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## Whitney properties

by

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**Abstract.** Some properties and structure of the “levels”  $\mu^{-1}(t)$  are investigated, where  $X$  is a (metric) continuum and  $\mu$  is a Whitney function for the space of all nonempty subcontinua of  $X$ .

**1. Introduction.** By a *continuum* we mean a nonempty compact connected metric space. The letter  $X$  will always denote a continuum. By the hyperspace of  $X$  we mean  $C(X) = \{A : A \text{ is a (nonempty) subcontinuum of } X\}$  with the Hausdorff metric  $H$  [5]. In [17], in another context, Whitney defined a function  $\mu : C(X) \rightarrow [0, \infty)$  satisfying

(1.1)  $\mu$  is continuous on  $C(X)$ ;

(1.2) if  $A \subset B$  and  $A \neq B$ , then  $\mu(A) < \mu(B)$ ;

(1.3)  $\mu(\{x\}) = 0$  for each  $x \in X$ .

We will call any function from  $C(X)$  to  $[0, \infty)$  satisfying (1.1) through (1.3) a *Whitney map* for  $C(X)$ , and denote any such map by the symbol  $\mu$ . Kelley [5] was the first person to introduce Whitney's function into the study of  $C(X)$ . The first explicit work done after Kelley on the nature of the sets  $\mu^{-1}(t)$  was done in [3] where it was shown, among other results, that  $\mu$  is both monotone and open. Next in [6] several results on the topological type of the sets  $\mu^{-1}(t)$  were obtained. The next paper concerning the sets  $\mu^{-1}(t)$  was [12]. Several papers on Whitney maps have recently been written (see our bibliography).

Let  $P$  be a topological property. We say that  $P$  is a *Whitney property* provided whenever  $X$  has property  $P$ , so does  $\mu^{-1}(t)$  for any Whitney map  $\mu$  for  $C(X)$  and each  $t < \mu(X)$ . The purpose of this paper is to continue the work mentioned above. We give some general results about the levels  $\mu^{-1}(t)$  (see, for example, 3.1 and 5.1) and some specific facts about  $\mu^{-1}(t)$  for certain classes of continua (see, for example, 3.4, 3.5, and 4.4). Our results and examples show for many properties whether or not they are Whitney properties.

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We summarize results about whether or not a given property is a Whitney property in the following table. Results from this paper which appear in the table are listed according to how they are numbered in this manuscript. The column entitled "Primary Reference" gives the paper (resp., papers) where the result *first* (resp., simultaneously) appeared. The column entitled "Secondary Reference" lists papers where a simpler proof or a more general result is obtained. In all cases, when a theorem in this paper is listed as a secondary reference the result obtained here is more general than the result listed as the corresponding primary reference. The results in this paper were originally obtained without the authors' awareness of [15].

Topological properties of $X$	Primary reference Whitney property		Secondary reference
	Yes	No	
continuum	[3]		
arc	[6]		[12]
simple closed curve	[6]		[12]
pseudo-arc	[3]		
solenoid	Th. 4.5		
pseudo-solenoid	[15] [8]		
locally connected	[12]		
arcwise connected	[12]		
aposyndetic	[13]		
decomposable	Th. 3.4		
indecomposable		[15]	Th. 5.1, Ex. 5.4
hereditarily indecomposable	[5]		
unicoherent		[15]	Th. 5.1, Ex. 5.4
non-unicoherence		Ex. 5.5	
chainable	[6]		
indecomposable chainable	Th. 4.3		
circle-like		[15]	Th. 5.2
planar proper circle-like	[15] [8]		
non-planar circle-like	[15] [8]		
proper circle-like	[6]		
indecomposable circle-like		[15]	Th. 5.1, Ex. 5.4
hereditarily indecomposable tree-like	[7]		
fixed point property		Ex. 5.6	
cyclic		[15]	Ex. 5.5

In the last section we state several problems.

**2. Terminology and notation.** In this section we collect the notation and terminology used in this paper.

The continuum  $X$  is a point of  $C(X)$  and it is called the *top* of  $C(X)$ . By the *base* of  $C(X)$  we mean the collection of all one-point sets. It is denoted by  $\hat{X}$  and is

isometric to  $X$ . If  $A, B \in C(X)$  and  $A \subset B$ , then there exists a maximal collection of continua between  $A$  and  $B$ . Any such collection will be denoted by  $AB$  and called a *segment* between  $A$  and  $B$ . The existence of segments is proved in [2]. If  $A \neq B$ , then  $AB$  is an arc (any Whitney map on  $C(X)$  defines a homeomorphism between  $AB$  and an arc). Hence, the segment  $AB$  can be parametrized in the sense that there exists a homeomorphism (or a constant map provided  $A = B$ )  $\sigma: [0, 1] \rightarrow AB$  such that  $\sigma(0) = A$ . Any such map will also be called a *segment*. If in addition  $\sigma$  satisfies

$$\mu(\sigma(t)) = (1-t)\mu(A) + t\mu(B),$$

then  $\sigma$  is called a *segment in the sense of Kelley from  $A$  to  $B$*  [5]. If  $a \in X$ , then

$$X(a) = \{A \in C(X) : a \in A\}.$$

More generally, if  $A \in C(X)$ , then

$$X(A) = \bigcup_{a \in A} X(a).$$

Hence,  $X(A)$  is the collection of elements of  $C(X)$  each of which intersects  $A$ . If  $\mu$  is a fixed Whitney map on  $C(X)$ , then we denote

$$X(A, \mu, t) = X(A) \cap \mu^{-1}(t).$$

In case  $A = \{a\}$  we simply write  $X(a, \mu, t)$  instead of  $X(\{a\}, \mu, t)$ . We will also use the symbol

$$X[A] = \{B \in C(X) : A \subset B\}.$$

Notice that the sets  $X(a)$  and  $X[\{a\}]$  coincide.

We say that a continuum  $A \in C(X)$  is *terminal in  $X$*  if for every two continua  $B, C \in C(X)$  each of which contains  $A$  we have either  $B \subset C$  or  $C \subset B$  [4]. If  $A$  is a one-point set  $\{a\}$ , then we simply say that  $a$  is *terminal in  $X$* . Observe that the continuum  $A$  is terminal in  $X$  if and only if  $X[A]$  is a segment in  $C(X)$ . Hence, if  $a$  is a terminal point of  $X$ ,  $X(a, \mu, t)$  is a one-point set for each  $0 \leq t \leq \mu(X)$ .

Any (continuous) map  $f: X \rightarrow Y$  into a continuum  $Y$  gives rise to a (continuous) map from  $C(X)$  into  $C(Y)$  which sends  $A \in C(X)$  to the image of  $A$  under  $f$ . This map is denoted by  $\hat{f}$  and called the *map induced by  $f$* .

**2.1. PROPOSITION.** *Let  $\hat{f}: C(X) \rightarrow C(Y)$  be the map induced by  $f: X \rightarrow Y$ . Assume*

$$\dim \{x \in X : \{x\} \neq f^{-1}f(x)\} \leq 0.$$

*Then the following are true:*

(i)  $\hat{f}$  embeds  $C(X) \setminus \hat{X}$  into  $C(Y) \setminus \hat{Y}$ ,

(ii) if  $\mu: C(Y) \rightarrow [0, \infty)$  is a Whitney map, then  $\mu \circ \hat{f}: C(X) \rightarrow [0, \infty)$  is also a Whitney map.

**Proof.** If  $A, B \in C(X)$ ,  $A \notin \hat{X}$  and  $A \setminus B \neq \emptyset$ , then there exists a nondegenerate continuum  $C \subset A \setminus B$ . By the assumption about dimension,  $f(C) \in C(Y) \setminus \hat{Y}$ . Using this it is easy to complete the argument for 2.1.

We denote the unit closed interval  $[0, 1]$  by  $I$  and the unit circle in the complex plane by  $S^1$ , i.e.,  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$ . A map  $f: X \rightarrow Y$  is said to be an  $\varepsilon$ -mapping provided  $\text{diam } f^{-1}(y) < \varepsilon$  for each  $y \in f(X)$ . We say that a continuum  $X$  is *chainable* or *snake-like* (resp. *circle-like*) if for each  $\varepsilon > 0$  there exists an  $\varepsilon$ -mapping from  $X$  onto  $I$  (resp.,  $S^1$ ). We say that a circle-like continuum  $X$  is *proper circle-like* [6] if there exists a map  $f: X \rightarrow S^1$  which is not homotopic to a constant map. By  $\bar{A}$  or  $\text{cl}(A)$  we mean the closure of  $A$ , and by  $\text{Fr}_Y(A)$  we mean  $A \cap [\bar{Y} - A] \cap Y$ .

**3. Fundamental properties of some subsets of  $C(X)$ .** In this section we prove a general result (3.1) about mapping simplexes into  $C(X)$ . One of the most important corollaries of this result is 3.2 which gives insight into the structure of the sets  $X(A, \mu, t)$ . Other results include the fact that decomposability is a Whitney property (3.4) and, furthermore, that  $\mu^{-1}(t)$  is arcwise connected for  $t$  close to  $\mu(X)$  when  $X$  is decomposable. First we give some notational conventions.

Let  $l_2$  denote the Hilbert space of all square summable sequences  $(x_1, x_2, \dots, x_n, \dots)$  of real numbers  $x_n$ . For each  $n$  we consider Euclidean  $n$ -space  $R^n$  as canonically embedded in  $l_2$  so that  $(x_1, x_2, \dots, x_n) \in R^n$  is associated with  $(x_1, x_2, \dots, x_n, 0, 0, \dots) \in l_2$ . Thus, we frequently write  $(x_1, x_2, \dots, x_n)$  to mean  $(x_1, x_2, \dots, x_n, 0, 0, \dots)$ . Let  $0$  denote  $(0, 0, \dots, 0, \dots)$  and, for each  $n = 1, 2, \dots$ , let  $e_n$  denote the point of  $l_2$  whose  $i$ th-coordinate is zero if  $i \neq n$  and one if  $i = n$ . If  $S \subset l_2$ , then let  $\text{conv}(S)$  denote the closed convex hull of  $S$ . For each  $n = 1, 2, \dots$ , let  $\Sigma^n = \text{conv}\{0, e_1, \dots, e_n\}$  and, for each  $s \in [0, 1]$ , let  $\Sigma_s^n = \text{conv}\{se_1, se_2, \dots, se_n\}$ . Note that  $\Sigma^1 \subset \Sigma^2 \subset \dots \subset \Sigma^n \subset \dots$ .

The following theorem is a generalization of Lemma 1 of [12] and is related to Theorem 1 of [11].

**3.1. THEOREM.** *Let  $t_0 \in [0, \mu(X)]$ . Let  $A_1, A_2, \dots, A_n \in \mu^{-1}(t_0)$  such that  $\bigcap_{i=1}^n A_i \neq \emptyset$ , and let  $K$  be a subcontinuum of  $\bigcap_{i=1}^n A_i$ . Then there is a continuous function  $f_n: \Sigma^n \rightarrow C(X)$  such that*

- (1)  $K \subset f_n(p) \subset \bigcup_{i=1}^n A_i$  for each  $p \in \Sigma^n$ ,
- (2)  $f_n(e_i) = A_i$  for each  $i = 1, 2, \dots, n$ ,
- (3)  $f_n(0) = K$ ,
- (4)  $f_n[\Sigma_s^n] \subset \mu^{-1}[(1-s)\mu(K) + st_0]$  for each  $s \in [0, 1]$ ,
- (5) if  $A_i \neq K = A_i \cap A_j$  for all  $i, j = 1, 2, \dots, n$  with  $i \neq j$ , then  $f_n$  is a homeomorphism.

**Proof.** The proof is by induction on  $n$ . Let  $n = 1$ . Let  $\sigma: [0, 1] \rightarrow C(X)$  be a segment in the sense of Kelley from  $K$  to  $A_1$ . Let  $f_1 = \sigma$ . Clearly (1) through (5) hold for  $f_1$ . Now, assume inductively that we have defined  $f_{n-1}: \Sigma^{n-1} \rightarrow C(X)$  such that (1) through (5) hold ( $n-1 \geq 1$ ). We define  $f_n: \Sigma^n \rightarrow C(X)$  as follows. Let  $\sigma: [0, 1] \rightarrow C(X)$  be a segment from  $K$  to  $A_n$ . Now, let  $x = (x_1, x_2, \dots, x_n) \in \Sigma^n$  be fixed and let

$x' = (x_1, x_2, \dots, x_{n-1}) \in \Sigma^{n-1}$ . Then,  $x \in \Sigma_s^n$  for  $s = \sum_{i=1}^n x_i$  and  $x' \in \Sigma_{s'}^{n-1}$  for  $s' = \sum_{i=1}^{n-1} x_i$ .

Now, by (4) of the inductive assumption,

$$\mu[f_{n-1}(x')] = (1-s')\mu(K) + s't_0.$$

Thus, since  $s' \leq s$  and  $t_0 \geq \mu(K)$ ,

$$\mu[f_{n-1}(x')] \leq (1-s)\mu(K) + st_0.$$

Therefore, since  $\mu(A_n) = t_0$  and by (1) of the inductive assumption  $f_{n-1}(x') \supset K$ , there exists  $r_x \in [0, 1]$  ( $r_x$  not necessarily unique) such that

$$\mu[\sigma(r_x) \cup f_{n-1}(x')] = (1-s)\mu(K) + st_0.$$

We define:  $f_n(x) = \sigma(r_x) \cup f_{n-1}(x')$ . By defining  $f_n$  at each point of  $\Sigma^n$  by this procedure, we obtain a function from  $\Sigma^n$  into  $C(X)$ . It can be shown, by a technique used in the proof of Lemma 1 of [12], that  $f_n$  is continuous on each  $\Sigma_s^n$ . It then follows easily that  $f_n$  is continuous on  $\Sigma^n$ . From the way we defined  $f_n$ , (4) obviously holds for  $f_n$ . (1) holds for  $f_{n-1}$  and since each value of  $f_n$  contains (as a subset) a value of  $f_{n-1}$ , (1) holds for  $f_n$ . Now observe that if  $x = (x_1, x_2, \dots, x_n) \in \Sigma^{n-1} \subset \Sigma^n$  (i.e.,  $x_n = 0$ ), then  $\mu[f_{n-1}(x)] = \mu[f_n(x)]$  (because, in the notation above,  $s = s'$ ). Therefore, since  $f_n(x) \supset f_{n-1}(x)$ , we have that  $f_n(x) = f_{n-1}(x)$ . This proves that  $f_n$  is an extension of  $f_{n-1}$ . Thus, since (3) holds for  $f_{n-1}$ , (3) holds for  $f_n$ ; also, since (2) holds for  $f_{n-1}$ , (2) holds for  $f_n$  when  $i \leq n-1$ . To see that (2) holds when  $i = n$ , first note that  $e_n \in \Sigma_1^n$ . Thus, since (4) holds for  $f_n$ ,  $\mu[f_n(e_n)] = t_0$ . Since (3) holds for  $f_{n-1}$ ,

$$f_n(e_n) = \sigma(r_{e_n}) \cup f_{n-1}(0) = \sigma(r_{e_n}) \cup K = \sigma(r_{e_n}).$$

Hence,  $\mu[\sigma(r_{e_n})] = \mu[f_n(e_n)] = t_0$  from which we conclude that  $\sigma(r_{e_n}) = A_n$ . Therefore,  $f_n(e_n) = A_n$ . This proves (2) holds for  $f_n$  (when  $i = n$ ). To prove (5) holds for  $f_n$ , assume  $A_i \neq K = A_i \cap A_j$  for all  $i, j = 1, 2, \dots, n$  with  $i \neq j$ . Let  $x = (x_1, x_2, \dots, x_n) \in \Sigma^n$  and let  $y = (y_1, y_2, \dots, y_n) \in \Sigma^n$  such that  $x \neq y$ . Let  $x' = (x_1, x_2, \dots, x_{n-1})$  and let  $y' = (y_1, y_2, \dots, y_{n-1})$ . Assume  $x' \neq y'$ . Then, since  $f_{n-1}$  is a homeomorphism,  $f_{n-1}(x') \neq f_{n-1}(y')$ . Without loss of generality assume there is a point  $w \in [f_{n-1}(x') - f_{n-1}(y')]$ . Since (1) holds for  $f_{n-1}$ ,  $w \in [\bigcup_{i=1}^{n-1} A_i - K]$ . Thus, since  $A_n \cap [\bigcup_{i=1}^{n-1} A_i] = K$ ,  $w \notin A_n$ . Therefore, since  $w \notin f_{n-1}(y')$  and  $f_n(y) \subset A_n \cup f_{n-1}(y')$ , we have that  $w \notin f_n(y)$ . Hence,  $w \in [f_n(x) - f_n(y)]$  so  $f_n(x) \neq f_n(y)$ . Next, assume  $x' = y'$ . Then, since  $x \neq y$ , we have  $x \in \Sigma_{s_1}^n$  and  $y \in \Sigma_{s_2}^n$  with  $s_1 \neq s_2$ . Since (4) holds for  $f_n$ ,  $\mu[f_n(x)] = (1-s_1)\mu(K) + s_1t_0$  and  $\mu[f_n(y)] = (1-s_2)\mu(K) + s_2t_0$ . Now,  $K$  is a proper subcontinuum of each  $A_i$  and, thus,  $\mu(K) \neq t_0$ . It follows from this that if  $\mu[f_n(x)] = \mu[f_n(y)]$ , then  $s_1 = s_2$ . Therefore,  $\mu[f_n(x)] \neq \mu[f_n(y)]$  and  $f_n(x) \neq f_n(y)$ . We have now shown that  $f$  is one-to-one, hence a homeomorphism.

3.2. COROLLARY. *If  $A$  is a subcontinuum of  $X$ , then  $X(A, \mu, t)$  is a subcontinuum of  $\mu^{-1}(t)$  whenever  $0 \leq t \leq \mu(X)$ . Furthermore:*

- (1) *If  $\mu(A) \leq t$ , then  $X(A, \mu, t)$  is arcwise connected (perhaps degenerate).*
- (2) *If  $\mu(A) > t$ , then  $\Lambda = \mu^{-1}(t) \cap C(A)$  is a subcontinuum of  $X(A, \mu, t)$  and each member of  $X(A, \mu, t) \setminus \Lambda$  can be "joined" to a member of  $\Lambda$  by an arc  $\alpha \subset X(A, \mu, t)$ .*

Proof. From the continuity of  $\mu$  and properties of convergence in  $C(X)$  it is easy to see that  $X(A, \mu, t)$  is compact for any  $t \in [0, \mu(X)]$ . To prove (1), assume  $\mu(A) \leq t_0$ ,  $t_0$  fixed. By using a segment in  $C(X)$  from  $A$  to  $X$  (see 2.3 of [5]) we produce  $A_1 \in X(A, \mu, t_0)$  such that  $A_1 \supset A$ . Now, let  $A_2 \in X(A, \mu, t_0)$ . Then there is a point  $a \in [A_2 \cap A]$ . Letting  $K = \{a\}$ , we see that  $A_1, A_2$ , and  $K$  satisfy the hypotheses of 3.1. Hence, there is a continuous function  $f_2: \Sigma^2 \rightarrow C(X)$  satisfying (1) through (4) of 3.1. By the first containment in (1),  $f_2(p) \cap A \neq \emptyset$  for each  $p \in \Sigma^2$ . Hence, by (4) with  $s = 1$ , we have that  $f_2[\Sigma_1^2] \subset X(A, \mu, t_0)$ . Thus, since (by (2))  $f_2(e_1) = A_1$  and  $f_2(e_2) = A_2$ , it follows that there is an arc in  $X(A, \mu, t_0)$  from  $A_2$  to  $A_1$ . Therefore, since  $A_2$  was an arbitrary member of  $X(A, \mu, t_0)$ , we have proved (1). Next, we prove (2). Assume  $\mu(A) > t_0$ ,  $t_0$  fixed. By 1.1 of [7],  $\Lambda = \mu^{-1}(t_0) \cap C(A)$  is a subcontinuum of  $X(A, \mu, t_0)$ . Let  $A_1 \in X(A, \mu, t_0) \setminus \Lambda$ . Then, since  $A_1 \cap \Lambda \neq \emptyset$  and  $\bigcup \Lambda = \Lambda$ , there exists  $A_2 \in \Lambda$  such that  $A_1 \cap A_2 \neq \emptyset$ . Let  $a \in [A_1 \cap A_2]$  and let  $K = \{a\}$ . The proof of (2) may now be completed by using 3.1 as we just did in the proof of (1).

3.3. COROLLARY. *If  $X$  contains an  $n$ -odd, then there exists  $t_0 \in [0, \mu(X)]$  such that  $\mu^{-1}(t_0)$  contains an  $(n-1)$ -cell.*

Proof. Let  $M \subset X$  be an  $n$ -odd. Then, by definition [1], there is a subcontinuum  $K$  of  $M$  such that  $M \setminus K$  is the union of  $n$  (nonempty) mutually separated sets  $S_1, S_2, \dots, S_n$ . Let  $B_i = S_i \cup K$  for each  $i = 1, 2, \dots, n$ . Let

$$t_0 = \min\{\mu(B_i) : i = 1, 2, \dots, n\}.$$

For each  $i = 1, 2, \dots, n$ , let  $A_i$  be a subcontinuum of  $B_i$  such that  $\mu(A_i) = t_0$  (such continua  $A_i$  exist by 2.3 of [5]). Let  $f_n: \Sigma^n \rightarrow C(X)$  be as guaranteed by 3.1. Then, since (5) is satisfied by  $K, A_1, A_2, \dots, A_n, f_n$  is a homeomorphism. The result now follows by using (4) which guarantees that  $f_n(\Sigma_1^n) \subset \mu^{-1}(t_0)$ .

3.4. THEOREM. *Decomposability is a Whitney property.*

Proof. Let  $X$  be a decomposable continuum and let  $t_0$  be fixed,  $0 \leq t_0 < \mu(X)$ . Let  $A$  and  $B$  be proper subcontinua of  $X$  such that  $X = A \cup B$ . Clearly,  $\mu^{-1}(t_0) = X(A, \mu, t_0) \cup X(B, \mu, t_0)$  and, by 3.2,  $X(A, \mu, t_0)$  and  $X(B, \mu, t_0)$  are each subcontinua of  $\mu^{-1}(t_0)$ . Thus, if  $X(A, \mu, t_0) \neq \mu^{-1}(t_0) \neq X(B, \mu, t_0)$ , we are done. So, for the purpose of proof, assume that  $X(A, \mu, t_0) = \mu^{-1}(t_0)$ . Then, if  $\mu(A) \leq t_0$ , we have by (1) of 3.2 that  $X(A, \mu, t_0)$  is arcwise connected, hence decomposable [9, p. 213]. Now, assume  $\mu(A) > t_0$ . Let  $\Lambda = \mu^{-1}(t_0) \cap C(A)$ . Since  $A \neq X$ ,

$\Lambda \neq \mu^{-1}(t_0)$ . It now follows from (2) of 3.2 and from [9, p. 213] that  $\mu^{-1}(t_0)$  is decomposable.

The following theorem provides as a corollary a partial solution to a question first posed in [12] and later in [15].

3.5. THEOREM. *If  $X$  is a decomposable continuum, then there exists  $t_a < \mu(X)$  such that  $\mu^{-1}(t)$  is arcwise connected for each  $t \geq t_a$ .*

Proof. Let  $A$  and  $B$  be proper subcontinua of  $X$  such that  $X = A \cup B$ . Let  $t_a = \max\{\mu(A), \mu(B)\}$ . Let  $t \geq t_a$  be fixed. Assume, for the purpose of proof, that  $t_a = \mu(A)$ . Then, since  $\mu(B) \leq t$  and  $A \cap B \neq \emptyset$ , it follows that  $X(A, \mu, t) = \mu^{-1}(t)$ . Therefore, by 3.2,  $\mu^{-1}(t)$  is arcwise connected.

The following corollary extends Theorem 5 of [12].

3.6. COROLLARY. *If  $X$  is a finite-dimensional decomposable continuum such that  $\mu^{-1}(t)$  is homeomorphic to  $X$  for all  $t < \mu(X)$ , then  $X$  is an arc or a simple closed curve.*

Proof. From 3.5 above, we have that  $\mu^{-1}(t)$  is arcwise connected for some  $t$ . Thus, since  $\mu^{-1}(t)$  is homeomorphic to  $X$ ,  $X$  is arcwise connected. Now, by Theorem 5 of [12],  $X$  must be an arc or a simple closed curve.

4. Whitney maps for snake-like and circle continua. In this section we give results about the hyperspaces of snake-like and circle-like continua. Theorem 4.3 answers a question posed in [15] and the next theorem, 4.4, gives more information than Theorem 5.1 of [15]. We then give some result for solenoids.

The following lemma is 2.3 of [6].

4.1. LEMMA. *Let  $t_0 \in [0, \mu(X)]$ . Given  $\varepsilon > 0$ , there exists  $\eta = \eta(\varepsilon) > 0$  such that if  $A, B \in \mu^{-1}(t_0)$  and  $B \subset N(\eta, A)$ , then  $H(A, B) < \varepsilon$ .*

4.2. THEOREM. *Assume  $X$  is a chainable continuum and let  $t_0 \in [0, \mu(X)]$ . If  $\Lambda$  is a subcontinuum of  $\mu^{-1}(t_0)$  such that  $\bigcup \Lambda = X$ , then  $\Lambda = \mu^{-1}(t_0)$ .*

Proof. Let  $A$  be a subcontinuum of  $\mu^{-1}(t_0)$  such that  $\bigcup \Lambda = X$ . Let  $A \in \mu^{-1}(t_0)$ . We show  $A \in \Lambda$ . To do this let  $\varepsilon > 0$ . Choose  $\eta = \eta(\varepsilon)$  so as to satisfy 4.1 above. Let  $\{U_1, \dots, U_n\}$  be an  $\eta$ -chain of open subsets of  $X$  covering  $X$ . Let

$$m = \min\{i : U_i \cap A \neq \emptyset\}$$

and let

$$s = \max\{i : U_i \cap A \neq \emptyset\}.$$

Now, let

$$A_1 = \{L \in \Lambda : L \cap U_i \neq \emptyset \text{ for some } i \leq m\}$$

and let

$$A_2 = \{L \in \Lambda : L \cap U_i \neq \emptyset \text{ for some } i \geq s\}.$$

Clearly,  $A_1$  and  $A_2$  are each open subsets of  $\Lambda$ . Since  $\bigcup \Lambda = X$ ,  $A_1 \neq \emptyset \neq A_2$ .

First: Assume  $A_1 \cup A_2 \neq \Lambda$ . Then there exists  $L_0 \in \Lambda$  such that  $L_0 \subset \bigcup_{i=m+1}^{s-1} U_i$ .

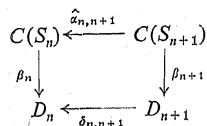
Since  $X$  is chainable,  $\{U_1, \dots, U_n\}$  is a chain, and  $A$  is a subcontinuum of  $X$  such that



where  $t_1$  and  $t_2$  satisfy  $p([t_1, t_2]) = A$  when  $A \neq S_n$ . It is easy to see that  $\beta_n$  is a homeomorphism of  $C(S_n)$  onto  $D_n$ . For each  $n$ , let  $\delta_{n,n+1}: D_{n+1} \rightarrow D_n$  be given by

$$\delta_{n,n+1}(z) = \begin{cases} 0 & \text{for } 0 \leq |z| \leq \frac{1}{2}, \\ [2|z| - 1][z/|z|]^2 & \text{for } \frac{1}{2} \leq |z| \leq 1. \end{cases}$$

It follows that the diagram



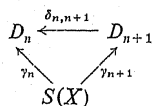
commutes for each  $n$ . Let  $Z = \varprojlim \{D_n, \delta_{nm}\}$ . Let  $\beta: Y \rightarrow Z$  be the natural homeomorphism, i.e.,

$$\beta(A_1, A_2, \dots, A_n, \dots) = (\beta_1(A_1), \beta_2(A_2), \dots, \beta_n(A_n), \dots).$$

Next, let  $I_n = [0, 1] = I_j$  for each  $n$ . Let  $\varkappa_{n,n+1}: I_{n+1} \rightarrow I_n$  be given by  $\varkappa_{n,n+1}(t) = \min\{2t, 1\}$  for each  $n$  and let  $I^* = \varprojlim \{I_n, \varkappa_{nm}\}$ . It is easy to see that  $I^*$  is an arc with end points  $(0, 0, \dots, 0, \dots)$  and  $(1, 1, \dots, 1, \dots)$ ; let  $\lambda: I \rightarrow I^*$  be any homeomorphism of  $I$  onto  $I^*$  satisfying  $\lambda(0) = (0, 0, \dots, 0, \dots)$  and  $\lambda(1) = (1, 1, \dots, 1, \dots)$ . For each  $n$ , let  $\lambda_n = \varkappa_n \lambda: I \rightarrow I_n$ . Let  $S(X)$  denote the cone over  $X$  and, for each  $n$ , let  $\gamma_n: S(X) \rightarrow D_n$  be given by

$$\gamma_n((x, t)) = [1 - \lambda_n(t)]\alpha_n(x).$$

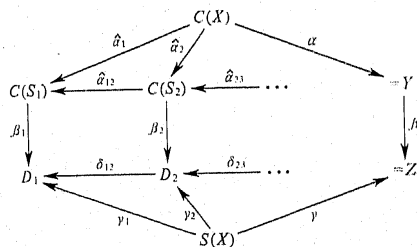
Straightforward computations show that the diagram



commutes. Let  $\gamma: S(X) \rightarrow Z$  be the natural map, i.e.,

$$\gamma(x, t) = (\gamma_1((x, t)), \gamma_2((x, t)), \dots, \gamma_n((x, t)), \dots).$$

Since  $\gamma_n$  maps  $S(X)$  onto  $D_n$  for each  $n$ ,  $\gamma$  maps  $S(X)$  onto  $Z$ . Computations show that  $\gamma$  is one-to-one. Thus,  $\gamma$  is a homeomorphism of  $S(X)$  onto  $Z$ . Consider the following diagram:



Define  $h: S(X) \rightarrow C(X)$  by  $h = \alpha^{-1}\beta^{-1}\gamma$ . Since each of  $\alpha$ ,  $\beta$ , and  $\gamma$  as a homeomorphism,  $h$  is a homeomorphism of  $S(X)$  onto  $C(X)$ . Moreover,

- (1)  $h((x, 0)) = \{x\}$ ,
- (2)  $h((x, 1)) = X$ ,
- (3) if  $(x, t), (x, t') \in S(X)$  with  $t < t'$ , then  $h((x, t)) \subset h((x, t'))$ .

Now let  $\varphi: S(X) \rightarrow S(X)$  be the homeomorphism given by  $\varphi((x, t)) = (x, \mu h((x, t)))$  for each  $(x, t)$ . Define  $h^*: S(X) \rightarrow C(X)$  by  $h^* = h \circ \varphi^{-1}$ . Since (1) through (3) above hold for  $h$ , they hold for  $h^*$ . Also, the diagram in the statement of the theorem commutes.

**5. The hyperspaces of continua obtained by some identifications.** In this section we give some general results which are useful for recognizing certain subsets of hyperspaces.

5.1. THEOREM. Let  $X$  be a continuum which is irreducible between points  $a$  and  $b$  and assume  $a$  and  $b$  are each terminal in  $X$ . Let  $Y = X|_{[a,b]}$  be the continuum obtained from  $X$  by identifying  $a$  and  $b$  and let  $p$  be the corresponding identification point in  $Y$ , i.e.,  $p = \{a, b\} \in Y$ . Let  $v: X \rightarrow Y$  be the quotient map and let  $\mu$  be a Whitney map on  $C(Y)$ . Then:

- (1)  $\mu_1 = \mu \circ \hat{v}$  is a Whitney map on  $C(X)$ ,
- (2)  $\hat{v}$  is an embedding of  $C(X) \setminus \{a\}, \{b\}$  into  $C(Y)$ ,
- (3)  $S = \hat{v}[X(a)] \cup \hat{v}[X(b)]$  is a simple closed curve,
- (4) for each  $0 < t < \mu(Y)$ ,  $\hat{v}$  maps  $\mu_1^{-1}(t)$  homeomorphically onto  $\text{cl}[\mu^{-1}(t) \setminus Y(p, \mu, t)]$ ,
- (5)  $Y(p)$  is a 2-cell and  $S$  is its manifold boundary,
- (6) for each  $0 < t < \mu(Y)$ ,  $Y(p, \mu, t)$  is an arc with endpoints  $\hat{v}(A_t)$  and  $\hat{v}(B_t)$ , where  $\{A_t\} = X(a, \mu_1, t)$  and  $\{B_t\} = X(b, \mu_1, t)$ ; moreover,

$$\{\hat{v}(A_t), \hat{v}(B_t)\} = \text{Fr}_{\mu^{-1}(t)}[Y(p, \mu, t)],$$

- (7)  $C(Y) = \hat{v}[C(X)] \cup Y(p)$  and  $\hat{v}[C(X)] \cap Y(p) = S$ .

Proof. Statements (1) and (2) follow from 2.1. It is easy to prove (3). We now prove (4) (see the diagram following the proof). By (2) it suffices to show

$$\hat{v}[\mu_1^{-1}(t)] = \text{cl}[\mu^{-1}(t) \setminus Y(p, \mu, t)].$$

To do this, let  $A \in \mu_1^{-1}(t)$ . By definition of  $\mu_1$  in (1),  $\hat{v}(A) \in \mu^{-1}(t)$ . Assume  $\hat{v}(A) \in Y(p, \mu, t)$ . Then,  $a \in A$  or  $b \in A$  and we assume without loss of generality that  $a \in A$ . Since  $\mu_1^{-1}(t)$  is a nondegenerate continuum [3, p. 1032] and since  $X(a, \mu_1, t)$  and  $X(b, \mu_1, t)$  are each one-point sets, we have

$$A \in \text{cl}[\mu_1^{-1}(t) \setminus (X(a, \mu_1, t) \cup X(b, \mu_1, t))].$$

This implies  $\hat{v}(A) \in \text{cl}[\mu^{-1}(t) \setminus Y(p, \mu, t)]$ . Now, let  $B \in \text{cl}[\mu^{-1}(t) \setminus Y(p, \mu, t)]$ . For each  $n = 1, 2, \dots$ , let  $B_n \in [\mu^{-1}(t) \setminus Y(p, \mu, t)]$  such that the sequence  $\{B_n\}$  converges to  $B$ . Since  $p \notin B_n$  for any  $n$ ,  $v^{-1}(B_n)$  is a continuum for each  $n = 1, 2, \dots$ . Let  $K$  be the limit of a convergent subsequence of  $\{v^{-1}(B_n)\}$ . It follows easily that  $K$  is a continuum,  $K \in \mu^{-1}(t)$ , and  $\hat{v}(K) = B$ . This completes the proof of (4). Next we prove (5). First, define functions

$$\alpha: Y(p) \rightarrow X(a) \quad \text{and} \quad \beta: Y(p) \rightarrow X(b)$$

by the equalities

$$\begin{aligned} \alpha(Z) &= \text{the component of } v^{-1}(Z) \text{ which contains } a; \\ \beta(Z) &= \text{the component of } v^{-1}(Z) \text{ which contains } b. \end{aligned}$$

It is easy to see that if  $Z \in Y(p)$  then  $v^{-1}(Z)$  has at most two components (one containing  $a$  and one containing  $b$ ). It follows from this that

- (a)  $v[\alpha(Z) \cup \beta(Z)] = Z$  for each  $Z \in Y(p)$ ,
- (b)  $\alpha$  is continuous on  $Y(p) \setminus \{Y\}$ .

To see this let  $Z \in [Y(p) \setminus \{Y\}]$  and let  $\{Z_n\}$  be a sequence of members of  $Y(p) \setminus \{Y\}$  converging to  $Z$ . There is a subsequence  $\{Z_{n_i}\}$  of  $\{Z_n\}$  such that both sequences  $\{\alpha(Z_{n_i})\}$  and  $\{\beta(Z_{n_i})\}$  converge; let their limits be denoted, respectively, by  $A$  and  $B$ . Using (a) and the continuity of  $v$  it follows that  $v(A \cup B) = Z$ . Now, since  $a \in \alpha(Z_{n_i})$  and  $b \in \beta(Z_{n_i})$  for each  $i$ ,  $a \in A$  and  $b \in B$ . Thus, since  $A$  and  $B$  are continua and  $v(A \cup B) = Z \neq Y$ ,  $A \cap B = \emptyset$ . Also, since  $a \in A$  and  $b \in B$ ,  $v(A \cup B) = Z$  implies  $v^{-1}(Z) = A \cup B$ . It now follows that  $\alpha(Z) = A$ , i.e.,  $\{\alpha(Z_{n_i})\}$  converges to  $\alpha(Z)$ . Therefore,  $\alpha$  is continuous at  $Z$  and we have proved (b). Now, for each  $Z \in Y(p)$ , let

$$f(Z) = (\mu(Z), \mu_1[\alpha(Z)]).$$

Noting that  $\mu_1[\alpha(Z)] = \mu \circ \hat{v}[\alpha(Z)] \leq \mu(Z)$ , we see that  $f$  is a function from  $Y(p)$  into  $\{(s, t) \in \mathbb{R}^2: 0 \leq t \leq s \leq \mu(Y)\} = T$ . Let  $D$  be the 2-cell obtained from  $T$  by shrinking the convex segment from  $(\mu(Y), 0)$  to  $(\mu(Y), \mu(Y))$  to a point and let  $\lambda: T \rightarrow D$  be the natural map. We will show

$$h = \lambda \circ f: Y(p) \rightarrow D$$

is a homeomorphism onto  $D$ . First we prove

(c)  $h[Y(p)] = D$ .

To prove (c) first note that  $f(Y) = (\mu(Y), \mu(Y))$ . Thus, it suffices to show that if  $(s, t) \in T$  such that  $s < \mu(Y)$ , then there is a  $Z \in Y(p)$  such that  $f(Z) = (s, t)$ . Let  $(s, t) \in T$  with  $s < \mu(Y)$ . Choose  $E \in X(a)$  such that  $\mu_1(E) = t$ . Now, there is  $A \in X(a)$  and  $B \in X(b)$  such that  $\mu_1(A) = s = \mu_1(B)$ . Clearly,  $\hat{v}(A) \in Y(p, \mu, s)$  and  $\hat{v}(B) \in Y(p, \mu, s)$ . Also,  $\alpha[\hat{v}(A)] = A$  and  $\alpha[\hat{v}(B)] = \{a\}$  (the second equality follows from the fact that  $s < \mu(X)$ ) and hence  $a \notin B$ . Since  $t \leq s$ , we have  $a \in E \subset A$ .

Therefore, since  $Y(p, \mu, s)$  is a continuum (by 3.2) and since, by (b),  $\alpha$  is a continuous function on  $Y(p, \mu, s)$  into the arc  $X(a)$ , it now follows that there is a  $Z \in Y(p, \mu, s)$  such that  $\alpha(Z) = E$ . Clearly,  $Z \in Y(p)$  and  $f(Z) = (s, t)$ . This completes the proof of (c).

(d)  $h$  is one-to-one.

From the formula for  $f$  it is easy to see that (d) follows from the following:

(e) For each  $0 < s < \mu(Y)$ ,  $\alpha$  is one-to-one on  $Y(p, \mu, s)$ .

To prove (e) let  $K, L \in Y(p, \mu, s)$  such that  $\alpha(K) = \alpha(L)$ . Suppose  $\beta(K) \neq \beta(L)$ . Since  $b$  is terminal in  $X$ ,  $\beta(K) \subset \beta(L)$  or  $\beta(L) \subset \beta(K)$ . Without loss of generality we assume  $\beta(K) \subset \beta(L)$ . Suppose

$$(*) \quad \alpha(K) \cup \beta(K) = \alpha(L) \cup \beta(L).$$

Then, since  $\beta(K)$  is a proper subset of  $\beta(L)$ ,  $\beta(L) \cap \alpha(K) \neq \emptyset$ . Thus,  $\alpha(K) \cup \beta(L) = X$  (by irreducibility). It now follows easily that

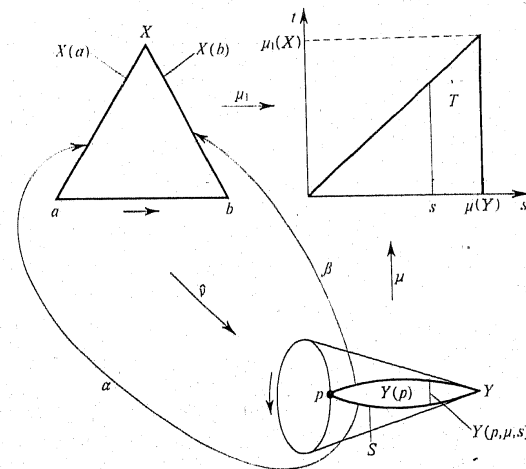
$$\alpha(K) \cup \beta(K) = X = \alpha(L) \cup \beta(L)$$

which, by (a), implies  $K = Y = L$ . This contradicts the assumption  $s < \mu(Y)$ . Therefore, (\*) is false and we have that  $\alpha(K) \cup \beta(K)$  is a proper subset of  $\alpha(L) \cup \beta(L)$ . Hence, by (a),  $K$  is a proper subcontinuum of  $L$ . This contradicts the fact that  $\mu(K) = s = \mu(L)$ . We have now proved that  $\beta(K) = \beta(L)$  and thus, by (a),  $K = L$ . This proves (e).

To complete the proof that  $h$  is a homeomorphism we need to show

(f)  $h$  is continuous.

However, (f) follows easily from (b) and the continuity of  $\mu$  at  $Y$ .



We have now proved (5). To prove (6) note that, by (b) and (e),  $\alpha$  is a homeomorphism of  $Y(p, \mu, t)$  into  $X(a)$ . It is easy to see from part of the proof of (c) that  $\alpha[Y(p, \mu, t)]$  has endpoints  $\{a\}$  and  $A_t$  where  $\{A_t\} = X(a, \mu, t)$ . It follows that  $Y(p, \mu, t)$  has endpoints  $\hat{v}(A_t)$  and  $\hat{v}(B_t)$ ,  $A_t$  and  $B_t$  as in (6). The fact that

$$\{\hat{v}(A_t), \hat{v}(B_t)\} = \text{Fr}_{\mu^{-1}(t)}[Y(p, \mu, t)]$$

can be proved with an argument similar to the one used to prove (4).

Finally, (7) can easily be verified using (3).

The proof of the next theorem is similar to that of the above theorem and is omitted.

**5.2. THEOREM.** *Let  $p_i$  be a terminal point of a continuum  $X_i$ ,  $i = 0, 1$ , and let  $X$  be the one-point union of  $X_0$  and  $X_1$  obtained by identification of  $p_0$  and  $p_1$ . Consider  $X_i$  as the subset of  $X$  and let  $p_0 = p_1 = p$ . If  $\mu$  is a Whitney map on  $C(X)$ , then we have:*

(1)  $X(p)$  is a 2-cell with its manifold boundary

$$\partial X(p) = \{p\}X_0 \cup X_0X \cup \{p\}X_1 \cup X_1X.$$

The interior of  $X(p)$  relative to  $C(X)$  is equal

$$\text{Int}X(p) = X(p) \setminus (\{p\}X_0 \cup \{p\}X_1).$$

(Observe that the segments are unique.)

(2) For  $0 < t < \mu(X)$  the set  $X(p, \mu, t)$  is an arc with endpoints  $A_t, B_t$  such that

- (a)  $A_t \in \{p\}X_0$  for  $t \leq \mu(X_0)$ ;  $A_t \in X_0X$  for  $t \geq \mu(X_0)$ ,
- (b)  $B_t \in \{p\}X_1$  for  $t \leq \mu(X_1)$ ;  $B_t \in X_1X$  for  $t \geq \mu(X_1)$ .

**5.3. THEOREM.** *Let  $X$  be a continuum such that*

$$X = X_0 \cup X_1 \quad \text{and} \quad X_0 \cap X_1 = Y,$$

where  $X_i$ ,  $i = 0, 1$ , is a proper subcontinuum of  $X$ , and  $Y$  is a continuum terminal in both  $X_0$  and  $X_1$  such that each subcontinuum of  $X$  intersecting both  $X_0 \setminus Y$  and  $X_1 \setminus Y$  contains  $Y$ . Let  $t_0 = \max\{\mu(X_0), \mu(X_1)\}$ . Then for each  $t_0 < t < \mu(X)$ , the continuum  $\mu^{-1}(t)$  is an arc.

*Proof.* By definition of  $t_0$  we have  $\mu^{-1}(t) = X(Y, \mu, t)$ , and the result follows easily from the assumption that  $Y$  is terminal in  $X_0$  and in  $X_1$ .

All but three of the examples we intended including in this section to illustrate our results appear in [15]. All these examples can be constructed from the arc,  $\sin(1/x)$ -continuum, and the two snake-like examples in [9, p. 205] by some simple identifications. The results in this section can then be used to verify the pertinent properties. The reader is referred to [15] for the examples and the statements of the properties they have. We include the following three examples.

**5.4. EXAMPLE.** Let  $X$  be the pseudoarc and let  $a$  and  $b$  be two points from distinct composants of  $X$ . Then  $a$  and  $b$  are terminal in  $X$  and  $X$  is irreducible between them. Let  $Y$  be the indecomposable circle-like continuum obtained from  $X$  by identi-

fication of  $a$  and  $b$ , and let  $\mu$  be a Whitney map on  $C(Y)$ . Now, applying 5.1 we can easily determine the topological type of the level  $\mu^{-1}(t)$  for  $0 < t < \mu(Y)$ . In fact,  $\mu^{-1}(t)$  is a pseudoarc [3] and hence, by (4) and (6),  $\mu^{-1}(t)$  is the union of a pseudoarc  $P$  and an arc  $A$  such that the intersection  $A \cap P$  consists of two points which are the endpoints of  $A$  and which lie in distinct composants of  $P$ . Note that  $\mu^{-1}(t)$  is decomposable for each  $0 < t < \mu(Y)$ .

**5.5. EXAMPLE.** There exists a non-unicoherent 1-dimensional continuum  $X$  containing a simple closed curve such that, for some  $t_0 < \mu(X)$ ,  $\mu^{-1}(t)$  is an arc for each  $t_0 < t < \mu(X)$ . Let  $X$  be the continuum obtained by identifying  $(0, -1)$  with  $(0, -1)$  and  $(0, 1)$  with  $(0, 1)$  in two copies of the  $\sin(1/x)$ -continuum. Let  $S$  be the simple closed curve in  $X$ . Let  $X_i$ ,  $i = 0, 1$ , be continua such that

$$X = X_0 \cup X_1 \quad \text{and} \quad X_0 \cap X_1 = S.$$

The properties of  $X$  can be easily checked by using 5.3.

**5.6. EXAMPLE.** Let  $X$  be the  $\sin(1/x)$ -circle, i.e.,  $X$  is the continuum obtained by identifying two terminal points of the  $\sin(1/x)$ -continuum about which it is irreducible. Then,  $X$  has the fixed point property but, by (b) of 4.4,  $\mu^{-1}(t)$  is a simple closed curve for some  $t$ . Hence, the fixed point property is not a Whitney property.

**6. Problems.** The table in Section 1 lists topological properties for which it is now known whether or not they are Whitney properties. The canonical problem is of course:

1. For a given topological property determine whether it is a Whitney property. In relation to this problem, we are especially interested in, and do not know the answer for, the following properties of  $X$ : acyclic, ANR, AR, contractibility, Hilbert cube, homogeneity,  $\lambda$ -connected,  $\text{Sh}(X) \leq \text{Sh}(Y)$ , and weakly chainable.

In 4.2 we showed that for chainable continua  $X$ , no proper subcontinuum of  $\mu^{-1}(t)$  covers  $X$  for any  $t$ .

2. What class of continua does this condition characterize? It is easy to see from 8.3 of [5] that every hereditarily indecomposable continuum satisfies this condition. Also, it is not difficult to prove that the condition implies unicoherence (of  $X$ ).

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