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 Chaque volume paraît en 3 fascicules

Adresse de la Rédaction et de l'Échange:
 FUNDAMENTA MATHEMATICAE, Śniadeckich 8, Warszawa 1 (Pologne)

Le prix de ce fascicule est 4.35 \$

Tous les volumes sont à obtenir par l'intermédiaire de
 ARS POLONA-RUCH, Krakowskie Przedmieście 7, Warszawa 1 (Pologne)

 On some applications of infinite-dimensional manifolds to the theory of shape⁽¹⁾

by

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1. Introduction. In this paper we apply some recent results concerning the point-set topology of infinite-dimensional manifolds to the concept of "shape", as introduced by Borsuk in [5].

Let the Hilbert cube I^∞ be represented by $\prod_{i=1}^{\infty} I_i$, where each I_i is the closed interval $[-1, 1]$, and let s denote $\prod_{i=1}^{\infty} I_i^o$, where each I_i^o is the open interval $(-1, 1)$. We let S denote the category whose objects are compacta in s and whose morphisms are fundamental equivalence classes of fundamental sequences (in I^∞) between these compacta. (This constitutes a subcategory of the *fundamental category* introduced in [5].) We let P denote the category whose objects are subsets of I^∞ , with complements in I^∞ which are compacta in s , and whose morphisms are weak proper homotopy classes of proper maps (see Section 2 for a more precise definition). The first result we establish enables us to translate problems concerning the shape of compacta to problems concerning contractible open subsets of I^∞ .

THEOREM 1. *There is a category isomorphism T from P onto S such that $T(X) = I^\infty \setminus X$, for each object X in P .*

We also show that the shape of a compactum in s depends on (and determines) the homeomorphism type of its complement in I^∞ .

THEOREM 2. *If X and Y are compacta in s , then X and Y have the same shape (i.e. $\text{Sh}(X) = \text{Sh}(Y)$) iff $I^\infty \setminus X$ and $I^\infty \setminus Y$ are homeomorphic (\cong).*

This result enables us to give a short proof of the following Corollary concerning fundamental absolute retracts (abbreviated FAR), as intro-

⁽¹⁾ Report ZN36/71, Department of Pure Mathematics, Mathematical Centre, Amsterdam.

⁽²⁾ Supported in part by NSF grant GP-14429.

duced in [6]. The Corollary is originally due to Hyman [11], who used different methods to prove it.

COROLLARY ([11]). *If X is a FAR, then X is the intersection of a decreasing sequence of Hilbert cubes.*

2. General preliminaries. Concerning the fundamental category S we will use the results and notation from [5] and [6].

Concerning the proper category P we define a map (i.e. a continuous function) $f: X \rightarrow Y$ to be *proper* iff for each compactum $B \subset Y$ there exists a compactum $A \subset X$ such that $f(X \setminus A) \cap B = \emptyset$. (This is just a reformulation of the usual notion of a proper map.) Then maps $f, g: X \rightarrow Y$ are said to be *weakly properly homotopic* iff for each compactum $B \subset Y$ there exists a compactum $A \subset X$ and a homotopy $F = \{F_t\}: X \times I \rightarrow Y$ (where $I = [0, 1]$) such that $F_0 = f, F_1 = g$, and $F((X \setminus A) \times I) \cap B = \emptyset$. (If, in fact, there exists a proper map $F: X \times I \rightarrow Y$ which satisfies $F_0 = f$ and $F_1 = g$, then we say that f and g are *properly homotopic*.) We write $f \sim g$ to indicate that f and g are weakly properly homotopic.

If $f: X \rightarrow Y$ and $g: Y \rightarrow X$ are proper maps such that $f \circ g \sim \text{id}_Y$ (the identity on Y), then we say that X *weakly properly homotopically dominates* Y . If, additionally, $g \circ f \sim \text{id}_X$, then we say that X and Y have the same *weak proper homotopy type*. If $f: X \rightarrow Y$ is a proper map, then we use $\{f\}$ to denote the class of proper maps of X into Y which are weakly properly homotopic to f .

It is easy to see that \sim is an equivalence relation on the class of proper maps from a space X to a space Y . It is also easy to see that if $f, f': X \rightarrow Y$ and $g, g': X \rightarrow Y$ are proper maps such that $f \sim f'$ and $g \sim g'$, then $g \circ f \sim g' \circ f'$. This verifies that the composition of the equivalence classes $\{f\}$ and $\{g\}$ can be well defined by $\{g \circ f\}$. Thus we can define a category P whose objects are subsets of I^∞ , with complements in I^∞ which are compacta in s , and whose morphisms are weak proper homotopy equivalence classes of proper maps.

3. Infinite-dimensional preliminaries. We will need the following definition, as introduced by Anderson in [1]. A closed set K in a space X is said to be a *Z-set* in X iff for each non-null, homotopically trivial open set U in X , $U \setminus K$ is non-null and homotopically trivial. From [1] we find that compacta in s are Z-sets in s and I^∞ and compacta in $I^\infty \setminus s$ are Z-sets in I^∞ . More generally it is easy to see that if K is a Z-set in a space X and U is open in X , then $U \cap K$ is a Z-set in U .

We will need the notion of a *Q-manifold*, which is a separable metric space which has an open cover by sets homeomorphic to open subsets of I^∞ . In [2] it is shown that if X is a Q-manifold, then $X \times I^\infty \cong X$. Thus for each Q-manifold X we have $X \cong X \times [0, 1]$. From [9] it follows that if X is a Q-manifold, $U \subset X$ is open, and $K \subset U$ is a Z-set in U

which is closed in X , then K is also a Z-set in X . This fact will be used in the proof of Theorem 2. The following results on Q-manifolds are also established in [9].

LEMMA 3.1. *If X is any Q-manifold, then there is a locally-compact polyhedron P such that $X \times [0, 1] \cong P \times I^\infty$.*

LEMMA 3.2. *If X is a Q-manifold, P is a locally-compact polyhedron, and $\varphi: P \rightarrow X$ is a closed embedding such that $\varphi(P)$ is a Z-set in X , then there exists a closed embedding $h: P \times I^\infty \rightarrow X$ such that $h(x, (0, 0, \dots)) = \varphi(x)$, for all $x \in P$, and $\text{Bd}(h(P \times I^\infty)) = h(P \times W^+)$.*

(For the representation $I^\infty = \prod_{i=1}^{\infty} I_i$ as given in Section 1 we use the notation $W^+ = \{(x_i) \in I^\infty \mid x_1 = 1\}$ and $W^- = \{(x_i) \in I^\infty \mid x_1 = -1\}$. We also use Bd for the topological boundary operator.)

Let X and Y be spaces and let \mathcal{U} be an open cover of Y . Then functions $f, g: X \rightarrow Y$ are said to be *\mathcal{U} -close* provided that for each $x \in X$ there exists a $U \in \mathcal{U}$ such that $f(x), g(x) \in U$. A function $F: X \times I \rightarrow Y$ is said to be *limited by \mathcal{U}* provided that for each $x \in X$ there exists a $U \in \mathcal{U}$ such that $F(\{x\} \times I) \subset U$.

If X is a metric space and $K \subset X$ is closed, then from [3] there exists an open cover \mathcal{U} of $X \setminus K$ such that if $h: X \setminus K \rightarrow X \setminus K$ is any homeomorphism which is \mathcal{U} -close to $\text{id}_{X \setminus K}$, then h can be extended to a homeomorphism $\tilde{h}: X \rightarrow X$ which satisfies $\tilde{h}|_K = \text{id}_K$. Such a cover of $X \setminus K$ will be called *normal* (with respect to K).

We will need the following mapping replacement result which appears in [4].

LEMMA 3.3. *Let X be a Q-manifold, \mathcal{U} be an open cover of X , A be a closed subset of a locally-compact separable metric space Y , and let $f: Y \rightarrow X$ be a proper map such that $f|_A$ is a homeomorphism of A onto a Z-set in X . Then there exists an embedding $g: Y \rightarrow X$ such that $g(Y)$ is a Z-set, $g|_A = f|_A$, and g is \mathcal{U} -close to f .*

We will also need the following version of Lemma 3.3 for Q-manifolds X which are $[0, 1]$ -stable (i.e. $X \cong X \times [0, 1]$). The proof is given in [4].

LEMMA 3.4. *Let X be a Q-manifold which satisfies $X \cong X \times [0, 1]$, A be a closed subset of a locally-compact separable metric space Y , and let $f: Y \rightarrow X$ be a map such that $f|_A$ is a homeomorphism of A onto a Z-set in X . Then there exists an embedding $g: Y \rightarrow X$ such that $g(Y)$ is a Z-set in X , $g|_A = f|_A$, and $g \simeq f$ (i.e. g is homotopic to f).*

(Note that if X is any Q-manifold, then

$$(X \times [0, 1]) \times [0, 1] \cong (X \times [0, 1]) \times [0, 1] \cong X \times [0, 1].$$

We will also need the following homeomorphism extension theorem which is established in [4]. For its statement we will need the following notation: if \mathcal{U} is a cover of a set X , then $\text{St}^0(\mathcal{U}) = \mathcal{U}$ and $\text{St}^{n+1}(\mathcal{U})$ consists of all sets of the form $\bigcup \{U \in \mathcal{U} \mid U \cap K \neq \emptyset\}$, where K runs through $\text{St}^n(\mathcal{U})$.

LEMMA 3.5. *Let X be a Q -manifold, \mathcal{U} be an open cover of X , A be a locally-compact separable metric space, and let $f, g: A \rightarrow X$ be closed embeddings such that $f(A)$ and $g(A)$ are Z -sets in X and such that there exists a proper homotopy $F: A \times I \rightarrow X$ which is limited by \mathcal{U} and which satisfies $F_0 = f$, $F_1 = g$. Then there exists a homeomorphism $h: X \rightarrow X$ which satisfies $h \circ f = g$ and which is $\text{St}^4(\mathcal{U})$ -close to id_X .*

We now combine these results to prove the following lemma which will be needed in Section 5.

LEMMA 3.6. *Let X and Y be Q -manifolds such that $X \cong X \times [0, 1]$ and let $f: X \rightarrow Y$ be any continuous function. Then there exists an open embedding $g: X \rightarrow Y$ which satisfies $g \simeq f$.*

Proof. Let $h: Y \rightarrow Y \times [0, 1]$ be any homeomorphism. It is clear that $h \circ f$ is homotopic to a continuous function $f': X \rightarrow Y \times [0, 1]$. Let $Y' = h^{-1}(Y \times [0, 1])$ (which is an open subset of Y) and define $f'' = h^{-1} \circ f'$, which is a continuous function of X into Y' which is homotopic to f . Note also that $Y' \cong Y' \times [0, 1]$.

We know that $X \cong P \times I^\infty$, for some locally-compact polyhedron P . Thus without loss of generality assume that $X = P \times I^\infty$. Using Lemma 3.4 there exists an embedding $\varphi: P \times \{(0, 0, \dots)\} \rightarrow Y'$ such that $\varphi(P \times \{(0, 0, \dots)\})$ is a Z -set and $\varphi \simeq f''|_{P \times \{(0, 0, \dots)\}}$. Using Lemma 3.2 there exists an open embedding $g: P \times (I^\infty \setminus W^+) \rightarrow Y'$ such that $g(x, (0, 0, \dots)) = \varphi(x, (0, 0, \dots))$, for all $x \in P$. Let $r: P \times (I^\infty \setminus W^+) \rightarrow P \times \{(0, 0, \dots)\}$ be the retraction which satisfies $r(x, t) = (x, (0, 0, \dots))$, for all $(x, t) \in P \times (I^\infty \setminus W^+)$. Then we observe that $r \simeq \text{id}_{P \times (I^\infty \setminus W^+)}$. We thus have

$$g = g \circ \text{id} \simeq g \circ r = \varphi \circ r \simeq \{f''|_{P \times \{(0, 0, \dots)\}}\} \circ r = f'' \circ r \simeq f'' \circ \text{id} = f''.$$

We will also need the following result.

LEMMA 3.7. *Let X be a Q -manifold and let $K \subset X$ be a Z -set. Then there exists an open set $U \subset X$ such that $K \subset U$ and $U \cong U \times [0, 1]$.*

Proof. From [8] it follows that there exists a homeomorphism $h: X \rightarrow X \times [0, 1]$ such that $h(K) \subset X \times \{\frac{1}{2}\}$. Then $U = h^{-1}(X \times [0, 1])$ fulfills our requirements.

A subset K of a space X is said to be *bicollared* provided that there exists an open embedding $h: K \times (-1, 1) \rightarrow X$ such that $h(x, 0) = x$, for all $x \in K$. We will need the following result, which appears in [12].

LEMMA 3.8. *Let $f: I^\infty \rightarrow I^\infty$ be an embedding such that $f(I^\infty)$ is bicollared. Then $I^\infty \setminus f(I^\infty) = A \cup B$, where A and B are disjoint sets such that $\text{Cl}(A) \cap \text{Cl}(B) = f(I^\infty)$ and $\text{Cl}(A) \cong \text{Cl}(B) \cong I^\infty$, where Cl denotes closure.*

(Note that $f(I^\infty)$ is a Z -set in each of $\text{Cl}(A)$ and $\text{Cl}(B)$.)

4. Proof of Theorem 1. We will need the following result in the proof of Theorem 1.

LEMMA 4.1. *If $X \subset I^\infty$ is a Z -set, then there exists a homotopy $F: I^\infty \times I \rightarrow I^\infty$ which satisfies the following properties:*

- (1) $F_0 = \text{id}$,
- (2) for each open neighborhood U of X there exists a $t_1 \in (0, 1)$ such that $F_t(U) \subset U$, for $0 \leq t \leq t_1$,
- (3) $F_t(I^\infty) \cap X = \emptyset$, for all $t \in (0, 1]$.

Proof. Using Lemma 3.5 we can assume that $X \subset W^+$. Then the construction of F is straightforward.

We will use the notation $F(X)$ to denote the class of homotopies $F: I^\infty \times I \rightarrow I^\infty$ as described in Lemma 4.1.

We now construct an isomorphism T from P onto S . As indicated in the statement of Theorem 1 we let $T(X) = I^\infty \setminus X$, for each X in P . We now show how T assigns morphisms.

Let $\{f\}: X \rightarrow Y$ be a morphism in P , choose any $F \in F(I^\infty \setminus X)$, and for each integer $k > 0$ let $f_k = f \circ F_{1/k}$. We show that $f = \{f_k, I^\infty \setminus X, I^\infty \setminus Y\}$ is a fundamental sequence. To see this let $V \subset I^\infty$ be an open neighborhood of $I^\infty \setminus Y$ and use the fact that f is proper to choose an open neighborhood $U \subset I^\infty$ of $I^\infty \setminus X$ which satisfies $f(U \cap X) \subset V$. Now choose $t_1 \in (0, 1)$ such that $F_t(U) \subset U$, for $0 \leq t \leq t_1$. If k, l are positive integers such that $1/k, 1/l \leq t_1$, then $f_k|_U = f \circ F_{1/k}|_U \simeq f \circ F_{1/l}|_U$ (in V) = $f_l|_U$, as we wanted. Thus $\{f_k\}$ is a fundamental sequence.

To see that f is uniquely defined in terms of F choose $F' \in F(I^\infty \setminus X)$ and let $f' = \{f \circ F'_{1/k}, I^\infty \setminus X, I^\infty \setminus Y\}$ be similarly defined. We show that $f \simeq f'$. Let $V \subset I^\infty$ be an open neighborhood of $I^\infty \setminus Y$ and choose $U \subset I^\infty$ an open neighborhood of $I^\infty \setminus X$ satisfying $f(U \cap X) \subset V$. Choose $t_1 \in (0, 1)$ such that $F_t(U) \subset U$ and $F'_t(U) \subset U$, for $0 \leq t \leq t_1$. If k is a positive integer satisfying $1/k \leq t_1$ we clearly have $F_{1/k}|_U \simeq F'_{1/k}|_U$ (in U), with the image of the homotopy possibly intersecting $I^\infty \setminus X$. If this is the case we cannot use f to transfer this homotopy to one joining $f \circ F_{1/k}|_U$ to $f \circ F'_{1/k}|_U$.

To remedy this let $G: U \times I \rightarrow U$ be a homotopy which satisfies $G_0 = F_{1/k}|_U$, $G_1 = F'_{1/k}|_U$, and let $H: U \times I \rightarrow U$ be defined by $H_t = F_{(1-t)} \circ G_t$. We note that $H_0 = F_{1/k}|_U$, $H_1 = F'_{1/k}|_U$, and for $0 < t < 1$ we have $H_t(U) = F_{t(1-t)}(G_t(U)) \subset F_{t(1-t)}(U) \subset U \cap X$. Thus $f \circ H_t$ defines

a homotopy which joins $f \circ F_{1/k}|U$ to $f \circ F'_{1/k}|U$. This means that $f \simeq f'$.

This gives a means of assigning to each proper map $f: X \rightarrow Y$ (where $I^\infty \setminus Y$ and $I^\infty \setminus X$ are compacta in S) a fundamental sequence \underline{f} from $I^\infty \setminus X$ to $I^\infty \setminus Y$. In order to see that this assignment depends only on the weak proper homotopy class of f assume that $g: X \rightarrow Y$ is proper and $f \sim g$. We wish to show that if $F \in F(I^\infty \setminus X)$, $\underline{f} = \{f \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Y\}$, $\underline{g} = \{g \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Y\}$, then $\underline{f} \simeq \underline{g}$. To see this let $V \subset I^\infty$ be an open neighborhood of $I^\infty \setminus Y$ and choose a compact set $A \subset X$ and a homotopy $G: X \times I \rightarrow Y$ such that $G_0 = f$, $G_1 = g$, and $G((U \cap X) \times I) \subset V$, where $U = I^\infty \setminus A$. Let $t_1 \in (0, 1)$ be chosen so that $F_t(U) \subset U$, for $0 \leq t \leq t_1$. Then for each positive integer k satisfying $1/k \leq t_1$ we find that $G_t \circ F_{1/k}|U$ gives a homotopy (in V) which joins $f \circ F_{1/k}|U$ to $g \circ F_{1/k}|U$ (in V), as we needed.

Thus to each morphism $\{f\}: X \rightarrow Y$ in P we have shown how to assign a unique morphism $[\underline{f}]: I^\infty \setminus X \rightarrow I^\infty \setminus Y$ in S , and we write $T(\{f\}) = [\underline{f}]$. We now demonstrate that T is a functor and it is an isomorphism from P onto S . To show that $T(\text{id}) = \text{id}$ choose an object X in P and $F \in F(I^\infty \setminus X)$, and let $\underline{f} = \{F_{1/k}, I^\infty \setminus X, I^\infty \setminus X\}$. We must show that $\underline{f} \simeq \text{id}$, the identity fundamental sequence on $I^\infty \setminus X$. Choose an open set \bar{U} containing $I^\infty \setminus X$ and $t_1 \in (0, 1)$ such that $F_t(U) \subset U$, for $0 \leq t \leq t_1$. Clearly $F_{1/k}|U \simeq \text{id}_{I^\infty \setminus U}$ (in U), for all positive integers k satisfying $1/k \leq t_1$.

To show that T preserves compositions choose morphisms $\{f\}: X \rightarrow Y$ and $\{g\}: Y \rightarrow Z$ in P and choose $F \in F(I^\infty \setminus X)$, $G \in F(I^\infty \setminus Y)$. We must show that $\{g \circ f \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Z\} \simeq \{g \circ G_{1/k} \circ f \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Z\}$.

Choose open neighborhoods $U \subset I^\infty$ of $I^\infty \setminus X$, $V \subset I^\infty$ of $I^\infty \setminus Y$, and $W \subset I^\infty$ of $I^\infty \setminus Z$ such that $f(U \cap X) \subset V$ and $g(V \cap Y) \subset W$. Also choose $t_1 \in (0, 1)$ such that $F_t(U) \subset U$ and $G_t(V) \subset V$, for $0 \leq t \leq t_1$. Then for each positive k satisfying $1/k \leq t_1$ we have $g \circ G_{1/k} \circ f \circ F_{1/k}|U \simeq g \circ f \circ F_{1/k}|U$ (in W).

To show that T is an isomorphism we show first that if $\{f\}: X \rightarrow Y$ and $\{g\}: X \rightarrow Y$ are morphisms in P such that $T(\{f\}) = T(\{g\})$, then $\{f\} = \{g\}$. Choose $F \in F(I^\infty \setminus X)$ and note that $\{f \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Y\} \simeq \{g \circ F_{1/k}, I^\infty \setminus X, I^\infty \setminus Y\}$. Choose $B \subset Y$ a compact set and put $V = I^\infty \setminus B$. Then there exists an open neighborhood $U \subset I^\infty$ of $I^\infty \setminus X$ and an integer $n_1 > 0$ such that $k \geq n_1$ implies that $f \circ F_{1/k}|U \simeq g \circ F_{1/k}|U$ (in V) and $t \leq 1/n_1$ implies that $F_t(U) \subset U$. Clearly $f|U \cap X \simeq f \circ F_{1/k}|U \cap X$ (in V), for each $k \geq n_1$. Similarly $g|U \cap X \simeq g \circ F_{1/k}|U \cap X$, hence $f|U \cap X \simeq g|U \cap X$ (in V).

Choose an open neighborhood $U' \subset I^\infty$ of $I^\infty \setminus X$ such that $\text{Cl}(U') \subset U$ and use the above remarks to obtain a homotopy $G: (\text{Cl}(U') \cap X) \times I \rightarrow V$ which satisfies $G_0 = f|(\text{Cl}(U') \cap X)$ and $G_1 = g|(\text{Cl}(U') \cap X)$. Let $A = (\text{Cl}(U') \cap X) \times I \cup ((X \setminus \text{Cl}(U')) \times \{0, 1\})$, which is a closed subset of

$X \times I$, and let $\alpha: A \rightarrow I^\infty$ be defined by $\alpha|(\text{Cl}(U') \cap X) \times I = G$, $\alpha(x, 0) = f(x)$, and $\alpha(x, 1) = g(x)$, for all $x \in X \setminus \text{Cl}(U')$. Extend α to a continuous function $\beta: X \times I \rightarrow I^\infty$. Then for $t \in I$ let $\gamma_t = F_{t(1-t)} \circ \beta_t$. We see that $\gamma: X \times I \rightarrow Y$ is a continuous function which satisfies $\gamma_0 = f$, $\gamma_1 = g$, and $\gamma|(\text{Cl}(U') \times I) \subset V$. This implies that $f \sim g$.

Now choose a morphism $[\underline{f}]: X \rightarrow Y$ in S . We must show that there exists a morphism $\{f\}: I^\infty \setminus X \rightarrow I^\infty \setminus Y$ in P such that $T(\{f\}) = [\underline{f}]$. Using techniques like those used above we can choose a representative $\underline{f} = \{f_k, X, Y\}$ from the class $[\underline{f}]$ such that $f_k(I^\infty) \cap Y = \emptyset$, for all $k > 0$.

Choose a sequence $\{U_i\}_{i=1}^\infty$ of open sets in I^∞ such that $X = \bigcap_{i=1}^\infty U_i$ and $U_i \supset \text{Cl}(U_{i+1})$, for all $i > 0$. Also choose a sequence $\{V_i\}_{i=1}^\infty$ of open subsets of I^∞ such that $Y = \bigcap_{i=1}^\infty V_i$. We can pick a sequence $\{n_i\}_{i=1}^\infty$ of positive integers such that $n_1 < n_2 < \dots$ and for each $i \geq 0$ and $k, l \geq n_i$, we have $f_k|(\text{Cl}(U_{n_i})) \simeq f_l|(\text{Cl}(U_{n_i}))$ (in V_i).

Let $\varphi_i: I^\infty \rightarrow [0, 1]$ be a continuous function such that $\varphi_i(x) = 0$, for $x \in I^\infty \setminus U_{n_i}$, and $\varphi_i(x) = 1$, for $x \in \text{Cl}(U_{n_{i+1}})$. Let $F^i: \text{Cl}(U_{n_i}) \times I \rightarrow V_i$ be a homotopy such that $F^i_0 = f_{n_i}|(\text{Cl}(U_{n_i}))$ and $F^i_1 = f_{n_{i+1}}|(\text{Cl}(U_{n_i}))$. Using tricks similar to those already employed we can additionally require that $F^i|(\text{Cl}(U_{n_i}) \times I) \cap Y = \emptyset$, for all $i > 0$. Then define $f: I^\infty \setminus X \rightarrow I^\infty \setminus Y$ by $f(x) = f_{n_i}(x)$, for $x \in I^\infty \setminus U_{n_i}$, and $f(x) = F^i_{\varphi_i(x)}(x)$, for $x \in \text{Cl}(U_{n_i}) \setminus U_{n_{i+1}}$. It then follows that f is a proper map. It remains to be shown that $T(\{f\}) = [\underline{f}]$.

To see this choose $F \in F(X)$ and note that $T(\{f\}) = [\{f \circ F_{1/k}, X, Y\}]$. Thus we must show that $\underline{f} \simeq \{f \circ F_{1/k}, X, Y\}$. If V is an open neighborhood of Y , then we can choose i and $n \geq n_i$ such that $k, l \geq n_i$ implies that $f_k|U_n \simeq f_l|U_n$ (in V) and such that $0 \leq t \leq 1/n$ implies that $F_t(U_n) \subset U_n$. If we can show that $k \geq n$ implies that $f_k|U_n \simeq f \circ F_{1/k}|U_n$ (in V), then we will be done. For such a fixed $k \geq n$ we have $F_{1/k}|U_n \subset \text{Cl}(U_n) \setminus U_{n_j}$, for some $j > i$. We can now use a finite induction to conclude that $f|F_{1/k}|U_n \simeq f_{n_i}|F_{1/k}|U_n$ (in V). In order to see the induction define functions $g_i: \text{Cl}(U_{n_i}) \setminus U_{n_j} \rightarrow V$, for $i \leq l \leq j$, as follows:

$$g_i = \begin{cases} f, & \text{on } \text{Cl}(U_{n_i}) \setminus U_{n_i}, \\ f_{n_i}, & \text{on } \text{Cl}(U_{n_i}) \setminus U_{n_j}. \end{cases}$$

Note that $g_i = f_{n_i}|(\text{Cl}(U_{n_i}) \setminus U_{n_j})$ and $g_j = f|(\text{Cl}(U_{n_i}) \setminus U_{n_j})$. Thus all we need do is prove that $g_i \simeq g_{i+1}$ (in V), for $i \leq l \leq j-1$. To this end note that

$$g_{i+1}(x) = \begin{cases} f(x), & x \in \text{Cl}(U_{n_i}) \setminus U_{n_i}, \\ F^i_{\varphi_i(x)}, & x \in \text{Cl}(U_{n_i}) \setminus U_{n_j}. \end{cases}$$

Define $h: (\text{Cl}(U_{n_i}) \setminus U_{n_j}) \times I \rightarrow V$ by

$$h_t(x) = \begin{cases} f(x), & x \in \text{Cl}(U_{n_i}) \setminus U_{n_j}, \\ F_{t\varphi_j(x)}^l, & x \in \text{Cl}(U_{n_i}) \setminus U_{n_j}. \end{cases}$$

Then it easily follows that $h_0 = g_i$ and $h_1 = g_{i+1}$. Hence $f \circ F_{1/k}|U_{n_i} \simeq f_k \circ F_{1/k}|U_{n_i}$ (in V) $\simeq f_k|U_{n_i}$ (in V), and we are done.

5. Relative fundamental sequences. We will need to define a relative notion of a fundamental sequence. Let A and B be subsets of a space X . Then a *relative fundamental sequence* \underline{f} from A to B in X consists of an open set G containing A and a sequence $\{f_k\}_{k=1}^\infty$ of continuous functions, $f_k: G \rightarrow X$, such that the following properties are satisfied.

- (1) f_k is homotopic to the inclusion map of G into X , for all $k \geq 1$ (we will incorrectly write this as $f_k \simeq \text{id}_G$),
- (2) for each open neighborhood V of B there exists an open neighborhood $U \subset G$ of A and an integer $n_1 > 0$ such that if $k, l \geq n_1$ are integers, then $f_k|U \simeq f_l|U$ (in V).

If $X = I^\infty$ and $\underline{f} = \{f_k, A, B\}$ is a fundamental sequence, then it is clear that $\{f_k|G, A, B, G\}$ is a relative fundamental sequence, for each open neighborhood G of A . If A, B, C are subsets of X and $\{f_k, A, B, G\}$, $\{g_k, B, C, H\}$ are relative fundamental sequences, then there exists an integer $n_1 > 0$ and an open set G' satisfying $A \subset G' \subset G$ such that $\{g_k \circ f_k|G', A, C, G'\}_{k=n_1}^\infty$ is a relative fundamental sequence. We will agree to identify relative fundamental sequences $\{f_k, A, B, G\}$ and $\{g_k, A, B, H\}$ provided that there exists an open neighborhood $G' \subset G \cap H$ of A such that $f_k|G' = g_k|G'$, for all but finitely many values of k . Thus composition is well defined.

If $\underline{f} = \{f_k, A, B, G\}$ and $\underline{g} = \{g_k, A, B, H\}$ are relative fundamental sequences then we write $\underline{f} \simeq \underline{g}$ iff for each open neighborhood V of B there exists an open neighborhood $U \subset G \cap H$ of A and an integer $n_1 > 0$ such that $f_k|U \simeq g_k|U$ (in V), for all integers $k \geq n_1$. In analogy with [5] we say that A *relatively fundamentally dominates* B (in X) iff there exist relative fundamental sequences $\underline{f} = \{f_k, A, B, G\}$ and $\underline{g} = \{g_k, B, A, H\}$ such that $\underline{f} \circ \underline{g} \simeq \underline{i}_B$, i.e. for each open neighborhood V of B there exists an open neighborhood $U \subset V \cap H$ of B and an integer $n_1 > 0$ such that $k \geq n_1$ implies that U is in the domain of $f_k \circ g_k$ and $f_k \circ g_k|U \simeq \text{id}_U$ (in V). In like manner we can also define what is meant by *relative fundamental equivalence*.

We now establish a result which plays a key role in the inductive step in the proof of Theorem 2. We do it in two steps.

LEMMA 5.1. *Let X be a Q -manifold and let A, B be compact Z -sets in X such that A relatively fundamentally dominates B in X . If W is an open subset of X containing B , then there exists an embedding $\varphi: A \rightarrow W$ such that $\varphi(A)$ is a Z -set, $\varphi \simeq \text{id}_A$, and $\varphi(A)$ relatively fundamentally dominates B in W .*

Proof. Choose relative fundamental sequences $\underline{f} = \{f_k, A, B, G\}$ and $\underline{g} = \{g_k, B, A, H\}$ such that $\underline{f} \circ \underline{g} \simeq \underline{i}_B$. Choose an integer $n_1 > 0$ and an open set U such that $A \subset U \subset G$, $f_k(U) \subset H \cap W$, and $f_k|U \simeq f_l|U$ (in $H \cap W$), for all $k, l \geq n_1$. Using Lemma 3.7 we may assume that $U \cong U \times [0, 1]$. Now apply Lemma 3.8 to get an open embedding $\Phi: U \rightarrow W$ such that $\Phi \simeq f_{n_1}|U$ (in W). We can find an open neighborhood $V \subset H \cap W$ of B and an integer $n_2 \geq n_1$ such that $g_k(V) \subset U$, for all $k \geq n_2$, $g_k|V \simeq g_l|V$ (in U), for all $k, l \geq n_2$, and $f_k \circ g_k|V \simeq \text{id}_V$ (in $H \cap W$), for all $k \geq n_2$. Now let $\varphi = \Phi|A$, $G' = \Phi(U)$, $H' = V$, $f'_k = f_k \circ \Phi^{-1}$, and $g'_k = \Phi \circ g_k|V$, for all $k \geq n_2$.

To see that $\underline{f}' = \{f'_k, \varphi(A), B, G'\}$ is a relative fundamental sequence in W first note that for each $k \geq n_2$ we have $f'_k = f_k \circ \Phi^{-1} \simeq f_{n_2} \circ \Phi^{-1}$ (in W) $\simeq \Phi \circ \Phi^{-1}$ (in W) $= \text{id}_{G'}$. Now let $V' \subset W$ be an open neighborhood of B and choose an open neighborhood $U' \subset U$ of A and an integer $n_3 \geq n_2$ such that $f_k|U' \simeq f_l|U'$ (in V'), for all $k, l \geq n_3$. Then $\Phi(U')$ is an open set in W containing $\varphi(A)$ such that $f'_k|\Phi(U') \simeq f'_l|\Phi(U')$ (in V'), for all $k, l \geq n_3$.

To see that $\underline{g}' = \{g'_k, B, \varphi(A), H'\}$ is a relative fundamental sequence in W we have $g'_k = \Phi \circ (g_k|V) \simeq f_k \circ (g_k|V)$ (in W) $\simeq \text{id}_V$ (in W), for all $k \geq n_2$. Now let U' be an open set in W containing $\varphi(A)$ and choose an integer $n_3 \geq n_2$ and an open set $V' \subset V$ containing B such that $g_k(V') \subset \Phi^{-1}(U' \cap \Phi(U))$, for all $k \geq n_3$, and $g_k|V' \simeq g_l|V'$ (in $\Phi^{-1}(U' \cap \Phi(U))$), for all $k, l \geq n_3$. Then it follows that $g'_k|V' \simeq g'_l|V'$ (in U'), for all $k, l \geq n_3$.

To see that $\underline{f}' \circ \underline{g}' \simeq \underline{i}_B$ choose an open neighborhood $V' \subset W$ of B . Now choose an open neighborhood $V'' \subset V' \cap V$ of B and an integer $n_3 \geq n_2$ such that $f_k \circ g_k|V'' \simeq \text{id}_{V''}$ (in V'), for all $k \geq n_3$. Then it easily follows that $f'_k \circ g'_k|V'' \simeq \text{id}_{V''}$ (in V'), for all $k \geq n_3$. Thus $\varphi(A)$ relatively fundamentally dominates B in W . It is clear that $\varphi = \Phi|A \simeq f_{n_1}|A \simeq \text{id}_A$ and it follows from the remarks preceding Lemma 3.1 that $\varphi(A)$ is a Z -set in X . Using a similar argument we can establish the following result.

LEMMA 5.2. *Let X be a Q -manifold and let A, B be compact Z -sets in X such that A and B are relatively fundamentally equivalent in X . If W is an open subset of X containing B , then there exists an embedding $\varphi: A \rightarrow W$ such that $\varphi(A)$ is a Z -set, $\varphi \simeq \text{id}_A$, and $\varphi(A)$ is relatively fundamentally equivalent to B (in W).*

6. Proof of Theorem 2. We note that if $I^\infty \setminus X \cong I^\infty \setminus Y$, then $I^\infty \setminus X$ has the same weak proper homotopy type as $I^\infty \setminus Y$, and we can thus use Theorem 1 to conclude that $\text{Sh}(X) = \text{Sh}(Y)$.

On the other hand assume that $\text{Sh}(X) = \text{Sh}(Y)$, where X and Y are compacta in s . We will inductively construct sequences $\{U_i\}_{i=1}^\infty$ and $\{V_i\}_{i=1}^\infty$ of open subsets of I^∞ and a sequence $\{h_i\}_{i=1}^\infty$ of homeomorphisms of I^∞ onto itself such that the following properties are satisfied.

$$(1) \quad X = \bigcap_{i=1}^\infty U_i \quad \text{and} \quad U_{i+1} \subset U_i, \quad \text{for all } i > 0,$$

$$(2) \quad Y = \bigcap_{i=1}^\infty V_i \quad \text{and} \quad V_{i+1} \subset V_i, \quad \text{for all } i > 0,$$

$$(3) \quad h_{2i-1} \circ \dots \circ h_1(X) \subset V_i, \quad \text{for all } i > 0,$$

$$(4) \quad h_j|_{I^\infty \setminus V_i} = \text{id}, \quad \text{for all } j > 2i-1,$$

$$(5) \quad h_{2i} \circ \dots \circ h_1(U_i) \supset Y, \quad \text{for all } i > 0,$$

$$(6) \quad h_j|_{I^\infty \setminus h_{2i} \circ \dots \circ h_1(U_i)} = \text{id}, \quad \text{for all } j > 2i.$$

Before proceeding with the construction of these sequences we will show how to use them to construct our desired homeomorphism of $I^\infty \setminus X$ onto $I^\infty \setminus Y$.

For each $x \in I^\infty \setminus X$ we have $x \notin U_i$, for some $i > 0$. Thus $h_{2i} \circ \dots \circ h_1(x) \in h_{2i} \circ \dots \circ h_1(U_i)$ and we therefore have $h_j \circ \dots \circ h_1(x) = h_{2i} \circ \dots \circ h_1(x)$, for all $j > 2i$. This means that $h(x) = \lim_{j \rightarrow \infty} h_j \circ \dots \circ h_1(x)$ is defined, for all $x \in I^\infty \setminus X$. It follows from (5) above that $h(x) \in I^\infty \setminus Y$. Thus we have defined a function $h: I^\infty \setminus X \rightarrow I^\infty \setminus Y$. To prove that h is onto choose $y \in I^\infty \setminus Y$ and choose an integer $i > 0$ such that $y \notin V_i$. By (4) above we have $h_j(y) = y$, for all $j > 2i-1$. Put $x = (h_{2i-1} \circ \dots \circ h_1)^{-1}(y)$ and note that (3) implies that $x \in I^\infty \setminus X$. Thus $h(x) = \lim_{j \rightarrow \infty} h_j \circ \dots \circ h_1(x) = h_{2i-1} \circ \dots \circ h_1(x) = y$, which implies that h is onto. To prove that h is one-to-one choose $x_1, x_2 \in I^\infty \setminus X$ such that $x_1 \neq x_2$ and an integer $i > 0$ such that $x_1, x_2 \in I^\infty \setminus U_i$. Thus $h_{2i} \circ \dots \circ h_1(x_1), h_{2i} \circ \dots \circ h_1(x_2) \in I^\infty \setminus h_{2i} \circ \dots \circ h_1(U_i)$. By (6) we have $h_j(h_{2i} \circ \dots \circ h_1(x_1)) = h_{2i} \circ \dots \circ h_1(x_1)$ and $h_j(h_{2i} \circ \dots \circ h_1(x_2)) = h_{2i} \circ \dots \circ h_1(x_2)$, for all $j > 2i$. Thus $h(x_1) = h_{2i} \circ \dots \circ h_1(x_1)$ and $h(x_2) = h_{2i} \circ \dots \circ h_1(x_2)$. Since $h_{2i} \circ \dots \circ h_1$ is one-to-one we have $h(x_1) \neq h(x_2)$, as we needed. To prove that h is continuous choose $y \in I^\infty \setminus Y$ and an open neighborhood $V \subset I^\infty$ of y . Then there exists an open neighborhood $V' \subset V$ of y such that $V' \cap V_i = \emptyset$, for some integer $i > 0$. By (4) above this implies that $h^{-1}(V') = (h_{2i-1} \circ \dots \circ h_1)^{-1}(V')$. Since $h_{2i-1} \circ \dots \circ h_1$ is continuous this implies that $h^{-1}(V')$ is open, as we needed. Similarly one uses (6) to prove that h is an open map. Thus h is a homeomorphism of $I^\infty \setminus X$ onto $I^\infty \setminus Y$.

Now for the construction of the necessary sequences. We start by choosing $\{U_i\}_{i=1}^\infty$ and $\{V_i\}_{i=1}^\infty$ to be decreasing sequences of open subsets of I^∞ such that $X = \bigcap_{i=1}^\infty U_i$ and $Y = \bigcap_{i=1}^\infty V_i$. For any integer $n > 0$ let $\{a_i\}_{i=1}^n, \{b_i\}_{i=1}^n$ be increasing sequences of positive integers and let us choose $\{h_i\}_{i=1}^{2n}$ to be a sequence of homeomorphisms of I^∞ onto itself. Then we say that the triple $(\{a_i\}_{i=1}^n, \{b_i\}_{i=1}^n, \{h_i\}_{i=1}^{2n})$ satisfies property P_n provided that the following five conditions are met:

- (i) $h_{2i-1} \circ \dots \circ h_1(U'_a) \subset V'_{b_i}$, for $1 \leq i \leq n$,
- (ii) $h_j|_{I^\infty \setminus V'_{b_i}} = \text{id}$, for $1 \leq i \leq n$ and $2i-1 < j \leq 2n$,
- (iii) $h_{2i} \circ \dots \circ h_1(U'_a) \supset V'_{b_{i+1}}$, for $1 \leq i < n$,
- (iv) $h_j|_{I^\infty \setminus h_{2i} \circ \dots \circ h_1(U'_a)} = \text{id}$, for $1 \leq i \leq n$ and $2i < j \leq 2n$,
- (v) $h_{2n} \circ \dots \circ h_1(X)$ is relatively fundamentally equivalent to Y (in $h_{2n} \circ \dots \circ h_1(U'_a)$).

We first show that there exist positive integers a_1, b_1 and homeomorphisms h_1, h_2 of I^∞ onto itself such that $(\{a_i\}, \{b_i\}, \{h_i\}_{i=1}^2)$ satisfies property P_1 . Choose $b_1 = 1$ and use Lemma 5.2 to get an embedding $f_1: X \rightarrow V'_{b_1}$ such that $f_1(X)$ is a Z -set in V'_{b_1} and $f_1(X)$ is relatively fundamentally equivalent to Y (in V'_{b_1}). Then extend f_1 to a homeomorphism $h_1: I^\infty \rightarrow I^\infty$. Choose a_1 to be a positive integer large enough so that $U'_{a_1} \subset h_1^{-1}(V'_{b_1})$. Once more using Lemma 5.2 let $f_2: Y \rightarrow h_1(U'_{a_1})$ be an embedding such that $f_2 \simeq \text{id}_Y$ (in V'_{b_1}), $f_2(Y)$ is a Z -set in V'_{b_1} , and $f_2(Y)$ is relatively fundamentally equivalent to $h_1(X)$ (in $h_1(U'_{a_1})$). Since $f_2 \simeq \text{id}_Y$ (in V'_{b_1}) we can extend f_2 to a homeomorphism $f'_2: V'_{b_1} \rightarrow V'_{b_1}$ which in turn can be extended to a homeomorphism $f'_2: I^\infty \rightarrow I^\infty$ which satisfies $f'_2|_{I^\infty \setminus V'_{b_1}} = \text{id}$. The construction of f'_2 requires an application of Lemma 3.5, where f'_2 is limited by an open cover of V'_{b_1} which is normal with respect to $I^\infty \setminus V'_{b_1}$. Then we put $h_2 = (f'_2)^{-1}$ and note that $(\{a_1\}, \{b_1\}, \{h_i\}_{i=1}^2)$ satisfies property P_1 (with (iii), (iv) being vacuously satisfied).

Now suppose that for some $n > 0$ we have a triple $(\{a_i\}_{i=1}^n, \{b_i\}_{i=1}^n, \{h_i\}_{i=1}^{2n})$ which satisfies property P_n . We will construct integers a_{n+1}, b_{n+1} and homeomorphisms h_{2n+1}, h_{2n+2} of I^∞ onto itself such that $(\{a_i\}_{i=1}^{n+1}, \{b_i\}_{i=1}^{n+1}, \{h_i\}_{i=1}^{2n+2})$ satisfies property P_{n+1} . To construct b_{n+1} note that (v) implies that $Y \subset h_{2n} \circ \dots \circ h_1(U'_{a_n})$, thus we can choose $b_{n+1} > b_n$ large enough so that $V'_{b_{n+1}} \subset h_{2n} \circ \dots \circ h_1(U'_{a_n})$. Since Y is a Z -set in I^∞ and $h_{2n} \circ \dots \circ h_1(U'_{a_n})$ is a neighborhood of Y , it follows from the first paragraph of Section 3 that Y is a Z -set in $h_{2n} \circ \dots \circ h_1(U'_{a_n})$. Also $h_{2n} \circ \dots \circ h_1(X)$ is a Z -set in $h_{2n} \circ \dots \circ h_1(U'_{a_n})$. Using Lemma 5.2 there exists an embedding $f_{2n+1}: h_{2n} \circ \dots \circ h_1(X) \rightarrow V'_{b_{n+1}}$ such that $f_{2n+1} \simeq \text{id}$ (in $h_{2n} \circ \dots \circ h_1(U'_{a_n})$), $f_{2n+1}(h_{2n} \circ \dots \circ h_1(X))$ is a Z -set in $h_{2n} \circ \dots \circ h_1(U'_{a_n})$, and $f_{2n+1}(h_{2n} \circ \dots \circ h_1(X))$ is relatively fundamentally equivalent to Y (in

$V'_{b_{n+1}}$). As in the construction of h_2 we can extend f_{2n+1} to a homeomorphism $h_{2n+1}: I^\infty \rightarrow I^\infty$ such that $h_{2n+1}|_{I^\infty \setminus h_{2n} \circ \dots \circ h_1(U'_{a_n})} = \text{id}$. Now choose $a_{n+1} > a_n$ to be an integer such that $h_{2n+1} \circ \dots \circ h_1(U'_{a_{n+1}}) \subset V'_{b_{n+1}}$. Applying the same procedure once more we can construct a homeomorphism $h_{2n+2}: I^\infty \rightarrow I^\infty$ such that $h_{2n+2} \circ \dots \circ h_1(X)$ is relatively fundamentally equivalent to Y (in $h_{2n+1} \circ \dots \circ h_1(U'_{a_{n+1}})$) and $h_{2n+2}|_{I^\infty \setminus V'_{b_{n+1}}} = \text{id}$. It is now easily seen that $(\{a_i\}_{i=1}^{n+1}, \{b_i\}_{i=1}^{n+1}, \{h_i\}_{i=1}^{2n+2})$ satisfies property P_{n+1} .

We have just shown above that we can inductively construct infinite increasing sequences $\{a_i\}_{i=1}^\infty$, $\{b_i\}_{i=1}^\infty$ of positive integers and a sequence $\{h_i\}_{i=1}^\infty$ of homeomorphisms of I^∞ onto itself such that $(\{a_i\}_{i=1}^n, \{b_i\}_{i=1}^n, \{h_i\}_{i=1}^{2n})$ satisfies property P_n , for all $n > 0$. If we put $\{U_i\}_{i=1}^\infty = \{U'_{a_i}\}_{i=1}^\infty$ and $\{V_i\}_{i=1}^\infty = \{V'_{b_i}\}_{i=1}^\infty$, then these sequences together with $\{h_i\}_{i=1}^\infty$ satisfy the properties (1)–(6) as we wanted.

7. Proof of corollary. Let X be a FAR and without loss of generality assume that $X \subset s$. Using Theorem (7.1) of [7] we have $\text{Sh}(X) = \text{Sh}(\{\text{point}\})$. Using Theorem 2 there is a homeomorphism $h: I^\infty \setminus W^+ \rightarrow I^\infty \setminus X$. Then $I^\infty \setminus X = h \left[\bigcup_{i=1}^\infty \left(\left[-1, 1 - \frac{1}{i} \right] \times \prod_{i=2}^\infty I_i \right) \right]$. We note that each $h \left(\left[1 - \frac{1}{i} \right] \times \prod_{i=2}^\infty I_i \right)$ is a bicollared copy of I^∞ in $I^\infty \setminus X$. Thus $I^\infty \setminus h \left(\left[1 - \frac{1}{i} \right] \times \prod_{i=2}^\infty I_i \right) = A_i \cup B_i$, where A_i and B_i are disjoint sets such that $\text{Cl}(A_i) \cap \text{Cl}(B_i) = h \left(\left[1 - \frac{1}{i} \right] \times \prod_{i=2}^\infty I_i \right)$ and $\text{Cl}(A_i) \cong \text{Cl}(B_i) \cong I^\infty$. Choose notation so that $\text{Cl}(A_i) = h \left(\left[-1, 1 - \frac{1}{i} \right] \times \prod_{i=2}^\infty I_i \right)$ and thus we have $X = \bigcap_{i=1}^\infty \text{Cl}(B_i)$, a decreasing sequence of Hilbert cubes.

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Reçu par la Rédaction le 21. 1. 1971