

Representing real numbers in denumerable Boolean algebras

by

William Hanf* (Honolulu, Hawaii)

Abstract. There is no set-theoretical definition which singles out a unique order type of an ordered basis for each isomorphism type of a denumerable Boolean algebra. The proof of this result is based on a theorem of Dale Myers concerning definability of selection functions for sets of real numbers. The coding method used in the proof is used also to establish a result concerning automorphisms of a denumerable Boolean algebra and the number of extensions of an atomless denumerable Boolean algebra.

Dale Myers in [5] has developed techniques for showing that various selection functions cannot be defined in set theory. We will use his method and one of his results to show that there is no definable way to choose an ordered basis for each denumerable Boolean algebra.

In the 1930's, Tarski and Mostowski studied various aspects of the theory of Boolean algebras. It was known that there were continuum many isomorphism types of denumerable Boolean algebras. A natural (although necessarily vague) question arose as to how to characterize these isomorphism types by finding, for example, a set of isomorphism invariants. One of the tools developed was the notion of ordered basis (see [4]). Each denumerable Boolean algebra has an ordered basis and the order type of the ordered basis uniquely determines the isomorphism type of the Boolean algebra. However, many different order types may be associated with a given isomorphism type and attempts to place enough additional conditions on the order types (for example, along the lines suggested by Feiner, see Pierce [6]) to make them unique have failed. The result of this paper shows that no such conditions (definable in set theory) can succeed.

The specific result (Corollary 5 of Myers [5]) which we use is that there is no definable way to choose a real number from each denumerable set of real numbers. The method (similar to that used by Myers to obtain a number of other results) is to code each denumerable set of real numbers in a Boolean algebra in such a way that choosing an ordered basis for the Boolean algebra will enable us to choose a particular real number from the set.

* This research was supported by National Science Foundation grant GP-38401.

Although the entire proof could be carried out strictly in terms of Boolean algebras, it is more convenient to formulate the construction and proof in terms of topological spaces; the Stone spaces of the Boolean algebras. Corresponding to the ordered basis theorem for denumerable Boolean algebras is the theorem that every separable Boolean space can be linearly ordered in such a way that the topology matches the order topology. For the equivalence, see Mayer and Pierce [3], Theorem 2.5. Thus we will show that there is no definable way to choose such an ordering for every separable Boolean space.

DEFINITION 1. For each $n \in \omega$, let C_n be the Boolean space formed by taking a Cantor set C with a sequence of points of type ω^n approaching its left endpoint c_n .

The spaces C_n were defined and used by Kinoshita in [2]. The corresponding Boolean algebras, which have ordered basis $\omega^n + \eta$, were used in Hanf [1]. Note that any neighborhood of the point c_n contains a clopen neighborhood homeomorphic to C_n . Furthermore, C_n is topologically distinguishable from C_m for $m \neq n$ by the fact that the n th topological derivative of C_n is a perfect set C . Thus any neighborhood of c_n uniquely determines the integer n .

DEFINITION 2. For each real number x ($0 \neq x \leq \omega$), let D_x be the one-point compactification, by a point d_x , of the disjoint union of denumerably many copies of each of the spaces C_n where $n \in x$.

Note that each neighborhood of d_x excludes only finitely many copies of each of a finite number of different C_n 's. Thus the neighborhood contains infinitely many points c_n for each $n \in x$ but no point c_m for $m \notin x$. Thus any neighborhood of d_x uniquely determines the real number x .

THEOREM 3. *Given any denumerable set X of real numbers, there exists a separable Boolean space $B(X)$ such that any linear ordering of $B(X)$ (which gives the topology on $B(X)$ as the order topology) determines a linear ordering of X having a first or a last element.*

Proof. Let $B(X)$ be the one-point compactification, by a point b , of the disjoint union of spaces D_x for $x \in X$. $B(X)$ is a separable Boolean space since each C_n is and such spaces are closed to the operation of forming the one-point compactification of a denumerable disjoint union (this operation corresponds to the operation of weak direct product of the corresponding denumerable Boolean algebras or the operation of taking an ordinal sum (over ω) of their ordered bases). $B(X)$ contains a point d_x for each $x \in X$, so any ordering of $B(X)$ will order the points d_x . But the point b is the only accumulation point of these points d_x . Thus, if there is no first point in the ordering of the points d_x , then b must precede all the d_x in the ordering in order to be their limit. This means that there must be a last point d_x in the ordering, for otherwise b would also have to follow all the points in the ordering.

COROLLARY 4. *There is no definable way to pick a linear ordering for each separable Boolean space.*

Proof. If there were such a definition, then we could also pick a real number from every denumerable set of reals, contrary to Myers' theorem.

We now establish some cardinality results for denumerable Boolean algebras using this same method of representing sets of integers in the Boolean algebras. If f is an automorphism of \mathcal{L} , we say that the element b is an n th order fixed point of f if n is the least integer such that $f^n(b) = b$. The spectrum of f is the set of all orders of fixed points of f . The following theorem shows that any denumerable Boolean algebra has continuum many structurally different automorphisms.

THEOREM 5. *If \mathcal{L} is any denumerable Boolean algebra and P is a set of prime numbers, then there is an automorphism f of \mathcal{L} such that P is exactly the set of prime numbers in the spectrum of f .*

Proof. Consider the Stone space of \mathcal{L} to be a subset of the real line (and hence ordered). If there are infinitely many isolated points, let I_1, I_2, \dots be either an increasing or decreasing sequence of such points. If there are only finitely many isolated points, then the remainder of the space is a Cantor set and we can take I_1, I_2, \dots to be an increasing disjoint sequence of clopen intervals each of which is homeomorphic to the Cantor set.

Now let g be a permutation of the positive integers which has a cycle of length p for each $p \in P$ and leaves all integers outside these cycles fixed. Let h be the function which maps each I_k homeomorphically onto $I_{g(k)}$ and leaves all other points of the space fixed. Since I_1, I_2, \dots has only one accumulation point (and it is left fixed by h), it is easily checked that h is a homeomorphism and that f , the function determined by the action of h on the clopen sets, is an automorphism of \mathcal{L} with the desired properties.

If \mathcal{L} is a subalgebra of \mathcal{L}' , then we define $E(\mathcal{L}, \mathcal{L}')$ to be the set of points p in the Stone space of \mathcal{L} whose neighborhood system is enriched by the extension, i.e. such that for every clopen neighborhood N of p , the principal ideal Boolean algebra $\mathcal{L}'[N]$ contains elements not in $\mathcal{L}[N]$. Note that $E(\mathcal{L}, \mathcal{L}')$ is a closed subset of the Stone space of \mathcal{L} and can be given the relative topology. The following theorem, which we state without proof, shows that there are continuum many different ways that the denumerable atomless Boolean algebra can be made an extension of itself.

THEOREM 6. *For any real number x , there exist denumerable atomless Boolean algebras \mathcal{L} and \mathcal{L}' such that $E(\mathcal{L}, \mathcal{L}')$ is homeomorphic to D_x . \mathcal{L}' can be taken to be an extension of \mathcal{L} by a single element which is a regular open set in the Stone space of \mathcal{L} .*

References

- [1] W. Hanf, *On some fundamental problems concerning isomorphism of Boolean algebras*, Math. Scand. 5 (1957), pp. 205–217.
- [2] S. Kinoshita, *A solution to a problem of R. Sikorski*, Fund. Math. 40 (1953), pp. 39–41.

- [3] R. D. Mayer and R. S. Pierce, *Boolean algebras with ordered bases*, Pacific J. Math. 10 (1960), pp. 925-942.
- [4] A. Mostowski and A. Tarski, *Boolesche Ringe mit geordneter Basis*, Fund. Math. 32 (1939), pp. 69-86.
- [5] D. Myers, *Invariant uniformization*, Fund. Math. this volume, pp. 65-72.
- [6] R. S. Pierce, *Bases of countable Boolean algebras*, J. Symb. Logic 38 (1973), pp. 212-214.

MATHEMATICS DEPARTMENT, UNIVERSITY OF HAWAII
Honolulu, Hawaii

Accepté par la Rédaction le 28. 5. 1974

Extension of a valuation on a lattice

by

Przemysław Kranz (Poznań)

Abstract. In a recent paper [2], Fox and Morales give necessary and sufficient conditions in order that a strongly additive (= valuation) set function from a lattice of sets \mathcal{L} into a complete metric group be uniquely extendable to the generated (σ, δ) -lattice. It is shown in the present note that the same conditions are valid in a more general setting, i.e., when L is an arbitrary lattice and v is a valuation on L with values in a sequentially complete Hausdorff topological group. The proof is accomplished by means of the elimination of Pettis' theorem ([3], Theorem 1.2), the basic lemma in the proof of Fox and Morales.

1. Introduction. Let L be a lattice and G an Abelian topological group. A function $v: L \rightarrow G$ is called a *valuation* [1], [3] if

$$v(x \vee y) + v(x \wedge y) = v(x) + v(y).$$

It is easy to show that ([1], p. 75) and ([4], p. 239) that if L is a relatively complemented lattice (or, in particular, a Boolean ring), then (1.1) is equivalent to

$$v(x \vee y) = v(x) + v(y) \quad \text{for } x \wedge y = 0.$$

We do not assume, however, that a null element belongs to L . A valuation v on L is said to be (*order*) σ -continuous (δ -continuous) if, for every increasing (decreasing) sequence (x_n) such that $x_n \in L$ ($n = 1, \dots$) with $\sup_n x_n \in L$ ($\inf_n x_n \in L$), we have $v(x_n) \rightarrow v(\sup_n x_n)$ ($v(x_n) \rightarrow v(\inf_n x_n)$).

v is (σ, δ) -continuous if it is both σ -continuous and δ -continuous.

A lattice H is said to be σ -continuous if it is (σ, δ) -complete (i.e. the limits of both increasing and decreasing countable sequences of elements of H are in H) and the following condition holds: $y, x_n \in H$ ($n = 1, \dots$), $x_n \uparrow x \Rightarrow x_n \wedge y \uparrow x \wedge y$; and dually.

All lattices occurring in the present note are supposed to be contained in a fixed σ -continuous lattice H . The only lattice operations which will be considered are restrictions of those on H . Accordingly we shall use the word lattice to mean the subset of H closed with respect to the restrictions of the lattice operations on H .

Let $v: L \rightarrow G$ be a (σ, δ) -continuous valuation. The aim of this note is to establish necessary and sufficient conditions for the unique extension of v to a con-