

**Tracial states on crossed products associated with
Furstenberg transformations on the 2-torus**

by

KAZUNORI KODAKA (Okinawa)

Abstract. Let ϕ_f be a Furstenberg transformation on the 2-torus \mathbb{T}^2 defined by $\phi_f(x, y) = (e^{2\pi i\theta}x, e^{2\pi if(x)}xy)$ for any $x, y \in \mathbb{T}$, where θ is an irrational number and f is a real-valued continuous function on the 1-torus \mathbb{T} . Let $A(\phi_f)$ be the crossed product associated with ϕ_f . We show that $A(\phi_f)$ has a unique tracial state for any irrational number θ and any real-valued continuous function f on \mathbb{T} .

1. Introduction. Let θ be an irrational number in $(0, 1)$ and f a real-valued continuous function on the 1-torus \mathbb{T} . Let ϕ_f be a Furstenberg transformation on the 2-torus \mathbb{T}^2 defined by

$$\phi_f(x, y) = (e^{2\pi i\theta}x, e^{2\pi if(x)}xy)$$

for any $x, y \in \mathbb{T}$. Let $A(\phi_f)$ be the associated crossed product $C(\mathbb{T}^2) \rtimes_{\phi_f} \mathbb{Z}$.

In [5] Rouhani gave the following definition, result and question:

DEFINITION. We say that a real-valued continuous function f on \mathbb{T} can be split with respect to $e^{2\pi i\theta} \in \mathbb{T}$ if it can be written as

$$f(x) = g(x) - g(e^{2\pi i\theta}x) + c \quad \text{a.e.}$$

for some real-valued measurable function g on \mathbb{T} and some real constant c .

PROPOSITION. *If a real-valued continuous function f on \mathbb{T} can be split with respect to $e^{2\pi i\theta}$, then the associated crossed product $A(\phi_f)$ has a unique tracial state.*

QUESTION. If we drop the assumption that f can be split with respect to $e^{2\pi i\theta}$ in the above proposition, can we still conclude that $A(\phi_f)$ has a unique tracial state?

In this note we give an affirmative answer to this question.

2. Result. Let $C(\mathbb{T})$ be the C^* -algebra of all complex-valued continuous functions on \mathbb{T} and $C(\mathbb{T})^{**}$ its enveloping von Neumann algebra, which is identified with its second dual.

Let u be a unitary element in $C(\mathbb{T})$ defined by $u(x) = x$ for any $x \in \mathbb{T}$. Let $P(\mathbb{T})$ be a dense $*$ -subalgebra of $C(\mathbb{T})$ generated by u and $P(\mathbb{T})_{\text{sa}}$ a subset of all selfadjoint elements in $P(\mathbb{T})$.

LEMMA 1. For any $f \in P(\mathbb{T})_{\text{sa}}$ there is an element $g \in P(\mathbb{T})_{\text{sa}}$ such that

$$f(x) - \int_{\mathbb{T}} f(x) dx = g(x) - g(e^{2\pi i\theta} x).$$

Proof. Since $f \in P(\mathbb{T})$, there is a finite set $\{a_n\}_{n=-N}^N$ ($N \geq 0$) of complex numbers such that $f(x) = \sum_{n=-N}^N a_n x^n$. Since $f^* = f$,

$$\sum_{n=-N}^N a_n x^n = \sum_{n=-N}^N \bar{a}_n x^{-n} = \sum_{n=-N}^N \bar{a}_{-n} x^n.$$

Hence $a_n = \bar{a}_{-n}$ for $n = -N, \dots, N$. Let

$$b_n = \frac{a_n}{1 - e^{2\pi i n\theta}} \quad \text{if } n \neq 0$$

and $b_0 = 0$. Then if $n \neq 0$,

$$\bar{b}_n = \frac{\bar{a}_n}{1 - e^{2\pi i n\theta}} = \frac{a_{-n}}{1 - e^{-2\pi i n\theta}} = b_{-n}$$

and $b_0 = \bar{b}_0 = 0$. Let $g(x) = \sum_{n=-N}^N b_n x^n$. Then $g \in P(\mathbb{T})_{\text{sa}}$ and for any $x \in \mathbb{T}$,

$$\begin{aligned} g(x) - g(e^{2\pi i\theta} x) &= \sum_{n=-N}^N b_n (1 - e^{2\pi i n\theta}) x^n = \sum_{n \neq 0} a_n x^n \\ &= \sum_{n=-N}^N a_n x^n - a_0 = f(x) - \int_{\mathbb{T}} f(x) dx. \quad \blacksquare \end{aligned}$$

Let f be a real-valued continuous function on \mathbb{T} . Then there is a sequence $\{f_n\}$ of selfadjoint elements in $P(\mathbb{T})$ such that $\|f_n - f\| \rightarrow 0$ ($n \rightarrow \infty$). By Lemma 1 for f_n there is a g_n in $P(\mathbb{T})_{\text{sa}}$ such that for any $x \in \mathbb{T}$,

$$f_n(x) - \int_{\mathbb{T}} f_n(x) dx = g_n(x) - g_n(e^{2\pi i\theta} x).$$

Let $c_n = \int_{\mathbb{T}} f_n(x) dx$ and $c = \int_{\mathbb{T}} f(x) dx$. Then $c_n \rightarrow c$ ($n \rightarrow \infty$) and

$$f_n(x) - c_n = g_n(x) - g_n(e^{2\pi i\theta} x) \quad (x \in \mathbb{T}).$$

Hence we obtain

$$e^{-2\pi i c_n} e^{2\pi i f_n(x)} = e^{2\pi i g_n(x)} e^{-2\pi i g_n(e^{2\pi i\theta} x)} \quad (x \in \mathbb{T}).$$

Let $F_n(x) = e^{2\pi i f_n(x)}$, $G_n(x) = e^{2\pi i g_n(x)}$, $F(x) = e^{2\pi i f(x)}$ and $\lambda_n = e^{2\pi i c_n}$, $\lambda = e^{2\pi i c}$. Then clearly $\|F_n - F\| \rightarrow 0$, $\lambda_n \rightarrow \lambda$ ($n \rightarrow \infty$) and

$$\bar{\lambda}_n F_n(x) = G_n(x) \overline{G_n(e^{2\pi i\theta} x)}$$

for any $x \in \mathbb{T}$. Thus we obtain

$$F_n(x) G_n(e^{2\pi i\theta} x) = \lambda_n G_n(x)$$

for any $x \in \mathbb{T}$ and $n \in \mathbb{N}$.

LEMMA 2. With the above notations there is a $G \in L^\infty(\mathbb{T})$ with $|G(x)| = 1$ for any $x \in \mathbb{T}$ such that

$$F(x) G(e^{2\pi i\theta} x) = \lambda G(x) \quad (x \in \mathbb{T}).$$

Proof. Since $\|G_n\| = 1$ for any $n \in \mathbb{N}$ and the unit ball of $C(\mathbb{T})^{**}$ is weak* compact, there are a $\tilde{G} \in C(\mathbb{T})^{**}$ and a subsequence $\{G_{n_j}\}$ of $\{G_n\}$ such that $G_{n_j} \rightarrow \tilde{G}$ ($j \rightarrow \infty$) with respect to the weak* topology. For any $x \in \mathbb{T}$ let δ_x be the pure state of point evaluation on $C(\mathbb{T})$. Since $\delta_x \in C(\mathbb{T})^*$,

$$\delta_x(G_{n_j}) - \delta_x(\tilde{G}) \rightarrow 0 \quad (j \rightarrow \infty)$$

for any $x \in \mathbb{T}$. Since $\delta_x(G_{n_j}) = G_{n_j}(x)$,

$$G_{n_j}(x) \rightarrow \delta_x(\tilde{G}) \in \mathbb{C} \quad (j \rightarrow \infty)$$

for any $x \in \mathbb{T}$. Let G be the function on \mathbb{T} defined by $G(x) = \delta_x(\tilde{G}) = \lim_{j \rightarrow \infty} G_{n_j}(x)$. Since G_{n_j} is continuous, it is measurable. Hence G is measurable. And for any $x \in \mathbb{T}$,

$$||G(x)| - 1| = ||\delta_x(\tilde{G})| - |G_{n_j}(x)|| \leq |\delta_x(\tilde{G}) - G_{n_j}(x)| \rightarrow 0 \quad (j \rightarrow \infty).$$

Thus $|G(x)| = 1$ for any $x \in \mathbb{T}$. Hence $G \in L^\infty(\mathbb{T})$. Furthermore, since

$$F_{n_j}(x) G_{n_j}(e^{2\pi i\theta} x) = \lambda_{n_j} G_{n_j}(x)$$

for any $x \in \mathbb{T}$ and $j \in \mathbb{N}$, we obtain $F(x) G(e^{2\pi i\theta} x) = \lambda G(x)$ ($x \in \mathbb{T}$) since $\|F_{n_j} - F\| \rightarrow 0$, $\lambda_{n_j} \rightarrow \lambda$ ($j \rightarrow \infty$). \blacksquare

Let ϕ_f be a Furstenberg transformation induced by a real-valued continuous function f on \mathbb{T} .

THEOREM 3. With the above notations ϕ_f is uniquely ergodic.

Proof. In the same way as in Rouhani [5, Proof of Theorem 2.1], in order to prove that ϕ_f is uniquely ergodic it suffices to show that the equation

$$H(e^{2\pi i\theta} x) = e^{2\pi i k f(x)} x^k H(x) \quad (\text{a.e. } x \in \mathbb{T}),$$

for any $k \in \mathbb{Z} \setminus \{0\}$, has no measurable solution $H : \mathbb{T} \rightarrow \mathbb{T}$. So let us assume that such an H exists, so that $H \in L^2(\mathbb{T})$. Since $F = e^{2\pi i f}$, we have

$$H(e^{2\pi i \theta} x) = F(x)^k x^k H(x) \quad (\text{a.e. } x \in \mathbb{T})$$

for some $k \in \mathbb{Z} \setminus \{0\}$. Hence

$$(1) \quad H(e^{2\pi i \theta} x) \overline{H(x)} = F(x)^k x^k \quad (\text{a.e. } x \in \mathbb{T}).$$

And by Lemma 2 we also have

$$(2) \quad F(x)^k G(e^{2\pi i \theta} x)^k = \lambda^k G(x)^k \quad (x \in \mathbb{T}).$$

By (1) and (2) we obtain

$$(3) \quad H(e^{2\pi i \theta} x) G(e^{2\pi i \theta} x)^k = \lambda^k x^k H(x) G(x)^k \quad (\text{a.e. } x \in \mathbb{T}).$$

Let $h(x) = H(x) G(x)^k$ for any $x \in \mathbb{T}$. Then $|h(x)| = |H(x)| |G(x)|^k = 1$ for any $x \in \mathbb{T}$. Hence $h \in L^\infty(\mathbb{T})$. By (3) we see that

$$(4) \quad h(e^{2\pi i \theta} x) = \lambda^k x^k h(x) \quad (\text{a.e. } x \in \mathbb{T}).$$

Since $L^\infty(\mathbb{T}) \subset L^2(\mathbb{T})$, h can be represented by its Fourier series, $h(x) = \sum_{n=-\infty}^{\infty} a_n x^n$, where $\{a_n\} \in l^2(\mathbb{Z})$. Thus by (4),

$$\sum_{n=-\infty}^{\infty} a_n e^{2\pi i n \theta} x^n = \lambda^k \sum_{n=-\infty}^{\infty} a_n x^{n+k}.$$

Therefore we deduce that $|a_n| = |a_{n-k}|$ for any $n \in \mathbb{Z}$. But since $\sum_{n=-\infty}^{\infty} |a_n|^2 < \infty$ and $k \neq 0$, we see that $a_n = 0$ for any $n \in \mathbb{Z}$. Thus $h(x) = 0$ (a.e. $x \in \mathbb{T}$). On the other hand, $|h(x)| = 1$ for any $x \in \mathbb{T}$. This is a contradiction. Thus ϕ_f is uniquely ergodic. ■

Remark. In the above case since ϕ_f is minimal, $A(\phi_f)$ has a unique tracial state if and only if ϕ_f is uniquely ergodic by Tomiyama [7, Corollary 3.3.10].

COROLLARY 4. *With the above notations $A(\phi_f)$ has a unique tracial state.*

Proof. This is immediate by Remark. ■

References

[1] H. Furstenberg, *Strict ergodicity and transformation of the torus*, Amer. J. Math. 83 (1961), 573–601.
 [2] K. Kodaka, *Anzai and Furstenberg transformations on the 2-torus and topologically quasi-discrete spectrum*, Canad. Math. Bull., to appear.
 [3] W. Parry, *Topics in Ergodic Theory*, Cambridge University Press, 1981.
 [4] G. K. Pedersen, *C*-Algebras and their Automorphism Groups*, Academic Press, 1979.

[5] H. Rouhani, *A Furstenberg transformation of the 2-torus without quasi-discrete spectrum*, Canad. Math. Bull. 33 (1990), 316–322.
 [6] M. Takesaki, *Theory of Operator Algebras I*, Springer-Verlag, 1979.
 [7] J. Tomiyama, *Invitation to C*-Algebras and Topological Dynamics*, World Sci., Singapore, 1987.

DEPARTMENT OF MATHEMATICS
 COLLEGE OF SCIENCE
 RYUKYU UNIVERSITY
 NISHIHARA-CHO, OKINAWA, 903-01 JAPAN

Received November 30, 1994

(3379)