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Received January 27, 1994
Revised version August 18, 1995

(3223)

Accretive approximation in C^* -algebras

by

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Abstract. The problem of approximation by accretive elements in a unital C^* -algebra suggested by P. R. Halmos is substantially solved. The key idea is the observation that accretive approximation can be regarded as a combination of positive and self-adjoint approximation. The approximation results are proved both in the C^* -norm and in another, topologically equivalent norm.

1. Introduction. For every unital C^* -algebra \mathcal{A} let $\text{Acc}_{\mathcal{A}}$ be the set of all accretive elements of \mathcal{A} , i.e. the set of all elements with positive real part. For an element a of \mathcal{A} let $\text{Acc}_{\mathcal{A}}(a)$ denote the set of all accretive approximants of a . Here an *approximant* means an element x of $\text{Acc}_{\mathcal{A}}$ such that $\|a-x\| \leq \|a-y\|$ for every element y of $\text{Acc}_{\mathcal{A}}$. Furthermore, let the norm $\|\cdot\|$ be defined by $\|a\| = \|\frac{1}{2}(a^*a + aa^*)\|^{1/2}$ (cf. [Bo 2, Be 1]). The accretive approximants in this norm will be called *accretive near-approximants*; the set of all accretive near-approximants will be denoted by $\tilde{\text{Acc}}_{\mathcal{A}}(a)$.

The main purpose of this paper is to describe the sets $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$. The key idea is the observation that accretive approximation is a combination of positive and self-adjoint approximation (Theorem 2.1(c)). As a consequence the real dimensions of the convex sets $\text{Acc}_{\mathcal{B}(\mathcal{H})}(A)$ and $\tilde{\text{Acc}}_{\mathcal{B}(\mathcal{H})}(A)$ can be computed for every bounded linear operator A on a complex Hilbert space \mathcal{H} , and some extreme points can be constructed.

2. Accretive approximation in C^* -algebras. Let \mathcal{A} be a unital C^* -algebra. Then $\mathcal{S}_{\mathcal{A}}$ denotes the set of all self-adjoint elements of \mathcal{A} . For every element $a \in \mathcal{A}$ let $\mathcal{S}_{\mathcal{A}}(a)$ (respectively $\tilde{\mathcal{S}}_{\mathcal{A}}(a)$) be the set of all self-adjoint approximants (respectively self-adjoint near-approximants) of a . Similarly $\mathcal{P}_{\mathcal{A}}$ denotes the set of all positive elements of \mathcal{A} , and $\mathcal{P}_{\mathcal{A}}(a)$ (respectively $\tilde{\mathcal{P}}_{\mathcal{A}}(a)$) denotes the set of all positive approximants (respectively near-approximants) of a .

In the case where \mathcal{A} is the C^* -algebra $\mathcal{B}(\mathcal{H})$ of all bounded linear operators on a complex Hilbert space \mathcal{H} the index \mathcal{A} will be skipped if that does not lead to any confusion.

In this section the problem of accretive approximation suggested by P. R. Halmos (see [Ha]) is discussed for unital C^* -algebras. P. R. Halmos showed that the accretive part of a is an accretive approximant of a in the case $\mathcal{A} = \mathcal{B}(\mathcal{H})$ (cf. Theorem 2.1(b)).

For an element a of a C^* -algebra let $\text{dist}(a, \mathcal{G})$ be the distance from a to a given subset \mathcal{G} of \mathcal{A} measured in the C^* -norm, whereas $\text{dist}_{\|\cdot\|}(a, \mathcal{G})$ denotes the distance measured in the norm $\|\cdot\|$.

First some basic results about the positive and self-adjoint approximation are mentioned. In [Be 1] it is shown that for a normal element a of \mathcal{A} the positive part of the real part is a positive approximant and the real part of a is a self-adjoint approximant (see Theorem 2.1(c)). In the case of self-adjoint approximation the condition of a being normal can be dropped. For $a = b + ic$ with b, c self-adjoint one has $\text{dist}(b + ic, \mathcal{S}_{\mathcal{A}}) = \text{dist}(ic, \mathcal{S}_{\mathcal{A}}) = \|c\|$ and $\mathcal{S}_{\mathcal{A}}(b + ic) = b + \mathcal{S}_{\mathcal{A}}(ic)$ since the set $\mathcal{S}_{\mathcal{A}}$ of all self-adjoint elements of \mathcal{A} forms a real vector space. Hence $\|b + ic\| \geq \|c\|$ and $b \in \mathcal{S}_{\mathcal{A}}(b + ic)$ for b, c self-adjoint. Similar results hold for approximation in the norm $\|\cdot\|$.

THEOREM 2.1. *Let \mathcal{A} be a unital C^* -algebra and let $a = b + ic \in \mathcal{A}$ with b, c self-adjoint. Then*

- (a) $\text{dist}(a, \text{Acc}_{\mathcal{A}}) = \text{dist}_{\|\cdot\|}(a, \text{Acc}_{\mathcal{A}}) = \text{dist}(b, \mathcal{P}_{\mathcal{A}})$.
- (b) $b^+ + ic \in \text{Acc}_{\mathcal{A}}(a) \subset \tilde{\text{Acc}}_{\mathcal{A}}(a)$, where b^+ denotes the positive part of b .
- (c) $\text{Acc}_{\mathcal{A}}(a) = \{p + id : p \in \mathcal{P}_{\mathcal{A}}(b) \text{ and } d \in \mathcal{S}_{\mathcal{A}}(c - i(b - p))\}$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a) = \{p + id : p \in \tilde{\mathcal{P}}_{\mathcal{A}}(b) \text{ and } d \in \tilde{\mathcal{S}}_{\mathcal{A}}(c - i(b - p))\}$.

Proof. Let $p + id \in \text{Acc}_{\mathcal{A}}$ with p positive and d self-adjoint. Then, as in the proof of [Ha], Corollary 5,

$$\begin{aligned} \|a - (p + id)\| &= \|(b - p) + i(c - d)\| \geq \|b - p\| \\ &\geq \|b - b^+\| = \|(b + ic) - (b^+ + ic)\|. \end{aligned}$$

Thus $\text{dist}(a, \text{Acc}_{\mathcal{A}}) = \|b - b^+\| = \text{dist}(b, \mathcal{P}_{\mathcal{A}})$. Moreover, the accretive part $b^+ + ic$ of a is an accretive approximant of a . Similarly $\text{dist}_{\|\cdot\|}(a, \text{Acc}_{\mathcal{A}}) = \|b - b^+\|$ and $b^+ + ic$ is an accretive near-approximant of a . This shows (a) and (b).

(c) Suppose $p + id$ is an accretive approximant of a with p positive and d self-adjoint. Then

$$\|b - b^+\| = \|a - (p + id)\| = \|(b - p) + i(c - d)\| \geq \|b - p\|,$$

i.e., p is a positive approximant of b and

$$\|b - p\| = \|(b - p) + i(c - d)\|.$$

Thus

$$\begin{aligned} \text{dist}(c - i(b - p), \mathcal{S}_{\mathcal{A}}) &= \|\text{Im}(c - i(b - p))\| = \|b - p\| \\ &= \|(b - p) + i(c - d)\| = \|(c - i(b - p)) - d\|, \end{aligned}$$

which means that d is a self-adjoint approximant of $c - i(b - p)$. Here $\text{Im}(x)$ denotes the imaginary part of x .

Hence

$$\text{Acc}_{\mathcal{A}}(a) \subset \{p + id : p \in \mathcal{P}_{\mathcal{A}}(b) \text{ and } d \in \mathcal{S}_{\mathcal{A}}(c - i(b - p))\}.$$

For the reverse inclusion suppose $p \in \mathcal{P}_{\mathcal{A}}(b)$ and $d \in \mathcal{S}_{\mathcal{A}}(c - i(b - p))$. Then

$$\begin{aligned} \|a - (p + id)\| &= \|(b - p) + i(c - d)\| = \|(c - i(b - p)) - d\| \\ &= \|\text{Im}(c - i(b - p))\| = \|b - p\| = \text{dist}(b, \mathcal{P}_{\mathcal{A}}), \end{aligned}$$

i.e., $p + id \in \text{Acc}_{\mathcal{A}}(a)$ by part (a).

This shows $\text{Acc}_{\mathcal{A}}(a) = \{p + id : p \in \mathcal{P}_{\mathcal{A}}(b) \text{ and } d \in \mathcal{S}_{\mathcal{A}}(c - i(b - p))\}$ and similarly $\tilde{\text{Acc}}_{\mathcal{A}}(a) = \{p + id : p \in \tilde{\mathcal{P}}_{\mathcal{A}}(b) \text{ and } d \in \tilde{\mathcal{S}}_{\mathcal{A}}(c - i(b - p))\}$. ■

As a consequence of the above theorem the properties of the sets $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ can be described by using the results for self-adjoint and positive approximation.

COROLLARY 2.2. *Let \mathcal{A} be a unital C^* -algebra and let $a = b + ic \in \mathcal{A}$ with b, c self-adjoint.*

(a) *The following conditions are equivalent:*

- (1) $0 \in \text{Acc}_{\mathcal{A}}(a)$;
- (2) $- \|a\| \in \sigma(b)$.

(b) *The following conditions are equivalent:*

- (1) $0 \in \tilde{\text{Acc}}_{\mathcal{A}}(a)$;
- (2) $- \|a\| \in \sigma(b)$.

Proof. By Theorem 2.1(a) one has $\text{dist}(a, \text{Acc}_{\mathcal{A}}) = \|b^-\|$, where b^- denotes the negative part of b . Thus $0 \in \text{Acc}_{\mathcal{A}}(a)$ if and only if $\|a\| = \|b^-\|$, i.e., if and only if $- \|a\| \in \sigma(b)$. Part (b) can be proved in the same way. ■

COROLLARY 2.3. *Let \mathcal{A} be a unital C^* -algebra and let $a = b + ic \in \mathcal{A}$ with b, c self-adjoint. Then the following conditions are equivalent:*

- (1) *a has a unique accretive approximant;*
- (2) *a has a unique accretive near-approximant;*
- (3) *there exists a non-negative number δ such that $\text{dist}(\lambda, \mathbb{R}^{\geq 0}) = \delta$ for every $\lambda \in \sigma(b)$;*
- (4) *b has a unique positive approximant;*
- (5) *b has a unique positive near-approximant.*

Proof. The equivalence of (3), (4) and (5) follows from Theorem 2.5 of [Be 1].

By Theorem 2.1 the implications (2) \Rightarrow (1) and (1) \Rightarrow (4) hold.

For the implication (3) \Rightarrow (2) suppose that there exists a positive number δ such that $\text{dist}(\lambda, \mathbb{R}^{\geq 0}) = \delta$ for every $\lambda \in \sigma(b)$. Then b has a unique positive near-approximant (see [Be 1], Theorem 2.5).

If $\delta = 0$ then $\sigma(b) \subset \mathbb{R}^{\geq 0}$, i.e. b is the unique positive near-approximant of b . Thus $\text{dist}_{\|\cdot\|}(a, \tilde{\text{Acc}}_{\mathcal{A}}(a)) = \|a - (b + ic)\| = 0$, i.e., $b + ic$ is the unique accretive near-approximant of a .

If $\delta > 0$ then $\sigma(b) = \{-\delta\}$, i.e., $b = -\delta$ and 0 is the unique positive near-approximant of b . Moreover, $c + i\delta$ has the unique self-adjoint near-approximant c by Theorem 2.5 of [Be 1]. Hence ic is the unique accretive near-approximant of a by Theorem 2.1(c). This completes the proof. ■

3. Dimension of the set of approximants. In this section the dimension of the convex sets $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ is discussed for every element $a \in \mathcal{A}$. Recall that the *dimension* of a convex set X is the (real) dimension of the smallest (real) affine subspace including X (cf. [Val]).

Similar to the case of positive and self-adjoint approximation, the dimension of the set of accretive approximants depends heavily on the C^* -algebra \mathcal{A} (see [Be 3], Example 2.1, for positive approximation). However, for the C^* -algebra $\mathcal{B}(\mathcal{H})$ the dimension can be computed by using techniques similar to [Be 3].

In the following remark some notations and properties of positive and self-adjoint approximation in $\mathcal{B}(\mathcal{H})$ are listed which will be used in this section. They can be found in [Bo 2] and [Bo 3].

Remark 3.1. For every operator $A \in \mathcal{B}(\mathcal{H})$ the space $\max A$ is defined by $\max A = \{x \in \mathcal{H} : \|Ax\| = \|A\| \cdot \|x\|\} = \ker(\|A\|^2 - A^*A)$.

If $A = B + iC$ with B, C self-adjoint, then the subspace $\mathcal{H}_1 = \mathcal{H}_1(A)$ is defined by $\mathcal{H}_1(A) = \overline{\text{ran}}(\|C\|^2 - C^2) = (\max C)^\perp$.

If S is a self-adjoint near-approximant of A , then \mathcal{H}_1 reduces $B - S$ with $(B - S)|_{\mathcal{H}_1^\perp} = 0$ and $\mathcal{H}_1^\perp \subset \max(A - S)$, since $\text{ran}(B - S) \subset \mathcal{H}_1$ and $\max(A - B) = \mathcal{H}_1^\perp$.

Moreover, the subspace $\mathcal{H}_2 = \mathcal{H}_2(A)$ is given by $\mathcal{H}_2(A) = \overline{\text{ran}} D_0 \cap \overline{\text{ran}} P_0$ with $\delta = \text{dist}(A, \mathcal{P})$, $D_0 = (\delta^2 - C^2)^{1/2}$ and $P_0 = B + D_0$.

If P is a positive near-approximant of A , then \mathcal{H}_2 reduces $P_0 - P$ with $(P_0 - P)|_{\mathcal{H}_2^\perp} = 0$ and $\mathcal{H}_2^\perp \subset \max(A - P)$, since $\text{ran}(P_0 - P) \subset \mathcal{H}_2$ and $\max(A - P_0) = \ker(\delta^2 - (A - P_0)^*(A - P_0)) = \mathcal{H}$.

LEMMA 3.2. Let $A = B + iC \in \mathcal{B}(\mathcal{H})$ with B, C self-adjoint and let $\mathcal{H}_2 = \mathcal{H}_2(B)$. Then

$$\dim_{\mathbb{R}} \text{Acc}(A) \leq \dim_{\mathbb{R}} \tilde{\text{Acc}}(A) \leq 2 \cdot (\dim_{\mathbb{C}} \mathcal{H}_2)^2.$$

Proof. Since $\text{Acc}(A) \subset \tilde{\text{Acc}}(A)$ the first inequality follows immediately.

Suppose now that $T = P + iD$ is an accretive near-approximant of A with P positive and D self-adjoint. Then the subspace $\mathcal{H}_2 = \mathcal{H}_2(B)$ reduces $P_0 - P$ with $(P_0 - P)|_{\mathcal{H}_2^\perp} = 0$ and $\mathcal{H}_2^\perp \subset \max(B - P)$, since P is a positive near-approximant of B by Theorem 2.1(c). Since B^+ is also a positive near-approximant of B this implies that \mathcal{H}_2 reduces $P - B^+$ with $(P - B^+)|_{\mathcal{H}_2^\perp} = 0$.

Moreover, the subspace $\mathcal{H}_1 = \mathcal{H}_1(C - i(B - P)) = \max(B - P)^\perp \subset \mathcal{H}_2$ reduces $C - D$ with $(C - D)|_{\mathcal{H}_1^\perp} = 0$, since D is a self-adjoint near-approximant of $C - i(B - P)$.

This shows that the set $\tilde{\text{Acc}}(A) - (B^+ + iC)$ is included in the set

$$\{P' + iD' : P' \in \mathcal{B}(\mathcal{H}) \text{ positive with } \overline{\text{ran}} P' \subset \mathcal{H}_2 \text{ and} \\ D' \in \mathcal{B}(\mathcal{H}) \text{ self-adjoint with } \overline{\text{ran}} D' \subset \mathcal{H}_2\},$$

which has real dimension $2 \cdot (\dim_{\mathbb{C}} \mathcal{H}_2)^2$. ■

THEOREM 3.3. Let $A = B + iC \in \mathcal{B}(\mathcal{H})$ with B, C self-adjoint and let $\mathcal{H}_2 = \mathcal{H}_2(B)$. Then

$$\dim_{\mathbb{R}} \text{Acc}(A) = \dim_{\mathbb{R}} \tilde{\text{Acc}}(A) = 2 \cdot (\dim_{\mathbb{C}} \mathcal{H}_2)^2.$$

Proof. In view of the previous lemma it suffices to prove that $\dim_{\mathbb{R}} \text{Acc}(A) \geq 2 \cdot (\dim_{\mathbb{C}} \mathcal{H}_2)^2$. Fix $n \in \mathbb{N}$ and define the subspace M_n by $M_n := \text{ran } E(D_n)$, where E denotes the spectral measure for B and $D_n := \{\lambda \in \sigma(B) : \text{dist}(\lambda, \mathbb{R}^{\geq 0}) < d(\sigma(B), \mathbb{R}^{\geq 0}) - 2/n\}$. Here $d(\sigma(B), \mathbb{R}^{\geq 0})$ denotes the one-sided Hausdorff distance from $\sigma(B)$ to $\mathbb{R}^{\geq 0}$. Then M_n is a B -reducing subspace of $\mathcal{H}_2 = \mathcal{H}_2(B)$ since $\mathcal{H}_2(B) = \mathcal{H}_0(\mathbb{R}^{\geq 0}, B) = \text{ran } E(\{\lambda \in \sigma(B) : \text{dist}(\lambda, \mathbb{R}^{\geq 0}) < d(\sigma(B), \mathbb{R}^{\geq 0})\})$.

For every positive operator $P' \in \mathcal{B}(\mathcal{H})$ with $\text{ran } P' \subset M_n$ and $\|P'\| \leq 1/n$ and for every self-adjoint operator $D' \in \mathcal{B}(\mathcal{H})$ with $\text{ran } D' \subset M_n$ and $\|D'\| \leq 1/n$ the operator $T = (B^+ + P') + i(C + D')$ is accretive. Moreover,

$$\begin{aligned} \|A - T\| &= \|(B + iC) - (B^+ + P') - i(C + D')\| \\ &= \|B - (B^+ + P') - iD'\| \\ &= \max\{\|(B - (B^+ + P') - iD')|_{M_n}\|, \|(B - (B^+ + P') - iD')|_{M_n^\perp}\|\} \\ &\leq \max\{\|(B - B^+)|_{M_n}\| + \|P'\|_{M_n} + \|D'\|_{M_n}, \|(B - B^+)|_{M_n^\perp}\|\} \\ &\leq \max\{\|B^-|_{\text{ran } E(D_n)}\| + 2/n, \|B - B^+\|\} \\ &\leq d(\sigma(B), \mathbb{R}^{\geq 0}) = \text{dist}(B, \mathcal{P}_A) \end{aligned}$$

by the spectral theorem and by the distance formula 1.4 of [Be 1]. Hence T is an accretive approximant of A . Thus the set $\text{Acc}(A) - (B^+ + iC)$ includes the set

$$\{P' + iD' : P' \in \mathcal{B}(\mathcal{H}) \text{ positive with } \overline{\text{ran}} P' \subset M_n \text{ and } \|P'\| \leq 1/n \text{ and} \\ D' \in \mathcal{B}(\mathcal{H}) \text{ self-adjoint with } \overline{\text{ran}} D' \subset M_n \text{ and } \|D'\| \leq 1/n\},$$

which has real dimension $2 \cdot (\dim_{\mathbb{C}} M_n)^2$. Since $\mathcal{H}_2 = \mathcal{H}_2(B) = \overline{\bigcup_{n \in \mathbb{N}} M_n}$, one has $\lim_{n \rightarrow \infty} \dim_{\mathbb{C}} M_n = \dim_{\mathbb{C}} \mathcal{H}_2$, so that

$$\dim_{\mathbb{R}} \text{Acc}(A) \geq 2 \cdot (\dim_{\mathbb{C}} \mathcal{H}_2)^2.$$

This completes the proof. ■

4. Extreme points in the set of approximants. In this section the extreme points of the convex sets $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ are studied for every element a of the unital C^* -algebra \mathcal{A} .

First, sufficient conditions are specified for an approximant to be such an extreme point:

PROPOSITION 4.1. *Let \mathcal{A} be a unital C^* -algebra and let $a \in \mathcal{A}$.*

(a) *If $t = p + id \in \mathcal{A}$ is an accretive approximant of a with p positive and d self-adjoint such that $(a - t)^*(a - t)$ or $(a - t)(a - t)^*$ is a scalar, then $t \in \text{ex Acc}_{\mathcal{A}}(a)$.*

(b) *If $t = p + id \in \mathcal{A}$ is an accretive near-approximant of a with p positive and d self-adjoint such that $(a - t)^*(a - t) + (a - t)(a - t)^*$ is a scalar, then $t \in \text{ex } \tilde{\text{Acc}}_{\mathcal{A}}(a)$.*

Proof. (a) It is a well-known result that every element $x \in \mathcal{A}$ with $x^*x = e$ or $xx^* = e$ is an extreme point of the closed unit ball (see e.g. [Pe], Prop. 1.4.7). Hence the element $a - t$ is an extreme point of the closed ball with center 0 and radius $\|a - t\|$. Since the set $a - \text{Acc}_{\mathcal{A}}(a)$ is included in this ball, the element t is an extreme point of $\text{Acc}_{\mathcal{A}}(a)$.

Similarly part (b) follows from the proposition below. ■

PROPOSITION 4.2. *Let \mathcal{A} be a C^* -algebra with unit e and let $\mathcal{A}_1 := \{a \in \mathcal{A} : \|a\| \leq 1\}$ be the closed unit ball in \mathcal{A} for the norm $\|\cdot\|$. Then every element $x \in \mathcal{A}$ with $\frac{1}{2}(x^*x + xx^*) = e$ is an extreme point of \mathcal{A}_1 .*

Proof. Using the Theorem of Gelfand–Neumark it suffices to prove the statement for $\mathcal{A} = \mathcal{B}(\mathcal{H})$.

Suppose $T \in \mathcal{B}(\mathcal{H})$ with $\frac{1}{2}(T^*T + TT^*) = \text{Id}$. Then $\|T\| = \|\text{Id}\| = 1$, i.e. $T \in \mathcal{B}(\mathcal{H})_1$. If $R \in \mathcal{B}(\mathcal{H})$ with $\|T - R\| \leq 1$ and $\|T + R\| \leq 1$, then Lemma 2.1(b) of [Be 2] implies that $\max(T^*T + TT^*) \subset \ker R$, which means that $R = 0$. Hence T is an extreme point of $\mathcal{B}(\mathcal{H})_1$. ■

As a consequence of Proposition 4.1 and Theorem 2.1 some extreme points of $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ can easily be constructed:

COROLLARY 4.3. *Let \mathcal{A} be a unital C^* -algebra and let $a = b + ic \in \mathcal{A}$ with b, c self-adjoint. Furthermore, let $p \in \mathcal{P}_{\mathcal{A}}(b) = \tilde{\mathcal{P}}_{\mathcal{A}}(b)$. Then*

$$k_p^{(+)} := p + i(\|b - p\|^2 - (b - p)^2)^{1/2} + c$$

and

$$k_p^{(-)} := p - i(\|b - p\|^2 - (b - p)^2)^{1/2} + c$$

are both extreme points of $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$.

COROLLARY 4.4. *Let \mathcal{A} be a unital C^* -algebra and let $a \in \mathcal{A}$. Then either a has a unique accretive approximant and near-approximant or $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ have uncountably many extreme points.*

Proof. If a does not have a unique accretive approximant or near-approximant then $b := \text{Re } a$ does not have a unique positive approximant by Corollary 2.3. This implies that b has uncountably many positive approximants since the set of all positive approximants is convex. Hence $\text{Acc}_{\mathcal{A}}(a)$ and $\tilde{\text{Acc}}_{\mathcal{A}}(a)$ have uncountably many extreme points by the previous corollary. ■

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