h_n(y) x^n,$$

where each $h_n \in C^\infty(a, b)$ and where, for each integer $k \geq 0$, the series

$$(3.2) \quad \sum_{n \geq 0} \left(\frac{\partial^k h_n}{\partial y^k} \right) x^n$$

converges uniformly on compacts in $|x| < R, a < y < b$.

Proof. By localisation, we may assume that for each k the function $\partial^k f / \partial y^k$ is bounded in the pair of variables. We apply Proposition 2, taking \mathcal{C} to be the Fréchet space $C^\infty(a, b)$ equipped with the compact-open topology, and taking for A the set of distributions $\partial^k \varepsilon_a / \partial y^k$ ($a < c < b; k = 0, 1, 2, \dots$). It remains only verify that this A has the property required in Proposition 2, (a). For this, note that the dual of $C^\infty(a, b)$ is the space of distributions with compact supports in (a, b) . Now if T is such a distribution, there is a measure μ with compact support in (a, b) and an integer $k \geq 0$ such that $T = \partial^k \mu / \partial y^k$. Since μ is the weak limit of a sequence of finite linear combinations of measures ε_c ($a < c < b$), and since derivation is continuous in the space of distributions, it follows that T is the weak limit of a sequence of finite linear combinations of derivatives $\partial^k \varepsilon_c / \partial y^k$, as required.

The conclusion of Proposition 2 states that the mapping $x \rightarrow f_x$ is holomorphic from $|x| < R$ into $C^\infty(a, b)$, and from this (3.1) and (3.2) follow by virtue of the Taylor expansion for holomorphic vector-valued functions (see e.g. [2], Théorème 1).

Remark. There is an analogous theorem in which $C^\infty(a, b)$ is replaced throughout by $C^p(a, b)$ where p is an integer ≥ 0 . Then k can be restricted to the unique value $k = p$.

It is perhaps worth observing also that each h_n figuring in the expansion (3.1) depends continuously of f in the sense that, for any continuous seminorm p on $C^\infty(a, b)$ and any number r satisfying $0 < r < R$, one has the inequality

$$(3.3) \quad p(h_n) \leq r^{-n} p(f_x),$$

f_x being the element of $C^\infty(a, b)$ defined by $y \rightarrow f(x, y)$. This is so because h_n is simply $\varphi^{(n)}(0)/n!$, where φ denotes the holomorphic vector-valued function $x \rightarrow f_x$; thus

$$h_n = (2\pi i)^{-1} \int_{|w|=r} \varphi(w) x^{-n-1} dw,$$

and (3.3) follows at once, just as for the Cauchy inequalities for a scalar-valued holomorphic function.

4. Other extensions. Theorems 1 and 2 admit extensions in which the function f takes its values in a separable Fréchet space F . $\mathcal{E}(D)$ would be replaced by the space $\mathcal{E}(D, F)$ of functions from \bar{D} into F which are continuous on D and holomorphic on D ; and $C^\infty(a, b)$ would be modified in like manner. $\mathcal{E}(D, F)$ will be a Fréchet space when equipped with the topology defined by the seminorms

$$\sup_{y \in D} p_n(g(y)),$$

where the p_n ($n = 1, 2, \dots$) are seminorms defining the topology of F . It is easily seen that $\mathcal{E}(D, F)$ will be separable whenever F has this property (cf. [4], p. 58, Proposition 5). (One might weaken continuity on \bar{V} to weak continuity on \bar{V} , together with separability conditions on the function involved, but this would have little advantage from the point of view of applications.) Similar remarks apply to the space of vector-valued C^∞ functions.

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The two-norm spaces and their conjugate spaces

by

A. ALEXIEWICZ and Z. SEMADENI (Poznań)

In this paper we continue our investigations on the two-norm spaces, presented in the papers [2], [3], [5].

A two-norm space is a linear space X provided with two norms: $\|\cdot\|$ and a coarser⁽¹⁾ one $\|\cdot\|_*$; these two norms lead to the following notion of limit: the sequence x_n is termed γ -convergent to x_0 (written $x_n \xrightarrow{\gamma} x_0$) if $\sup_{n=1,2,\dots} \|x_n\| < \infty$ and $\lim_{n \rightarrow \infty} \|x_n - x_0\|_* = 0$. Thus, as regards the distributive functionals, three classes arise in a natural way: the spaces $\langle \mathcal{E}, \|\cdot\| \rangle$ and $\langle \mathcal{E}^*, \|\cdot\|_* \rangle$ conjugate to the normed spaces $\langle X, \|\cdot\| \rangle$ and $\langle X, \|\cdot\|_* \rangle$, respectively, and the space \mathcal{E}_γ of functionals sequentially continuous with respect to the convergence γ . Obviously $\mathcal{E}^* \subset \mathcal{E}_\gamma \subset \mathcal{E}$.

The triplet $\langle X, \|\cdot\|, \|\cdot\|_* \rangle$ is called the *two-norm space*. The space $\langle \mathcal{E}^*, \|\cdot\|_*, \|\cdot\| \rangle$ ⁽²⁾ seems to be the natural two-norm space conjugate to $\langle X, \|\cdot\|, \|\cdot\|_* \rangle$. We show that, analogously to the Banach space case, every two-norm space may be *canonically* embedded into its biconjugate two-norm space, with the preservation of both norms. The canonical mapping enables us to embed any two-norm space into a two-norm space sequentially complete with respect to the convergence γ ; this process will be called the γ -completion.

The main purpose of this paper is the study of the interrelations of the two-norm spaces and of the concepts arising in connection with them. Some pages are devoted to the γ -reflexive spaces, i. e. such which are canonically embedded onto the biconjugate two-norm space; a characterization similar to the Banach space case is derived. We study also the γ -compact spaces, i. e. such that each γ -bounded sequence contains a subsequence which is γ -convergent (to an element); a detailed study is devoted

⁽¹⁾ The norm $\|\cdot\|_*$ is called *coarser* than $\|\cdot\|$ (or $\|\cdot\|$ is called *finer* than $\|\cdot\|_*$) if $\|x_n\| \rightarrow 0$ implies $\|x_n\|_* \rightarrow 0$.

⁽²⁾ In the triplet-notation for a two-norm space the finer norm will always precede the coarser one; so in this case the norm $\|\cdot\|$ is coarser than $\|\cdot\|_*$.