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UNIVERSITY OF COLORADO

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## Lusin density and Ceder's differentiable restrictions of arbitrary real functions

by

Jack B. Brown (Auburn, Ala.)

**Abstract.** J. G. Ceder recently proved a theorem from which it follows that if  $A$  is an uncountable subset of the reals  $R$ , then for every  $f: A \rightarrow R$ , there exists a bilaterally dense in itself set  $B \subset A$  such that  $f|B$  is differentiable (infinite derivatives are allowed). Uncountability of  $A$  is necessary, and  $B$  cannot be made to have cardinality  $c$  (the cardinality of  $R$ ). The main purpose of this paper is to characterize those sets  $A \subset R$  for which it is true that for every  $f: A \rightarrow R$ , there exists a bilaterally  $c$ -dense in itself set  $W \subset A$  and a dense in  $W$  set  $B$  such that  $f|W$  is differentiable on  $B$ . A new notion of density results, and this notion is compared to known types of categoric density in metric spaces.

**1. Introduction.** A set  $B$  is *bilaterally dense* ( $c$ -dense) in itself if every closed interval containing an element of  $B$  contains points ( $c$ -many points) of  $B$ . A real function  $f$  is *differentiable at  $x$*  if and only if  $f$  is continuous at  $x$ ,  $x$  is a limit point of the domain  $D_f$  of  $f$ , and it is true that there is an extended number  $m$  (possibly  $+\infty$  or  $-\infty$ ) such that if  $\{x_n\}$  is a sequence of elements of  $D_f - \{x\}$  converging to  $x$ , then  $\{(f(x) - f(x_n))/(x - x_n)\}$  converges to  $m$ .

In [4] Ceder gives the following:

**THEOREM C.** *If  $A$  is an uncountable number set, then for every  $f: A \rightarrow R$ , there exists a countable set  $C \subset A$  such that for each  $x \in A - C$  there exists a bilaterally dense in itself set  $B \subset A - C$  containing  $x$  such that  $f|B$  is differentiable and monotonic.*

$B$  cannot be made to have cardinality  $c$ . Ceder's argument for the monotonicity part of Theorem C has a mistake in it, but a correction is given in [7], and a short alternative correction is given in this paper. It is easy to show that if  $A \subset R$  is countable, there exists  $f: A \rightarrow R$  which has no continuous restriction to any dense in itself subset of  $A$ .

The primary purpose here is to prove the following two theorems:

**THEOREM 1.** *If  $A$  is an  $I_2$  set, then for every  $f: A \rightarrow R$ , there exists an  $I_1$  set  $C \subset A$  such that for each  $x \in A - C$  there exists a bilaterally  $c$ -dense in itself set  $W \subset A - C$  and a dense in  $W$  set  $B$  containing  $x$  such that  $f|W$  is differentiable on  $B$ .*

" $L_1$ " and " $L_2$ " are notions of "scarcity" and "abundance", respectively, analogous to countability and uncountability, respectively, and defined as follows. A set  $M$  is *Lusin* in a set  $N$  if  $M \subset N$  and there is no subset of  $M$  of cardinality  $c$  which is nowhere dense in  $N$  (N. Lusin showed [9] that if the Continuum Hypothesis is true, there is a Lusin in  $\mathbb{R}$  set of cardinality  $c$ ). An  $L_1$  set is the union of countably many sets, each Lusin in itself, and an  $L_2$  set is one which is not an  $L_1$  set. Properties  $L_1$  and  $L_2$  are termed "measures of Lusin density".

The phrase "and  $f|W$  is monotonic" cannot be added to the end of the statement of Theorem 1. This author has found it necessary to leave open the question as to whether the phrase "and  $f|B$  is monotonic" can be added to the end of the statement of Theorem 1.

**THEOREM 2.** *If  $A$  is an  $L_1$  set, then there exists a function  $f: A \rightarrow \mathbb{R}$  such that if  $W \subset A$  is bilaterally  $c$ -dense in itself and  $B \subset W$  is dense in  $W$ , then  $f|W$  is discontinuous at some element of  $B$ .*

The relationship between Theorem 1 and Ceder's theorem is analogous to the relationship between the theorems the author presented in [3] and a theorem of Bradford and Goffman [2] concerning Blumberg's theorem [1].

**THEOREM BG [2].** *If  $A$  is a metric space, the following are equivalent:*  
1) *for every  $f: A \rightarrow \mathbb{R}$ , there exists  $D$ , dense in  $A$ , such that  $f|D$  is continuous,*

2)  *$A$  is  $G_{\Pi}$  [6] (i.e. every set open in  $A$  is 2nd category in  $A$ ).*

**THEOREM B. [3].** *If  $A$  is a separable metric space then the following are equivalent:*

1) *for every  $f: A \rightarrow \mathbb{R}$ , there exists a  $c$ -dense in  $A$  set  $W$  and a dense in  $W$  set  $D$  such that  $f|W$  is continuous on  $D$ ,*

2)  *$A$  is  $c$ -typically dense in itself (i.e. no open in  $A$  set is the union of a 1st category in  $A$  set and a Lusin in  $A$  set).*

The separability of  $A$  in Theorem B is used only in showing 1)  $\rightarrow$  2).

In section 3,  $L_1$  sets,  $L_2$  sets, and  $L_2$ -density are defined for a general metric space setting, and these notions are investigated and compared to  $c$ -typical density and other forms of categoric density previously defined. Also, as a postscript, some possible variations of Theorem B are discussed.

**2. Differentiable restrictions of real functions.** A number  $x$  is a *limit* ( $c$ -limit) ( $L_2$ -limit) *point of a number set  $A$*  if for every open interval  $I$  containing  $x$ ,  $I \cap A$  is infinite (of cardinality  $c$ ) (an  $L_2$  set). The limits are termed *bilateral* if "open" can be replaced by "closed". Similarly, a point  $P$  of  $\mathbb{R}^2$  is a *bilateral limit* ( $c$ -limit) ( $L_2$ -limit) *point of a function  $f$*  if for every square  $S$  (includes interior) with a vertical side having center

$P$ ,  $\pi(S \cap f)$  is infinite (of cardinality  $c$ ) (an  $L_2$  set), where  $\pi$  denotes  $X$ -projection. A set  $A$  is (bilaterally)  $L_2$ -dense in itself if each element of  $A$  is a (bilateral)  $L_2$ -limit point of  $A$ .

It should be remarked that "cardinality  $c$ " could be replaced by "cardinality  $\aleph_1$ " in the definitions and in Theorem 1, but a difficulty would arise in the proof of the resulting Theorem 2 under this change.

The fact (see König's Theorem [6]) that the union of countably many sets having cardinality less than  $c$  has cardinality less than  $c$  is used repeatedly without so stating.

$L_1$  sets and  $L_2$  sets have certain relationships to each other analogous to those which hold for countable sets and uncountable sets. Some of these are expressed in the following lemmas.

**LEMMA 0.** *Any set of cardinality less than  $c$  is Lusin in itself. Any subset of a Lusin in itself (respectively  $L_1$ ) set is a Lusin in itself (respectively  $L_1$ ) set. The union of countably many  $L_1$  sets is an  $L_1$  set.*

**Proof.** Follows directly from definitions.

**LEMMA 1.** *If  $x$  is an element of a bilaterally  $L_2$ -dense in itself set  $M$ , then there exists a bilaterally  $c$ -dense in itself nowhere dense in  $M$  subset  $N$  of  $M$  containing  $x$ .*

**Proof.** Suppose  $x$  is an element of a bilaterally  $L_2$ -dense in itself set  $M$ . For each positive integer  $n$ ,  $A_n = [x, x+1/n] \cap M$  is an  $L_2$  set and therefore has a nowhere dense in  $A_n$  subset  $B_n$  of cardinality  $c$ , and  $B_n$  will have a bilaterally  $c$ -dense in itself subset  $R_n$ . Similarly, there will exist a bilaterally  $c$ -dense in itself subset  $L_n$  of  $[x-1/n, x] \cap M$ . Then  $N = L_1 \cup L_2 \cup \dots \cup (x) \cup R_1 \cup R_2 \cup \dots$  is the desired set.

**LEMMA 2.** *If  $A$  is an  $L_2$  set, then  $A = B \cup C$ , where  $B$  is an  $L_1$  set and  $C$  is bilaterally  $L_2$ -dense in itself.*

**Proof.** Let  $B$  be the set of all points of  $A$  which are not bilateral  $L_2$ -limit points of  $A$ . For each  $x \in A$ , let  $I_x$  be the longest interval containing  $x$  such that  $I_x \cap A$  is an  $L_1$  set.  $\{I_x | x \in B\}$  is a collection of a mutually exclusive intervals and therefore countable. Thus,  $\{I_x \cap A | x \in A\}^* = B$  is an  $L_1$  set ( $H^*$  denotes the union of the sets in a collection  $H$  of sets). Then each element of  $C = A - B$  is a bilateral  $L_2$ -limit point of  $A$ , and since  $B$  is an  $L_1$  set, it follows that  $C$  must be bilaterally  $L_2$ -dense in itself.

**LEMMA 3.** *If  $A$  is an  $L_2$  set and  $f$  is a function from  $A$  into  $\mathbb{R}$ . Then  $f$  contains a bilateral  $L_2$ -limit point of itself.*

**Proof.** A modification of the proof of Lemma 4 of [5] will be used. Suppose the lemma is false, and for each  $x \in A$ , let  $S_x$  be a square with a vertical side of length  $l_x$  having center  $\langle x, f(x) \rangle$  such that  $\pi(f \cap S_x)$  is an  $L_1$  set. Assume that  $A' = \{x | \langle x, f(x) \rangle \text{ belongs to the left side of } S_x\}$

is an  $L_2$  set. For some positive integer  $n$ ,  $B = \{x \in A \mid l_x > 1/n\}$  is an  $L_2$  set. Therefore,  $B$  contains a bilateral  $L_2$ -limit point of itself, so let  $x$  denote such a point. Then there exists a vertical square  $S$  with right side of length  $1/2n$  and right side having center  $\langle x, j/2n \rangle$  for some integer  $j$ , such that  $\pi(S \cap f|B) = D$  is an  $L_2$  set. Let  $y \in D$  be a bilateral  $L_2$ -limit point of  $D$ . Then  $\pi(f \cap S_y)$  is an  $L_2$  set, and this is a contradiction.

LEMMA 4. If  $A$  is an  $L_2$  set and  $f$  is a function from  $A$  into  $R$ , then there exists an  $L_1$  subset  $B$  of  $A$  such that each point of  $f|(A-B)$  is a bilateral  $L_2$ -limit point of  $f|(A-B)$ .

Proof. Let  $B$  be the set of all points of  $A$  which are not bilateral  $L_2$ -limit points of  $f$ . It follows from Lemma 3 that  $B$  cannot be an  $L_2$  set. Thus, each point of  $f|(A-B)$  is a bilateral  $L_2$ -limit point of  $f$ , and since  $B$  is an  $L_1$  set, it follows that each point of  $f|(A-B)$  is a bilateral  $L_2$ -limit point of  $f|(A-B)$ .

LEMMA 5 (Ceder [4, Th. 3]). If  $D$  is an uncountable set and  $f$  is a function from  $D$  into  $R$ , then there exists a countable subset  $E$  of  $D$  such that for each  $x \in D-E$ ,

$$D_L[f|(D-E), x] \cap D_R[f|(D-E), x] \neq \emptyset.$$

$D_L(f, x) = \{m \in [-\infty, \infty] \mid m = \lim_n (f(x) - f(x_n))/(x - x_n) \text{ for some increasing sequence } \{x_n\} \text{ of elements of } D_f \text{ converging to } x\}$ .  $D_R(f, x)$  is defined similarly for decreasing sequences.

LEMMA 6. If  $A$  is an  $L_2$  set and  $f$  is a function from  $A$  into  $R$ , then there exists an  $L_1$  subset  $C$  of  $A$  such that for each  $x \in A-C$ , there exists a bilaterally  $L_2$ -dense in itself subset  $M$  of  $A-C$  containing  $x$  such that  $f|M$  is differentiable at  $x$ .

Proof. Let  $BCA$  be the set described in Lemma 4. Then let  $D = A-B$  and let  $E$  be the set described in Lemma 5. Now, let  $C = B \cup E$ .  $C$  is an  $L_1$  set, and each point of  $f|(A-C)$  is a bilateral  $L_2$ -limit point of  $f|(A-C)$ . Let  $x \in A-C$ .  $D_L[f|(A-C), x] \cap D_R[f|(A-C), x] \neq \emptyset$ , so let  $\{x_n\}$  and  $\{y_n\}$  be increasing and decreasing sequences, respectively, from  $A-C$  converging to  $x$  such that

$$\lim_n (f(x_n) - f(x))/(x_n - x) = \lim_n (f(y_n) - f(x))/(y_n - x).$$

Now, for each integer  $n \geq 2$ , let  $U_n$  be an  $L_2$ -dense in itself subset of the open in  $A-C$  set  $((x_{n-1} + x_n)/2, (x_n + x_{n+1})/2) \cap (A-C)$  such that for each  $t \in U_n$ ,  $|(f(t) - f(x))/(t - x) - (f(x_n) - f(x))/(x_n - x)| < 1/n$ , and let  $V_n$  be a similar set associated with  $y_n$ . Then,  $M = (U_2 \cup U_3 \cup \dots) \cup (x) \cup (V_2 \cup V_3 \cup \dots)$  is the desired set.

Proof of Theorem 1. Let  $A$  be an  $L_2$  set and  $f$  be a function from  $A$  into  $R$ . Let  $C$  be the set of Lemma 6, and let  $x \in A-C$ . Let  $G_1$  be

the set whose only element is  $A-C$ , and proceed inductively as follows: Given  $G_n$ , then for each  $S \in G_n$ , (1) let  $M_S$  be a bilaterally  $L_2$ -dense in itself subset of  $S$  such that  $f|M_S$  is differentiable at some element  $x_S$  of  $M_S$  (make sure  $x_S = x$  on the first step), (2) let  $N_S$  be a nowhere dense in  $M_S$  subset of  $M_S$  containing  $x_S$  which is bilaterally  $c$ -dense in itself, (3) let  $K_S$  be the collection to which  $k$  belongs if and only if  $k$  is a non-empty intersection  $M_S \cap I$  for some component  $I$  of  $R - \text{Cl}(N_S)$ , and (4) let  $G_{n+1} = \{K_S \mid S \in G_n\}^*$ .

Now, let  $W = \{t\}$  there is a positive integer  $n$  and a set  $S$  in  $G_n$  such that  $t \in N_S$ , and let  $B = \{t\}$  there is a positive integer  $n$  and a set  $S$  in  $G_n$  such that  $t = x_S$ . Each set  $N_S$  is bilaterally  $c$ -dense in itself, so  $W$  is also. To show that  $B$  is dense in  $W$ , suppose  $t \in W$  and  $d > 0$ . There is a positive integer  $n$  and an element  $S$  of  $G_n$  such that  $t \in N_S$ .  $N_S$  is bilaterally  $c$ -dense in itself, so there is another element  $t'$  of  $N_S$  within  $d > 0$  of  $t$ . There is a set  $T$  of  $K_S$  which lies between  $t$  and  $t'$ .  $T \in G_{n+1}$ , and  $x_T$  will be an element of  $B$  within  $d > 0$  of  $t$ .

Now, let  $t \in B$ . There is a positive integer  $n$  and a set  $S$  in  $G_n$  such that  $t = x_S$ .  $f|M_S$  is differentiable at  $x_S$ , and  $M_S \cap W$  is open in  $W$ , so  $f|W$  is differentiable at  $t$ .

Proof of Theorem 2. Suppose  $A$  is an  $L_1$  set. Then there exists a sequence  $M_1, M_2, \dots$  of sets, each Lusin in itself, such that  $A = M_1 \cup M_2 \cup \dots$ . Let  $g: R \rightarrow R$  be the function of Zygmund and Sierpiński [8] which has no continuous restriction of cardinality  $c$ . Let  $f: A \rightarrow R$  be defined by  $f(x) = 2n\pi + \text{Arctan}(g(x))$  if  $x \in M_n \setminus \bigcup_{k < n} M_k$ . Now, suppose

$WC A$  is bilaterally  $c$ -dense in itself,  $D$  is dense in  $W$ , and  $f|W$  is continuous on  $D$ . Let  $t$  be an element of  $D$  and  $n$  be the integer such that  $t \in M_n$ . It follows that there is a set  $V$ , open in  $W$ , which is a subset of  $M_n$ .  $f|V$  is continuous on a dense subset of  $V$ , and therefore continuous on a dense  $G_\delta$  subset  $U$  of  $V$ . Since  $M_n$  is Lusin in itself, so is  $V$ . It follows that  $U$  has cardinality  $c$  and  $f|U$  is continuous, which is a contradiction.

The remainder of section 2 concerns the monotonicity of  $f|B$  in Ceder's theorem.

The problem in Ceder's argument occurs in his Lemma 3, which states that if  $B$  is bilaterally dense in itself and  $f: B \rightarrow R$  is differentiable, then there exists  $A \subset B$  such that  $A$  is bilaterally dense in itself and  $f|A$  is differentiable and monotonic. Consider the following example. Let  $B$  be the set to which  $x$  belongs if and only if there is a sequence  $\{t_n\}$ , each term of which is either  $-1, 0$ , or  $1$  and only finitely many terms of which are not  $0$  such that  $x = \sum_n t_n 10^{-n}$ , in which case,  $f(x) = \sum_n (t_n 10^{-n})^2$ .  $f$  has derivative zero at each point. However, each point of  $f$  is a local proper minimum for  $f$ , so  $f$  can have no monotonic restriction to a bilaterally dense in itself subset of  $B$ .

In proving the monotonicity part of Theorem C, care must be taken to avoid local maxima and minima so that the sequences Ceder describes on lines 7-9 of the argument for Lemma 3 of [4] will exist. Therefore, define  $g'(x) = +0$  (respectively  $-0$ ) if and only if  $g'(x) = 0$ , and there exist sequences  $\{x_n\}$  and  $\{y_n\}$ , increasing and decreasing, respectively, with limit  $x$  such that  $\{g(x_n)\}$  and  $\{g(y_n)\}$  are increasing and decreasing (decreasing and increasing), respectively. Then  $g$  is *monotonically differentiable* at  $x$  if and only if  $g$  is differentiable at  $x$  and either  $g'(x) \neq 0$  or else  $g'(x) = \pm 0$ .

Notice that if " $L_1$ " and " $L_2$ " are replaced by "countable" and "uncountable", respectively, in Lemmas 2-6, the resulting statements (to be referred to as Lemmas 2'-6', respectively) are true.

LEMMA 7'. If  $A \subset R$  is uncountable and  $f: A \rightarrow R$  has no uncountable horizontal restriction, then there exists a countable set  $F \subset A$  such that if  $x \in A - F$ , there exists a bilaterally uncountably-dense in itself subset  $M$  of  $A - F$  such that  $f|M$  is monotonically differentiable at  $x$ .

Proof. Suppose  $f$  satisfies the hypothesis, but there exists no bilaterally uncountably dense in itself subset  $M$  of  $A$  such that  $f|M$  is monotonically differentiable at some element  $x$  of  $M$ . Let  $C$  be the set of Lemma 6'. It follows that for each  $x \in A - C$ , there exist positive numbers  $c_x$  and  $d_x$  such that either  $U_x = \{t \mid x < t < x + d_x \text{ and } 0 < (f(x) - f(t))/(x - t) < c_x\}$  or  $V_x = \{t \mid x < t < x + d_x \text{ and } -c_x < (f(x) - f(t))/(x - t) < 0\}$  is countable. Assume that the set  $B = \{x \in A - C \mid U_x \text{ is countable}\}$  is uncountable, and let  $n$  be a positive integer such that  $D = \{x \in B \mid c_x > 1/n \text{ and } d_x > 1/n\}$  is uncountable. Now, let  $M$  be a bilaterally uncountably dense in itself subset of  $D$  such that  $f|M$  is differentiable at some element  $y$  of  $M$  (Lemma 6').  $(f|M)'(y) = 0$  and  $(f|M)'(y) \neq -0$  and  $U_y$  is countable, so there must exist an element  $x$  of  $M$  such that  $y - 1/2n < x < y$  and  $0 < (f(x) - f(y))/(x - y) < 1/2n$ . It follows that  $U_x$  is uncountable, and this is a contradiction.

Proof of Theorem C. First it will be proved that there exists some bilaterally dense in itself subset  $B$  of  $A$  such that  $f|B$  is differentiable and monotonic. If  $f$  has an uncountable horizontal restriction, the proof is trivial, so assume otherwise. Let  $G_1 = (A)$  and make the following changes in the inductive process of the proof of Theorem 1. Replace " $L_1$ " and " $L_2$ " by "countable" and "uncountable", respectively. On part (1), make sure  $f|M_S$  is *monotonically* differentiable at  $x_S$ . On part (2) just let  $N_S$  be a nowhere dense in  $M_S$  subset of  $M_S$  containing  $x_S$  as a bilateral limit point. Ignore  $W$  and define  $B$  as before. To show that  $B$  is bilaterally dense in itself, let  $t \in B$  and  $d > 0$ . There is a positive integer  $n$  and a set  $S$  in  $G_n$  such that  $t = x_S$ .  $x_S$  is a bilateral limit point of  $N_S$ , so there are elements  $a$  and  $b$  of  $N_S$  to the left and right, respectively, of  $x_S$  and

within  $d > 0$  of  $x_S$ . Then there exist sets  $T$  and  $U$  in  $G_{n+1}$  such that  $T$  lies between  $a$  and  $x_S$  and  $U$  lies between  $x_S$  and  $b$ . Then  $x_T$  and  $x_U$  will be elements of  $B$  to the left and right, respectively, of  $t$  within  $d > 0$  of  $t$ .

Thus,  $B$  is a bilaterally dense in itself subset of  $A$  and  $f|B$  is differentiable (proof as before), which is the point to which Ceder arrives on line 13 of p. 357 of [4]. However,  $f|B$  is actually *monotonically* differentiable now, and with this additional hypothesis, Ceder's Lemma 3 and the rest of his argument for Theorem C is valid with only minor changes.

**3. Lusin density in a metric setting.** It is clear from Lemma 2 that the conclusion of Theorem 1 holds for a subset  $A$  of  $R$  if and only if  $A$  contains an  $L_2$ -dense in itself subset. To give a better idea of which sets do have this property,  $L_2$ -density will be compared with other types of categoric density. Because of possible future applications of this concept in more general situations, the comparison is given in a general metric setting.

The definition of a number set  $M$  being Lusin in a number set  $N$  could be generalized directly to sets in a metric space, but this leads to certain complications in non-separable spaces. The author encountered some of these complications in the preparation of an earlier paper [3], and the referee of that paper suggested defining the concept as follows:  $M$  is Lusin in  $N$  if and only if every nowhere dense in  $N$  subset  $L$  of  $M$  is a countable union  $L = L_1 \cup L_2 \cup \dots$ , where each  $L_i$  is of local cardinality less than  $c$  (i.e. such that if  $x \in L_i$  for some  $i$ , then there is a neighborhood  $U$  of  $x$  such that  $U \cap L_i$  has cardinality less than  $c$ ). The necessity for the change to "local cardinality" is fairly obvious due to non-separable spaces such as the following; let  $X$  be the unit square disc and  $\delta$  be the metric defined by  $\delta(\langle a, b \rangle, \langle c, d \rangle) = |b - d|$  if  $a = c$  and 1 otherwise. Then the set  $M$  of points in  $X$  with rational ordinates is Lusin in  $X$  because  $M$  is itself of local cardinality less than  $c$ . However,  $M$  would not satisfy the direct generalization of the definition given in Section 1 because  $M$  contains nowhere dense in  $X$  sets (containing just one point for each abscissa) with cardinality  $c$ . In this space, a set  $M$  is Lusin in  $X$  if and only if each nowhere dense in  $X$  subset  $L$  of  $M$  is *itself* of local cardinality less than  $c$ . However, this simplification of the definition would prove unsatisfactory because of non-separable spaces such as the following; let  $X$  be the set of all number sequences and  $\delta$  be the metric defined by  $\delta(\{x_n\}, \{y_n\}) = 1/2^k$ , where  $k$  is the least positive integer such that  $x_k \neq y_k$ . Then, the set  $M$  of all sequences in  $X$  which have even subscripted terms equal to zero and which terminate in zeros is itself nowhere dense in  $X$  but of local cardinality  $c$ . However,  $M = M_1 \cup M_2 \cup \dots$  where  $M_i = \{\{x_n\} \in M \mid x_j = 0 \text{ if } j \geq i\}$ , and each  $M_i$  is locally finite.



First it will be pointed out that the new definition does reduce to the direct generalization of the definition given in Section 1 in separable spaces.

**THEOREM 3.** *If  $M \subset N \subset X$ , where  $X$  is separable, then  $M$  is Lusin in  $N$  if and only if there is no subset of  $M$  of cardinality  $c$  which is nowhere dense in  $N$ .*

*Proof.* Suppose  $M$  is Lusin in  $N$  and  $L \subset M$  is nowhere dense in  $N$ . Then  $L = L_1 \cup L_2 \cup \dots$ , where each  $L_i$  is of local cardinality less than  $c$ . Since each  $L_i$  is separable, it follows that each  $L_i$  is of cardinality less than  $c$ . Therefore  $L = L_1 \cup L_2 \cup \dots$  is of cardinality less than  $c$ . The proof in the opposite direction is obvious.

The notions of an  $L_1$  set and an  $L_2$  set, as well as  $L_2$ -density are defined as before but in terms of the generalized notion of a Lusin set. Now, the notion of a subset  $M$  of a metric spaces  $X$  being a  $T_1$  set (called "first  $c$ -type" in [3]) shall mean that it is the union of a first category set and a Lusin in  $X$  set. A  $T_2$  set (called "second  $c$ -type" in [3]) is one which is not a  $T_1$  set, and  $M$  is  $T_2$ -dense in  $X$  (called " $c$ -typically dense in  $X$ " in [3]) if and only if for every open set  $Q$ ,  $Q \cap M$  is a  $T_2$  set.

**THEOREM 4.** *A metric space  $X$  is  $T_2$ -dense in itself if and only if no open set is the union of a first category set and an  $L_1$  set.*

*Proof.* Suppose  $X$  is  $T_2$ -dense in itself. If  $X$  is not  $G_{II}$ , the proof is immediate, so assume  $X$  is  $G_{II}$ , but that there is an open set  $O = K \cup N$ , where  $K$  is first category and  $N$  is an  $L_1$  set. Then  $N = N_1 \cup N_2 \cup \dots$ , where each  $N_i$  is Lusin in itself. Some  $N_i$  must be second category, so for each  $N_i$ , let  $N'_i = \{x \in N_i \mid x \text{ is an element of some open set in which } N_i \text{ is dense}\}$ . Then  $K' = K \cup (N_1 - N'_1) \cup (N_2 - N'_2) \cup \dots$  is still first category. Let  $N' = N'_1 \cup N'_2 \cup \dots$ . Then  $O = K' \cup N'$ . Now let  $Q$  be a nowhere dense in  $X$  subset of  $N'$ . Then if  $i$  is a positive integer such that  $N'_i$  is not empty,  $N'_i$  is a dense subset of some open set  $U$ , so that  $Q \cap N'_i$  is nowhere dense in  $N'_i$  and nowhere dense in  $N_i$ . Thus,  $Q \cap N'_i = A^1_i \cup A^2_i \cup A^3_i \cup \dots$ , where each  $A^j_i$  is of local cardinality less than  $c$ . So  $Q = A^1_1 \cup A^2_1 \cup A^3_1 \cup A^1_2 \cup A^2_2 \cup A^3_2 \cup \dots$ , and  $N'$  is Lusin in  $X$ . Therefore  $O$  is a  $T_1$  set and this is a contradiction. The proof in the opposite direction is obvious.

Now, a standard version of the Baire Category Theorem states that every complete metric space is  $G_{II}$ . Hausdorff [6] defines various "density" or "compactness" properties which are intermediate to completeness and  $G_{II}$ . It is shown that for metric spaces,

$$\text{completeness} \rightarrow \text{absolute } G_\delta \rightarrow F_{II} \rightarrow G_{II},$$

but that none of these implications is reversible. It will now be shown (using the Continuum Hypothesis CH when necessary) that in perfect

metric spaces,  $L_2$ -density and  $T_2$ -density compare nicely with property  $G_{II}$  and property  $F_{II}$ , which means that each perfect set is second category in itself.

**THEOREM 5.** *If  $X$  is a perfect (i.e. having no isolated points) metric space, then*

$$F_{II} \xrightarrow{\text{CH}} T_2\text{-density} \begin{matrix} \nwarrow L_2\text{-density} \\ \searrow G_{II} \end{matrix} \rangle \text{uncountable density},$$

but none of the implications is reversible.

*Proof.* Suppose  $X$  is  $F_{II}$  but not  $T_2$ -dense in itself. Then there exists an open set  $O$  such that  $O = N_1 \cup N_2 \cup \dots \cup L$ , where each  $N_i$  is nowhere dense and  $L$  is Lusin in  $X$ . We can assume, without loss of generality, that each  $N_i$  is closed and  $L = O - (N_1 \cup N_2 \cup \dots)$ . Since  $F_{II} \rightarrow G_{II}$ ,  $L$  must be dense in some open set  $W$ . Let  $G_1 = (W)$ ,  $P_W$  be a point of  $W \cap L$ , and proceed inductively as follows: Given  $G_n$  and  $P_J$  for each  $J \in G_n$ , then for each  $J \in G_n$ , (1) let  $Q_J$  be a point of  $J \cap L$  distinct from  $P_J$ , (2) let  $U$  and  $V$  be mutually exclusive neighborhoods having centers  $P_J$  and  $Q_J$ , respectively, radii less than  $1/n$ , and closures lying in  $J - N_n$ , (3) rename  $P_J = P_U$  and  $Q_J = P_V$ , and (4) let  $G_{n+1} = \{g \mid \text{for some set } J \in G_n, g \text{ is either the set } U \text{ or the set } V \text{ described in (2)}\}$ . Then, the set  $N = L \cap (G_1^* \cap G_2^* \cap \dots)$  is a separable perfect subset of  $L$ . Since  $N$  is nowhere dense in  $X$ , it is the union of countable many sets each having cardinality less than  $c$ , and since  $N$  is separable, it follows that  $N$  is of cardinality less than  $c$ . Assuming CH, it follows that  $N$  is countable. So  $N$  is a perfect subset of  $X$  which is not second category in itself. This is a contradiction.

That  $T_2$ -density implies both  $L_2$ -density and  $G_{II}$  follows immediately from Theorem 4. Furthermore, if there is a countable open set  $O$ , it follows that since  $X$  is perfect,  $O$  is both first category and an  $L_1$  set, so the last two implications hold.

To show that the implications are not reversible, assume CH and consider a Lusin set  $L$  which is uncountably dense in  $R$  but has no uncountable nowhere dense subset. Let  $C_1, C_2, \dots$  be a sequence of mutually exclusive Cantor sets such that  $C_1 \cup C_2 \cup \dots$  is dense in  $R$ , and for each  $i$ , let  $C'_i$  be a subset of  $C_i$  which is uncountably dense in  $C_i$  but which has no uncountable nowhere dense in  $C_i$  subset. Then,  $X = C'_1 \cup C'_2 \cup \dots$  would be uncountably dense in itself but neither  $L_2$ -dense in itself nor  $G_{II}$ . Furthermore,  $L$  is  $G_{II}$  but not  $L_2$ -dense in itself,  $C = C_1 \cup C_2 \cup \dots$  is  $L_2$ -dense in itself but not  $G_{II}$ , and  $L \cup C$  is both  $G_{II}$  and  $L_2$ -dense in itself but not  $T_2$ -dense in itself. Finally, let  $E$  be the set of endpoints of  $C_1$  and  $Y = (R - C_1) \cup E$ . Then,  $Y$  is  $T_2$ -dense in itself but not  $F_{II}$ .

**COROLLARY.** *If the domain  $A$  of a real function  $f$  contains a perfect in  $R$  set, then the conclusion of Theorem 1 holds.*

Properties  $G_{\Pi}$  and  $F_{\Pi}$  have been shown to have great significance in some theorems. For example, it is shown in [6, p. 287] that if  $A$  is  $G_{\Pi}$ , then every  $g \in \mathcal{B}(A)$  (the collection of pointwise limits of sequences of continuous real valued functions with domain  $A$ ) is at most pointwise discontinuous (i.e. continuous on a dense subset of  $A$ ). It follows immediately [6, p. 288] that if  $A$  is  $F_{\Pi}$ , then for every  $f \in \mathcal{B}(A)$  and every closed set  $M \subset A$ ,  $f|_M$  is at most pointwise discontinuous. In a similar manner, Theorems BG, B, 1, 2, and C lead immediately to the following four theorems.

**THEOREM BG+.** *If  $A$  is a metric space, then the following are equivalent:*

- 1) *for every  $f: A \rightarrow R$  and perfect  $M \subset A$ , there exists  $D$ , dense in  $M$ , such that  $f|_D$  is continuous,*
- 2)  *$A$  is  $F_{\Pi}$ .*

**THEOREM B+.** *If  $A$  is a separable metric space, then the following are equivalent:*

- 1) *for every  $f: A \rightarrow R$  and perfect  $M \subset A$ , there exists a  $c$ -dense in  $M$  set  $W$  and a dense in  $W$  set  $D$  such that  $f|_W$  is continuous on  $D$ ,*
- a) *every perfect subset of  $A$  is  $T_2$ -dense in itself.*

**THEOREM 1+.** *If  $A \subset R$ , the following are equivalent:*

- 1) *for every  $f: A \rightarrow R$  and perfect in  $A$  subset  $M$  of  $A$ , there exists a bilaterally  $c$ -dense in itself subset  $W$  of  $M$  and a dense in  $W$  set  $B$  such that  $f|_W$  is differentiable on  $B$ ,*
- b) *every perfect in  $A$  subset of  $A$  is an  $I_2$  set.*

**THEOREM C+.** *If  $A \subset R$ , the following are equivalent:*

- 1) *for every  $f: A \rightarrow R$  and perfect in  $A$  subset  $M$  of  $A$ , there exists a bilaterally dense in itself subset  $B$  of  $M$  such that  $f|_B$  is differentiable and monotonic,*
- c) *every perfect in  $A$  subset of  $A$  is uncountable.*

On the basis of Theorem 5, it is easily seen that condition b and property  $F_{\Pi}$  are both intermediate in strength to conditions a and c. It is interesting that the following turns out to be true.

**THEOREM 6.** *In metric spaces  $A$ , property  $F_{\Pi}$  and conditions a, b, and c are all equivalent.*

**Proof.** It is easily seen that condition c and  $F_{\Pi}$  are equivalent [6, p. 336]. So it is necessary to show only that  $F_{\Pi}$  implies condition a. If condition a fails, it follows that there is a perfect subset  $M$  of  $A$  such that  $M$  is not  $T_2$ -dense in itself. Then, as in the first part of the proof of Theorem 5, it can be shown that there is a countable set  $N$  which is perfect in  $M$ .  $N$  will also be perfect in  $A$ , but of first category in itself, so  $A$  is not  $F_{\Pi}$ .

On more possible variation on Theorem B will now be considered. It follows from Theorem B that if  $f: R \rightarrow R$ , there exists a  $c$ -dense in  $R$

set  $W$  such that  $f|_W$  is at most pointwise discontinuous. Considering the relationship between pointwise discontinuity and Baire's first class  $\mathcal{B}$ , it is natural to ask, "can  $W$  be chosen so that  $f|_W$  is also in  $\mathcal{B}(W)$ ?" To show the impossibility of this, consider the function  $f: R \rightarrow R$  of Sierpiński and Zygmund constructed in the third paragraph on p. 423 of [8], except let  $\Phi$  be the family of all real valued Borel functions defined on  $G_\delta$  subsets of  $R$ . Suppose  $W \subset R$  is  $c$ -dense in  $R$  and  $f|_W$  is in  $\mathcal{B}(W)$ . Let  $f_1, f_2, \dots$  be a sequence of continuous functions with domain  $W$  converging to  $f$ . For each  $n$ , let  $g_n$  be a continuous extension of  $f_n$  to a  $G_\delta$  set  $M_n$ ; let  $M$  be the  $G_\delta$  set  $M = M_1 \cap M_2 \cap \dots$ , and for each  $n$ , let  $h_n = g_n|_M$ . Now,  $N = \{x | h_1(x), h_2(x), \dots \text{ converges}\}$  is  $F_\sigma$  relative to  $M$  [6, p. 307]. So if for each  $n$ ,  $k_n(x) = h_n(x)$  if  $x \in N$  and  $k_n(x) = 0$  if  $x \in M - N$ , then  $k_n$  is a Borel function on  $M$ , and the pointwise limit  $k$  of  $k_1, k_2, \dots$  is a Borel function on  $M$  and agrees with  $f$  on  $W$ . But  $f$  does not coincide with any function of the family  $\Phi$  on any set of cardinality  $c$ . This is a contradiction.

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AUBURN UNIVERSITY

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