

Observe that a slight modification of the definition of  $\kappa(X)$  leads to another topological invariant  $\lambda(X)$ , which however is not a shape-invariant.

Let  $X$  be a compactum lying in a space  $M \in \text{AR}$ . A compactum  $Y \subset X$  is said to be *weakly contractible* in  $X$  if it is contractible in every neighborhood of  $X$  in  $M$ . It is clear that the choice of the space  $M \in \text{AR}$  containing  $X$  is here immaterial.

Let  $\lambda(X)$  denote the number defined as follows:

If there exists a natural number  $n$  such that  $X$  is the union of  $n$  weakly contractible in  $X$  compacta, then  $\lambda(X)$  denotes the smallest of such numbers  $n$ .

If a such natural number  $n$  does not exist, then  $\lambda(X) = \infty$ .

It is clear that  $\lambda(X)$  is a topological invariant of  $X$  and that

$$\underline{\kappa}(X) \leq \lambda(X) \leq \kappa(X).$$

It follows by (3.1) that if  $X \in \text{ANR}$ , then  $\lambda(X) = \underline{\kappa}(X)$ . However this last relation does not hold true if one omits the hypothesis  $X \in \text{ANR}$ . In fact, if  $A$  denotes the well-known universal plane curve of Sierpiński, then  $\lambda(A) = \infty$ , because for every finite decomposition  $A = A_1 \cup A_2 \cup \dots \cup A_n$  of  $A$  into compacta, at least one of  $A_i$  contains a simple closed curve and consequently it is not weakly contractible in  $A$ . On the other hand,  $\underline{\kappa}(A) = 2$ , because of Theorem (6.1). Observe that this example shows also that  $\lambda(X)$  is not a shape invariant of  $X$ . In fact, there exist in the plane  $E^2$  two dendrits  $D_1, D_2$  such that  $B = D_1 \cup D_2$  is a curve decomposing  $E^2$  into an infinite number of regions. Hence  $\text{Sh}(A) = \text{Sh}(B)$  and  $\lambda(B) = 2 \neq \lambda(A)$ .

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## Triangle contractive self maps of the plane

by

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**Abstract.** Let  $E$  be the real Euclidean plane. A map  $f: E \rightarrow E$  is triangle contractive TC if  $0 < \alpha < 1$  and for each  $x, y, z \in E$  either

- (i)  $\|fx - fy\| \leq \alpha \|x - y\|$  and  $\|fy - fz\| \leq \alpha \|y - z\|$  and  $\|fz - fx\| \leq \alpha \|z - x\|$  or
- (ii)  $\Delta(fx, fy, fz) \leq \alpha \Delta(x, y, z)$  where  $\Delta(x, y, z)$  is the area of triangle  $x, y, z$ . We prove that every TC map  $f: E \rightarrow E$  has a fixed point  $p = fp$  or a fixed line  $L \supset fL$ .

**1. Introduction.** Let  $E$  be the real Euclidean plane and  $f: E \rightarrow E$ . We call  $p \in E$  a *fixed point* of  $f$  if  $fp = p$ . Also a line  $L$  of  $E$  is called a *fixed line* of  $f$  if  $fL \subset L$ . By a *fixture* of  $f$  we mean either a fixed point or a fixed line.

We say that  $f$  is *triangle contractive* TC if there is a coefficient  $\alpha$  in  $0 < \alpha < 1$  such that for each  $x, y, z \in E$  either

- (i)  $\|fx - fy\| \leq \alpha \|x - y\|$  and  $\|fy - fz\| \leq \alpha \|y - z\|$  and  $\|fz - fx\| \leq \alpha \|z - x\|$  or
- (ii)  $\Delta(fx, fy, fz) \leq \alpha \Delta(x, y, z)$ , where  $\Delta(x, y, z)$  denotes the area of the triangle  $x, y, z$ . Such maps were discussed in [1] where it was conjectured that every TC self map of a Hilbert space has a fixture. The object of this note is to present

**THEOREM 1.** *Each triangle contractive self map of the real plane has a fixed point or a fixed line.*

The author would like to thank his friend J. K. Dugdale for the benefit of many helpful discussions.

**2. Proof of Theorem 1.** Let  $f: E \rightarrow E$  be TC with coefficient  $\alpha$ . We will assume  $f$  is continuous, because otherwise  $fE$  is contained in a fixed line ([1], Theorem 3). Also we will assume that every circle  $C$  contains a point  $w$  with  $fw$  outside  $C$ , otherwise  $f$  has a fixed point by the Brouwer theorem. So for  $n = 1, 2, \dots$  let  $w_n$  be a point inside the circle  $C_n$  of radius  $n$  centred at the origin with  $fw_n$  outside  $C_n$ . If the sequence  $\{w_n\}$  had an accumulation point  $q$  then  $f$  would be discontinuous at  $q$ . Hence  $\{w_n\}$  is unbounded and we can choose a subsequence  $\{x_n\}$  of  $\{w_n\}$  such that  $0 < \|x_n\| \rightarrow \infty$ .

Let us write  $\angle x$  for the principal angle subtended at the origin by  $x$ . Then  $\{\angle x_n\}$  has an accumulation point  $\psi$ . We take a subsequence  $\{y_n\}$  of  $\{x_n\}$  such that

$\angle y_n \rightarrow \psi$ . Similarly  $\{\angle fy_n\}$  has an accumulation point  $\omega$  and there is a subsequence  $\{z_n\}$  of  $\{y_n\}$  with  $\angle z_n \rightarrow \omega$ . Thus

- (1)  $\|z_n\| \rightarrow \infty$ ,
- (2)  $\angle z_n \rightarrow \psi$ ,
- (3)  $\|z_n\| < \|fz_n\|$ ,
- (4)  $\angle fz_n \rightarrow \omega$ .

We shall let  $\Psi, \Omega$  denote the lines of angle  $\psi, \omega$  respectively which pass through the origin and go to infinity in both directions. Our first result is that lines parallel to  $\Psi$  contract under  $f$  into lines parallel to  $\Omega$ .

**LEMMA 1.** *Suppose  $\{z_n\}$  is a sequence of points satisfying (1)-(4). Then if  $L$  is a line parallel to  $\Psi$  its image  $fL$  is contained in a line  $cfL$  parallel to  $\Omega$ . Further if the distance between two lines  $L$  and  $M$  parallel to  $\Psi$  is  $d$ , and the distance between  $cfL$  and  $cfM$  is  $e$ , then  $e \leq ad$ .*

**Proof.** Let  $L$  and  $M$  be lines parallel to  $\Psi$  distance  $d > 0$  apart. If  $x \in L$  and  $y \in M$  for large  $n$  we have

$$\|fx - fz_n\| \sim \|fz_n\| > \|z_n\| \sim \|x - z_n\|.$$

Since  $f$  is TC we must therefore have  $\Delta(fz, fy, fz_n) \leq \alpha \Delta(x, y, z_n)$ . Let  $L'$  and  $M'$  be the lines parallel to  $\Omega$  through  $fx$  and  $fy$  respectively, and let  $e$  be the distance between them. If  $e = 0$  then  $e \leq ad$ , while if  $e > 0$  for large  $n$  we have

$$\begin{aligned} \frac{1}{2}e \|z_n\| &< \frac{1}{2}e \|fz_n\| \sim \frac{1}{2}e \|fx - fz_n\| \sim \Delta(fx, fy, fz_n) \\ &\leq \alpha \Delta(x, y, z_n) \sim \frac{1}{2}\alpha d \|x - z_n\| \sim \frac{1}{2}\alpha d \|z_n\| \end{aligned}$$

so again  $e \leq ad$ . Since  $f$  is continuous  $e \rightarrow 0$  as  $d \rightarrow 0$  and the lemma follows.

Consider now the case when  $\Psi = \Omega$  and let  $\chi$  be a line perpendicular to  $\Psi$ . We define a map  $g: \chi \rightarrow \chi$  by letting  $g(x)$  be the perpendicular projection of  $f(x)$  onto  $\chi$  for each  $x \in \chi$ . This map is well defined and contractive because by Lemma 1 we know that  $f$  contracts lines parallel to  $\Psi$ . Hence by the Banach contraction mapping theorem  $g$  has a fixed point  $p$ , and the line parallel to  $\Psi$  through  $p$  is a fixed line of  $f$ . We state this formally as

**LEMMA 2.** *If there is a sequence  $\{z_n\}$  of points satisfying (1)-(4) with  $\Psi = \Omega$  then  $f$  has a fixed line.*

Up to this point our argument would apply to any finite dimensional Hilbert space, but we next consider the case  $\Psi \neq \Omega$  and will use the fact that non-parallel lines of  $E$  intersect. We choose any line  $L$  parallel to  $\Psi$  and translate our axes till the origin  $0$  is at the intersection of  $L$  and  $cfL$ . Thus  $\Psi$  becomes  $L$  and  $\Omega$  becomes  $cfL$  and  $f(0) \in \Omega$ . Then we rotate the axes until they bisect the angles between  $\Psi$  and  $\Omega$ .

**LEMMA 3.** *Suppose  $\{z_n\}$  is a sequence of points satisfying (1)-(4) with  $f(0) \in \Omega \neq \Psi$ , and axes of coordinates bisecting the angles between  $\Psi$  and  $\Omega$ . Then there is a connected*

curve  $K$  passing through  $0$  such that  $x - fx$  is parallel to  $\Omega$  for each  $x \in K$ . Moreover  $K$  does not enter the two quadrants containing  $\Psi$  and  $K$  goes to infinity in both directions.

**Proof.** Let  $L$  be any line parallel to  $\Psi$ . By Lemma 1 we know that  $cfL$  is parallel to  $\Omega$  and so meets  $L$  at a point  $x$  with  $fx \in cfL$ . We simply let  $K$  be the locus of  $x$  as  $L$  varies. To show that  $K$  is connected we show that  $x$  is a continuous function of the distance of  $L$  from  $\Psi$ . So let  $L$  and  $M$  be two lines parallel to  $\Psi$  with points  $x$  and  $y$  respectively on  $K$ . If the distance between  $L$  and  $M$  is  $d$ , then by Lemma 1 the distance between  $cfL$  and  $cfM$  is  $\leq ad$ , and hence the distance between  $x$  and  $y$  is  $\leq (1 + \alpha)d$ . In other words  $x$  varies continuously with  $L$ . Suppose a point  $x$  of  $K$  lay in a quadrant containing  $\Psi$ . Let  $d, e$  be the distances from  $x$  to  $\Psi, \Omega$  respectively. Then  $d \leq e$  contradicting Lemma 1 for  $\Psi$  and the line through  $x$  parallel to  $\Psi$ . This ends the proof of the lemma.

To complete the proof of Theorem 1 we will assume further that  $f$  has no fixture and obtain contradictions. We have at least one sequence  $\{z_n\}$  satisfying (1)-(4), and we choose a  $\{z_n\}$  which makes the acute angle between  $\Psi$  and  $\Omega$  as small as possible. In view of Lemma 2 this angle is not zero.

Consider the curve  $K$  of Lemma 3. Suppose  $x_1, x_2 \in K$  with the parallel non-zero vectors  $x_1 - fx_1$  and  $x_2 - fx_2$  pointing in opposite directions. Then since  $f$  is continuous, as  $x$  moves along  $K$  from  $x_1$  to  $x_2$ , there must be an  $x$  with  $x - fx$  of zero magnitude. In other words  $f$  would have a fixed point, which we have disallowed. Thus the vectors  $(fx) - x$  with  $x \in K$  all point the same way, and we will work in the quadrant containing  $K$  where they point away from  $0$ . Let  $P$  be a line perpendicular to  $\Omega$  and meeting this quadrant. We proceed to define a point  $v$  on  $P$ .

Let  $k, l, m$  be points at which  $P$  meets  $K, \Omega, \Psi$  respectively. If  $k$  lies between  $l$  and  $m$  then  $k$  is our choice for  $v$ . So we now deal with the alternative case when  $l$  lies between  $k$  and  $m$ . Suppose we had a point  $r \in P$  between  $m$  and  $k$  where the vector  $(fr) - r$  was parallel to  $\Omega$  but pointing towards the origin side of  $P$ . Then we would obtain a contradiction of Lemma 1 for lines  $L, M$  parallel to  $\Psi$  passing through  $r, k$  respectively. The distance between  $cfL$  and  $cfM$  would be  $\|r - k\|$ . Hence there is no such point  $r$ . We recall that  $fm \in \Omega$ . Because  $f$  is continuous it now follows that as  $x$  moves along  $P$  from  $k$  to  $m$  it must come to a point at which  $(fx) - x$  points directly away from  $0$ . This point is our choice for  $v$ .

For  $n = 1, 2, \dots$  let  $P$  have distance  $n$  from  $0$  and  $v_n$  be the corresponding point  $v$  of  $P$ . If we chose  $v$  between  $l$  and  $m$  infinitely often then  $\{v_n\}$  has an infinite subsequence lying in half the acute angle between  $\Psi$  and  $\Omega$ . Clearly we could choose a subsequence  $\{z'_n\}$  of this subsequence to satisfy (1)-(4) and giving  $\Psi'$  and  $\Omega'$  with a sharper acute angle than  $\Psi$  and  $\Omega$ , which is impossible. Hence we chose  $v$  between  $l$  and  $m$  only a finite number of times. All the other  $v_n$  have  $(fv_n) - v_n$  pointing directly away from  $0$ . Hence we get a subsequence  $\{z''_n\}$  of  $\{v_n\}$  satisfying (1)-(4) with  $\Psi' = \Omega'$ , a final contradiction.

**Remark.** It is natural to consider maps which contract either the area or the perimeter of each triangle. That these do not always have a fixture is seen from the

following example. In complex notation  $f(E) = 0$  except that  $f(0) = 1$ ,  $f(2) = i$  and  $f(2i) = 1$ .

**Note added in proof** (19 May 1976). A map  $f: E \rightarrow E$  is a *collineation* COL if  $fx, fy, fz$  are colinear whenever  $x, y, z$  are colinear. Carter and Vogt jointly and Barnes independently have proved that every COL map with  $fE$  containing 4 points no 3 colinear is affine. Earlier it was proved [2] that every continuous COL map with  $fE$  not a subset of a line is affine, and this result was used to characterize the maps  $f: E \rightarrow E$  for which there is an  $\alpha > 0$  such that  $\Delta(fx, fy, fz) \leq \alpha \Delta(x, y, z)$  for all  $x, y, z \in E$ .

**Note added in proof** (18 October 1977). The conjecture from [1], that any TC self-map of a real or complex finite dimensional Hilbert space has a fixture, has just been proved by Dang Dinh Ang and Le Hoan Hoa.

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## Fixtures for triangle contractive self maps

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**Abstract.** The Banach contraction principle is generalized in [2] to maps which contract three or more points, giving rise to self mappings of a Hilbert space which have either a fixed point, a fixed line, or both. In this paper the definitions of [2] are extended to a much wider class of mappings. For this larger class it is shown that most of the results of [2] remain true.

Let  $H$  be a Hilbert space. For  $y, z \in H$ , the line  $L(y, z)$  passing through  $y$  and  $z$  is the collection of points  $x = \alpha y + \beta z$  for all scalars  $\alpha, \beta$  such that  $\alpha + \beta = 1$ . For arbitrary points  $x, y, z \in H$ , let  $a = x - y$ ,  $b = y - z$ . Then the distance  $\Pi$  of  $x$  from  $L(y, z)$  is

$$\Pi(x, L(y, z)) = \begin{cases} \|a\| & \text{if } y = z, \\ \frac{1}{\|b\|} \sqrt{a^2 b^2 - (a, b)(b, a)} & \text{if } y \neq z. \end{cases}$$

The area of triangle  $x, y, z$  written  $\Delta(x, y, z)$  will be half the base  $\|b\|$  times the height  $\Pi$ , and will be the same whichever side of the triangle is used as the base. (The above terminology appears in [2]. It has been reproduced here for the convenience of the reader.)

Let  $f: H \rightarrow H$ .  $f$  will be called *generalized triangle expansion bounded*, written GTEB, if there exists a positive constant  $h$  such that for every three points  $x, y, z \in H$ , either

$$(1) \quad \Delta(fx, fy, fz) \leq h \max\{\Delta(x, y, z), \Delta(fx, fy, z), \frac{1}{2}[\Delta(x, fy, z) + \Delta(fx, y, z)]\}$$

or

$$(2) \quad \|fx - fy\| \leq h \max\{\|x - y\|, \|x - fx\|, \|y - fy\|, \frac{1}{2}[\|x - fy\| + \|y - fx\|]\}.$$

and

$$\|fy - fz\| \leq h \max\{\|y - z\|, \|y - fy\|, \|z - fz\|, \frac{1}{2}[\|y - fz\| + \|z - fy\|]\},$$

and

$$\|fx - fz\| \leq h \max\{\|x - z\|, \|x - fx\|, \|z - fz\|, \frac{1}{2}[\|x - fz\| + \|z - fx\|]\}.$$

If  $f$  is GTEB with  $0 < h < 1$ , then  $f$  is called *generalized triangle contractive*, written  $f$  is GTC.