

As an application we are able to describe the spreading basic sequences in JT and its spreading models.

Recall that a basic sequence is *spreading* if it is equivalent to all of its subsequences. The theory of spreading models can be found in [3].

COROLLARY 7. *Let $\{x_i\}_{i=1}^{\infty}$ be a normalized basic sequence in JT . Then $\{x_i\}_{i=1}^{\infty}$ has a subsequence which is equivalent to either the summing basis for J or to the unit vector basis of l_2 . In particular, these two spaces are the only spreading models of JT and every normalized basic sequence in JT admits a spreading subsequence.*

Theorem 5 and the corollary apply to the space $(J \oplus J \oplus \dots)_{l_2}$ since the latter is a subspace of JT . Thus the above results improve those previously proved by the authors in [6].

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Estimates of Fourier transforms in Sobolev spaces

by

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Abstract. We investigate the Fourier transforms of functions in the Sobolev spaces $W_1^{r_1, \dots, r_n}$. It is proved that for any function $f \in W_1^{r_1, \dots, r_n}$ the Fourier transform \hat{f} belongs to the Lorentz space $L^{n/r, 1}$, where $r = n(\sum_{j=1}^n 1/r_j)^{-1} \leq n$. Furthermore, we derive from this result that for any mixed derivative $D^s f$ ($f \in C_0^\infty$, $s = (s_1, \dots, s_n)$) the weighted norm $\|(D^s f)^\wedge\|_{L^1(\omega)}$ ($\omega(\xi) = |\xi|^{-n}$) can be estimated by the sum of L^1 -norms of all pure derivatives of the same order. This gives an answer to a question posed by A. Pełczyński and M. Wojciechowski.

1. Introduction. For any function $f \in L^1(\mathbb{R}^n)$ its Fourier transform is the function \hat{f} defined by

$$\hat{f}(\xi) = \int_{\mathbb{R}^n} f(x) e^{-2\pi i x \cdot \xi} dx, \quad \xi \in \mathbb{R}^n.$$

For the Fourier transform of a function $f \in L^p(\mathbb{R}^n)$, $1 \leq p \leq 2$ (see [13], Ch. 1), we have the following classical inequalities ([2], Ch. 1):

• *the Hausdorff–Young inequality*

$$(1) \quad \|\hat{f}\|_{p'} \leq \|f\|_p, \quad 1 \leq p \leq 2, \quad \frac{1}{p} + \frac{1}{p'} = 1;$$

• *the Hardy–Littlewood–Paley inequality*

$$(2) \quad \left(\int_{\mathbb{R}^n} |\xi|^{n(p-2)} |\hat{f}(\xi)|^p d\xi \right)^{1/p} \leq c \|f\|_p, \quad 1 < p \leq 2.$$

It is well known that (2) is not true for $p = 1$, $n \geq 1$. On the other hand, by Hardy's inequality we know that for any $f \in H^1(\mathbb{R}^n)$,

$$(3) \quad \int_{\mathbb{R}^n} \frac{|\hat{f}(\xi)|}{|\xi|^n} d\xi \leq c \|f\|_{H^1}.$$

Furthermore, the inequality (2) can be strengthened in terms of rearrangements.

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Let f be a measurable function on \mathbb{R}^n such that $|\{x : |f(x)| > y\}| < \infty$ for all $y > 0$. The nonincreasing rearrangement of f is defined to be the function f^* that is nonincreasing on $(0, \infty)$ and equimeasurable with $|f(x)|$. We denote by $L^{p,r}(\mathbb{R}^n)$ ($1 \leq p, r < \infty$) the Lorentz space of all functions f , measurable on \mathbb{R}^n , for which

$$\|f\|_{p,r} = \left\{ \int_0^\infty [t^{1/p} f^*(t)]^r \frac{dt}{t} \right\}^{1/r} < \infty.$$

Note that $L^{p,r} \subset L^{p,s}$ for $r < s$; in particular, $L^{p,r} \subset L^{p,p} \equiv L^p$ for $r \leq p$ (see [1], p. 217).

Suppose $f \in L^{p,r}$ ($1 < p < 2$, $1 \leq r < \infty$). Then $f \in L^1 + L^2$ and, hence, \widehat{f} is defined. Furthermore (see [6], [7], [12]),

$$(4) \quad \|\widehat{f}\|_{p',r} \leq c \|f\|_{p,r} \quad (1/p + 1/p' = 1).$$

This inequality is an $L^{p,r}$ -version of the inequality of Hardy and Littlewood

$$(5) \quad \left(\int_0^\infty t^{p-2} \widehat{f}^*(t)^p dt \right)^{1/p} \leq c \|f\|_{p,r}, \quad 1 < p \leq 2,$$

which we obtain by setting $r = p$. In view of the Hardy–Littlewood inequality ([1], p. 43), (5) gives a refinement of the inequality (2).

Now let $1 \leq p < \infty$ and $r \in \mathbb{N}$. The Sobolev space W_p^r consists of those functions f in $L^p(\mathbb{R}^n)$ for which all distributional derivatives $D^s f$ ($s = (s_1, \dots, s_n)$) of order $|s| = s_1 + \dots + s_n \leq r$ belong to $L^p(\mathbb{R}^n)$.

A. Pełczyński and M. Wojciechowski [10] obtained the following result as a consequence of an embedding theorem.

THEOREM A. *Let $f \in W_1^r(\mathbb{R}^n)$ ($n \geq 2$, $r \in \mathbb{N}$). Then*

$$(6) \quad \int_{\mathbb{R}^n} |\widehat{f}(\xi)| \cdot |\xi|^{r-n} d\xi \leq c \sum_{|s|=r} \|D^s f\|_1.$$

The periodic analogue of Theorem A was discovered by Bourgain [4], [5].

Theorem A is equivalent to the following statement: for any derivative $D^k f$ ($k = (k_1, \dots, k_n)$, $|k| = r$) the following analogue of the Hardy inequality (3) holds:

$$(7) \quad \int_{\mathbb{R}^n} \frac{|(D^k f(\xi))^\wedge|}{|\xi|^n} d\xi \leq c \sum_{|s|=r} \|D^s f\|_1.$$

It is well known that the L^p -norm of any mixed derivative can be estimated by the sum of the L^p -norms of all non-mixed derivatives of the same order if and only if $1 < p < \infty$ (see [3]). Nevertheless we prove that the right-hand side of the inequality (7) can be replaced by the sum of all

pure derivatives of the same order. This gives an answer to a question posed in [10].

2. Main results. Let $1 \leq p < \infty$ and $r_1, \dots, r_n \in \mathbb{N}$. We denote by $W_p^{r_1, \dots, r_n}$ the anisotropic Sobolev space of all functions $f \in L^p(\mathbb{R}^n)$ for which every distributional partial derivative

$$D_j^{r_j} f \equiv \partial^{r_j} f / \partial x_j^{r_j} \in L^p(\mathbb{R}^n) \quad (j = 1, \dots, n)$$

exists. If a function f is defined on \mathbb{R}^n and $k \in \mathbb{N}$, then we set

$$\Delta_j^k(h)f(x) = \sum_{\nu=0}^k (-1)^{k-\nu} \binom{k}{\nu} f(x + \nu h e_j),$$

where e_j denotes the j th coordinate vector.

The following theorem was proved in [8].

THEOREM B. *Let $r_1, \dots, r_n \in \mathbb{N}$ ($n \geq 2$), $r = n(\sum_{j=1}^n 1/r_j)^{-1}$, $0 < 1 - 1/p < r/n$ and*

$$\alpha_j = r_j \left[1 - \frac{n}{r} \left(1 - \frac{1}{p} \right) \right] \quad (j = 1, \dots, n).$$

Then for every function $f \in W_1^{r_1, \dots, r_n}(\mathbb{R}^n)$,

$$\sum_{j=1}^n \int_0^\infty h^{-\alpha_j} \|\Delta_j^{r_j}(h)f\|_p \frac{dh}{h} \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

This inequality implies the embedding

$$W_1^{r_1, \dots, r_n} \subset B_{p_1}^{\alpha_1, \dots, \alpha_n}$$

into the Besov space (see [3] for the definition).

THEOREM 1. *Let $f \in W_1^{r_1, \dots, r_n}(\mathbb{R}^n)$ ($n \geq 2$) and $r = n(\sum_{j=1}^n 1/r_j)^{-1} \leq n$. Then*

$$(8) \quad \|\widehat{f}\|_{n/r,1} \leq c \cdot \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

PROOF. We estimate $\widehat{f}^*(t)$ for fixed $t > 0$. Let E be the set of measure t such that

$$(9) \quad |\widehat{f}(\xi)| \geq \widehat{f}^*(t) \quad \text{for any } \xi \in E.$$

Set $s_k = r/(nr_k)$; then $\sum_{k=1}^n s_k = 1$. Let

$$A_k = \{\xi : |\xi_k| \geq t^{s_k}/2\}, \quad k = 1, \dots, n.$$

Since $|(\bigcup_{k=1}^n A_k)^c| = |\bigcap_{k=1}^n A_k^c| = t/2^n$, we have $|E \cap \bigcup_{k=1}^n A_k| \geq t/2$. Thus there exists $k = k(t)$ such that $|E \cap A_k| \geq t/(2n)$. Let $Q = E \cap A_k$. Next,

let $h > 0$ and $\varphi_h^{(k)}(x) = \Delta_k^{r_k}(h)f(x)$. Then $\widehat{\varphi}_h^{(k)}(\xi) = \widehat{f}(\xi)\sigma(h\xi_k)$, where $\sigma(u) = (e^{2\pi iu} - 1)^{r_k}$. Set $\tau = r_k t^{-s_k}$. We show that for any $\xi \in Q$,

$$(10) \quad \frac{1}{\tau} \int_0^\tau |\sigma(h\xi_k)| dh > \frac{1}{2}.$$

Indeed, we have (for $u \in \mathbb{R}$)

$$|\sigma(u)| \geq (1 - \cos 2\pi u)^{r_k} \geq 1 - r_k \cos 2\pi u.$$

Thus, if $|\lambda| \geq t^{s_k}/2$, then

$$\begin{aligned} \frac{1}{\tau} \int_0^\tau |\sigma(\lambda h)| dh &\geq \frac{1}{\tau} \int_0^\tau (1 - r_k \cos 2\pi \lambda h) dh \\ &= 1 - \frac{r_k \sin 2\pi \lambda \tau}{2\pi \lambda \tau} \geq 1 - \frac{r_k}{2\pi |\lambda| \tau} \geq 1 - \frac{1}{\pi}. \end{aligned}$$

Now we have, using (9) and (10),

$$\frac{1}{\tau} \int_0^\tau dh \int_Q |\widehat{\varphi}_h^{(k)}(\xi)| d\xi = \frac{1}{\tau} \int_0^\tau dh \int_Q |\widehat{f}(\xi)| \cdot |\sigma(h\xi_k)| d\xi \geq \frac{1}{2} |Q| \widehat{f}^*(t) \geq \frac{t}{4n} \widehat{f}^*(t).$$

On the other hand, by the Hausdorff-Young inequality (1), for any $p \in (1, 2)$,

$$\int_Q |\widehat{\varphi}_h^{(k)}(\xi)| d\xi \leq |Q|^{1/p} \left(\int_Q |\widehat{\varphi}_h^{(k)}(\xi)|^{p'} d\xi \right)^{1/p'} \leq |Q|^{1/p} \|\varphi_h^{(k)}\|_p \leq t^{1/p} \|\varphi_h^{(k)}\|_p.$$

Therefore,

$$\widehat{f}^*(t) \leq ct^{1/p-1} \omega_k(r_k t^{-s_k}),$$

where

$$\omega_k(\delta) = \frac{1}{\delta} \int_0^\delta \|\varphi_h^{(k)}\|_p dh.$$

By Theorem B, if $1 - 1/p < r/n$, then

$$\sum_{k=1}^n \int_0^\infty h^{-\alpha_k} \|\varphi_h^{(k)}\|_p \frac{dh}{h} \leq c \sum_{k=1}^n \|D_k^{r_k} f\|_1, \quad \alpha_k = r_k \left[1 - \frac{n}{r} \left(1 - \frac{1}{p} \right) \right].$$

Hence,

$$\begin{aligned} \int_0^\infty t^{r/n-1} \widehat{f}^*(t) dt &\leq c \sum_{k=1}^n \int_0^\infty t^{r/n+1/p-2} \omega_k(t^{-s_k}) dt \leq c' \sum_{k=1}^n \int_0^\infty z^{-\alpha_k-1} \omega_k(z) dz \\ &\leq c'' \sum_{k=1}^n \int_0^\infty h^{-\alpha_k-1} \|\varphi_h^{(k)}\|_p dh \leq B \sum_{k=1}^n \|D_k^{r_k} f\|_1. \end{aligned}$$

The proof is completed.

Using the Hardy-Littlewood inequality ([1], p. 43), we obtain the following

COROLLARY 1. Let $f \in W_p^{r_1, \dots, r_n}$ ($n \geq 2$) and $r = n(\sum_{j=1}^n 1/r_j)^{-1} < n$. Then for every nonnegative measurable function $w(\xi)$ on \mathbb{R}^n with $w^*(t) = t^{r/n-1}$ ($t > 0$),

$$(11) \quad \int_{\mathbb{R}^n} |\widehat{f}(\xi)| w(\xi) d\xi \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

Remark 1. In the limiting case $r = n$ ($n \geq 2$) Theorem 1 states that for every function $f \in W_1^{r_1, \dots, r_n}$,

$$\|\widehat{f}\|_1 \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

It is a refinement of the Sobolev theorem, which asserts that in this case every function $f \in W_1^{r_1, \dots, r_n}$ is equivalent to a bounded continuous function on \mathbb{R}^n (see [3], Ch. 3).

Remark 2. The following embedding theorem holds.

THEOREM C. Let $r_1, \dots, r_n \in \mathbb{N}$ ($n \geq 2$) and $r = n(\sum_{j=1}^n 1/r_j)^{-1} < n$. Then for any function $f \in W_1^{r_1, \dots, r_n}$,

$$\|f\|_{n/(n-r), 1} \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

This theorem was proved in [8] (the case $r_1 = \dots = r_n = 1$ was considered in [11]). If $n/(n-r) < 2$, then inequality (8) can be derived from Theorem C and inequality (4).

THEOREM 2. Let $f \in W_1^{r_1, \dots, r_n}$ ($n \geq 2$) and $r = n(\sum_{j=1}^n 1/r_j)^{-1}$. Then

$$(12) \quad \int_{\mathbb{R}^n} |\widehat{f}(\xi)| \left(\sum_{j=1}^n |\xi_j|^{r_j/r} \right)^{r-n} d\xi \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

Proof. It is easy to see that in the case $r < n$ the function

$$w(\xi) = \left(\sum_{j=1}^n |\xi_j|^{r_j/r} \right)^{r-n}$$

has nonincreasing rearrangement equivalent to $h(t) = t^{r/n-1}$. Therefore in this case Theorem 2 follows from Corollary 1. To consider the general case we put $s_j = r/(nr_j)$ ($\sum_{j=1}^n s_j = 1$). Let

$$\begin{aligned} P_\nu &= \{\xi : |\xi_j| \leq 2^{\nu s_j}\} \quad (\nu = 0, 1, \dots), \\ D_0 &= P_0, \quad D_\nu = P_\nu - P_{\nu-1} \quad (\nu \geq 1). \end{aligned}$$

Next, $D_\nu = \bigcup_{j=1}^n D_\nu^{(j)}$, where

$$D_\nu^{(j)} = \{\xi \in D_\nu : 2^{(\nu-1)s_j} < |\lambda_j| \leq 2^{\nu s_j}\} \quad (\nu \geq 1).$$

Let

$$\varphi_h^{(j)}(x) = \Delta_j^{r_j}(h)f(x) \quad \text{and} \quad \delta_\nu^{(j)} = r_j 2^{-\nu s_j}.$$

Reasoning as in the proof of Theorem 1, we have

$$\frac{1}{\delta_\nu^{(j)}} \int_0^{\delta_\nu^{(j)}} dh \int_{D_\nu^{(j)}} |\widehat{\varphi}_h^{(j)}(\xi)| d\xi \geq \frac{1}{2} \int_{D_\nu^{(j)}} |\widehat{f}(\xi)| d\xi$$

and for any $p \in (1, 2)$,

$$\int_{D_\nu^{(j)}} |\widehat{\varphi}_h^{(j)}(\xi)| d\xi \leq 2^{\nu/p} \|\varphi_h^{(j)}\|_p.$$

Therefore,

$$\begin{aligned} \int_{D_\nu^{(j)}} |\widehat{f}(\xi)w(\xi)| d\xi &\leq c_1 2^{\nu(r/n-1)} \sum_{j=1}^n \int_{D_\nu^{(j)}} |\widehat{f}(\xi)| d\xi \\ &\leq 2c_1 2^{\nu(1/p+r/n-1)} \sum_{j=1}^n \omega_j(\delta_\nu^{(j)}), \end{aligned}$$

where

$$\omega_j(\delta) = \frac{1}{\delta} \int_0^\delta \|\varphi_h^{(j)}\|_p dh, \quad \nu \geq 1.$$

In the same way as in Theorem 1 we get

$$\sum_{\nu=1}^{\infty} \int_{D_\nu} |\widehat{f}(\xi)w(\xi)| d\xi \leq c \sum_{j=1}^n \|D_j^{r_j} f\|_1.$$

Similarly we estimate the integral

$$\int_{D_0} |\widehat{f}(\xi)w(\xi)| d\xi,$$

setting $Q_\nu = \{\xi : |\xi| \leq 2^{-\nu s_j}\}$ ($\nu = 0, 1, \dots$), $E_\nu = Q_\nu - Q_{\nu-1}$ ($\nu \geq 1$). Further reasoning is the same as above, and this completes the proof.

Now consider the isotropic case $r_1 = \dots = r_n = r$ ($n \geq 2$). In this case inequality (12) assumes the form

$$(13) \quad \int_{\mathbb{R}^n} |\widehat{f}(\xi)| \cdot |\xi|^{r-n} d\xi \leq c \sum_{j=1}^n \|D_j^r f\|_1.$$

As opposed to inequality (6), the right-hand side of (13) contains only the norms of pure derivatives.

Let $f \in W_1^r \subset W_1^{r_1, \dots, r_n}$. For any $s = (s_1, \dots, s_n)$ with $|s| = r$ we have

$$|(D^s f)^\wedge(\xi)| = (2\pi)^r |\widehat{f}(\xi)| \prod_{j=1}^n |\xi_j|^{s_j} \leq (2\pi)^r |\xi|^r |\widehat{f}(\xi)|.$$

Thus, we obtain

THEOREM 3. Let $f \in W_1^r(\mathbb{R}^n)$ ($n \geq 2$, $r \in \mathbb{N}$). Then

$$\sum_{|s|=r} \int_{\mathbb{R}^n} \frac{|(D^s f)^\wedge(\xi)|}{|\xi|^n} d\xi \leq c \sum_{j=1}^n \|D_j^r f\|_1.$$

As is well known, the L^1 -norms of mixed derivatives cannot be estimated by the sum of the L^1 -norms of directional derivatives of the same order (see [3], Ch. 3).

REMARK 3. Theorems 1–3 are true for B -valued functions, where B is a Banach space with non-trivial Fourier type.

In the proofs of Theorems 1–3 we have used only Theorem B and the Hausdorff–Young inequality (for some $p \in (1, 2)$). One can easily check that the proof of Theorem B remains valid for B -valued functions for an arbitrary Banach space B . Thus in order to verify Remark 3 it remains to use the definition of the non-trivial Fourier type ([9]).

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Amenability and the second dual of a Banach algebra

by

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Abstract. Amenability and the Arens product are studied. Using the Arens product, derivations from \mathcal{A} are extended to derivations from \mathcal{A}^{**} . This is used to show directly that \mathcal{A}^{**} amenable implies \mathcal{A} amenable.

1. Introduction and preliminaries. The study of cohomological properties of \mathcal{A}^{**} in relation to those of \mathcal{A} goes back to B. E. Johnson's seminal article [9]. Recently, Ghahramani, Loy and Willis [4] have studied the amenability and weak amenability of \mathcal{A} in relation to the same properties for \mathcal{A}^{**} , with an emphasis on the Banach algebra $L^1(\mathcal{G})$. One of their result is that the amenability of \mathcal{A}^{**} implies the amenability of \mathcal{A} : this result was originally proved in [5] by other methods, but has not been published.

In this article, we show how Arens' construction of a product on the second dual of a Banach algebra enables us to extend derivations from \mathcal{A} into a bimodule \mathcal{X} to derivations from \mathcal{A}^{**} into \mathcal{X}^{**} , answering a question raised in [9]. This is then used, along with a criterion for amenability which does not involve duals, to give a simple proof that \mathcal{A}^{**} amenable implies \mathcal{A} amenable.

For basic definitions, the reader is referred to [2]. Let \mathcal{A} be a Banach algebra. Then the second dual of \mathcal{A} can also be made into a Banach algebra, using either the *Arens product* or the *reversed Arens product*. For clarity and completeness, we recall precisely a few definitions related to the Arens product, and regroup properties we shall need in a lemma. The reader who wishes to return to the original is referred to [1].

Let X , Y and Z be Banach spaces and let $m : X \times Y \rightarrow Z$ be a bounded bilinear map. Let $x \in X$, $x' \in X^*$ and $x'' \in X^{**}$, where X^* is the Banach space dual of X , with similar notations for Y and Z . From m , we can construct a map $m^{***} : X^{**} \times Y^{**} \rightarrow Z^{**}$ in the following manner. For $x \in X$, $x' \in X^*$, $x'' \in X^{**}$, and so on, we have maps:

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