

Proximity classes of uniformities

by

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Abstract. If a uniformity \mathcal{U} on a set X is such that there exists a uniformly discrete subset of X of an infinite cardinality m , then there exist at least $\exp m$ uniformities below \mathcal{U} belonging to distinct proximity classes and each of the proximity classes has at least $\exp m$ distinct uniformities. In a case if the uniform space (X, \mathcal{U}) has a dense subspace of the cardinality m , then there exist exactly $\exp m$ such uniformities. If uniformities \mathcal{U} and \mathcal{V} on sets X and Y are such that there exist uniformly discrete sets in X and Y of an infinite cardinality m then there exist at least $\exp m$ uniformities \mathcal{U}_α and \mathcal{V}_α belonging to the proximity classes of \mathcal{U} and \mathcal{V} , respectively, such that the proximity classes of the products $\mathcal{U}_\alpha \times \mathcal{V}_\alpha$ are distinct for distinct indices α .

1. The uniformities considered in this paper are of the covering type (see [2]). All the topological spaces are completely regular. If we consider a family of uniformities on a set, then we understand that the uniformities of this family are compatible with a given topology.

Let \mathcal{U} be a uniformity on a set X . A filter \mathcal{F} on X is said to be a *Cauchy filter* in \mathcal{U} iff for every covering $P \in \mathcal{U}$ we have $P \cap \mathcal{F} \neq \emptyset$. Every Cauchy filter \mathcal{F} is contained in a unique minimal Cauchy filter, which is induced by a base $\{\text{st}(A, P) : A \in \mathcal{F}, P \in \mathcal{U}\}$. If two minimal Cauchy filters have a common limit, then they are equal. This enables us to construct a completion $i: (X, \mathcal{U}) \subset (\tilde{X}, \tilde{\mathcal{U}})$, where the set \tilde{X} is the set of all minimal Cauchy filters in \mathcal{U} and the uniformity $\tilde{\mathcal{U}}$ is induced by a base $\{\tilde{P} : P \in \mathcal{U}\}$, where $\tilde{P} = \{\tilde{u} : u \in P\}$ and $\tilde{u} = \{\mathcal{F} \in X : u \in \mathcal{F}\}$. The uniform dense embedding i is defined by a condition $i(x) = \mathcal{F}$ iff $x = \lim \mathcal{F}$ (see [1]). The minimal Cauchy filters having empty limits form the remainder $\tilde{X} - i(X)$ of the completion of the space (X, \mathcal{U}) .

A uniformity \mathcal{U} on a set X induces a proximity relation $\delta(\mathcal{U})$; for every $A, B \subset X$, $A \delta(\mathcal{U}) B$ iff for every $P \in \mathcal{U}$, $\text{st}(A, P) \cap B \neq \emptyset$. Let $p\mathcal{U} = \{P \in \mathcal{U} : P \text{ has a finite refinement belonging to } \mathcal{U}\}$. The family $p\mathcal{U}$ is the greatest totally bounded uniformity contained in \mathcal{U} and for every $A, B \subset X$

$$A \delta(\mathcal{U}) B \quad \text{iff} \quad A \delta(p\mathcal{U}) B.$$

Let us call $[\mathcal{U}] = \{V : pV = p\mathcal{U}\}$ the proximity class of the uniformity \mathcal{U} .

Let P be a covering. A set D is said to be P -discrete iff for $\bar{d} \neq \bar{d}'$, $\bar{d}, \bar{d}' \in D$ we have $\text{st}(\bar{d}, P) \cap \text{st}(\bar{d}', P) = \emptyset$. A set D is said to be *uniformly*

discrete iff there exists a $P \in \mathcal{U}$ such that D is P -discrete. A uniformity \mathcal{U} has no base consisting of coverings of power less than m iff there exists a uniformly discrete set of power m ([3], pp. 24–25). We write $b^{\mathcal{U}} \geq m$ iff there exists a uniformly discrete set of power m . If a space X is metrizable and the weight $X = m$, then there exists a uniformity with a countable base compatible with the topology on X , and for each such uniformity there exists a uniformly discrete set of power m , because such uniformity does not have a base consisting of coverings of power less than m .

Symbols $<$ and $\overset{*}{<}$ stand for refinement and star refinement.

The idea of the paper arises from Reed and Thron [7] and Reed [6].

2. In this paper we shall utilize a lemma proved by Pospíšil [5] (see also [4] and [2], Theorem 9.2):

LEMMA 1. If D is an infinite set, then there exist $\exp \exp |D|$ ultrafilters on D having empty limits.

LEMMA 2. Let \mathcal{U} be a uniformity on a set X with a uniformly discrete set $D \subset X$ of power $m \geq \aleph_0$. Then there exists a set π , $|\pi| = \exp \exp m$, of ultrafilters on D having empty limits in the topological space $(X, \mathcal{C}_{\mathcal{U}})$ and such that for every $\mathcal{F} \in \pi$ a filter $\bar{\mathcal{F}}$ generated by a base

$$\{\text{st}(A, P): A \in \mathcal{F}, P \in p^{\mathcal{U}}\}$$

is the minimal Cauchy filter in $p^{\mathcal{U}}$, $\bar{\mathcal{F}}$ is not Cauchy filter in \mathcal{U} and $\bar{\mathcal{F}} \neq \bar{\mathcal{F}}'$ iff $\mathcal{F} \neq \mathcal{F}'$.

Proof. Let $D \subset X$ be a uniformly discrete set in \mathcal{U} of power m . From Lemma 1 it follows that there exist $\exp \exp m$ ultrafilters on D having empty limits on D . Let us denote the family of ultrafilters by π . For every $\mathcal{F} \in \pi$ let $\bar{\mathcal{F}}$ be a filter generated by a base $\{\text{st}(A, P): A \in \mathcal{F}, P \in p^{\mathcal{U}}\}$.

Let $P \in \mathcal{U}$ be a covering such that D is P -discrete and let $\mathcal{F} \in \pi$. Since for every $A \in \mathcal{F}$, $|A| \geq \aleph_0$, there are no $u \in P$ such that $A \subset \text{st}(u, P)$ for some $A \in \mathcal{F}$. Thus $\bar{\mathcal{F}}$ is not a Cauchy filter in \mathcal{U} and so $\bar{\mathcal{F}}$.

To show that $\bar{\mathcal{F}}$ is a minimal Cauchy filter in $p^{\mathcal{U}}$ it suffices to show that a filter in X generated by $\bar{\mathcal{F}}$ is a Cauchy filter in $p^{\mathcal{U}}$. Let P be a finite covering belonging to $p^{\mathcal{U}}$. Put $P_D = \{u \cap D: u \in P\}$. Since P_D is a finite covering of D and $\bar{\mathcal{F}}$ is an ultrafilter on D , we have $u \cap D \in \bar{\mathcal{F}}$ and in consequence $u \in \bar{\mathcal{F}}$ for some $u \in P$.

If $\bar{\mathcal{F}} \neq \bar{\mathcal{F}}'$, then $A \cap A' = \emptyset$ for some $A \in \bar{\mathcal{F}}$ and $A' \in \bar{\mathcal{F}}'$. Let $P \in \mathcal{U}$ be such that $P \overset{*}{<} P_1$ and D is P_1 -discrete. A covering

$$Q = \{\text{st}(A, P), \cup \{u \in P: \text{st}(u, P) \cap A \neq \emptyset\}, \text{st}(A', P), \\ \cup \{u \in P: \text{st}(u, P) \cap A' \neq \emptyset\}, \cup \{u \in P: \text{st}(u, P) \cap (A \cup A') = \emptyset\}\}$$

belongs to $p^{\mathcal{U}}$ and $\text{st}(A, Q) \cap \text{st}(A', Q) = \emptyset$ because $\text{st}(A, Q) \subset \text{st}(A, P_1)$ and $\text{st}(A', Q) \subset \text{st}(A', P_1)$. Thus $\bar{\mathcal{F}} \neq \bar{\mathcal{F}}'$.

Since every element of a filter $\mathcal{F} \in \pi$ is closed in the space X we have $\lim \mathcal{F} = \emptyset$ in X and hence $\lim \bar{\mathcal{F}} = \emptyset$ in X . This completes the proof.

Notice that the Lemma can be deduced also from two facts; if a D is an infinite uniformly discrete set, then the closure of D is βD and $|\beta D| = \exp \exp |D|$.

THEOREM 1 (Reed). Let \mathcal{U} be a uniformity on a set X with $b^{\mathcal{U}} \geq m \geq \aleph_0$. Then the proximity class $[\mathcal{U}]$ contains at least $\exp \exp m$ distinct uniformities.

THEOREM 2. Let \mathcal{U} be a uniformity on a set X with $b^{\mathcal{U}} \geq m \geq \aleph_0$. Then there exist at least $\exp \exp m$ uniformities \mathcal{V} contained in \mathcal{U} with $b^{\mathcal{V}} \geq m \geq \aleph_0$ and belonging to distinct proximity classes.

Remark. If a topological space X has a dense set of power m , then there exist no more than $\exp \exp m$ uniformities on X .

Proof of Theorems 1 and 2. Let D be a uniformly discrete set of power m and let π be a family of ultrafilters on D having empty limits. From Lemma 1 it follows that $|\pi| = \exp \exp m$.

For every $\mathcal{F} \in \pi$ let $\mathcal{U}_{\mathcal{F}}$ be a uniformity induced by a subbase consisting of coverings belonging to $p^{\mathcal{U}}$ or of coverings of the form

$$P_A = \{v: V = \text{st}(A, P) \text{ or } v = u \in P \text{ if } u \cap A = \emptyset\},$$

where $A \in \mathcal{F}$ and $P \in \mathcal{U}$. To verify that the subbase is well defined it suffices to show that for every P_A there exists a $P' \in \mathcal{U}$ such that $P'_A \overset{*}{<} P_A$. Let us take $P' \overset{*}{<} P$, $P' \in \mathcal{U}$.

Let $u' \in P'_A$. Then $u' = \text{st}(A, P')$ or $u' \in P'$. If $u' = \text{st}(A, P')$, then

$$\text{st}(u', P'_A) = \text{st}[\text{st}(A, P'), P'_A]$$

$$= \cup \{\text{st}(u, P'): \text{st}(u, P') \cap A \neq \emptyset, u \in P'\} \subset \text{st}(A, P) \in P_A,$$

If $u' \in P'_A$ and $u' \in P'$, then $u' \cap \text{st}(A, P') \neq \emptyset$ or $u' \cap \text{st}(A, P') = \emptyset$; if $u' \cap \text{st}(A, P') \neq \emptyset$, then $\text{st}(u', P') \cap A \neq \emptyset$ and $\text{st}(u', P'_A) = \text{st}(A, P') \cup \text{st}(u', P') \subset \text{st}(A, P) \in P_A$; if $u' \cap \text{st}(A, P') = \emptyset$, then $\text{st}(u', P'_A) = \text{st}(u', P') \in v \in P \subset P_A$. Thus the subbase is well defined.

Notice that $p^{\mathcal{U}_{\mathcal{F}}} = p^{\mathcal{U}}$ because $p^{\mathcal{U}} \subset \mathcal{U}_{\mathcal{F}} \subset \mathcal{U}$.

Now we show that if $\bar{\mathcal{F}} \neq \bar{\mathcal{F}}'$ then $\mathcal{U}_{\bar{\mathcal{F}}} \neq \mathcal{U}_{\bar{\mathcal{F}}'}$. There exist infinite sets $A \in \bar{\mathcal{F}}$ and $A' \in \bar{\mathcal{F}}'$ which are subsets of D such that $A \cap A' = \emptyset$. From the construction of the uniformities $\mathcal{U}_{\bar{\mathcal{F}}}$ and $\mathcal{U}_{\bar{\mathcal{F}}'}$ it follows that A is uniformly discrete in $\mathcal{U}_{\bar{\mathcal{F}}}$ and A is not uniformly discrete in $\mathcal{U}_{\bar{\mathcal{F}}'}$. Hence $\mathcal{U}_{\bar{\mathcal{F}}} \neq \mathcal{U}_{\bar{\mathcal{F}}'}$.

For every two filters $\mathcal{F} \neq \mathcal{F}'$, $\mathcal{F}, \mathcal{F}' \in \pi$, let us define a uniformity $\mathcal{U}_{\mathcal{F}, \mathcal{F}'}$ induced by a subbase consisting of coverings of the form

$$P_{A, A'} = \{v : v = \text{st}(A \cup A', P) \text{ or } v = u \in P \text{ if } u \cap (A \cup A') = \emptyset\},$$

where $A \in \mathcal{F}$, $A' \in \mathcal{F}'$ and $P \in \mathcal{U}$.

Let $P \in \mathcal{U}$ be a covering such that D is P -discrete, $|D| = m \geq \aleph_0$. There exist three disjoint sets A_1, A_2, A_3 such that $|A_1| = |A_2| = |A_3| = m$ and $D = A_1 \cup A_2 \cup A_3$. Then, at most, two sets A_{i_1} and A_{i_2} from A_1, A_2, A_3 belong to $\mathcal{F}, \mathcal{F}'$ and hence the set A_{i_3} is $P_{A_{i_1} A_{i_2}}$ -discrete, $i_3 \neq i_1, i_2$. Hence $b\mathcal{U}_{\mathcal{F}, \mathcal{F}'} \geq m$.

Let $\mathcal{F}_1 \neq \mathcal{F}'_1, \mathcal{F}_1, \mathcal{F}'_1 \in \pi$ be two filters such that $\mathcal{F}_1 \neq \mathcal{F}, \mathcal{F}'$ and $\mathcal{F}'_1 \neq \mathcal{F}, \mathcal{F}'$. Notice that the filters $\overline{\mathcal{F}}_1, \overline{\mathcal{F}'_1}$ have distinct minimal Cauchy filters in $p\mathcal{U}_{\mathcal{F}, \mathcal{F}'}$ and they have a common minimal Cauchy filter in $p\mathcal{U}_{\mathcal{F}_1, \mathcal{F}'_1}$. Hence $p\mathcal{U}_{\mathcal{F}, \mathcal{F}'} \neq p\mathcal{U}_{\mathcal{F}_1, \mathcal{F}'_1}$.

The uniformity $\mathcal{U}_{\mathcal{F}, \mathcal{F}'}$ is compatible with the topology induced by \mathcal{U} . Let W be an open neighbourhood of a point $x \in X$. It may be assumed that $W = \text{st}(x, P)$, $P \in \mathcal{U}$. Since the filters \mathcal{F} and \mathcal{F}' have empty limits, there exists a $P' \in \mathcal{U}$ such that $\text{st}(x, P') \cap A = \text{st}(x, P') \cap A' = \emptyset$ for some $A \in \mathcal{F}$ and $A' \in \mathcal{F}'$. Let $P'' \in \mathcal{U}$ be such that D is P'' -discrete and $P'' \prec^* P$, $P'' \prec^* P'$. Then $\text{st}(x, P''_{A, A'}) \subset \text{st}(x, P_{A, A'}) = W$. This completes the proof.

Proof of the remark. Let A be a dense subset of X of power m . There are no more than expexpm families of subsets of $A \times A$ containing the diagonal of $A \times A$; thus there are no more uniformities on X than expexpm .

THEOREM 3. *Let \mathcal{U} and \mathcal{V} be uniformities on sets X and Y , respectively. If $b\mathcal{U} \geq m \geq \aleph_0$ and $b\mathcal{V} \geq m$, then there exist at least expexpm uniformities $\mathcal{U}_{\mathcal{F}}$ belonging to $[\mathcal{U}]$ and there exist at least expexpm uniformities $\mathcal{V}_{\mathcal{F}'}$ belonging to $[\mathcal{V}]$ such that if $\mathcal{F} \neq \mathcal{F}'$, then $p(\mathcal{U}_{\mathcal{F}} \times \mathcal{V}_{\mathcal{F}'}) \neq p(\mathcal{U}_{\mathcal{F}'} \times \mathcal{V}_{\mathcal{F}})$.*

Proof. Let $D \subset X$ be a uniformly discrete set in \mathcal{U} and let $C \subset Y$ be a uniformly discrete set in \mathcal{V} such that $|D| = |C| = m$. Let $\varphi: D \rightarrow C$ be a 1-1 map. Let π_D and π_C be families of all the ultrafilters on D and C having empty limits. There exists a 1-1 map $\psi: \pi_D \rightarrow \pi_C$ defined by $\psi(\mathcal{F}) = \{\varphi(A) : A \in \mathcal{F}\}$. Let $\mathcal{U}_{\mathcal{F}}$ and $\mathcal{V}_{\mathcal{F}'} = \mathcal{V}_{\psi(\mathcal{F})}$ be uniformities defined as in the proof of Theorems 2 and 3. Assume that $\mathcal{F} \neq \mathcal{F}'$ and $\mathcal{F}, \mathcal{F}' \in \pi$. There exists a set $A \in \mathcal{F}$ such that $A \cap A' = \emptyset$ for some $A' \in \mathcal{F}'$. The set A' is uniformly discrete in $\mathcal{U}_{\mathcal{F}}$ but not in $\mathcal{U}_{\mathcal{F}'}$.

Let us consider the sets

$$B_1 = \{(a, \varphi(a)) : a \in A\},$$

$$B_2 = \{(a', \varphi(a)) : a \neq a', a', a \in A\}.$$

Let $\delta_{\mathcal{F}}$ and $\delta_{\mathcal{F}'}$ mean the proximity relations induced by $\mathcal{U}_{\mathcal{F}} \times \mathcal{V}_{\mathcal{F}'}$ and $\mathcal{U}_{\mathcal{F}'} \times \mathcal{V}_{\mathcal{F}}$, respectively. Let $P \in \mathcal{U}$, $Q \in \mathcal{V}$ be such that D is P -discrete and C is Q -discrete. Then $\text{st}(B_1, P_{A'} \times Q_{\varphi(A)}) \cap B_2 = \emptyset$ (the covering P_A is defined in the proof of Theorems 2 and 3), because A is $P_{A'}$ -discrete and $\varphi(A)$ is $Q_{\varphi(A)}$ -discrete. Thus B_1 non $\delta_{\mathcal{F}'}$ B_2 . But B_1 $\delta_{\mathcal{F}}$ B_2 holds. In fact, since every basic covering $P' \in \mathcal{U}_{\mathcal{F}}$ is a form $P' = P_{A_1}^1 \wedge \dots \wedge P_{A_n}^n \wedge \bigwedge Q_1 \wedge \dots \wedge \bigwedge Q_m$, where $P^i \in \mathcal{U}$, $A_i \in \mathcal{F}$ and $Q_j \in p\mathcal{V}$, there exists a $u_0 \in P'$ which contains at least \aleph_0 elements of A . For the same reasons for every $Q \in \mathcal{V}_{\mathcal{F}'}$ there exists a $v_0 \in Q$ which contains at least \aleph_0 elements of $\varphi(u_0 \cap A)$ $\subset C$. Hence there exist some $a \neq a'$ such that $a, a' \in \varphi^{-1}[v_0 \cap \varphi(u_0 \cap A)]$. The points $(a, \varphi(a))$ and $(a', \varphi(a))$ belong to $u_0 \times v_0$. Thus $\text{st}(B_1, P \times Q) \cap B_2 \neq \emptyset$, for every $P \in \mathcal{U}_{\mathcal{F}}$, $Q \in \mathcal{V}_{\mathcal{F}'}$. Hence B_1 $\delta_{\mathcal{F}}$ B_2 .

COROLLARY. *If the spaces X and Y are not pseudocompact, then there exist at least 2^c compactifications of the product $X \times Y$ finer than $\beta X \times \beta Y$. If, in addition, X and Y are metric with $\text{weight } X = \text{weight } Y = m$, m is regular, then the set of the compactifications of $X \times Y$ finer than $\beta X \times \beta Y$ is equal to expexpm .*

Proof. If X and Y are not pseudocompact then the finest uniformities \mathcal{U} on X and \mathcal{V} on Y compatible with the topologies are not totally bounded. This means that $b\mathcal{U} \geq \aleph_0$ and $b\mathcal{V} \geq \aleph_0$. The completion of $(X, p\mathcal{U})$ leads to βX , the completion of $(Y, p\mathcal{V})$ to βY and the completion of $p\mathcal{U} \times p\mathcal{V}$ to $\beta X \times \beta Y$. By Theorem 3, there exist at least 2^c uniformities $\mathcal{U}_{\mathcal{F}} \times \mathcal{V}_{\mathcal{F}'}$ on $X \times Y$ such that all the uniformities $p(\mathcal{U}_{\mathcal{F}} \times \mathcal{V}_{\mathcal{F}'})$ are different from one another and finer than $p\mathcal{U} \times p\mathcal{V}$. The compactifications of $X \times Y$ corresponding to $p(\mathcal{U}_{\mathcal{F}} \times \mathcal{V}_{\mathcal{F}'})$ are different from one another and each of them majorizes $\beta X \times \beta Y$.

If X and Y are metric, then there are no more than expexpm compactifications of $X \times Y$, because $X \times Y$ has a dense set of power m . And there exist at least expexpm compactifications of $X \times Y$ finer than $\beta X \times \beta Y$ because the finest uniformities on X and Y have uniformly discrete sets of power m .

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Ideals in subalgebras of $C(X)$

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Abstract. For a k -space X let $C(X)$ denote the continuous real or complex-valued functions on X ; consider a uniformly closed subalgebra A of $C(X)$. If $E = \{x \in X: |f(x)| = \sup_{t \in X} |f(t)|\}$ for some $f \in A$, and if $p \in E$ is isolated in the boundary of E or if X is first countable, then $C(N_\infty)$ ($N_\infty =$ the one point compactification of the natural numbers) is a homomorphic image of A so that 1) the maximal ideal $I_p = \{f \in A: f(p) = 0\}$ contains 2^c mutually disjoint infinite chains of prime ideals of A ; 2) I_p is not countably generated; 3) if A has a peak point nonisolated in X , then A has a finitely generated ideal which is not principal and $\text{krull dim } A = \infty$.

Under further restrictions on X and A , countably generated ideals and chains of ideals of A are discussed. Applications to generalizations of the disc algebra are considered.

Let $C(X)$ denote the algebra of complex-valued continuous functions on a space X . The relationship between X and $C(X)$ has been studied for a long time; it is known that if X is nontrivial in almost any sense, $C(X)$ has an intricate ideal structure with an abundance of prime ideals in particular. For fixed X what aspects of this ideal structure do various subalgebras A of $C(X)$ share? We discover, roughly speaking, that when A is uniformly closed and X has a modicum of compact parts, the algebra of all continuous functions on the one point compactification N_∞ of the natural numbers is a homomorphic image of A and from known properties of $C(N_\infty)$, we deduce that A and $C(X)$ share many qualitative aspects (2.1 ff). For example both will contain chains of prime ideals of arbitrary length.

Even more can be said about a class of subalgebras (§ 1) which generalize to noncompact spaces the familiar notion of uniform algebra [15]. Here a Silov boundary can be introduced and as with $C(X)$ a closed countably generated ideal has a hull which meets this boundary in an open-closed set (3.6). Under further restrictions on X , chains of arbitrary ideals are also discussed (§ 4). Our results generalize theorems in [10], [11] and [13], and bear on the problem of characterizing $C(X)$ among its subalgebras discussed in [5] and [18].

The concept of peak point (§ 1) serves as our motif. It is such points, together with uniform closure, which breed the intricacies which dis-