

pletion of  $A$  — see Remark 4.9) are isomorphic as multiplicative lattices. Thus, the ideal structure of  $\bar{R}$  can be determined by purely lattice theoretical means from the lattice of ideals of  $R$ .

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## Locally flat embeddings of Hilbert cubes are flat

by

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**Abstract.** In this paper it is shown that any locally flat embedding of the Hilbert cube  $Q$  into a  $Q$ -manifold is flat. The techniques employed in the proof of this result also imply that the group of homeomorphisms of  $Q \times R^n$  onto itself which are fixed on  $Q \times \{0\}$  has exactly two components.

**1. Introduction.** For topological spaces  $X$  and  $Y$ , an embedding  $i: X \rightarrow Y$  is said to be *locally flat* (with codimension  $n$ ) provided that each point of  $X$  has a neighborhood  $U$  and an open embedding  $h: U \times R^n \rightarrow Y$  such that  $h(x, 0) = i(x)$ , for all  $x \in U$ . We say that the embedding is *flat* if we can take  $U = X$ . We use  $R^n$  to denote euclidean  $n$ -space,  $Q$  to denote the Hilbert cube (i.e. the countable infinite product of closed intervals), and by a  *$Q$ -manifold* we mean a separable metric manifold modeled on  $Q$ . The following is the main result of this paper.

**THEOREM 1.** *If  $X$  is a  $Q$ -manifold and  $i: Q \rightarrow X$  is a locally flat embedding, then  $i$  is flat.*

Of course this result is false if  $Q$  is replaced by a more complicated  $Q$ -manifold. For example let  $X = M \times Q$ , where  $M$  is the open Möbius band, let  $i_1: S^1 \rightarrow M$  be a homeomorphism of the 1-sphere onto the center circle, and let  $i = i_1 \times \text{id}: S^1 \times Q \rightarrow M \times Q$ . Then  $i$  is a codimension 1 locally flat embedding, but  $i$  is not flat. (If  $i$  were flat, then arbitrarily small neighborhoods of  $i_1(S^1)$  in  $M$  would be separated by  $i_1(S^1)$ .) A more general question would be to investigate when locally flat embeddings of  $Q$ -manifolds into  $Q$ -manifolds have normal bundles (see [2] and [4] for finite-dimensional results).

Let  $\mathcal{H}_0(Q \times R^n)$  denote the space of all homeomorphisms of  $Q \times R^n$  onto itself (with the CO-topology) which are the identity on  $Q \times \{0\}$ . The following result is a by-product of the proof of Theorem 1.

**THEOREM 2.**  *$\pi_0(\mathcal{H}_0(Q \times R^n)) = 2$ , for all  $n \geq 1$ . That is,  $\mathcal{H}_0(Q \times R^n)$  has exactly two components.*

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We remark that Kirby's solution of the annulus conjecture [3] implies that  $\pi_0(\mathcal{H}C_0(R^n)) = 2$  for  $n \neq 4$ , where  $\mathcal{H}C_0(R^n)$  is the space of all homeomorphisms of  $R^n$  onto itself which are the identity on  $\{0\}$ .

The techniques we use for proving both Theorems 1 and 2 are infinite-dimensional. Theorem 2 is much easier to prove than Theorem 1. Its proof uses an infinite-dimensional version of the standard Alexander trick which is used to prove that any homeomorphism of an  $n$ -cell onto itself which fixes the cell's boundary is isotopic to the identity [1]. In § 3 we establish this infinite-dimensional Alexander isotopy and in § 4 we prove Theorem 2. In § 5 we establish some lemmas necessary for the proof of Theorem 1 and in § 6 we prove Theorem 1. The proofs of both Theorems 1 and 2 use some recent results concerning the triangulation and classification of  $Q$ -manifolds (see [7], [8], and [9]).

**2. Definitions and notation.** For each  $r > 0$  we let

$$B_r^n = \{w \in R^n \mid \|w\| \leq r\},$$

the  $n$ -ball of radius  $r$ .  $S_r^{n-1}$  denotes its boundary and  $\text{Int}(B_r^n)$  denotes its interior. Throughout this paper we will use  $\alpha: R^n \rightarrow R^n$  to denote the orientation-reversing homeomorphism given by

$$\alpha(x_1, x_2, \dots, x_n) = (-x_1, x_2, \dots, x_n).$$

We use  $\text{id}$  to represent the identity mapping on any space. If  $f_t: X \rightarrow Y$  is a homotopy, for  $t \in [0, 1]$ , such that for some  $A \subset X$  we have  $f_t = f_0$  on  $A$  for all  $t$ , then we write  $f_0 \simeq f_1 \text{ rel } A$ . If each  $f_t$  is a homeomorphism of  $X$  onto  $Y$ , then  $f_t$  is an isotopy and we write  $f_0 \stackrel{\text{iso}}{\simeq} f_1$ . A *proper mapping* is a mapping for which the pre-image of each compactum is compact. We will occasionally work in the proper category, and we will use such terms as proper homotopy, proper isotopy, etc.

We will use a considerable amount of infinite-dimensional machinery and a good source for some of the basic material is [6]. There is one technical result which is used throughout this paper that bears repeating. A closed subset  $A$  of a space  $X$  is said to be a *Z-set* in  $X$  provided that there exist arbitrarily "small" mappings  $f: X \rightarrow X \setminus A$ . This means that if  $\mathcal{U}$  is any open cover of  $X$ , then there exists a mapping  $f: X \rightarrow X \setminus A$  such that for each  $x \in X$  there exists an element of  $\mathcal{U}$  containing both  $x$  and  $f(x)$ . (We say that  $f$  is *limited* by  $\mathcal{U}$ .) The following is the main technical tool concerning  $Z$ -sets [6].

**ISOTOPY THEOREM.** *Let  $X$  be a  $Q$ -manifold,  $A$  be a space, and let  $F: A \times [0, 1] \rightarrow X$  be a proper mapping such that the levels  $F_0: A \rightarrow X$ ,  $F_1: A \rightarrow X$  are homeomorphisms of  $A$  onto  $Z$ -sets in  $X$ . Then the induced homeomorphism  $F_1 F_0^{-1}$  of  $F_0(A)$  onto  $F_1(A)$  can be extended to a manifold homeomorphism which is isotopic to the identity.*

We remark that if all the fibers  $F(\{a\} \times [0, 1])$  are "short", then the manifold homeomorphism which extends  $F_1 F_0^{-1}$  can be chosen to be "close" to the identity. To be more precise this means that if  $\mathcal{U}$  is an open cover of  $X$  and each fiber  $F(\{a\} \times [0, 1])$  lies in some element of  $\mathcal{U}$ , then the homeomorphism extending  $F_1 F_0^{-1}$  can be chosen to be limited by  $\text{St}^2(\mathcal{U})$ . Here  $\text{St}^2(\mathcal{U})$  is the open cover of  $X$  constructed by taking all sets of the form

$$U_1 \cup U_2 \cup U_3,$$

where  $U_i \in \mathcal{U}$  and  $U_1 \cap U_2 \neq \emptyset$ ,  $U_2 \cap U_3 \neq \emptyset$ .

This estimated version of the Isotopy theorem will be used in the proof of Lemma 5.1 to perform the modification of  $h_4$ .

**3. An Alexander-type isotopy.** We establish here a version of the Alexander trick which will be needed for the proof of Theorem 2.

**LEMMA 3.1.** *If  $h: Q \times B_1^n \rightarrow Q \times B_1^n$  is a homeomorphism such that  $h = \text{id}$  on  $Q \times (\{0\} \cup S_1^{n-1})$ , then  $h \stackrel{\text{iso}}{\simeq} \text{id rel } Q \times (\{0\} \cup S_1^{n-1})$ .*

*Proof.* Let  $\theta: Q \times Q \times [0, 1] \rightarrow Q$  be a mapping such that  $\theta_0$  is projection off the second factor,  $\theta_1$  is projection off the first factor, and  $\theta_t$  is a homeomorphism for all  $t \neq 0, 1$ . The construction of  $\theta$  uses a standard coordinate-switching technique (see [6], Chapter III). Consider the function  $\tilde{h}: Q \times B_1^n \rightarrow Q \times B_1^n$  defined by  $\tilde{h}(q, x) = (\theta_t(q'_1, q_2), x')$ , where  $\theta_{\|x\|/2}^{-1}(q) = (q_1, q_2)$ ,  $h(q_1, x) = (q'_1, x')$ , and  $t = \|x\|/2$ . It is easy to check that  $\tilde{h}$  is a homeomorphism such that  $\tilde{h} = \text{id}$  on  $Q \times (\{0\} \cup S_1^{n-1})$ .

To show that  $\tilde{h} \stackrel{\text{iso}}{\simeq} \text{id rel } Q \times (\{0\} \cup S_1^{n-1})$  on  $Q \times [0, 1]$  by  $\varphi_t(s) = (1-t) \cdot s/2 + t$  and for each  $t \in [0, 1]$  let  $\tilde{h}_t: Q \times B_1^n \rightarrow Q \times B_1^n$  be defined by  $\tilde{h}_t(q, x) = (\theta_{t_1}(q'_1, q_2), x')$ , where  $\theta_{\frac{t_1}{\varphi_t(\|x\|)}}^{-1}(q) = (q_1, q_2)$ ,  $h(q_1, x) = (q'_1, x')$ , and  $t_1 = \varphi_t(\|x\|)$ . It is clear that  $\tilde{h}_t$  provides our required isotopy such that  $\tilde{h}_0 = \tilde{h}$  and  $\tilde{h}_1 = h$ .

To show that  $\tilde{h} \stackrel{\text{iso}}{\simeq} \text{id rel } Q \times (\{0\} \cup S_1^{n-1})$  we will define an isotopy  $g_t: Q \times B_1^n \rightarrow Q \times B_1^n$  which fulfills our requirements. For  $t = 0$  we define  $g_0 = \text{id}$ . For  $t \in (0, 1]$  define  $g_t = \text{id}$  on  $(Q \times \{0\}) \cup Q \times (B_1^n \setminus \text{Int}(B_1^n))$  and on  $Q \times (B_1^n \setminus \{0\})$  we define  $g_t(q, x) = (\theta_{t_1}(q'_1, q_2), tx')$ , where  $\theta_{\frac{t_1}{\|x\|/2}}^{-1}(q) = (q_1, q_2)$ ,  $h(q_1, x/t) = (q'_1, x')$ , and  $t_1 = \|x\|/2$ . Then  $g_t$  is our required isotopy.

**4. Proof of Theorem 2.** We will use Lemma 3.1 to prove Theorem 2. Choose any  $h \in \mathcal{H}C_0(Q \times R^n)$ . We will prove that either  $h \stackrel{\text{iso}}{\simeq} \text{id rel } Q \times \{0\}$  or  $h \stackrel{\text{iso}}{\simeq} \text{id} \times \alpha \text{ rel } Q \times \{0\}$ . Choose  $r > 0$  large enough so that  $h(Q \times B_1^n) \subset Q \times \text{Int}(B_r^n)$ . Put  $A = h(Q \times S_1^{n-1})$ ,  $X = (Q \times B_r^n) \setminus h(Q \times \text{Int}(B_1^n))$ , and let  $i: A \subset X$  be the inclusion mapping.

We will first show that  $i$  is a homotopy equivalence. To do this we prove that  $i$  induces an isomorphism on all homotopy groups. First as-

sume that  $n = 1$ . Then all we have to do is use the fact that if  $(Y, Y_0)$  is an ANR pair such that  $Y_0$  is closed, bicollared, and the inclusion  $Y_0 \hookrightarrow Y$  is a homotopy equivalence, then  $Y_0$  separates  $Y$  into two disjoint open sets and the inclusion of  $Y$  into the closure of each of these components is a homotopy equivalence. For details see [5].

We now treat the case  $n = 2$ . Note that  $X$  is a strong deformation retract of  $Q \times (B_r^n \setminus \{0\})$ , thus  $\pi_m(X) = 0$  for all  $m \geq 2$ . All we need to do is prove that  $i$  induces an isomorphism on  $\pi_1$ . Using singular homology with integral coefficients consider the commutative diagram

$$\begin{array}{ccc} \pi_1(A) & \xrightarrow{i_*} & \pi_1(X) \\ \downarrow & & \downarrow \\ H_1(A) & \xrightarrow{i_\#} & H_1(X), \end{array}$$

where  $i_*$  and  $i_\#$  are induced by  $i$  and the vertical arrows are Hurewicz homomorphisms. Since  $\pi_1(A)$  and  $\pi_1(X)$  are abelian, these vertical arrows are isomorphisms. So all we have to do is prove that  $i_\#$  is an isomorphism. Using the homology exact sequence for the pair  $(X, A)$  all we have to do is prove that  $H_1(X, A) = 0$  and  $H_2(X, A) = 0$ . By excision we have

$$H_1(X, A) \cong H_1(Q \times B_r^n, h(Q \times B_1^n))$$

and the exact sequence for the pair  $(Q \times B_r^n, h(Q \times B_1^n))$  gives us  $H_1(Q \times B_r^n, h(Q \times B_1^n)) = 0$ . Thus  $H_1(X, A) = 0$ . We can similarly prove that  $H_2(X, A) = 0$ .

We now treat the case  $n \geq 3$ . In this case  $A$  and  $X$  are both simply connected, therefore all we need to do is prove that  $i$  induces an isomorphism on all homology groups. But this easily follows from the Mayer-Vietoris sequence for the triad  $(Q \times B_r^n, X, h(Q \times B_1^n))$ .

Our next step is to show that there exists a homeomorphism  $h_1: Q \times B_r^n \rightarrow Q \times B_1^n$  which agrees with  $h$  on  $Q \times B_1^n$ . Let  $f: Q \times (B_r^n \setminus \text{Int}(B_1^n)) \rightarrow h^{-1}(A)$  be a homotopy equivalence and note that  $\text{if: } Q \times (B_r^n \setminus \text{Int}(B_1^n)) \rightarrow X$  is a homotopy equivalence. Since  $\pi_1(X)$  is "nice", the Whitehead group  $\text{Wh}(X)$  vanishes and therefore  $\text{if}$  is a simple homotopy equivalence. This implies that  $\text{if}$  is homotopic to a homeomorphism  $g: Q \times (B_r^n \setminus \text{Int}(B_1^n)) \rightarrow X$  (see [9] for references). Using the Isotopy theorem we can correct  $g$  to get  $g = h$  on  $Q \times S_1^{n-1}$ . Then  $g$  extends to our required homeomorphism  $h_1$ .

Now consider the mapping  $\varphi: S_r^{n-1} \rightarrow S_1^{n-1}$  given by  $\varphi(x) = \gamma p h_1(q_0, x)$ , where  $q_0 \in Q$ ,  $p: Q \times B_r^n \rightarrow B_r^n$  is the projection mapping, and  $\gamma: B_r^n \setminus \{0\} \rightarrow S_r^{n-1}$  is a radial projection. Then  $\varphi$  is a homotopy equivalence, so it has degree  $\pm 1$ . If  $\text{deg } \varphi = +1$ , then  $\varphi \simeq \text{id}$ , hence the restriction  $h_1|_{Q \times S_r^{n-1}}: Q \times S_r^{n-1} \rightarrow X$  is homotopic to the inclusion  $Q \times S_r^{n-1} \hookrightarrow X$ . Using the Isotopy theorem we can correct  $h_1$  so that we have  $h_1 = \text{id}$  on  $Q \times S_r^{n-1}$ .

Extend  $h_1$  by the identity to a homeomorphism  $\tilde{h}_1: Q \times B^n \rightarrow Q \times B^n$  and note that Lemma 3.1 implies that  $h_1 \stackrel{\text{iso}}{\simeq} \text{id} \text{ rel } Q \times \{0\}$ . Thus  $h_2 = \tilde{h}_1^{-1} h \stackrel{\text{iso}}{\simeq} h \text{ rel } Q \times \{0\}$ . But  $h_2 = \text{id}$  on  $Q \times B_1^n$ . Then it is easy to prove that  $h_2 \stackrel{\text{iso}}{\simeq} \text{id} \text{ rel } Q \times B_1^n$  by using the standard Alexander trick. This takes care of the case in which  $\text{deg } \varphi = +1$ .

On the other hand assume that  $\text{deg } \varphi = -1$ . Then  $\varphi$  is homotopic to a restriction of  $\alpha$ , therefore  $h_1|_{Q \times S_r^{n-1}}: Q \times S_r^{n-1} \rightarrow X$  is homotopic to the inclusion  $Q \times S_r^{n-1} \hookrightarrow X$  followed by  $\text{id} \times \alpha$ . The Isotopy theorem implies that  $h_1$  can be corrected so that we additionally have  $h_1 = \text{id} \times \alpha$  on  $Q \times S_r^{n-1}$ . Extend  $h_1$  by  $\text{id} \times \alpha$  to a homeomorphism  $\tilde{h}_1$  of  $Q \times B^n$  onto itself. Using Lemma 3.1 it follows that

$$(\text{id} \times \alpha) h_1 \stackrel{\text{iso}}{\simeq} \text{id} \text{ rel } Q \times \{0\}.$$

Therefore  $h_1 \stackrel{\text{iso}}{\simeq} \text{id} \times \alpha \text{ rel } Q \times \{0\}$ , which implies that

$$h_2 = \tilde{h}_1^{-1} h \stackrel{\text{iso}}{\simeq} (\text{id} \times \alpha) h \text{ rel } Q \times \{0\}.$$

But once again we have  $h_2 \stackrel{\text{iso}}{\simeq} \text{id} \text{ rel } Q \times \{0\}$ . Therefore  $h \stackrel{\text{iso}}{\simeq} \text{id} \times \alpha \text{ rel } Q \times \{0\}$ .

**5. Some lemmas for Theorem 1.** In this section we prove two results which will be needed in the proof of Theorem 1.

**LEMMA 5.1.** *Let  $h: Q \times R \times R^n \rightarrow Q \times R \times R^n$  be an open embedding such that  $h = \text{id}$  on  $Q \times R \times \{0\}$ . Then there exists a homeomorphism  $f$  of  $Q \times R \times R^n$  onto itself with compact support such that  $f = \text{id}$  on  $Q \times R \times \{0\}$  and either  $fh = \text{id}$  or  $fh = \text{id} \times \alpha$  on  $Q \times [-1, 1] \times B_1^n$ .*

*Proof.* Choose  $r > 0$  such that

$$h(Q \times [-1, 1] \times B_1^n) \subset Q \times (-r, r) \times \text{Int}(B_r^n).$$

We will construct a homeomorphism  $g$  of  $Q \times [-r, r] \times B_r^n$  onto itself such that  $g = \text{id}$  on  $Q \times [-r, r] \times \{0\}$ ,  $g = h$  on  $Q \times [-1, 1] \times B_1^n$ , and either  $g = \text{id}$  or  $g = \text{id} \times \alpha$  on  $\text{Bd}(Q \times [-r, r] \times B_r^n)$ . This will clearly fulfill our requirements. Our first goal will be to work our way through the accompanying diagram of spaces and maps. The homeomorphism  $h_4$  at the top of the diagram will be used to obtain  $g$ .

**I. Construction of  $h_1$ .** We want  $h_1$  to be a proper embedding such that  $h_1(Q \times (-r, r) \times B_1^n) \subset Q \times (-r, r) \times \text{Int}(B_r^n)$ ,  $h_1 = \text{id}$  on  $Q \times (-r, r) \times \{0\}$ , and  $h_1 = h$  on  $Q \times [-1, 1] \times B_1^n$ . The details of the construction of  $h_1$  are routine.

**II. Construction of  $h_2$ .** We want  $h_2$  to be a homeomorphism which extends  $h_1$ . Let  $A = h_1(Q \times (-r, r) \times S_1^{n-1})$  and let

$$X = (Q \times (-r, r) \times B_r^n) \setminus h_1(Q \times (-r, r) \times \text{Int}(B_1^n)).$$

Just as in the proof of Theorem 2 we can show that the inclusion  $i: A \hookrightarrow X$  is a homotopy equivalence. We will show that the inclusion  $j: Q \times (-r, r) \times S_r^{n-1} \hookrightarrow X$  is a proper homotopy equivalence.

$$\begin{array}{ccc}
 Q \times [-r, r] \times B_r^n & \xrightarrow{h_4} & Q \times [-r, r] \times B_r^n \\
 \downarrow \delta & & \downarrow \delta \\
 X & \xrightarrow{h_3} & X \\
 \uparrow \cup & & \uparrow \cup \\
 Q \times (-r, r) \times B_r^n & \xrightarrow{h_2} & Q \times (-r, r) \times B_r^n \\
 \uparrow \cup & & \uparrow \cup \\
 Q \times (-r, r) \times B_1^n & \xrightarrow{h_1} & Q \times (-r, r) \times B_1^n \\
 \downarrow \cap & & \downarrow \cap \\
 Q \times R \times R^n & \xrightarrow{h} & Q \times R \times R^n
 \end{array}$$

Let  $\tilde{u}: X \hookrightarrow Q \times (-r, r) \times (B_r^n \setminus \{0\})$  be the inclusion mapping. Let  $v: B_r^n \setminus \{0\} \rightarrow S_r^{n-1}$  be the mapping given by radial projection and let  $\tilde{v}: Q \times (-r, r) \times (B_r^n \setminus \{0\}) \rightarrow Q \times (-r, r) \times S_r^{n-1}$  be given by  $\tilde{v} = \text{id} \times v$ . Then it is easy to see that  $\tilde{v}\tilde{u}: X \rightarrow Q \times (-r, r) \times S_r^{n-1}$  is a proper mapping. We will prove that it is a proper homotopy inverse of  $j$ . This means that we must prove that  $\tilde{v}\tilde{u}$  is proper homotopic to  $\text{id}$  (in  $X$ ).

Let  $w: B_r^n \setminus \{0\} \rightarrow S_1^{n-1}$  be the radial projection and define  $\tilde{w}: Q \times (-r, r) \times (B_r^n \setminus \{0\}) \rightarrow X$  by setting  $\tilde{w} = \text{id}$  on  $X$  and  $\tilde{w} = h_1(\text{id} \times w)$  on  $h_1(Q \times (-r, r) \times B_1^n)$ . Let  $v_i: B_r^n \setminus \{0\} \rightarrow B_r^n \setminus \{0\}$  be a radially defined homotopy such that  $v_0 = \text{id}$  and  $v_1 = v$ . Let  $\tilde{v}_i: Q \times (-r, r) \times (B_r^n \setminus \{0\}) \rightarrow Q \times (-r, r) \times (B_r^n \setminus \{0\})$  be defined by  $\tilde{v}_i = \text{id} \times v_i$ . Consider the homotopy  $\gamma_i: X \rightarrow X$  defined by  $\gamma_i = \tilde{w}\tilde{v}_i\tilde{u}$ . It is easy to see that  $\gamma_i$  is a proper homotopy such that  $\gamma_0 = \text{id}$  and  $\gamma_1 = \tilde{v}\tilde{u}$ .

Now for the construction of  $h_2$ . Since  $j$  is a proper homotopy equivalence it must be an infinite simple homotopy equivalence (see [9] for references). Therefore we can find a homeomorphism

$$\theta: Q \times (-r, r) \times S_r^{n-1} \times [0, 1] \rightarrow X$$

such that  $\theta(x, 0) = x$ , for all  $x \in Q \times (-r, r) \times S_r^{n-1}$ . Choose  $r_0 \in (0, r)$  so that

$$h_1(Q \times [r_0, r) \times S_1^{n-1}) \subset \theta(Q \times (1, r) \times S_r^{n-1} \times [0, 1]),$$

$$h_1(Q \times (-r, -r_0] \times S_1^{n-1}) \subset \theta(Q \times (-r, -1) \times S_r^{n-1} \times [0, 1]).$$

It is clear that the inclusions

$$h_1(Q \times [r_0, r) \times S_1^{n-1}) \subset \theta(Q \times [1, r) \times S_r^{n-1} \times [0, 1]),$$

$$h_1(Q \times (-r, -r_0] \times S_1^{n-1}) \subset \theta(Q \times (-r, -1] \times S_r^{n-1} \times [0, 1])$$

are homotopy equivalences, and because of the presence of the half-open interval factors they are easily seen to be proper homotopy equivalences. Thus we can find homeomorphisms

$$\varphi_1: h_1(Q \times [r_0, r) \times S_1^{n-1}) \times [0, 1] \rightarrow \theta(Q \times [1, r) \times S_r^{n-1} \times [0, 1]),$$

$$\varphi_2: h_1(Q \times (-r, -r_0] \times S_1^{n-1}) \times [0, 1] \rightarrow \theta(Q \times (-r, -1] \times S_r^{n-1} \times [0, 1])$$

such that  $\varphi_1(x, 0) = x$  and  $\varphi_2(x, 0) = x$ . Choose  $r_1 \in (r_0, r)$  close enough to  $r$  so that

$$\varphi_1(h_1(Q \times [r_1, r) \times S_1^{n-1}) \times [0, 1]) \subset \theta(Q \times (1, r) \times S_r^{n-1} \times [0, 1]),$$

$$\varphi_2(h_1(Q \times (-r, -r_1] \times S_1^{n-1}) \times [0, 1]) \subset \theta(Q \times (-r, -1) \times S_r^{n-1} \times [0, 1]).$$

Now let  $A_0 = h_1(Q \times [-r_1, r_1] \times S_1^{n-1})$  and let

$$\begin{aligned}
 X_0 = X \setminus [ & \varphi_1(h_1(Q \times (r_1, r) \times S_1^{n-1}) \times [0, 1]) \cup \\
 & \cup [\varphi_2(h_1(Q \times (-r, -r_1) \times S_1^{n-1}) \times [0, 1])].
 \end{aligned}$$

It is clear that the inclusion  $A_0 \hookrightarrow X_0$  is a homotopy equivalence and therefore a simple homotopy equivalence. Thus there exists a homeomorphism  $\varphi_0: A_0 \times [0, 1] \rightarrow X_0$  such that  $\varphi_0(x, 0) = x$ . If we knew that  $\varphi_0$  agreed with  $\varphi_1$  and  $\varphi_2$  on

$$\varphi_1(h_1(Q \times \{r_1\} \times S_1^{n-1}) \times [0, 1]) \quad \text{and} \quad \varphi_2(h_1(Q \times \{-r_1\} \times S_1^{n-1}) \times [0, 1]),$$

respectively, then we could piece them together to obtain a homeomorphism  $\varphi: A \times [0, 1] \rightarrow X$  satisfying  $\varphi(x, 0) = x$ . This would clearly imply the existence of our required  $h_2$ . The manipulation of  $\varphi_0$  to satisfy these requirements is just a simple application of the Isotopy theorem.

### III. Construction of $h_3$ and $X$ . Define

$$X = (Q \times (-r, r) \times B_r^n) \cup \{r\} \cup \{-r\},$$

where  $X$  is the compact metric space obtained from  $Q \times (-r, r) \times B_r^n$  by compactifying the two ends. We choose notation so that  $(Q \times [0, r) \times B_r^n) \cup \{r\}$  and  $(Q \times (-r, 0] \times B_r^n) \cup \{-r\}$  are compact. Then  $h_3$  is defined to agree with  $h_2$  on  $Q \times (-r, r) \times B_r^n$  and to be the identity on  $\{r\} \cup \{-r\}$ .

IV. Construction of  $\delta$  and  $h_4$ . We want  $\delta$  to be a homeomorphism such that  $\delta = \text{id}$  on  $Q \times [-r_1, r_1] \times B_r^n$  and

$$\delta(Q \times [-r, r] \times \{0\}) = (Q \times (-r, r) \times \{0\}) \cup \{r\} \cup \{-r\},$$

where  $r_1$  is chosen so that  $0 < r_1 < r$  and

$$h_3(Q \times [-1, 1] \times B_1^n) \subset Q \times [-r_1, r_1] \times B_r^n.$$

Then  $h_4$  will be defined to make the appropriate rectangle commute.

It will suffice to produce a mapping  $\delta'$  of  $Q \times [-r, r] \times B_r^n$  onto itself such that

- (1)  $\delta'(Q \times \{r\} \times B_r^n) = \{0\} \times \{r\} \times \{0\}$  and  
 $\delta'(Q \times \{-r\} \times B_r^n) = \{0\} \times \{-r\} \times \{0\}$ ,
- (2)  $\delta'$  restricts to a homeomorphism of  $Q \times (-r, r) \times B_r^n$  onto  
 $(Q \times [-r, r] \times B_r^n) \setminus (\{0\} \times \{r\} \times \{0\}) \cup (\{0\} \times \{-r\} \times \{0\})$ ,
- (3)  $\delta'(Q \times [-r, r] \times \{0\}) = Q \times [-r, r] \times \{0\}$ ,
- (4)  $\delta' = \text{id}$  on  $Q \times [-r_1, r_1] \times B_r^n$ .

Once  $\delta'$  is obtained we just define  $\delta = q(\delta')^{-1}$ , where  $q: Q \times [-r, r] \times B_r^n \rightarrow X$  is the quotient mapping.

Using the fact that  $Q$  is homeomorphic to its own cone [6], there exists a mapping  $\delta_1$  of  $Q \times [-r, r] \times B_r^n$  onto itself such that

- (1)  $\delta_1(Q \times \{r\} \times \{x\}) = \{0\} \times \{r\} \times \{x\}$  and  
 $\delta_1(Q \times \{-r\} \times \{x\}) = \{0\} \times \{-r\} \times \{x\}$  for each  $x \in B_r^n$ ,
- (2)  $\delta_1$  restricts to a homeomorphism of  $Q \times (-r, r) \times \{x\}$  onto  
 $(Q \times [-r, r] \times \{x\}) \setminus (\{0\} \times \{r\} \times \{x\}) \cup (\{0\} \times \{-r\} \times \{x\})$  for each  $x$ ,
- (3)  $\delta_1 = \text{id}$  on  $Q \times [-r_1, r_1] \times B_r^n$ .

Now let  $\theta$  be a mapping of  $[-r, r] \times B_r^n$  onto itself such that

- (1)  $\theta(\{r\} \times B_r^n) = \{r\} \times \{0\}$  and  $\theta(\{-r\} \times B_r^n) = \{-r\} \times \{0\}$ ,
- (2)  $\theta$  restricts to a homeomorphism of  $(-r, r) \times B_r^n$  onto  
 $([-r, r] \times B_r^n) \setminus (\{r\} \times \{0\}) \cup (\{-r\} \times \{0\})$ ,
- (3)  $\theta|([-r, r] \times \{0\}) \cup ([-r_1, r_1] \times B_r^n) = \text{id}$ .

This gives a mapping  $\theta_0$  of  $\{0\} \times [-r, r] \times B_r^n$  onto itself defined by  $\theta_0(0, x, y) = (0, x', y')$ , where  $(x', y') = \theta(x, y)$ . For each  $q \in Q$ ,  $q \neq 0$ , we can clearly define a homeomorphism  $\theta_q$  of  $\{q\} \times [-r, r] \times B_r^n$  onto itself which is the identity on

$$(\{q\} \times [-r, r] \times \{0\}) \cup (\{q\} \times [-r_1, r_1] \times B_r^n)$$

and such that the  $\theta_q$ 's continuously fit together to define a mapping  $\delta_2$  of  $Q \times [-r, r] \times B_r^n$  onto itself by setting  $\delta_2 = \theta_q$  on  $\{q\} \times [-r, r] \times B_r^n$ . This follows since  $\theta$  is a uniform limit of homeomorphisms. Then  $\delta' = \delta_2 \delta_1$  fulfills our requirements. We note that  $h_4$  is a homeomorphism such that  $h_4 = \text{id}$  on  $Q \times [-r, r] \times \{0\}$  and  $h_4 = h$  on  $Q \times [-1, 1] \times B_r^n$ .

Just as in the proof of Theorem 2 we can correct  $h_4$  so that we have  $h_4 = \text{id}$  or  $h_4 = \text{id} \times \alpha$  on  $Q \times [-r, r] \times S_r^{n-1}$ . For the remainder of the argument we assume that  $h_4 = \text{id}$  on  $Q \times [-r, r] \times S_r^{n-1}$ . The treatment of the case  $h_4 = \text{id} \times \alpha$  on  $Q \times [-r, r] \times S_r^{n-1}$  is similar. Note that if we had  $h_4 = \text{id}$  on  $Q \times \{-r, r\} \times B_r^n$ , then we would be done. The remainder of the proof of Lemma 5.1 takes steps to modify  $h_4$  to get this extra condition.

Using Lemma 3.1 there exists an isotopy  $f_t: Q \times [-r, r] \times B_r^n \rightarrow Q \times [-r, r] \times B_r^n \text{rel} Q \times [-r, r] \times (\{0\} \cup S_r^{n-1})$  such that  $f_0 = \text{id}$  and  $f_1 = h_4$ . Choose  $\varepsilon$ ,  $0 < \varepsilon < r$ , such that  $f_t(Q \times \{-r, r\} \times B_r^n)$  does not intersect  $Q \times [-r_1, r_1] \times B_r^n$ , for all  $t \in [0, 1]$ . The restriction of  $f_t$  to  $Q \times \{-r, r\} \times B_r^n$  gives us a homotopy

$$g_t: Q \times \{-r, r\} \times B_r^n \rightarrow Q \times [-r, r] \times B_r^n$$

such that  $g_0 = \text{id}$ ,  $g_1 = h_4$  on  $Q \times \{-r, r\} \times B_r^n$ ,  $g_t = \text{id}$  on  $Q \times \{-r, r\} \times (\{0\} \cup S_r^{n-1})$  for all  $t$ , and  $g_t(Q \times \{-r, r\} \times \text{Int}(B_r^n) \setminus \{0\})$  does not intersect  $Q \times [-r, r] \times (\{0\} \cup S_r^{n-1})$  for all  $t$ . It is clear that we may adjust  $g_t$  so that additionally  $g_t(Q \times \{-r, r\} \times B_r^n)$  does not intersect  $Q \times [-r_1, r_1] \times B_r^n$ , for all  $t$ . Applying the Isotopy theorem to the manifold

$$[Q \times [-r, r] \times (\text{Int}(B_r^n) \setminus \{0\})] \setminus [Q \times [-r_1, r_1] \times B_r^n]$$

we can easily find a homeomorphism  $\tau: Q \times [-r, r] \times B_r^n \rightarrow Q \times [-r, r] \times B_r^n$  such that  $\tau = h_4$  on  $Q \times \{-r, r\} \times B_r^n$ ,  $\tau = \text{id}$  on  $Q \times [-r, r] \times (\{0\} \cup S_r^{n-1})$ , and  $\tau = \text{id}$  on  $Q \times [-r_1, r_1] \times B_r^n$ . Then  $\tau^{-1}h_4$  gives our desired modification of  $h_4$ .

LEMMA 5.2. *Let  $h: Q \times [0, 1] \times R^n \rightarrow Q \times [0, 1] \times R^n$  be an open embedding such that  $h = \text{id}$  on  $Q \times [0, 1] \times \{0\}$ . Then there exists a homeomorphism  $f$  of  $Q \times [0, 1] \times R^n$  onto itself with compact support such that  $f = \text{id}$  on  $Q \times [0, 1] \times \{0\}$  and either  $fh = \text{id}$  or  $fh = \text{id} \times \alpha$  on  $Q \times [0, \frac{1}{2}] \times B_1^n$ .*

Proof. Similar to the proof of Lemma 5.1.

6. Proof of Theorem 1. We are given a  $Q$ -manifold  $X$  and a locally flat embedding  $i: Q \rightarrow X$ . We will represent  $Q$  by  $\prod_{j=1}^{\infty} I_j$ , where each  $I_j$  is the closed interval  $[0, 1]$ . For each  $m$  let

$$Q_m = I_1 \times I_2 \times \dots \times I_{m-1} \times I_{m+1} \times I_{m+2} \times \dots$$

and for  $A \subset Q_m$ ,  $B \subset I_m$  let

$$A * B = \{(q_j) \in Q \mid q_m \in B \text{ and } (q_1, \dots, q_{m-1}, q_{m+1}, \dots) \in A\}.$$

We can choose  $m$  large enough so that there exists an open cover  $\mathcal{U}$  of  $Q_m$  satisfying the property that for each  $U \in \mathcal{U}$  there exists an open embedding  $h_U: (U * I_m) \times R^n \rightarrow X$  such that  $h_U(x, 0) = i(x)$ .

Our first goal will be to prove that there exists an open embedding  $f: Q_m * [0, \frac{3}{4}] \times R^n \rightarrow X$  such that  $f(x, 0) = i(x)$ . There exists an integer  $l$  so that for each  $r, 1 \leq r \leq l$ , there exists an open cover  $\{U_{r,k}\}_{k=1}^l$  of  $Q_m$  refining  $\mathcal{U}$  such that

- (1) each  $U_{r,k}$  is contractible,
- (2)  $U_{r,k+1} \cap (U_{r,1} \cup U_{r,2} \cup \dots \cup U_{r,k})$  is contractible for all  $r$  and  $1 \leq k \leq l-1$ ,
- (3)  $\bar{U}_{r+1,k} \subset U_{r,k}$  for all  $k$  and  $1 \leq r \leq l-1$ .

The construction of the open covers  $\{U_{r,k}\}_{k=1}^l$  is routine. Choose numbers  $t_r, 1 \leq r \leq l$ , so that

$$\frac{3}{4} = t_l < t_{l-1} < \dots < t_2 < t_1 = 1.$$

We will inductively prove that for each  $k, 1 \leq k \leq l$ , there exists an open embedding

$$f_k: (U_{k,1} \cup \dots \cup U_{k,k}) * [0, t_k] \times R^n \rightarrow X$$

such that  $f_k(x, 0) = i(x)$ . This will imply the existence of our desired open embedding  $f$ . The statement is clearly true for  $k = 1$  so we assume it to be true for some  $k \leq l-1$ . We will prove that  $f_{k+1}$  exists.

Let  $\theta: U_{k,k+1} * [0, t_k] \times R^n \rightarrow X$  be an open embedding such that  $\theta(x, 0) = i(x)$ . Let  $G = U_{k,1} \cup \dots \cup U_{k,k}$  and let  $C \subset G \cap U_{k,k+1}$  be the intersection of  $\bar{U}_{k+1,1} \cup \dots \cup \bar{U}_{k+1,k}$  and  $\bar{U}_{k+1,k+1}$ . It is clear that  $\theta$  can be modified so that we additionally have

$$\theta(C * [0, t_{k+1}] \times R^n) \subset f_k(G * [0, t_k] \times R^n).$$

Using  $\theta$  and  $f_k$  we can easily construct an open embedding

$$\varphi: (G \cap U_{k,k+1}) * [0, t_k] \times R^n \rightarrow (G \cap U_{k,k+1}) * [0, t_k] \times R^n$$

such that  $\varphi = \text{id}$  on  $(G \cap U_{k,k+1}) * [0, t_k] \times \{0\}$  and  $\varphi = f_k^{-1}\theta$  on  $C * [0, t_{k+1}] \times R^n$ . But  $G \cap U_{k,k+1}$  is a contractible  $Q$ -manifold and therefore  $(G \cap U_{k,k+1}) * [0, t_k]$  is homeomorphic to  $Q \times [0, 1]$  [5]. Using Lemma 5.2 we can find a homeomorphism

$$h: (G \cap U_{k,k+1}) * [0, t_k] \times R^n \rightarrow (G \cap U_{k,k+1}) * [0, t_k] \times R^n$$

with compact support such that  $h = \text{id}$  on  $(G \cap U_{k,k+1}) * [0, t_k] \times \{0\}$  and either  $h\varphi = \text{id}$  or  $h\varphi = \text{id} \times \alpha$  on  $C * [0, t_{k+1}] \times B_1^n$ .

Define a homeomorphism  $\tilde{h}: X \rightarrow X$  by  $\tilde{h} = f_k h f_k^{-1}$  on

$$f_k((G \cap U_{k,k+1}) * [0, t_k] \times R^n)$$

and  $\tilde{h} = \text{id}$  otherwise. Then let  $\tilde{\theta} = \tilde{h}\theta$ . Note that  $\tilde{\theta}: U_{k,k+1} * [0, t_k] \times R^n \rightarrow X$  is an open embedding such that  $\tilde{\theta}(x, 0) = i(x)$  and either  $\tilde{\theta} = f_k$  or  $\tilde{\theta} = f_k(\text{id} \times \alpha)$  on  $C * [0, t_{k+1}] \times B_1^n$ . If  $\tilde{\theta} = f_k$  on  $C * [0, t_{k+1}] \times B_1^n$ , then

we can piece together  $f_k$  on  $(U_{k+1,1} \cup \dots \cup U_{k+1,k}) * [0, t_{k+1}] \times \text{Int}(B_1^n)$  and  $\tilde{\theta}$  on  $U_{k+1,k+1} * [0, t_{k+1}] \times \text{Int}(B_1^n)$  to get our required  $f_{k+1}$ . If  $\tilde{\theta} = f(\text{id} \times \alpha)$  on  $C * [0, t_{k+1}] \times B_1^n$ , then we replace  $\tilde{\theta}$  by  $\tilde{\theta}(\text{id} \times \alpha)$  and proceed as above.

Thus we have constructed an open embedding  $f: Q_m * [0, \frac{3}{4}] \times R^n \rightarrow X$  such that  $f(x, 0) = i(x)$ . Similarly we can construct an open embedding  $g: Q_m * (\frac{1}{2}, 1] \times R^n \rightarrow X$  such that  $g(x, 0) = i(x)$ . Just as we used Lemma 5.2 to construct  $f_{k+1}$  above, we can use Lemma 5.1 to piece together  $f$  and  $g$  to obtain our required open embedding of  $Q_m * [0, 1] \times R^n = Q \times R^n$  into  $X$ .

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