

into  $Q(D, D)$  satisfies (5.3), (5.7) and (5.8). Now the theorem follows upon applying Proposition 5.2.

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## On the representation of uncountable symmetric basic sets and its applications

by

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**Abstract.** It is shown that every uncountable symmetric basic set in an  $F$ -space with a symmetric basis is equivalent to a basic set generated by one vector. We apply this result to investigate the structure of uncountable symmetric basic sets in Orlicz and Lorentz spaces.

**I. Introduction.** There are three results about Banach spaces with an uncountable symmetric basis having some relevance to the subject of this paper. Firstly, it was shown by renorming arguments in [T<sub>1</sub>] that if  $X$  is a Banach space with a symmetric basis  $\{e_\alpha\}_{\alpha \in A}$  which contains a subspace isomorphic to  $c_0(\Gamma)$  (resp. to  $\ell^1(\Gamma)$ ) for an uncountable set  $\Gamma$  then  $X$  itself is isomorphic to  $c_0(A)$  (resp. to  $\ell^1(A)$ ). Later, using this result and combinatorial considerations, Drewnowski [D<sub>1</sub>] proved that for nonseparable Banach spaces with a symmetric basis, all uncountable symmetric bases are equivalent. Recently, in the special context of Orlicz spaces, Rodriguez-Salinas [R] has given necessary and sufficient conditions for isomorphic embeddings of Orlicz spaces  $h_N(\Gamma)$  into a space  $h_M(A)$  for uncountable sets  $\Gamma \subseteq A$ .

Our aim in the present paper is to analyze the above results in a general framework, that is, to generalize them to  $F$ -spaces, i.e. complete metric linear spaces, to determine the context of validity of possible connected extensions, and finally, to give some new applications.

For example, we prove that for the class of  $F$ -spaces the above mentioned result of [T<sub>1</sub>] on spaces containing an isomorphic copy of  $c_0(\Gamma)$  can be

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extended, while the corresponding version for spaces containing  $\ell^1(\Gamma)$  is not true for  $F$ -spaces. We also show that, for every  $0 < p < \infty$ , there exist Orlicz spaces  $h_M(A)$  with a symmetric basis, different from  $\ell^p(A)$ , containing an isomorphic copy of  $\ell^p(\Gamma)$  for uncountable sets  $\Gamma \subseteq A$  (Proposition 7). However, unlike the case of Orlicz spaces, it turns out that the Lorentz spaces  $d(w, p, A)$  do not contain any isomorphic copy of  $\ell^p(\Gamma)$  for uncountable sets  $\Gamma \subseteq A$  and  $0 < p < \infty$  (Proposition 10).

Our main tool is a structure theorem for isomorphic embeddings of spaces with an uncountable symmetric basis into  $F$ -spaces with a symmetric basis.

Let  $X$  be an  $F$ -space with a symmetric basis  $\{e_\alpha\}_{\alpha \in A}$ . A basic set  $\{v_\gamma\}_{\gamma \in \Gamma}$  in  $X$  is called a *block basis generated by a vector*  $x = \sum_{n=1}^\infty a_n e_{\alpha_n}$  if there exist disjoint infinite subsets  $\{\gamma(i)\}_{i=1}^\infty$  of  $A$ , for  $\gamma \in \Gamma$ , where  $\gamma \neq \delta$  or  $i \neq j$  implies  $\gamma(i) \neq \delta(j)$ , such that

$$v_\gamma = \sum_{i=1}^\infty a_i e_{\gamma(i)} \quad \text{for each } \gamma \in \Gamma.$$

**MAIN THEOREM.** *Let  $X$  be an  $F$ -space with a symmetric basis  $\{e_\alpha\}_{\alpha \in A}$ . If  $\{u_\gamma\}_{\gamma \in \Gamma}$  is an uncountable symmetric basic set in  $X$  then  $\{u_\gamma\}_{\gamma \in \Gamma}$  is equivalent to a block basis  $\{v_\gamma\}_{\gamma \in \Gamma}$  generated by one vector.*

In the case of Banach spaces this result was reported at the Vth Spring Conference of Bulgarian Mathematicians [T<sub>2</sub>] and is presented in the Doctoral Thesis of the second author.

**II. Proof of the main result.** Let us start with recalling some definitions. By  $X$  we will denote an  $F$ -space, i.e. a complete metric linear space, with an  $F$ -norm  $\|\cdot\|$ .

**DEFINITION 1.** A family  $\{e_\alpha\}_{\alpha \in A}$  of vectors in  $X$  is said to be a *symmetric basis* of  $X$  if:

(i) it is an unconditional basis of  $X$ , i.e. for every  $x \in X$  there is a unique family  $\{a_\alpha\}_{\alpha \in A}$  of scalars such that

$$x = \sum_{\alpha \in A} a_\alpha e_\alpha \quad (\text{in the sense of sumability}), \text{ and}$$

(ii) for any two sequences  $\{\alpha_n\}$  and  $\{\alpha'_n\}$  in  $A$ , the basic sequences  $\{e_{\alpha_n}\}$  and  $\{e_{\alpha'_n}\}$  are equivalent.

Denote by  $\Pi_A$  the family of all one-to-one correspondences  $\pi$  from  $A$  onto  $A$  and by  $\Sigma_A$  the family of all functions  $\sigma$  defined on  $A$  taking values  $\pm 1$ .

Suppose that the symmetric basis  $\{e_\alpha\}_{\alpha \in A}$  is *regular* (cf. [KPR]), i.e. for every  $\lambda > 0$ ,

$$(*) \quad \varphi(\lambda) > 0, \quad \text{where } \varphi(\lambda) = \inf_{\alpha \in A} \|\lambda e_\alpha\|.$$

Then, as in the case of Banach spaces, it turns out that each  $x \in X$  can be represented in a unique way in the form  $x = \sum_\alpha a_\alpha e_\alpha$ , where the convergence is unconditional and the families  $\{\hat{\pi}\}_{\pi \in \Pi_A}$ ,  $\{\hat{\sigma}\}_{\sigma \in \Sigma_A}$  of operators defined by

$$\hat{\pi}(x) = \sum_\alpha a_\alpha e_{\pi(\alpha)} \quad \text{and} \quad \hat{\sigma}(x) = \sum_\alpha \sigma(\alpha) a_\alpha e_\alpha$$

are equicontinuous. The proof is the same as in the case of Banach spaces, taking into account that for every  $x = \sum_\alpha a_\alpha e_\alpha$  the set  $\{a_\alpha e_\beta\}_{(\alpha, \beta) \in A^2}$  is bounded (see [LT]).

**REMARK.** The regularity condition  $(*)$  is essential: let  $\omega$  be the space of all scalar sequences and  $\{e_n\}_{n=1}^\infty$  be the natural basis of  $\omega$ . Obviously,  $\{e_n\}$  is a symmetric basis, but for every  $\lambda > 0$ ,  $\inf_n \|\lambda e_n\| = 0$  and  $\{\hat{\pi}\}$  is not an equicontinuous family of operators.

From now on we only consider uncountable symmetric bases. For such bases the regularity condition  $(*)$  is obviously satisfied.

In an  $F$ -space  $X$  with an uncountable symmetric basis one can introduce an  $F$ -norm by the formula

$$(1) \quad |x| = \sup_{\sigma \in \Sigma_A} \sup_{\pi \in \Pi_A} \|\hat{\sigma}\hat{\pi}x\|.$$

Since  $\{\hat{\sigma}\}$  and  $\{\hat{\pi}\}$  are equicontinuous, the  $F$ -norm  $|\cdot|$  is equivalent to  $\|\cdot\|$ , i.e. they generate the same topology.

For our purposes we shall assume, without loss of generality, that the initial  $F$ -norm in  $X$  satisfies

$$(2) \quad \|\lambda x\| \leq \|x\| \quad \text{if } |\lambda| \leq 1,$$

$$(3) \quad \|\hat{\sigma}\hat{\pi}x\| = \|x\| \quad \text{for } \sigma \in \Sigma_A, \pi \in \Pi_A.$$

The existence of an equivalent  $F$ -norm with (3) follows from (1). (For (2) see [Ro] or [KPR].)

Let  $\sigma$  take values  $0, \pm 1$ . Then it follows from (2) and (3) that

$$(4) \quad \|\hat{\sigma}x\| \leq 2\|x/2\| \leq 2\|x\| \quad \text{and} \quad \lim_{\lambda \rightarrow 0} \varphi(\lambda) = 0.$$

**LEMMA 1.** *Let  $X$  be an  $F$ -space and  $\{e_m\}_{m=1}^\infty$  be an unconditional basis in  $X$  with biorthogonal system  $\{e_m^*\}_{m=1}^\infty$ . Let  $\{x_n\}_{n=1}^\infty$  be an unconditional basic sequence in  $X$  such that  $\lim_{n \rightarrow \infty} e_m^*(x_n) = e_m^*(x)$  for some  $x \in X$  and for every  $m \in \mathbb{N}$ . Then the sequence  $\{y_n\}_{n=1}^\infty$  with  $y_n = x_n - x$  for  $n \in \mathbb{N}$  is equivalent to  $\{x_n\}_{n=1}^\infty$ .*

**Proof.** We proceed in a standard way (cf. [M]). If  $x \notin [x_n]_{n=1}^\infty$  then we consider the sequence  $x_0 = 2x, x_1, x_2, \dots$  and put  $Y = [x_n]_{n=0}^\infty$ . Let  $\{x_n^*\}_{n=0}^\infty$  be the biorthogonal system to  $\{x_n\}_{n=0}^\infty$ . Since  $x \neq 0$ , there exists a natural number  $m$  such that  $e_m(x) \neq 0$ . We may assume without loss of generality

that  $e_m^*(x_n) \geq a > 0$  for some  $a > 0$ . According to (4), for every  $y \in Y$ ,  $|e_m^*(y)| \leq 2\|y\|$ . Then, if  $x_n^*(y) \geq 0$  for every  $n \in \mathbb{N}$  we have

$$(5) \quad \sum_n x_n^*(y) \leq a^{-1} \sum_n x_n^*(y)e_m^*(x_n) = a^{-1}e_m^*(y) \leq 2a^{-1}\|y\|.$$

Since  $\{x_n\}_{n=1}^\infty$  is an unconditional basis of  $Y$ , it follows from (5) that for every  $y \in Y$  we have

$$\sum_n |x_n^*(y)| < \infty$$

and the linear functional  $f$  defined by  $f(y) = \sum_{n=0}^\infty x_n^*(y)$  is continuous. Let  $n_0$  be such that

$$\left| \sum_{n>n_0} x_n^*(x) \right| < \frac{1}{2}$$

and take  $Y_0 = [x_0, x_{n_0+1}, x_{n_0+2}, \dots]$ . Let  $g(y) = x_0^*(y) + \sum_{n>n_0} x_n^*(y)$ . Since  $x_0^*(x) = 1/2$ , we have

$$(6) \quad |g(x)| < 1.$$

The operator  $T : Y_0 \rightarrow Y_0$  defined by  $Ty = g(y)x$  is linear and continuous. By (6), the operator  $U : Y_0 \rightarrow Y_0$  given by the von Neumann formula  $Uy = \sum_{n=0}^\infty T^n y$  is linear and continuous and it is the inverse of  $I - T$ . Thus, the sequences  $\{x_n\}_{n>n_0}$  and  $\{y_n\}_{n>n_0}$  are equivalent. ■

LEMMA 2. Let  $\{e_m\}_{m=1}^\infty$  be a symmetric basis of an  $F$ -space  $X$  with biorthogonal system  $\{e_m^*\}_{m=1}^\infty$ . Let  $\{x_n\}_{n=1}^\infty$  be a symmetric basic sequence in  $X$ ,  $x \in X$  a nonnull vector, and  $\{\pi_n\}_{n=1}^\infty$  a sequence of elements of  $\Pi_{\mathbb{N}}$  such that

$$(7) \quad \lim_{n \rightarrow \infty} \|\widehat{\pi}_n x_n - x\| = 0.$$

Then  $\{x_n\}_{n=1}^\infty$  is equivalent to the symmetric basic sequence  $\{y_n\}_{n=1}^\infty$ , where  $y_n = \sum_{m=1}^\infty e_m^*(x)e_{\tau(m,n)}$  for  $n \in \mathbb{N}$  and  $\tau(m, n)$  are different natural numbers.

(In other words, the lemma says that the sequence  $\{x_n\}_{n=1}^\infty$  is equivalent to a block basis generated by the vector  $x$ .)

Proof. By passing to a subsequence if necessary, we can assume that  $\lim_{n \rightarrow \infty} e_m^*(x_n) = a_m$  exists for every  $m \in \mathbb{N}$ . Let  $M = \{m \in \mathbb{N} : a_m \neq 0\}$ . We shall show that there exists a one-to-one correspondence  $\theta$  from  $M$  into  $\mathbb{N}$  such that  $e_{\theta(m)}^*(x) = a_m$ .

Assume the opposite. Then there exists  $a \neq 0$  such that if  $\text{Card } A$  denotes the cardinal number of a set  $A$ , then  $\text{Card } A > \text{Card } B$ , where  $A = \{m : a_m = a\}$  and  $B = \{m : e_m^*(x) = a\}$ . Put  $\varepsilon = \inf\{|e_m^*(x) - a| : m \notin B\} > 0$ ,

and let  $n_0$  be great enough so that for  $n > n_0$  and every  $m \in A$ ,

$$|e_m^*(x_n) - a| < \varepsilon/2.$$

Then for  $n > n_0$ ,  $m \in A$  and  $k \notin B$ ,

$$(8) \quad |e_m^*(x_n) - e_k^*(x)| > \varepsilon/2.$$

Since  $\text{Card } A > \text{Card } B$ , for each  $n$  there exists  $s_n$  such that  $s_n \in \pi_n(A) \setminus B$ . Write  $\mu_n = \pi_n^{-1}$ . Then for  $n > n_0$  according to (2), (4) and (8) we have

$$2\|\widehat{\pi}_n x_n - x\| \geq \|e_{s_n}^*(\widehat{\pi}_n x_n - x)e_{s_n}\| = \|(e_{\mu_n(s_n)}^*(x_n) - e_{s_n}^*(x))e_{s_n}\| \geq \varphi(\varepsilon/2) > 0,$$

which contradicts (7). Hence, there exists a correspondence  $\theta : M \rightarrow \mathbb{N}$  such that  $e_{\theta(m)}^*(x) = a_m$  and  $\theta$  is invertible. As the basis  $\{e_m\}_{m=1}^\infty$  is symmetric, there exists  $x_0 \in X$  such that  $e_m^*(x_0) = a_m$  for  $m \in \mathbb{N}$ . Now, by Lemma 1, we can assume, without loss of generality, that

$$(9) \quad \lim_{n \rightarrow \infty} e_m^*(x_n) = 0 \quad \text{for every } m \in \mathbb{N}.$$

We can find an increasing sequence  $\{m_i\}_{i=1}^\infty$  of natural numbers such that

$$(10) \quad \left\| \sum_{n=m_i+1}^\infty e_m^*(x)e_m \right\| < \frac{1}{2^i} \quad \text{for each } i \in \mathbb{N}.$$

Now, let us define recursively an increasing sequence  $(n_i)$  of natural numbers in the following way: Put  $n_0 = 0$ . Having chosen  $n_1 < \dots < n_{i-1}$ , by (7) and (9), we can find  $n_i$  so that

$$(11) \quad \varphi(|e_{\mu_{n_j}(m)}^*(x_{n_i})|) \leq \frac{1}{2^i m_i}$$

for  $m = 1, \dots, m_j$  and  $j = 1, \dots, i-1$ , and

$$(12) \quad \|\widehat{\pi}_{n_i} x_{n_i} - x\| < \frac{1}{2^i}.$$

Define  $A_0 = \emptyset$ ,  $A_i = \{\mu_{n_i}(m) : m \leq m_i\}$  and

$$B_i = \left\{ m \in \mathbb{N} : \mu_{n_i}(m) \in A_i \cap \bigcup_{j=1}^{i-1} A_j \right\} \quad \text{for } i \in \mathbb{N}.$$

We shall show that

$$(13) \quad \varphi(|e_m^*(\widehat{\pi}_{n_i} x_{n_i})|) \leq \frac{1}{2^i m_i} \quad \text{for each } m \in B_i.$$

Indeed, since  $m \in B_i$ , there exist  $j < i$  and  $s \leq m_j$  such that  $\mu_{n_i}(m) = \mu_{n_j}(s)$ . But

$$e_m^*(\widehat{\pi}_{n_i} x_{n_i}) = e_{\mu_{n_i}(m)}^*(x_{n_i}) = e_{\mu_{n_j}(s)}^*(x_{n_i}).$$

Therefore (11) implies (13).

Denote by  $\mathbb{N}_0$  the set

$$\{k \in \mathbb{N} : \text{there exists } m \text{ such that } k = \mu_n(m), e_k^*(x_n) = 0 \text{ for all } n \in \mathbb{N}\}.$$

Without affecting the generality we may suppose that  $\mathbb{N}_0$  is infinite. (If  $\mathbb{N}_0$  is finite or empty we may consider the space  $X \times X$  which has a symmetric basis equivalent to  $\{e_n\}$ , and the elements  $(x_n, 0), (x, 0)$  and  $\hat{\pi}_n(u, v) = (\hat{\pi}_n u, v)$  for  $(u, v) \in X \times X$ , instead of  $X, x_n, x$ , and  $\hat{\pi}_n$ .)

Let  $\{k_m\}_{m \in B_i}$  be disjoint subsets of  $\mathbb{N}_0$ . Consider

$$\tau_{m,i} = \begin{cases} k_m & \text{for } m \in B_i, \\ \mu_{n_i}(m) & \text{for } m \notin B_i, \end{cases}$$

and the vectors

$$u_i = \sum_{m=1}^{m_i} e_m^*(x) e_{\tau_{m,i}}, \quad v_i = \sum_{m=m_i+1}^{\infty} e_m^*(x) e_{\tau_{m,i}},$$

and

$$w_i = \sum_{m=1}^{\infty} e_m^*(\hat{\pi}_{n_i} x_{n_i}) e_{\tau_{m,i}}.$$

Since

$$x_{n_i} = \sum_{m=1}^{\infty} e_{\mu_{n_i}(m)}^*(x_{n_i}) e_{\mu_{n_i}(m)},$$

we have

$$x_{n_i} - w_i = \sum_{m \in B_i} e_{\mu_{n_i}(m)}^*(x_{n_i}) (e_{\mu_{n_i}(m)} - e_{k_m}).$$

As  $\text{Card } B_{n_i} \leq m_i$ , it follows from (3) and (13) that

$$(14) \quad \|x_{n_i} - w_i\| < \frac{1}{2^{i-1}}$$

and using (3), (10) and (12) we get

$$(15) \quad \|w_i - (u_i + v_i)\| = \|\hat{\pi}_{n_i} x_{n_i} - x\| < \frac{1}{2^i}, \quad \|v_i\| < \frac{1}{2^i} \quad \text{for each } i \in \mathbb{N}.$$

Therefore it follows from (14) and (15) that  $\|x_{n_i} - u_i\| < 2^{-i+2}$  for  $i \in \mathbb{N}$ . Thus, the sequences  $\{x_{n_i}\}_{i=1}^{\infty}$  and  $\{u_i\}_{i=1}^{\infty}$  are equivalent. As  $\tau_{m,i}$ , for  $m = 1, \dots, m_i$  and  $i \in \mathbb{N}$ , are different natural numbers and  $\{e_n\}_{n=1}^{\infty}$  is a symmetric basis, we find that  $\{u_i\}_{i=1}^{\infty}$  is equivalent to  $\{z_i\}_{i=1}^{\infty}$ , where  $z_i = \sum_{m=1}^{m_i} e_m^*(x) e_{\tau(m,i)}$  for  $i \in \mathbb{N}$ . But from (3) and (10) we deduce that  $\|z_i - y_i\| < 2^{-i}$  for  $i \in \mathbb{N}$ . Therefore, the sequences  $\{y_i\}_{i=1}^{\infty}$  and  $\{z_i\}_{i=1}^{\infty}$  are equivalent, whence it follows that  $\{x_i\}_{i=1}^{\infty}$  and  $\{y_i\}_{i=1}^{\infty}$  are equivalent. ■

**Proof of Main Theorem.** By Lemma 2 it is sufficient to find sequences  $\{\pi_i\}_{i=1}^{\infty} \subset \Pi_{\Gamma}, \{\gamma_i\}_{i=1}^{\infty} \subset \Gamma$  and a vector  $x \in X$  such that

$$(16) \quad \lim_{i \rightarrow \infty} \|\hat{\pi}_i u_{\gamma_i} - x\| = 0, \quad \text{where } \gamma_i \neq \gamma_j \text{ for } i \neq j.$$

Let us introduce in  $X$  an equivalence relation: we say that  $x_1, x_2 \in X$  are equivalent if there exists a bijection  $\pi \in \Pi_A$  such that  $\hat{\pi} x_1 = x_2$ . Denote by  $[X]$  the resulting quotient space, and introduce a metric  $\varrho$  in  $[X]$  by

$$\varrho([x], [y]) = \inf\{\|x - y\| : x \in [x], y \in [y]\}.$$

It is easily seen, by (3), that  $\varrho$  satisfies the triangle inequality and that  $[X]$  is separable. Let  $\nu$  be the natural quotient map from  $X$  onto  $[X]$ , and let  $U = \{u_{\gamma}\}_{\gamma \in \Gamma}$ . If  $\nu(U)$  is a countable set, then there exists an uncountable subset  $\Gamma_0 \subset \Gamma$  and  $\gamma_0 \in \Gamma_0$  such that  $\nu(u_{\gamma}) = \nu(u_{\gamma_0})$  for every  $\gamma \in \Gamma_0$ , whence there exists  $\pi_{\gamma} \in \Pi_{\Gamma}$  such that  $\hat{\pi}_{\gamma} u_{\gamma} = u_{\gamma_0}$  for each  $\gamma \in \Gamma_0$ . This implies directly the assertion in this case by taking  $x = u_{\gamma_0}$ .

Assume now that  $\nu(U)$  is uncountable. Since the quotient space  $[X]$  is Lindelöf, it follows that there exists an  $x \in X$  such that the intersection of an arbitrary neighborhood of  $\nu(x)$  with  $\nu(U)$  is uncountable. Therefore we can take, for each natural number  $i$ , maps  $\pi'_i$  and  $\pi_i$  in  $\Pi_A$  and a vector  $u_{\gamma_i} \in U$  such that

$$\|\hat{\pi}_i x - \hat{\pi}'_i u_{\gamma_i}\| - \frac{1}{i} \leq \varrho(\nu(x), \nu(u_{\gamma_i})) < \frac{1}{i}.$$

Hence

$$\|x - \hat{\pi}_i \hat{\pi}'_i u_{\gamma_i}\| \rightarrow 0 \quad \text{as } i \rightarrow \infty,$$

which concludes the proof. ■

**COROLLARY 3.** *In a nonseparable  $F$ -space  $X$  with a symmetric basis all symmetric bases are equivalent.*

In the case of Banach spaces the above result was obtained by Drewnowski in [D<sub>1</sub>] with a different method using combinatorial arguments.

**III. Applications.** In this section we shall present some applications of our main result and some examples.

**COROLLARY 4.** *Let  $X$  be an  $F$ -space with a symmetric basis  $\{e_{\alpha}\}_{\alpha \in A}$  which contains a subspace isomorphic to  $c_0(\Gamma)$  for uncountable  $\Gamma$ . Then  $X$  is isomorphic to  $c_0(A)$ .*

**Proof.** By the Main Theorem there exists a symmetric basic set  $\{u_{\gamma}\}_{\gamma \in \Gamma}$  in  $X$ , where

$$u_{\gamma} = \sum_{i=1}^{\infty} a_i e_{\gamma(i)}, \quad \gamma(i) \in A, \quad \gamma(i) \neq \delta(j) \quad \text{if } i \neq j \text{ or } \gamma \neq \delta,$$

which is equivalent to the natural basis in  $c_0(\Gamma)$ . Let  $a_1 \neq 0$ ; then, by the regularity condition (\*) and (4), we obtain

$$\frac{1}{2} \sup_{\gamma} \|b_{\gamma} e_{\gamma(1)}\| \leq \left\| \sum_{\gamma} b_{\gamma} e_{\gamma(1)} \right\| \leq 2 \left\| a_1^{-1} \sum_{\gamma} b_{\gamma} u_{\gamma} \right\|.$$

This implies that the basis  $\{e_{\alpha}\}_{\alpha \in A}$  is equivalent to the natural basis of  $c_0(A)$ . ■

**Remark.** The validity of the above corollary for Banach spaces  $X$  with an uncountable unconditional basis has been analyzed in [D<sub>2</sub>].

**Remark.** As mentioned in the introduction, an analogous result to Corollary 4 is valid in the context of Banach spaces when the space  $c_0(\Gamma)$  is replaced by  $\ell^1(\Gamma)$  ([T<sub>1</sub>]). In the general framework of  $F$ -spaces such a result is not true: we shall show below that there exist (nonconvex) Orlicz spaces  $h_M(A)$ , with a symmetric basis, containing an isomorphic copy of  $\ell^1(\Gamma)$ .

Recall that an *Orlicz function*  $M$  is a nondecreasing function from  $[0, \infty)$  into  $[0, \infty)$ , left continuous for  $t > 0$ , continuous at 0, with  $M(0) = 0$ ,  $M(1) = 1$ , and  $M(t) > 0$  for  $t > 0$ . For each Orlicz function  $M$  we define the *Orlicz space*  $l_M(A)$  consisting of all real-valued functions defined on  $A$  for which

$$\bar{M}\left(\frac{x}{\lambda}\right) = \sum_{\alpha \in A} M\left(\frac{|x(\alpha)|}{\lambda}\right) < \infty$$

for some  $\lambda > 0$ . The space  $l_M(A)$  is an  $F$ -space with  $F$ -norm defined by the formula

$$\|x\| = \inf\{\lambda > 0 : \bar{M}(x/\lambda) \leq \lambda\}.$$

In the case of being  $M$  convex, one can introduce a norm in  $l_M(A)$  by

$$\|x\| = \inf\{\lambda > 0 : \bar{M}(x/\lambda) \leq 1\},$$

which is equivalent to the above  $F$ -norm  $\|\cdot\|$ .

Let  $e_{\alpha}$  be the function defined by  $e_{\alpha}(\beta) = \delta_{\alpha,\beta}$  for  $\alpha, \beta \in A$ . We shall denote by  $h_M(A)$  the subspace of  $l_M(A)$  generated by the family  $\{e_{\alpha}\}_{\alpha \in A}$ . It is easy to see that  $h_M(A)$  consists of those vectors  $x \in l_M(A)$  for which  $\bar{M}(x/\lambda) < \infty$  for every  $\lambda > 0$ .

We will say that an Orlicz function  $M$  satisfies the  $\Delta_2^0$ -condition if there exists a constant  $C > 0$  and a  $t_0 > 0$  such that  $M(2t) \leq CM(t)$  for  $0 \leq t \leq t_0$ . If  $M$  satisfies the  $\Delta_2^0$ -condition then  $l_M(A) = h_M(A)$  and the unit vectors  $\{e_{\alpha}\}_{\alpha \in A}$  are a symmetric basis in  $l_M(A)$ . If  $A$  is a countable set we write  $h_M$  and  $l_M$  as usual. We refer to [Ro] and [LT] for other basic properties of Orlicz spaces.

The study of the symmetric structure of convex Orlicz sequence spaces  $h_M$  has been mainly carried out by Lindenstrauss and Tzafriri (cf. [LT]), while the nonconvex case has been done by Kalton ([K]). In particular, the

Orlicz sequence spaces  $h_N$  which can be embedded isomorphically into an Orlicz space  $h_M$  are entirely characterized in terms of the associated set  $C_{M,1}$  (cf. [LT], Thm. 4.a.8). Recall that the compact set  $C_{M,1}$  is defined as  $\overline{\text{conv}} E_{M,1}$  in the space  $C[0, 1/2]$ , where

$$E_{M,1} = \overline{\{M(st)/M(s) : 0 < s < 1\}}.$$

Recently, the question of whether this result can be extended to Orlicz spaces  $h_M(A)$ , for an uncountable set  $A$ , has been answered in the negative by Rodriguez-Salinas [R]. In his proof the compactness of  $C_{M,1}$  plays an important role. Here, we will get the main result of [R] as a consequence of our representation theorem (also we extend the result of [R] to the nonconvex case):

**PROPOSITION 5.** *Let  $M$  and  $N$  be Orlicz functions (not necessarily convex) and let  $A$  and  $\Gamma$  be sets with  $\text{Card } A \geq \text{Card } \Gamma > \aleph_0$ . The following statements are equivalent:*

- (i)  $h_N(\Gamma)$  is isomorphic to a subspace of  $h_M(A)$ .
- (ii) There exists  $x = \{x_i\}_{i=1}^{\infty} \in h_M$  such that the functions  $N$  and  $Q$  are equivalent at 0, where

$$Q(t) = \sum_{i=1}^{\infty} M(x_i t).$$

These imply

- (iii) There exists a positive function  $W \in L^1[0, 1]$  such that the functions  $N$  and  $R$  are equivalent at 0, where

$$R(t) = \int_0^1 \frac{M(st)}{M(s)} W(s) ds.$$

In addition, if  $M$  satisfies the  $\Delta_2^0$ -condition and is  $p$ -convex at 0 for some  $p > 0$  then (iii)  $\Leftrightarrow$  (ii).

**Proof.** The implication (i)  $\Rightarrow$  (ii) follows from the Main Theorem. One can easily verify directly that (ii)  $\Rightarrow$  (i).

(ii)  $\Rightarrow$  (iii). First assume that  $x_i = 0$  for  $i > n$ . Then we put  $W(s) = M(s)$ . We have  $R(t) = \int_0^1 M(st) ds$ , and

$$\frac{1}{2} M\left(\frac{t}{2}\right) \leq \int_{1/2}^1 M(st) ds \leq R(t) \leq M(t).$$

Assume now that  $x = \{x_i\}_{i=1}^{\infty}$  contains infinitely many nonzero elements. Since  $\bar{M}(x/\lambda) < \infty$  for every  $\lambda > 0$ , we get  $x_i \rightarrow 0$ . Without loss of generality we may assume that  $1 = x_1 \geq x_2 \geq \dots \geq 0$ . We can find an increasing sequence of integers  $(m_k)$  so that  $m_1 = 1$ ,  $x_{m_1} > x_{m_2} > \dots$  and  $x_i = x_{m_k}$

if  $m_k \leq i < m_{k+1}$ . Now we take a decreasing sequence  $\{y_j\}_{j=1}^\infty$  in  $[0, 1]$  containing  $\{x_{m_k}\}_{k=1}^\infty$  as a subsequence, so that

$$y_1 = 1 \quad \text{and} \quad y_{j+1}/y_j \geq 1/2.$$

Let

$$n_j = \begin{cases} m_{k+1} - m_k & \text{if } y_j = x_{m_k}, \\ 0 & \text{if } y_j \notin \{x_{m_k}\}_{k=1}^\infty. \end{cases}$$

Evidently for  $t > 0$ ,

$$\sum_{i=1}^\infty M(x_i t) = \sum_{j=1}^\infty n_j M(y_j t).$$

We define a positive function  $W$  on  $(0, 1]$  as follows:

$$W(s) = n_j \left( \int_{y_{j+1}}^{y_j} \frac{dv}{M(v)} \right)^{-1} \quad \text{if } s \in (y_{j+1}, y_j].$$

We shall show that  $W$  is the required function. Since

$$\sum_{j=1}^\infty n_j (y_j - y_{j+1}) \left( \int_{y_{j+1}}^{y_j} \frac{dv}{M(v)} \right)^{-1} \leq \sum_{j=1}^\infty n_j M(y_j) < \infty,$$

we get  $W \in L^1[0, 1]$ . Obviously

$$R(t) = \int_0^1 \frac{M(st)}{M(s)} W(s) ds = \sum_{j=1}^\infty n_j \left( \int_{y_{j+1}}^{y_j} \frac{dv}{M(v)} \right)^{-1} \int_{y_{j+1}}^{y_j} \frac{M(st)}{M(s)} ds.$$

This implies

$$\sum_{j=1}^\infty n_j M(y_j t/2) \leq \sum_{j=1}^\infty n_j M(y_{j+1} t) \leq R(t) \leq \sum_{j=1}^\infty n_j M(y_j t).$$

Hence  $R$  is equivalent to  $Q(t) = \sum_{i=1}^\infty M(x_i t)$ , so to  $N$  at 0.

(iii)  $\Rightarrow$  (ii). Take

$$\lambda_k = \int_{2^{-(k+1)}}^{2^{-k}} \frac{W(s)}{M(s)} ds.$$

We may assume that  $\lambda_0 = 1$ . For  $t < 1$  we get

$$\sum_{k=0}^\infty \lambda_k M(2^{-(k+1)} t) \leq R(t) \leq \sum_{k=0}^\infty \lambda_k M(2^{-k} t).$$

Now, by the  $p$ -convexity of  $M$ , there exists  $C > 0$  such that  $M(\lambda t) \leq C \lambda^p M(t)$  for  $\lambda, t \in [0, 1]$ . Thus

$$\begin{aligned} \sum_{k=0}^\infty \lambda_k M(2^{-k-1} t) &\leq \sum_{k=0}^\infty ([\lambda_k] + 1) M(2^{-k-1} t) \leq \sum_{k=0}^\infty (\lambda_k + 1) M(2^{-k} t) \\ &\leq \sum_{k=0}^\infty \lambda_k M(2^{-k} t) + \sum_{k=0}^\infty C 2^{-kp} M(t) \\ &\leq \left( 1 + \frac{C}{1 - 2^{-p}} \right) \sum_{k=0}^\infty \lambda_k M(2^{-k} t), \end{aligned}$$

where  $[x]$  is the integer part of  $x$ . Hence we conclude that

$$Q(t) = \sum_{k=0}^\infty ([\lambda_k] + 1) M(2^{-k-1} t)$$

is equivalent to  $R(t)$  at 0. ■

**Remark.** It follows immediately from Proposition 5 that if  $h_M(A)$  and  $h_N(A)$  are isomorphic and  $A$  is uncountable then the functions  $M$  and  $N$  are equivalent at 0. In the countable case this is not true: there exist isomorphic Orlicz sequence spaces  $h_M$  and  $h_N$  defined by two Orlicz functions  $M$  and  $N$  which are not equivalent at 0 ([LT]).

**Remark.** Given an Orlicz function  $M$ , denote by  $\Sigma_{M,1}$  the set of all Orlicz functions  $Q$  satisfying the statement (ii) in the above result. It is clear that  $\Sigma_{M,1}$  is contained in  $C_{M,1}$ . In general,  $\Sigma_{M,1}$  is not compact: If  $M(t) = t^p/|\log t|$  at 0,  $0 < p < \infty$ , then reasoning as in ([LT], p. 158) we find that the family of functions  $\{N_q\}_{0 < q \leq 1}$  for  $N_q(t) = t^p/|\log t|^q$  is contained in  $\Sigma_{M,1}$ . But it is not difficult to see that the function  $t^p$  is not equivalent to any function in  $\Sigma_{M,1}$  (see [R]).

**COROLLARY 6.** Let  $M$  be an Orlicz function with the  $\Delta_2^0$ -condition and  $p$ -convex at 0 for some  $p > 0$ , and  $A$  be a set with  $\text{Card } A > \aleph_0$ . Then  $M$  is a submultiplicative function at 0 iff every subspace of  $h_M(A)$  with an uncountable symmetric basis  $\{y_i\}_{i \in \Gamma}$  with  $\Gamma \subset A$  is isomorphic to  $h_M(\Gamma)$ .

**Proof.** If  $\{y_i\}_{i \in \Gamma}$  is an uncountable symmetric basic set in  $h_M(A)$  then it follows from the Main Theorem that  $\{y_i\}_{i \in \Gamma}$  is equivalent to the canonical basis of some Orlicz space  $h_N(\Gamma)$ , where  $N \in \Sigma_{M,1}$ . Hence  $N > M$  at 0. Now, by the submultiplicativity of  $M$  at 0, we have  $N(t) = \sum M(x_i t) \leq C(\sum M(x_i))M(t)$ . Therefore  $h_N(\Gamma) = h_M(\Gamma)$ .

Conversely, assume  $\{x_n\}_{n=1}^\infty$  and  $\{y_n\}_{n=1}^\infty$  with  $x_n \searrow 0, y_n \searrow 0$ , and  $M(x_n y_n) > 4^n M(x_n)M(y_n)$  for each  $n \in \mathbb{N}$ . Consider the Orlicz function

$N$  defined by

$$N(t) = \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{M(x_i t)}{M(x_i)} \quad \text{for } 0 < t < 1.$$

Then  $N \in \Sigma_{M,1}$ , so, by Proposition 5,  $h_N(A) \subsetneq h_M(A)$ . Moreover, since  $N(y_n) \geq 2^n M(y_n)$ ,  $N$  is not equivalent to  $M$  at 0, which is a contradiction. ■

The following proposition will show in particular that the results of [T<sub>1</sub>] on Banach spaces containing copies of  $\ell^1(\Gamma)$ , or  $c_0(\Gamma)$ , do not carry over to  $\ell^p(\Gamma)$ ,  $p > 1$ .

**PROPOSITION 7.** *For every  $p > 0$  there exists an Orlicz function  $M_p$ , which does not satisfy the  $\Delta_2^0$ -condition, such that the Orlicz space  $h_{M_p}(A)$  contains an isomorphic copy of  $\ell^p(A)$ . Moreover, if  $p > 1$  then  $M_p$  is convex.*

In the proof we need the following two lemmas:

**LEMMA 8.** *There exist strictly increasing sequences  $\{k_i\}_{i=0}^{\infty}$ ,  $\{m_i\}_{i=0}^{\infty}$  of integers such that  $m_i > k_i = \sum_{j=0}^{i-1} m_j$ , for  $i = 1, 2, \dots$ ,*

$$(17) \quad \lim_{i \rightarrow \infty} (m_{i+1} - m_i) = \infty$$

and

$$(18) \quad \sum_{i=0}^{\infty} f(x + k_i) = 1 \quad \text{for } x \geq 1,$$

where

$$(19) \quad f(x) = \sum_{i=0}^{\infty} \chi_{[m_i, m_{i+1})}(x).$$

**Proof.** We proceed by induction. Define  $f_0 : \mathbb{N} \rightarrow \{0, 1\}$  by  $f_0(1) = 1$ ,  $f_0(n) = 0$  for  $n > 1$ , and put  $k_0 = 0$ ,  $m_0 = 1$ ,  $l_0 = 1$  and  $F_0(n) = f_0(n)$ . Assume that natural numbers  $k_0 < k_1 < \dots < k_j$ ,  $m_0 < m_1 < \dots < m_j$  and  $l_0 < l_1 < \dots < l_j$ , and maps  $f_i : \mathbb{N} \rightarrow \{0, 1\}$ ,  $i = 1, \dots, j$ , have been chosen such that

$$f_i(n) = f_{i-1}(n) \quad \text{for } n < m_i; \quad f_i(m_i) = 1; \quad f_i(n) = 0 \quad \text{for } n > m_i,$$

and the maps  $F_i : \mathbb{N} \rightarrow \{0, 1\}$  defined by

$$F_i(n) = \sum_{s=0}^i f_i(n + k_s)$$

satisfy  $F_i(n) = 1$  for  $n \leq l_i$ ,  $l_i \geq i + 1$ , and  $F_i(l_i + 1) = 0$  for  $i = 0, 1, \dots, j$ .

Now take  $m_{j+1} = m_j + k_j + l_j + 1$ ,  $k_{j+1} = m_j + k_j$ , and  $f_{j+1}(n) = f_j(n)$  for  $n < m_{j+1}$ ,  $f_{j+1}(m_{j+1}) = 1$ ,  $f_{j+1}(n) = 0$  for  $n > m_{j+1}$ . Let

$$F_{j+1}(n) = \sum_{s=0}^{j+1} f_{j+1}(n + k_s).$$

Since  $f_{j+1}(n + k_{j+1}) = 1$  only for  $n = l_j + 1$ , it is clear that

$$F_{j+1}(l_j + 1) = 1 \quad \text{and} \quad F_{j+1}(n) = \sum_{s=0}^j f_{j+1}(n + k_s) \quad \text{for } n \neq l_j + 1.$$

For  $n \leq m_j$ ,  $n \neq l_j + 1$ , we have  $f_{j+1}(n + k_s) = f_j(n + k_s)$ , therefore  $F_{j+1}(n) = F_j(n)$ . Let  $n > m_j$ , then  $f_{j+1}(n) \neq 0$  only for  $n = m_{j+1}$ . Since for  $n > m_j$  we have  $n + k_s > m_j$ , it follows that  $n + k_s = m_{j+1}$  at most for one  $s$ , and thus, only one of the numbers  $f_{j+1}(n + k_s)$  may be 1. So the induction step is made.

Finally, we define  $f(x) = f_j([x])$  if  $[x] \in [1, m_j]$ . Obviously,  $f$  is of the form (19) and satisfies (18). ■

**LEMMA 9.** *For each  $p > 0$  there exists an Orlicz function  $M_p$ , which does not satisfy the  $\Delta_2^0$ -condition, such that*

$$\sum_{k=1}^{\infty} a_k M_p(b_k t) = t^p \quad \text{for } 0 \leq t \leq 1/2,$$

where  $\{a_k\}_{k=1}^{\infty}$  and  $\{b_k\}_{k=1}^{\infty}$  are two suitable sequences of positive numbers with  $a_k > 1$ . Moreover, if  $p > 1$  then the function  $M_p$  is convex.

**Proof.** Let  $f$  be the function of Lemma 8. Define a function  $g$  on  $(0, 1/2]$  by  $g(t) = f(-\log_2 t)$ . Then

$$g(t) = \sum_{i=0}^{\infty} \chi_{(c_i, d_i]}(t) \quad \text{and} \quad \sum_{i=0}^{\infty} g(b_i t) = 1 \quad \text{for } t \in (0, 1/2],$$

where

$$c_i = \frac{1}{2^{m_i+1}}, \quad d_i = 2c_i, \quad b_i = \frac{1}{2^{k_i}}.$$

Let  $q > -1$  and consider the increasing function  $G_q$  defined by

$$G_q(x) = \int_0^x t^q g(t) dt.$$

Using the Beppo Levi Theorem, we get

$$\sum_{i=0}^{\infty} \int_0^x t^q g(b_i t) dt = \int_0^x \sum_{i=0}^{\infty} t^q g(b_i t) dt = \frac{x^{q+1}}{q+1},$$

which implies that

$$(20) \quad \sum_{i=0}^{\infty} \frac{1}{b_i^{q+1}} G_q(b_i x) = \frac{x^{q+1}}{q+1}.$$

Now, consider the convex function  $H_q(x) = \int_0^x G_q(t) dt$ . Using the above equality and Beppo Levi Theorem again, we get

$$(21) \quad \sum_{i=0}^{\infty} \frac{1}{b_i^{q+2}} H_q(b_i x) = \sum_{i=0}^{\infty} \int_0^x \frac{G_q(b_i t)}{b_i^{q+1}} dt = \frac{x^{q+2}}{(q+1)(q+2)}.$$

Finally, let us show that  $G_q$  and  $H_q$  do not satisfy the  $\Delta_2^0$ -condition. Indeed,

$$\frac{G_q(2c_i)}{G_q(c_i)} = \frac{G_q(d_i)}{G_q(d_{i+1})} \geq \frac{\int_0^{d_i} t^q dt}{\int_0^{d_{i+1}} t^q dt} \geq 2^{(q+1)(m_{i+1}-m_i-1)},$$

so, by (17), we get the result for  $G_q$ . Now, as

$$H_q(3x) \geq \int_{2x}^{3x} G_q(t) dt \geq G_q(2x)x$$

and  $H_q(x) \leq G_q(x)x$ , we have

$$\frac{H_q(3c_i)}{H_q(c_i)} \geq \frac{G_q(2c_i)}{G_q(c_i)},$$

which shows that  $H_q$  also fails the  $\Delta_2^0$ -condition. ■

**Proof of Proposition 7.** Given  $q > -1$ , we consider the function

$$G_q(x) = \int_0^x t^q g(t) dt$$

as defined in Lemma 9. Hence

$$\sum_{i=0}^{\infty} \frac{1}{b_i^{q+1}} G_q(b_i x) = \frac{x^{q+1}}{q+1} \quad \text{for } 0 < x \leq \frac{1}{2} \text{ and } b_i = \frac{1}{2^{k_i}}.$$

Denote by  $\{b'_i\}_{i=0}^{\infty}$  the sequence

$$\underbrace{(b_0, \dots, b_0)}_{[2^{k_0(q+1)}]}, \underbrace{(b_1, \dots, b_1, \dots)}_{[2^{k_1(q+1)}]}.$$

Then

$$\sum_{i=0}^{\infty} G_q(b'_i x) \leq \sum_{i=0}^{\infty} \frac{1}{b_i^{q+1}} G_q(b_i x) \leq 2 \sum_{i=0}^{\infty} G_q(b'_i x).$$

Hence, by Proposition 5, it remains to prove that  $\{b'_i\}_{i=0}^{\infty} \in h_{G_q}$ .

First, let us prove

$$(22) \quad \sum_{j=0}^{\infty} g(b_j 2^s u) \leq (s+2)^2 < \infty \quad \text{for } 2^{-(s+1)} \leq u \leq 1.$$

Indeed, for  $-(s+1) \leq \theta \leq 0$ ,

$$\sum_{j=0}^{\infty} g(b_j 2^s 2^\theta) = \sum_{j=0}^{\infty} f(k_j - s - \theta) = \sum_{j=0}^{\infty} \sum_{i=0}^{\infty} \chi_{[m_i, m_{i+1})}(k_j - s - \theta).$$

If  $k_j - m_i \geq s + \theta \geq s - (s+1) = -1$ , we have  $k_j > m_i - 1$ , so, by the definitions of  $m_j$  and  $k_j$  (Lemma 8), we get  $j > i$ . Now from  $k_j - (m_i + 1) < s + \theta < s$ , we deduce

$$s > k_j - (m_i + 1) = \sum_{l=0, l \neq i}^{j-1} m_l - 1 > j - 2.$$

Hence  $i < j < s + 2$ , and we have

$$\begin{aligned} \sum_{j=0}^{\infty} g(b_j 2^{s+\theta}) &= \sum_{j=0}^{s+1} \sum_{i < j} \chi_{[m_i, m_{i+1})}(k_j - s - \theta) \leq \sum_{j=0}^{s+1} (s+2) \\ &= (s+2)^2 \quad \text{for } 0 > \theta > -(s+1). \end{aligned}$$

Now let us show

$$\sum_{i=0}^{\infty} G_q(b'_i 2^s) < \infty \quad \text{for every } s \in \mathbb{N}.$$

We have

$$\begin{aligned} \sum_{i=0}^{\infty} \frac{1}{b_i^{q+1}} G(b_i 2^s) &= \sum_{i=0}^{\infty} \int_0^1 g(b_i 2^s u) u^q 2^{s(q+1)} du \\ &= \left( \int_0^{2^{-(s+1)}} + \int_{2^{-(s+1)}}^1 \right) \sum_{i=0}^{\infty} g(b_i 2^s u) u^q 2^{s(q+1)} du \\ &\leq \int_0^{2^{-(s+1)}} u^q 2^{s(q+1)} du + \int_{2^{-(s+1)}}^1 (s+2)^2 u^q 2^{s(q+1)} du < \infty \end{aligned}$$

since  $q > -1$ , (22) holds and  $\sum_{i=0}^{\infty} g(b_i t) = 1$  for  $t \in (0, 1/2]$ .

Finally, for the convex function  $H_q$  we take the sequence  $\{b'_i\}_{i=0}^{\infty}$  defined by

$$\underbrace{(b_0, \dots, b_0)}_{[2^{-k_0(q+2)}]}, \underbrace{(b_1, \dots, b_1, \dots)}_{[2^{-k_1(q+2)}]}.$$



Then for  $x > 0$ ,

$$\sum_{i=0}^{\infty} H_q(b_i^* x) \leq \sum_{i=0}^{\infty} \frac{1}{b_i^{q+2}} H_q(b_i x) \leq 2 \sum_{i=0}^{\infty} H_q(b_i^* x),$$

and

$$\sum_{i=0}^{\infty} \frac{1}{b_i^{q+2}} H_q(b_i x) = \sum_{i=0}^{\infty} \int_0^x \frac{1}{b_i^{q+1}} G_q(b_i t) dt \leq x \sum_{i=0}^{\infty} \frac{1}{b_i^{q+1}} G_q(b_i x) < \infty.$$

Hence, by (21) and Proposition 5, we conclude that, for  $p = q + 2 > 1$ ,  $\ell^p(A)$  is isomorphic to a subspace of  $h_{H_q}(A)$ . ■

**Remark.** Notice that Proposition 7 in the special case of  $p = 1$  shows that, unlike for Banach spaces, there exist nonconvex  $F$ -spaces  $X$  with an uncountable symmetric basis  $\{e_\alpha\}_{\alpha \in A}$  containing an isomorphic copy of  $\ell^1(\Gamma)$  for  $\text{Card } \Gamma > \aleph_0$  and  $X \neq \ell^1(A)$ .

**PROBLEM.** A natural question arises of whether there exists an  $F$ -space  $Y$ , with a symmetric basis, different from  $c_0(\Gamma)$ , with the same property as  $c_0(\Gamma)$  in Corollary 4.

Let  $0 < p < \infty$  and  $w = \{w_i\}_{i=1}^{\infty}$  be a nonincreasing sequence of positive scalars such that  $\lim_i w_i = 0$  and

$$\sum_{i=1}^{\infty} w_i = \infty.$$

We denote by  $d(w, p, A)$  the Lorentz space of all real functions  $x = x(\alpha)$  defined on the set  $A$  for which

$$(23) \quad \|x\| = \sup \left\{ \sum_{j=1}^{\infty} w_j |x(\alpha_j)|^p \right\}^{1/p'} < \infty$$

where the supremum is taken over all sequences  $\{\alpha_j\}_{j=1}^{\infty}$  of different elements of  $A$ , and  $p' = \max(1, p)$ . From (23) we deduce that there exists a sequence  $\{\alpha_i\}_{i=1}^{\infty}$  such that  $|x(\alpha_1)| \geq |x(\alpha_2)| \geq \dots$ ,  $\lim_{i \rightarrow \infty} x(\alpha_i) = 0$ ,  $x(\alpha) = 0$  if  $\alpha \neq \alpha_i$ ,  $i = 1, 2, \dots$ , and

$$\|x\| = \left\{ \sum_{i=1}^{\infty} w_i |x(\alpha_i)|^p \right\}^{1/p'}.$$

As in the sequence case (cf. [LT]), the space  $d(w, p, A)$  is a Banach space (in the case  $0 < p < 1$ , a  $p$ -Banach space) and the canonical basis  $\{e_\alpha\}_{\alpha \in A}$  is a symmetric basis in  $d(w, p, A)$ . If  $A$  is countable we just write  $d(w, p)$ .

Unlike in the countable case, it turns out that the Lorentz spaces  $d(w, p, A)$  cannot contain isomorphic copies of  $\ell^p(\Gamma)$ -spaces for uncountable sets  $\Gamma$ :

**PROPOSITION 10.** Let  $0 < p < \infty$ . The Lorentz space  $d(w, p, A)$  contains an isomorphic copy of  $\ell^p(\Gamma)$  iff  $\text{Card } \Gamma \leq \aleph_0$ .

**Proof.** The sufficiency part is well known ([ACL], and [P] for the non-convex case).

Conversely, assume that  $\text{Card } \Gamma > \aleph_0$ . Let  $\{u_\gamma\}_{\gamma \in \Gamma}$  be a symmetric basic set in  $d(w, p, A)$  equivalent to the natural basis of  $\ell^p(\Gamma)$ . It follows from the Main Theorem that there exists a sequence  $\{a_i\}_{i=1}^{\infty}$  of reals and a sequence  $\{\gamma(i)\}_{i=1}^{\infty}$  of different elements of  $A$  such that  $\{u_\gamma\}_{\gamma \in \Gamma}$  and  $\{v_\gamma\}_{\gamma \in \Gamma}$  are equivalent, where

$$v_\gamma = \sum_{i=1}^{\infty} a_i^{1/p} e_{\gamma(i)}.$$

In particular, the block basic sequence  $\{v_k\}_{k=1}^{\infty}$  generated by the vector

$$x = \sum_{i=1}^{\infty} a_i^{1/p} e_i$$

in  $d(w, p)$  is equivalent to the natural basis of  $\ell^p$ . But this is not possible because

$$(24) \quad \lim_{n \rightarrow \infty} \frac{\left\| \sum_{k=1}^n v_k \right\|}{n^{1/p'}} = 0.$$

Indeed, we can assume w.l.o.g. that  $\{a_i\}_{i=1}^{\infty}$  is a nonincreasing positive sequence. It is easy to see that

$$\left\| \sum_{k=1}^n v_k \right\|^{p'} = \sum_{k=1}^n \sum_{i=1}^{\infty} w_{k+(i-1)n} a_i.$$

Now, given  $\varepsilon > 0$  take a natural number  $N_\varepsilon$  such that

$$\sum_{i \geq N_\varepsilon} w_i a_i < \frac{\varepsilon}{3}.$$

Then, for each  $1 \leq k \leq n$ ,

$$\sum_{i=1}^{\infty} w_{k+(i-1)n} a_i \leq \left( w_k a_1 + \sum_{i=2}^{N_\varepsilon} w_{(i-1)n} a_i + \sum_{i > N_\varepsilon} w_{i-1} a_{i-1} \right).$$

Since  $w_k \rightarrow 0$ , there exists a natural number  $n_0(\varepsilon)$  such that for  $n > n_0(\varepsilon)$  we have  $\sum_{i=2}^{N_\varepsilon} w_n a_i < \varepsilon/2$ . Hence for  $n > n_0(\varepsilon)$ ,

$$\left\| \sum_{k=1}^n v_k \right\|^{p'} \leq \sum_{k=1}^n (w_k a_1 + \varepsilon) = \left( \sum_{k=1}^n w_k \right) a_1 + n\varepsilon.$$

But this implies (24) since

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n w_k = 0. \blacksquare$$

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### Montel and reflexive preduals of spaces of holomorphic functions on Fréchet spaces

by

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**Abstract.** For  $U$  open in a locally convex space  $E$  it is shown in [31] that there is a complete locally convex space  $G(U)$  such that  $G(U)'_i = (\mathcal{H}(U), \tau_\delta)$ . Here, we assume  $U$  is balanced open in a Fréchet space and give necessary and sufficient conditions for  $G(U)$  to be Montel and reflexive. These results give an insight into the relationship between the  $\tau_0$  and  $\tau_w$  topologies on  $\mathcal{H}(U)$ .

**1. Introduction.** Let  $U$  be an open subset of a locally convex space  $E$ . We denote by  $\mathcal{H}(U)$  the space of holomorphic functions from  $U$  to  $\mathbb{C}$ . We shall say that a seminorm  $p$  on  $\mathcal{H}(U)$  is  $\tau_\delta$ -continuous if for each countable increasing open cover  $\{U_n\}_n$  of  $U$  there is a positive integer  $n_0$  and  $C > 0$  such that  $p(f) \leq C \|f\|_{U_{n_0}}$  for every  $f \in \mathcal{H}(U)$ . In [31],  $G(U)$  is defined to be the space of linear forms on  $\mathcal{H}(U)$  which are  $\tau_0$ -continuous when restricted to the locally bounded sets. We give  $G(U)$  the topology of uniform convergence on locally bounded subsets of  $\mathcal{H}(U)$ . Mujica and Nachbin prove that  $G(U)'_i = (\mathcal{H}(U), \tau_\delta)$  and then proceed to show that the topological properties of  $G(U)$  are useful in characterizing the topological properties of  $\mathcal{H}(U)$ . This result is a topological generalization of a result of Mazet [27] who had previously shown that  $G(U)' = \mathcal{H}(U)$ . In [14], the author further investigated the space  $G(U)$  and obtained necessary and sufficient conditions for the inductive dual of  $G(U)$  to be equal to its strong dual and thus for  $(\mathcal{H}(U), \tau_\delta)$  to be equal to  $G(U)'_b$ . One of the conditions for this to happen is that  $G(U)$  be distinguished. We investigate necessary and sufficient conditions for  $G(U)$  to be Montel and reflexive. Among the conditions for  $G(U)$  to be Montel is that the  $\tau_0$  and  $\tau_w$  topologies coincide on  $\mathcal{H}(U)$  while among the conditions for reflexivity is that the  $\tau_0$  and  $\tau_w$  topologies are compatible on  $\mathcal{H}(U)$ . This implies that for  $U$  balanced open in Tsirelson's space we have  $(\mathcal{H}(U), \tau_0)' = (\mathcal{H}(U), \tau_w)'$  while  $\tau_0 \neq \tau_w$ . In the final section we give further examples of Fréchet spaces with  $\tau_0 \neq \tau_w$  but with both of these topologies