

## Irreducibility and indecomposability in inverse limits (\*)

by

D. P. Kuykendall (Corpus Christi, Texas)

**Abstract.** Irreducibility, indecomposability, and hereditary indecomposability of the inverse limit of an inverse system over the positive integers are related to the bonding maps. It is shown that if there is a hereditarily indecomposable inverse limit on a sequence of nondegenerate compact metric continua, then in a certain sense almost all inverse limits on the sequence are hereditarily indecomposable.

What are the relationships between the bonding maps in an inverse limit system and various topological properties of the inverse limit space? We investigate this question for irreducibility and indecomposability and obtain characterizations of these properties in terms of the bonding maps. We then show that if there is a hereditarily indecomposable inverse limit on a sequence of nondegenerate compact metric continua, then in a certain sense almost all inverse limits on the sequence are hereditarily indecomposable.

The closure of the set  $A$  will be denoted by  $\text{cl}(A)$ . The notation  $\Pi_n(S)$  means the  $n$ th coordinate projection of a subset  $S$  of a product of spaces over the positive integers.

We assume that  $(X_i, f_i^{i+1})$  is an inverse system such that, for each  $i$ ,  $X_i$  is a compact nondegenerate metric continuum with metric  $d_i$  and  $f_i^{i+1}$  maps  $X_{i+1}$  onto  $X_i$ . Let  $M$  be the inverse limit of the system.

**LEMMA.** *Suppose that  $M$  is irreducible about the points  $p^1, \dots, p^k$  and  $K_1, K_2, K_3, \dots$  is a sequence of continua such that if  $n$  is a positive integer and  $j$  is a positive integer not greater than  $k$  then the  $n$ -th coordinate of  $P^j$ ,  $p_n^j$ , is in  $K_n$  and  $K_n$  is a subcontinuum of  $X_n$ . Then if  $n$  is a positive integer,  $\text{cl}(\bigcup_i f_n^{n+i}(K_{n+i})) = X_n$ .*

(\*) This paper is based on the author's dissertation, in partial fulfillment of the requirements for the degree Doctor of Philosophy at the University of Houston, May, 1971. The author wishes to acknowledge support under an NDEA fellowship during the progress of the research.

Proof. Let  $n$  be a positive integer. For each positive integer  $j$  let  $Y_j = \text{cl}(\bigcup_i f_j^{i+1}(K_{j+i}))$ ; let  $g_j^{i+1}$  be the restriction of  $f_j^{i+1}$  to  $Y_{j+1}$ . For each  $j$ ,  $Y_j$  is a continuum and  $g_j^{i+1}$  maps  $Y_{j+1}$  onto  $Y_j$ . The inverse limit of the system  $(Y_j, g_j^{i+1})$  is  $M$  since it is a continuum containing  $p^j$  for each positive integer  $j$  not greater than  $k$ . This implies that  $X_n = X_n$  for each  $n$ .

**THEOREM 1.** *Suppose that for each positive integer  $i$  not greater than the positive integer  $k$ ,  $p^i$  is a point of  $M$ . Then the following three statements are equivalent.*

- (1)  $M$  is irreducible about the points  $p^1, \dots, p^k$ .
- (2) If  $K_1, K_2, K_3, \dots$  is a sequence of continua such that for each positive integer  $n$  and positive integer  $i$  not greater than  $k$ ,  $p_n^i$  is in  $K_n$  and  $K_n$  is a subcontinuum of  $X_n$ , then, for each positive integer  $n$ ,  $\text{cl}(\bigcup_i f_n^{n+i}(K_{n+i})) = X_n$ .
- (3) If  $n$  is a positive integer and  $0 < e$ , there is a positive integer  $L$ ,  $n < L$ , such that if  $L < m$  and  $K$  is a subcontinuum of  $X_m$  containing  $p_m^i$  for each positive integer  $i$  not greater than  $k$ , then, for each point  $x$  in  $X_n$ ,  $d_n(x, f_n^m(K)) < e$ .

Proof. (3) implies (2): Suppose that (3), but not (2), holds. Then there is a sequence  $K_1, K_2, K_3, \dots$  of continua such that for each positive integer  $n$  and each positive integer  $i$  not greater than  $k$ ,  $p_n^i$  is in  $K_n$  and there exists a positive integer  $n$  such that  $\text{cl}(\bigcup_i f_n^{n+i}(K_{n+i})) \neq X_n$ . Let  $x$  be a point of  $X_n - \text{cl}(\bigcup_i f_n^{n+i}(K_{n+i}))$  and let  $e$  be a positive number such that if  $d_n(x, y) < e$ , then  $y$  is not in  $\text{cl}(\bigcup_i f_n^{n+i}(K_{n+i}))$ . By assumption, there is a positive integer  $m$ ,  $n < m$ , such that  $d_n(x, f_n^m(K_m)) < e$ , a contradiction.

(2) implies (1): Suppose that (2) holds and  $N$  is a subcontinuum of  $M$  containing  $p^i$  for each positive integer  $i$  not greater than  $k$ . If  $n$  is a positive integer then, for each positive integer  $i$ ,  $f_n^{n+i}(I_{n+i}(N)) = I_n(N)$ . Therefore, for each positive integer  $n$ ,  $I_n(N) = \bigcup_i f_n^{n+i}(I_{n+i}(N))$ ; thus  $\text{cl}(I_n(N)) = X_n$ ; since  $I_n(N)$  is closed,  $I_n(N) = X_n$ . Since  $I_n(N) = X_n$  for each positive integer  $n$ ,  $N = M$ .

(1) implies (3): Suppose that (1), but not (3), holds. Then there are a positive integer  $n$  and a positive number  $e$  such that if  $n < m$  then there are a positive integer  $L$  not less than  $m$  and a subcontinuum  $K$  of  $X_L$  containing  $p_L^i$  for each positive integer  $i$  not greater than  $k$  such that there is a point  $x$  in  $X_n$  such that  $d_n(x, f_n^L(K))$  is not less than  $e$ ; let  $K_0 = f_n^L(K)$ ; then  $K_0$  is a subcontinuum of  $X_m$  and  $d_n(x, f_n^m(K_0)) = d_n(x, f_n^L(K))$ , which is not less than  $e$ . For each  $m$ ,  $n < m$ , let  $K_m$  be a subcontinuum of  $X_m$  containing  $p_m^i$  for each positive integer  $i$  not greater than  $k$  such that there is a point  $x$  in  $X_n$  with  $d_n(x, f_n^m(K_m))$  not less than  $e$ .

Suppose that  $R$  is an open set with respect to  $X_n$ . Then  $R$  contains a point of  $f_n^{n+i}(K_{n+i})$  for all but finitely many  $i$ ; for suppose not. Then for some increasing sequence  $i_1, i_2, \dots$  of positive integers, if  $r$  is one of them,  $R$  does not contain a point of  $f_n^{n+r}(K_{n+r})$ . This gives a contradiction since the inverse limit of the system  $(X_{n+i}, f_{n+i}^{n+i+j})$ , where  $j$  ranges over the nonnegative integers and  $i_0 = 0$ , is irreducible about the points  $p_n^j, p_{n+i_1}^j, p_{n+i_2}^j, \dots, j = 1, 2, \dots, k$ , implying that  $\text{cl}(\bigcup_j f_n^{n+i_j}(K_{n+i_j})) = X_n$ .

Since  $X_n$  is compact, some finite collection  $[G_1, \dots, G_r]$  of open sets each of diameter less than  $e$  covers  $X_n$ . For each  $j$ ,  $1 \leq j \leq r$ , there is a positive integer  $N_j$  such that if  $N_j \leq m$  then  $G_j$  contains a point of  $f_n^m(K_m)$ . Let  $m = N_1 + N_2 + \dots + N_r$ . Then if  $x$  is in  $X_n$ ,  $x$  is in  $G_j$  for some  $j$  not greater than  $r$  and there is a point  $y$  in  $G_j$  and in  $f_n^m(K_m)$ ;  $d_n(x, y) < e$  since the diameter of  $G_j$  is less than  $e$ ; thus  $d_n(x, f_n^m(K_m)) < e$ . This gives a contradiction.

**THEOREM 2.** *The following two statements are equivalent.*

- (1)  $M$  is indecomposable.
- (2) If  $n$  is a positive integer and  $0 < e$ , there are a positive integer  $m$ ,  $n < m$ , and three points of  $X_m$  such that if  $K$  is a subcontinuum of  $X_m$  containing two of them then  $d_n(x, f_n^m(K)) < e$  for each  $x$  in  $X_n$ .

Proof. (1) implies (2): There are three points between each two of which  $M$  is irreducible. Applying condition (3) of Theorem 1 to each pair of them, one sees that condition (2) holds.

(2) implies (1): Suppose that (1) does not hold. Since  $M$  is non-degenerate, there are proper subcontinua  $H$  and  $K$  of  $M$  such that  $M = H \cup K$ . There is a positive integer  $n$  such that  $I_n(H)$  and  $I_n(K)$  are proper subcontinua of  $X_n$ . There is a point  $p_1$  in  $X_n - I_n(H)$ ; let  $e_1$  be a positive number such that  $d_n(p_1, I_n(H))$  is not less than  $e_1$ . There is a point  $p_2$  in  $X_n - I_n(K)$ ; let  $e_2$  be a positive number such that  $d_n(p_2, I_n(K))$  is not less than  $e_2$ . Let  $e = \min(e_1, e_2)$ . If  $n \leq m$  and  $a, b$ , and  $c$  are three points of  $X_m$ , then two of  $a, b$ , and  $c$  are in one of  $I_n(H)$  and  $I_n(K)$  and since  $f_n^m(I_n(H)) = I_n(H)$  and  $f_n^m(I_n(K)) = I_n(K)$ , it follows that (2) does not hold.

**THEOREM 3.** *If  $K$  is a positive integer greater than one, then the following two statements are equivalent.*

- (1)  $M$  is irreducible about some  $K$  points.
- (2) If  $n$  is a positive integer and  $0 < e$ , there are a positive integer  $m$ ,  $n < m$ , and  $K$  points of  $X_m$  such that if  $H$  is a subcontinuum of  $X_m$  containing them then  $d_n(x, f_n^m(H)) < e$  for each  $x$  in  $X_n$ .

Proof. According to a theorem of R. H. Sorgenfrey [3],  $M$  is irreducible about some  $K$  points if and only if  $M$  is not the sum of  $K+1$  subcontinua such that the sum of each  $K$  of them is a proper subcontinuum

of  $M$ . Using this result, the proof is essentially the same as the proof of Theorem 2.

**THEOREM 4.** *The following two statements are equivalent.*

(1)  $M$  is hereditarily indecomposable.

(2) If  $n$  is a positive integer and  $0 < \epsilon$ , there is a positive integer  $m$ ,  $n < m$ , such that if  $K$  is a subcontinuum of  $X_m$  then there are three points of  $K$  such that if  $H$  is a subcontinuum of  $K$  containing two of them then  $d_n(x, f_n^m(H)) < \epsilon$  for each  $x$  in  $f_n^m(K)$ .

**Proof.** (2) implies (1): Suppose that (2) holds and  $N$  is a subcontinuum of  $M$ . Then  $N$  is the inverse limit on its projections and condition (2) of Theorem 2 holds for the inverse system on the projections of  $N$ . Therefore  $N$  is indecomposable.

(1) implies (2): Suppose that (1), but not (2), holds. Then there is a positive integer  $n$  such that there is a positive number  $\epsilon$  such that if  $m$  is a positive integer greater than  $n$  there is a subcontinuum  $K_m$  of  $X_m$  such that for any three points of  $K_m$  there is a subcontinuum  $H$  of  $K_m$  containing two of them with  $d_n(x, f_n^m(H))$  not less than  $\epsilon$  for some point  $x$  in  $f_n^m(K_m)$ . Assume without loss of generality that  $n = 1$ . Some subsequence of the sequence  $f_1^2(K_2), f_1^3(K_3), \dots$  converges to a subcontinuum of  $X_1$ ; noting that  $M$  is homeomorphic to the inverse limit on any subsequence of  $X_1, X_2, X_3, \dots$ , we might as well assume that the sequence  $f_1^2(K_2), f_1^3(K_3), \dots$  converges; denote its limit by  $H_1$ . Some subsequence of the sequence  $f_2^3(K_3), f_2^4(K_4), \dots$  converges to a subcontinuum  $H_2$  of  $X_2$ ; again assume that the sequence itself converges. The sequence  $f_1^2(K_2), f_1^3(K_3), \dots$ , after the change in notation involved in making the above assumption, still converges to  $H_1$ . We claim that  $f_1^2(K_2) = H_1$ . Clearly,  $f_1^2$  maps  $H_2$  into  $H_1$ . Now suppose that  $y$  is a point of  $H_1$ . There is a sequence of points from a subsequence of  $K_3, K_4, \dots$ , such that the sequence of images in  $X_1$  converges to  $y$ ; some subsequence of the sequence of images in  $X_2$  converges to a point  $x$  of  $H_2$ ;  $f_1^2(x) = y$ . Continuing this process we obtain an inverse limit system  $(H_i, g_i^{i+1})$ , where  $g_i^{i+1}$  is the restriction of  $f_i^{i+1}$  to  $H_{i+1}$ ; denote by  $N$  the inverse limit of this system. By assumption,  $N$  is indecomposable. Therefore, there are a positive integer  $m > 1$  and three points  $a_0, b_0$ , and  $c_0$  of  $H_m$  such that if  $J_0$  is a subcontinuum of  $H_m$  containing two of them, then  $d_1(x, f_1^m(J_0)) < \epsilon/2$  for each point  $x$  in  $H_1$ . Taking successive subsequences and changing notation, we get the following.

(A) For each  $i$  greater than  $m$ ,  $a_i, b_i$ , and  $c_i$  are three points of  $K_i$ ;  $f_m^{m+1}(a_{m+1}), f_m^{m+2}(a_{m+2}), \dots$ , converges to  $a_0$ , etc.;  $J_i$  is a subcontinuum of  $K_i$  containing  $a_i$  and  $b_i$  such that there is a point  $p_i$  in  $f_i^i(K_i)$  such that  $d_1(p_i, f_i^i(J_i))$  is not less than  $\epsilon$ .

(B)  $f_m^{m+1}(J_{m+1}), f_m^{m+2}(J_{m+2}), \dots$  converges to a subcontinuum  $J_0$  of  $H_m$ ,

and  $f_1^{m+1}(J_{m+1}), f_1^{m+2}(J_{m+2}), \dots$  converges to  $f_1^m(J_0)$ . Note that  $J_0$  contains  $a_0$  and  $b_0$ .

(C) The sequence  $p_{m+1}, p_{m+2}, \dots$  converges to a point  $p_0$  of  $H_1$ . The  $\epsilon/2$  neighborhood of  $P_0, N_{\epsilon/2}(p_0)$ , intersects  $f_1^m(J_0)$  and therefore intersects  $f_i^i(J_i)$  for  $i$  sufficiently large. Also,  $p_i$  is in  $N_{\epsilon/2}(p_0)$  for  $i$  sufficiently large. This implies that, for  $i$  sufficiently large,  $d_1(p_i, f_i^i(J_i)) < \epsilon$ , a contradiction.

Theorems similar to the following have been proved by Bing [1] and Mazurkiewicz [2]. Dr. Howard Cook suggested to me that the methods developed above could be used to prove this theorem.

**THEOREM 5.** *Suppose  $X_1, X_2, X_3, \dots$  is a sequence of nondegenerate compact metric continua and  $d_i$  is a metric for  $X_i$  for each  $i$ . For each positive integer  $i$ , let  $F_i$  denote the space of continuous functions from  $X_{i+1}$  onto  $X_i$  with the metric  $D_i(f, g) = \max_{x \in X_{i+1}} d_i(f(x), g(x))$ . Let  $S$  be the product over the positive integers of the spaces  $F_i$ . We assume that  $\text{diam}(X_i) = 2^{-i}$  for each  $i$ ; it follows that  $S$  is metrized by taking the distance between points to be the sum of the distances between their coordinates; denote this metric by  $r$ . Let  $A$  be the set of elements of  $S$  for which the inverse limit of the corresponding system is hereditarily indecomposable. Then if  $A$  is not the empty set,  $A$  is a dense inner limiting subset of the complete metric space  $S$ .*

**Proof.** Suppose that  $A$  is not empty and let  $f_1^2, f_2^3, \dots$  be an element of  $A$ . As usual, for  $m$  greater than  $n$ , we denote by  $f_n^m$  the composition of the functions  $f_n^{n+1}, f_n^{n+2}, \dots, f_n^m$ ; we will follow this convention below for other sequences of functions. For each positive number  $\epsilon$  and positive integer  $n$ , let  $B_{\epsilon n}$  be the set of elements  $g_1^2, g_2^3, \dots$  of  $S$  such that there is a positive integer  $m$  greater than  $n$  such that if  $K$  is a subcontinuum of  $X_m$  there are three points of  $K$  such that if  $H$  is a subcontinuum of  $K$  containing two of them then  $d_n(x, f_n^m(H)) < \epsilon$  for each  $x$  in  $f_n^m(K)$ . We prove first that  $B_{\epsilon n}$  is dense in  $S$ . Suppose that  $h_1^2, h_2^3, \dots$  is in  $S$  and  $\epsilon$  is a positive number. Let  $t$  be greater than  $n$  and sufficiently large so that the distance from  $h_1^2, h_2^3, \dots$  to  $h_1^t, \dots, h_{t-1}^t, f_t^{t+1}, \dots$  is less than  $\epsilon$ . There is a number  $F$  greater than 0 such that if  $d_t(x, y) < F$  then  $d_n(h_t^t(x), h_t^t(y)) < \epsilon$ . There is a positive integer  $m$  greater than  $t$  such that if  $K$  is a subcontinuum of  $X_m$  there are three points of  $K$  such that if  $H$  is a subcontinuum of  $K$  containing two of them then  $d_t(x, f_t^m(H)) < F$  for each  $x$  in  $f_t^m(K)$ ; for each  $x$  in  $h_n^t f_n^m(K), d_n(x, h_n^m f_n^m(H)) < \epsilon$ . Thus  $B_{\epsilon n}$  is dense in  $S$  for each positive number  $\epsilon$  and positive integer  $n$ . Now suppose that  $g_1^2, g_2^3, \dots$  is in  $B_{\epsilon n}$ . Let  $m$  be a positive integer greater than  $n$  such that if  $K$  is a subcontinuum of  $X_m$  then there are three points of  $K$  such that if  $H$  is a subcontinuum of  $K$  containing two of them then  $d_n(x, f_n^m(H)) < \epsilon$  for each  $x$  in  $f_n^m(K)$ ; for each  $x$  in  $h_n^m f_n^m(K), d_n(x, h_n^m f_n^m(H)) < \epsilon$ . We show that there is a positive number  $\epsilon_0 < \epsilon$  such that if  $K$  is a subcontinuum of  $X_m$  then there are three points of  $K$  such that if  $H$  is

a subcontinuum of  $K$  containing two of them then  $d_n(x, f_n^m(H)) < e_0$  for each  $x$  in  $f_n^m(K)$ ; suppose this is not the case. For each positive integer  $i$ , let  $K_i$  be a subcontinuum of  $X_m$  such that if  $a, b$ , and  $c$  are three points of  $K_i$  then there is a subcontinuum  $H$  of  $K_i$  containing two of them such that there is a point  $x$  of  $f_n^m(K_i)$  with  $e-1/i \leq d_n(x, f_n^m(H))$ . Some subsequence of  $K_1, K_2, \dots$  converges to a subcontinuum  $K_0$  of  $X_m$ ; for notational convenience, we assume that  $K_1, K_2, \dots$  converges to  $K_0$ . There are three points  $a_0, b_0$ , and  $c_0$  of  $K_0$  such that if  $H$  is a subcontinuum of  $K_0$  containing two of them then  $d_n(x, f_n^m(H)) < e$  for each  $x$  in  $f_n^m(K_0)$ . Taking subsequences and changing notation, we have sequences  $a, b$ , and  $c$  of points and a sequence  $H$  of continua such that, for each  $i$ ,  $a_i, b_i$ , and  $c_i$  are points of  $K_i$  and  $H_i$  is a subcontinuum of  $K_i$  containing  $a_i$  and  $b_i$  such that there is a point  $p_i$  in  $K_i$  with  $e-1/i \leq d_n(f_n^m(p_i), f_n^m(H_i))$  and such that  $a_1, a_2, \dots$  converges to  $a_0$ , etc.,  $H_1, H_2, \dots$  converges to a subcontinuum  $H_0$  of  $K_0$ , and  $p_1, p_2, \dots$  converges to a point  $p_0$  of  $K_0$ . Note that  $H_0$  is a subcontinuum of  $K_0$  containing  $a_0$  and  $b_0$ . But the fact that  $d_n(f_n^m(p_i), f_n^m(H_i))$  is at least  $e-1/i$  for each  $i$  implies that  $d_n(f_n^m(p_0), f_n^m(H_0))$  is at least  $e$ , a contradiction.

To show that  $g_1^2, g_2^3, \dots$  is in the interior of  $B_{en}$  it remains to show that if  $r(g_1^2, g_2^3, \dots, h_1^2, h_2^3, \dots)$  is sufficiently small then  $h_n^m$  differs from  $g_n^m$  at each point by less than  $(e-e_0)/2$ . We omit the proof of this.

We now have that for each positive integer  $n$  and positive number  $e$ ,  $B_{en}$  is a dense open subset of  $S$ . Since  $S$  is complete, the intersection of all the sets  $B_{en}$  for  $e$  a positive rational number and  $n$  a positive integer is a dense inner limiting subset of  $S$ ; but according to Theorem 4, this intersection is  $A$ .

#### References

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Reçu par la Rédaction le 12. 6. 1972

## О мощностях открытых покрытий топологических пространств

Н. В. Величко (Свердловск)

**Резюме.** Устанавливаются оценки мощностей открытых покрытий топологических пространств. Для этой цели используются инварианты:  $e(X)$  — число Суслина,  $ce(X)$  — наследственное число Суслина,  $e_0(X) = \sup|\eta|$ :  $\eta$  — дискретное семейство открытых в  $X$  множеств.

- 1) Если  $\gamma$  — локально  $e(X)$  — кратное покрытие  $X$ , то  $|\gamma| \leq e(X)$ .
- 2) Если  $\gamma$  — локально конечное покрытие  $X$ , то  $|\gamma| \leq c_0(X)$ .
- 3) Если  $\gamma$  — точно конечное покрытие совершенного пространства  $X$ , то  $|\gamma| \leq ce(X)$ .
- 4) Если  $\gamma$  —  $\sigma$ -точно конечное покрытие бэровского пространства  $X$ , то  $|\gamma| \leq e(X)$ . Среди других результатов отметим следующий:
- 5) Бэровское  $\sigma$ -пространство обладает плотным метризуемым подпространством.

Устанавливаются оценки мощностей открытых покрытий топологических пространств. Для этой цели используются некоторые кардинально-значные инварианты пространств такие, как  $e(X)$ ,  $d(X)$ ,  $ic(X)$ . Вопросы подобного характера рассматривались и ранее, назовем для примера следующие факты:

- 1) Если  $\gamma$  — точно счетное открытое покрытие пространства  $X$ , то  $|\gamma| \leq s(X)$ .
- 2) Если  $\gamma$  — точно счетная база счетно компактного хаусдорфова пространства, то  $|\gamma| \leq \aleph_0$ .
- 3) Если  $\gamma$  —  $\sigma$ -дизъюнктное открытое покрытие  $X$ , то  $|\gamma| \leq e(X)$ .

Здесь и далее:

$|\gamma|$  — мощность семейства  $\gamma$ ,

$s(X) = \min|S|$ :  $S$  плотно в  $X$ ,

$e(X) = \sup|\gamma|$ :  $\gamma$  — дизъюнктное семейство открытых в  $X$  множеств.

Все кардинальные числа предполагаются бесконечными, все семейства и покрытия открытыми, если не оговаривается противное. На пространства налагается аксиома отделимости  $T_1$ .

Типичные обозначения:

$[A]$  — замыкание множества  $A$ ,