

It is easy to determine the distinguished codes of D_n and to prove that they form an \mathcal{A} -set. Hence X_0 is an \mathcal{A} -base of F_0 .

Let $b_0 = \{J(m, n) : (m > 0) \& m \equiv a'_n \pmod{2^n}\}$. Since b_0 is primitive recursive, it is definable. It remains to show that whenever F is an ultrafilter and $F \supseteq X_0$, then Stsf is arithmetical in $R_F(b_0)$.

Thus assume that each D_n belongs to F . Since

$$q \in R_F(b_0) \equiv \{i > 0 : i \equiv a'_q \pmod{2^q}\} \in F$$

we obtain taking $q = 2^n + e'_n$

$$2^n + e'_n \in R_F(b_0) \equiv D_n \in F$$

whence $2^n + e'_n \in R_F(b_0)$. We now show that $2^n + e'_n$ is a unique element n of $R_F(b_0)$ such that $2^n \leq m < 2^{n+1}$. To see this we notice that if $m \in R_F(b_0)$ and $2^n \leq m < 2^{n+1}$, then $\bar{m} = n$, $a'_m < 2^n$ and

$$\{i > 0 : i \equiv a'_m \pmod{2^n}\} \in F.$$

This set must intersect with D_n since they both belong to F . It follows that $a'_m \equiv e'_n \pmod{2^n}$ and since both a'_m, e'_n are $< 2^n$ we obtain $a'_m = e'_n$ and $m = 2^n + e'_n$.

The last term e_{n-1} of e_n where $n > 0$ can therefore be defined as the integral part of $x/2^{n-1}$ where x is a unique integer $< 2^n$ such that $2^n + x \in R_F(b_0)$. Since $n \in \text{Stsf} = \varepsilon_n = 1$ it follows that Stsf is arithmetical in $R_F(b_0)$ and the proof is finished.

In [3] the theorem was proved only for models which are elementarily equivalent to the principal model. It would be interesting to verify whether it holds for ω -models of the system A_2 resulting from A_2 by omitting the choice axiom.

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The restricted cancellation law in a Noether lattice

by

Jane F. Wells (Fort Wayne, Ind.)

In [2], R. P. Dilworth defined the concept of a Noether lattice. The definition is based on the idea of a principal element. $A \in L$ is a *principal element* if for all $B, C \in L$, $(B \wedge (C : A))A = BA \wedge C$ and $(B \vee CA) : A = B : A \vee C$, thus a principal element is a generalization of the idea of a principal ideal in a Noetherian ring. The ramifications of this concept have been investigated in [2], [5], [6], and [7].

In [3], R. Gilmer considered the restricted cancellation law (RCL) in commutative rings. An element A of a Noether lattice satisfies RCL if for any $B, C \in L$, $AB = AC \neq 0$ implies $B = C$. We show this condition is closely related to the idea of a weak join principal element. $A \in L$ is weak join principal if $BA : A = B \vee 0 : A$.

In section 1, we consider a theorem of Gilmer [3] in which he characterizes a commutative ring in which every ideal satisfies RCL. In a Noether lattice L , we show a similar result holds when RCL is assumed on the prime elements of L . Such lattices are characterized as Dedekind or local with maximal M in which either $M^2 = 0$ or M is principal with $M^k = 0$ for some k .

In section 2, the situation in which (L, M) is a local Noether lattice with maximal M such that M satisfies RCL is investigated. With the aid of the lattice RL_n introduced by Bogart [1], these lattices are characterized. In addition, we show the maximal element M in (L, M) is join principal.

Finally, we consider a local Noether lattice in which the maximal is weak join principal. We investigate the distributive case in which the maximal has a minimal representation as the join of two principals.

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Section 1. In this section we will characterize Noether lattices in which every prime element satisfies the restricted cancellation law.

LEMMA 1.11. *If A satisfies RCL and $AB \leq AC \neq 0$ for some $B, C \in L$, then $B \leq C$.*

Proof. $A(B \vee C) = AB \vee AC = AC \neq 0$, so by RCL for A , $B \vee C = C$ and $B \leq C$. q.e.d.

DEFINITION 1.12. If P is prime, the *dimension* of P is the maximum length of a chain of distinct proper primes greater than P .

DEFINITION 1.13. If P is prime, P has *rank* r if r is the maximum length of a chain of distinct primes less than P .

LEMMA 1.14. $A \in L$ satisfies RCL if and only if $AB: A = B \vee 0: A$ for every $B \in L$ and $0: A \leq B$ whenever $AB \neq 0$.

Proof. Assume A satisfies RCL. $(AB: A)A \leq AB$. If $AB = 0$ then $AB: A \leq B \vee 0: A$. If $AB \neq 0$ then by Lemma 1.11, $AB: A \leq B \vee 0: A$. Hence $AB: A = B \vee 0: A$. Furthermore, if $AB \neq 0$ then $(0: A)A \leq AB$ and by Lemma 1.11, $0: A \leq B$.

Conversely, assume $AB: A = B \vee 0: A$ and $AB \neq 0$ implies $0: A \leq B$. If $AB = AC \neq 0$, then $AB: A = B \vee 0: A = B$ and $AC: A = C \vee 0: A = C$, so $B = C$. q.e.d.

LEMMA 1.15. If P is a prime in L and $A \in L$ satisfies RCL, then $[A]$ satisfies RCL in L_P .

Proof. Let $[B] \in L_P$. $[A][B]: [A] = [AB: A] = [B \vee 0: A] = [B] \vee [0]: [A]$. If $[A][B] \neq [0]$, then $AB \neq 0$ in L and by Lemma 1.14, $0: A \leq B$, so $[0]: [A] \leq [B]$. Hence by Lemma 1.14, $[A]$ satisfies RCL in L_P . q.e.d.

LEMMA 1.16. If (L, M) is a local Noether lattice and M satisfies RCL, then $\text{rank } M \leq 1$.

Proof. There exist principals E_1, \dots, E_k such that $M = E_1 \vee E_2 \vee \dots \vee E_k$ and this is a minimal representation of M as the join of principals. $M^{nk+n} = M^{nk}(E_1^n \vee \dots \vee E_k^n)$. If $M^{nk+n} = 0$ then M is the only prime of L and $\text{rank } M = 0$. If $M^{nk+n} \neq 0$, then $M^n = E_1^n \vee \dots \vee E_k^n$ by RCL. By [4], there exists a polynomial $p(x)$ with rational coefficients such that the degree of $p(x) = \text{rank } M - 1$ and $p(n)$ is the number of elements in a minimal base for M^n . $p(n) \leq k$ for every n implies $p(x)$ is a constant polynomial and hence $\text{rank } M \leq 1$. q.e.d.

COROLLARY 1.17. If P is a prime in L and P satisfies RCL, then $\text{rank } P \leq 1$.

Proof. L_P is a local Noether lattice in which the maximal element $[P]$ satisfies RCL. Hence, by Lemma 1.16, $\text{rank } [P] \leq 1$. Since $\text{rank } P = \text{rank } [P]$, $\text{rank } P \leq 1$. q.e.d.

THEOREM 1.18. If (L, M) is a local Noether lattice with RCL on primes and $\dim L = 0$, then L is either special primary or $M^2 = 0$.

Proof. If $M^2 = 0$ then the theorem holds so assume $M^2 \neq 0$. Let $M = E_1 \vee \dots \vee E_n$ be a minimal representation of M as the join of principals where $E_i \not\leq M^2$ for each i . Since $M^2 \neq 0$, $ME_j \neq 0$ for some j . Rank

$M = 0 = \dim L$ implies M is the only prime of L . Therefore E_j is M -primary and there exists an integer k such that $M^k \leq E_j$ and $M^{k-1} \not\leq E_j$. If $k = 1$ then M is principal and the theorem holds. If $k > 1$, then $M^k = M^k \wedge E_j = (M^k: E_j)E_j$. $E_j \not\leq M^k$ implies $M^k \leq ME_j \neq 0$ and by RCL, $M^{k-1} \leq E_j$. This contradicts the choice of k . Therefore $E_j = M^k$ and since $E_j \not\leq M^2$, $k = 1$. q.e.d.

THEOREM 1.19. If (L, M) is a local Noether lattice with RCL on primes and $\text{rank } M = 1$, then L is regular local altitude one.

Proof. Since $\text{rank } M = 1$, M is not a minimal prime of 0 . Let $P < M$ be prime, then P is a minimal prime of 0 . Let $0 = \bigwedge_{i=1}^n Q_i$ be a normal decomposition of 0 as the meet of primary elements, where $\sqrt{Q_i} = P_i$ and $P = P_1$. There exist integers $k(1), \dots, k(n)$ such that $P_i^{k(i)} \leq Q_i$, $P^{k(i)}(\bigwedge_{i=2}^n P_i^{k(i)}) \leq 0 \leq P^{k(i)+1}$. If $P^{k(i)+1} \neq 0$, then $\bigwedge_{i=2}^n P_i^{k(i)} \leq P$ and $P_j \leq P$ for some $j, j \neq 1$. Since $\text{rank } M = 1$, $P_j = P$ contradicting the normality of the decomposition. Therefore $P^{k(i)+1} = 0$ and P is the unique minimal prime of 0 . Hence L has only two primes, M and P , with $P^n = 0$ for some n . Since $M \not\leq P$, we may choose a principal X such that $X \leq M$, $X \not\leq M^2$ and $X \not\leq P$. X is M primary. $XM \neq 0$ since $X \not\leq P$ and $M \not\leq P$ so as in the proof of Theorem 1.18, $M = X$. However L is local so every nonzero element of L is a power of $X = M$. Hence $P = 0$ and L is regular local altitude one. q.e.d.

LEMMA 1.20. If L is a Noether lattice with RCL on primes and $P < P' < I$, P and P' prime, then $0_{P'} = P = 0_P$. Furthermore, if P is an associated prime of 0 , then $\text{rank } P = 0$.

Proof. $L_{P'}$ is local with RCL on primes and $\text{rank } [P'] = 1$. Therefore $L_{P'}$ is regular local altitude one and $[0]$ is prime in $L_{P'}$. Since $[P] \neq [P']$ and $[P]$ is prime in $L_{P'}$, $[P] = [0]$. Thus $P = 0_{P'}$. However $P \leq P'$ implies $0_{P'} \leq 0_P \leq P$ so $0_{P'} = 0_P = P$. Furthermore, we have shown if $\text{rank } P \neq 0$, then P is not an associated prime of 0 . q.e.d.

LEMMA 1.21. If $0_P = P$, then $P = 0$ or $P^2 = 0$. Furthermore if $P^k = 0$ for some prime P and some integer k then $P = 0$ or P is the only prime in L .

Proof. $0 \leq P^2$ so $0_P \leq (P^2)_P \leq P$. Therefore $(P^2)_P = P$. P is a minimal prime of P^2 so let $P^2 = P \wedge Q_1 \wedge \dots \wedge Q_n$ be normal decomposition of P^2 .

$P(\bigwedge_{i=1}^n Q_i) \leq P^2$. If $P^2 = 0$, the result holds. If $P^2 \neq 0$ then $\bigwedge_{i=1}^n Q_i \leq P$ contradicting the normality of the decomposition.

Now assume $P^k = 0$ for some prime P and some integer k . Since P is the unique minimal prime of 0 and by Lemma 1.20, 0 has no imbedded primes, 0 is P -primary. Suppose P is not maximal and hence

the only prime of L , then there exists a prime M such that $P < M$. L_M is regular local altitude one by Theorem 1.19. Hence $[0] = [P]$ and $0_M = P$. Since 0 is P -primary $0_M = 0$. Therefore $P = 0$. Hence either P is maximal and minimal or 0 is prime. q.e.d.

LEMMA 1.22. *If L is a Noether lattice with RCL on primes and if for every nonzero prime P of L , no power of P is 0 , then L is Dedekind.*

Proof. If 0 is prime in L , let P be a prime such that $0 < P$. Since rank $P \leq 1$, P is maximal. L_P is regular local altitude one by Theorem 1.19 and hence linear. Therefore, by [6], every element of L is a product of primes. 0 is prime, so L is Dedekind.

If 0 is not prime in L , let P be any prime of L . $P < P'$ where P' is prime, implies $[0] = [P]$ in $L_{P'}$ and $0_{P'} = P$ by Lemma 1.20. Therefore $P = 0$ or $P^2 = 0$, both of which are contradictions. Hence P is maximal.

Furthermore, by the same reasoning, P is minimal. Let $0 = \bigwedge_{i=1}^n Q_i$ be a normal decomposition of 0 as the meet of primaries, where $\bigvee Q_i = P_i$. Since any prime of L is maximal and minimal, $\{P_1, \dots, P_k\}$ is the set of all primes of L . For each i , there exists a positive integer $n(i)$ such that $P_i^{n(i)} \leq Q_i$. Therefore, since $P_i \vee P_j = I$, for $i \neq j$, $0 = \bigwedge_{i=1}^k P_i^{n(i)} = \prod_{i=1}^k P_i^{n(i)}$ and $L \simeq L/P_1^{n(1)} \oplus \dots \oplus L/P_k^{n(k)}$. $(L/P_i^{n(i)})_{P_i} \simeq L_{P_i}/[P_i]^{n(i)} \simeq L_{P_i}$ since $[P_i]^{n(i)} = [0]$ in L_{P_i} . L_{P_i} is local with RCL on primes and rank $[P_i] = 0$, so by Theorem 1.18, L_{P_i} is special primary or $[P_i]^2 = 0$. Hence L is the direct sum of special primary lattices and local lattices in which the maximal squares to 0 . If $k > 1$, then $(P_1, I, \dots, I)(P_1^{n(1)-1}, P_2, \dots, P_k) = (0, P_2, \dots, P_k) = (P_1, I, \dots, I)(0, P_2, \dots, P_k) \neq 0$, but $(P_1^{n(1)-1}, P_2, \dots, P_k) \neq (0, P_2, \dots, P_k)$. Hence, L does not satisfy RCL on primes which is a contradiction. Therefore $k = 1$ and L is special primary or local with $M^2 = 0$, but this is impossible by hypothesis. Therefore, 0 is prime and L is Dedekind. q.e.d.

THEOREM 1.23. *If L is a Noether lattice with RCL on primes, then L is one of the following*

- (i) local with maximal M and $M^2 = 0$,
- (ii) special primary,
- (iii) Dedekind.

Proof. If $P^k \neq 0$, for every nonzero prime P and every integer k , then by Lemma 1.22 L is Dedekind and 0 is prime. If there exists a prime P and an integer k , such that $P^k = 0$ then by Lemma 1.21, $P = 0$ or P is the only prime in L . If $P = 0$, then L is Dedekind. If $P \neq 0$ then L is local with RCL on primes and by Theorem 1.18, L is special primary or $P^2 = 0$. q.e.d.

Section 2. In this section we consider a local Noether lattice (L, M) in which the maximal element M satisfies RCL. Rank M is less than or equal to one by Lemma 1.16. If rank M is 0 , then L is special primary or $M^2 = 0$. If 0 is prime in L then L is Dedekind. Thus, the only remaining case is rank $M = 1$ and 0 is not prime in L . Throughout this section we will consider this situation.

LEMMA 2.11. *If (L, M) is a local Noether lattice in which M satisfies RCL and rank $M = 1$, then M is not a prime of 0 .*

Proof. Since rank $M = 1$, $M \cdot M^n \neq 0$ for every integer n . Hence by Lemma 1.14, $0: M \leq M^n$ for every n . By the Intersection Theorem, [2], this implies $0: M = 0$. q.e.d.

LEMMA 2.12. *If (L, M) is a local Noether lattice in which M satisfies RCL and $M^n \neq 0$, for each n , then if M^k is principal for some k , M is principal.*

Proof. By induction, it suffices to show that M^{k-1} is principal. Let $A, B \in L$. Clearly $A \vee (B: M^{k-1}) \leq (AM^{k-1} \vee B): M^{k-1}$. $XM^{k-1} \leq AM^{k-1} \vee B$ implies $XM^k \leq AM^k \vee BM$ and $X \leq (AM^k \vee BM): M^k = A \vee BM: M^k = A \vee (BM: M): M^{k-1} = A \vee (M \vee 0: M): M^{k-1} = A \vee B: M^{k-1}$ since M^k is principal and $0: M = 0$. Hence, $(AM^{k-1} \vee B): M^{k-1} = A \vee BM^{k-1}$ and M^{k-1} is join principal. Clearly $(A: M^{k-1} \wedge B)M^{k-1} \leq A \wedge BM^{k-1}$. If $X \leq A \wedge A \wedge BM^{k-1}$, then $XM \leq (A \wedge BM^{k-1})M \leq A \wedge BM^k = (AM: M^k \wedge B)M^k = ((A \vee 0: M): M^{k-1} \wedge B)M^k = (A: M^{k-1} \wedge B)M^k$. If $(A: M^{k-1} \wedge B)M^k \neq 0$ then by RCL, $X \leq (A: M^{k-1} \wedge B)M^{k-1}$. If $(A: M^{k-1} \wedge B)M^k = 0$, then $(A: M^{k-1} \wedge B)M^{k-1} \leq 0: M = 0$ and $(A \wedge BM^{k-1})M = 0$ so $A \wedge BM^{k-1} \leq 0: M = 0$. In either case, $A \wedge BM^{k-1} = (A: M^{k-1} \wedge B)M^{k-1}$. q.e.d.

LEMMA 2.13. *If (L, M) is a local Noether lattice in which M satisfies RCL and rank $M = 1$, then if there is a principal E such that $E \leq P$, for every prime P which is an associated prime of 0 , 0 is prime and L is regular local altitude one.*

Proof. $E \leq P$, for each prime P of 0 implies that E is M -primary since the only primes of L are M and the minimal primes of 0 . Choose j so that $M^j \leq E$, $M^{j-1} \not\leq E$, then $M^j = (M^j: E)E$. If $M^j \neq E$, then $M^j \leq ME \neq 0$ and $M^{j-1} \leq E$. This is a contradiction. Hence $M^j = E$, and by Lemma 2.12, M is principal. Since rank $M = 1$, $M^k \neq 0$, for every k . Therefore since every nonzero element of L is a power of M , 0 is prime. q.e.d.

COROLLARY 2.14. *If R is a local Noetherian ring in which the maximal ideal M satisfies RCL, then R is*

- (i) a ring with trivial multiplication,
- (ii) special primary,
- (iii) regular local altitude one.

Proof. Since in a local Noether lattice, E is principal if and only if E is join irreducible, every principal element of $L(R)$ is a principal ideal. So, if $\text{rank } M = 0$, Theorem 1.18 implies $M^2 = 0$ or R is special primary. If $\text{rank } M = 1$, then $M \not\subseteq \bigvee_{i=1}^n P_i$ where P_1, \dots, P_n are the associated primes of 0 by [8], so there is an element $a \in R$ such that $a \notin P_i$, for each i . Thus by Lemma 2.13, $L(R)$ is regular local altitude one.

In general Corollary 2.14, is not true. A counterexample is the lattice RL_2/XY . If R is a field and X and Y indeterminates over R , then RL_2 is the sublattice of the lattice of ideals of $R[X, Y]$ consisting precisely of the ideals (X) , (Y) and all finite joins of power products of these ideals, [1]. For simplicity of notation, we will write X to denote the principal ideal (X) . RL_2/XY is the sublattice of RL_2 of elements which are greater than or equal to XY . Every element of this lattice is of the form $X^k \vee Y^n \vee XY$, where $k, n \geq 0$. If $k, n \geq 1$, then

$$\begin{aligned} (X^k \vee Y^n \vee XY)(X \vee Y): (X \vee Y) &= (X^{k+1} \vee Y^{n+1} \vee XY): (X \vee Y) \\ &= (X^{k+1} \vee Y^{n+1} \vee XY): X \wedge (X^{k+1} \vee Y^{n+1} \vee XY): Y \\ &= (X^k \vee Y) \wedge (Y^n \vee X) = X^k \vee Y^n \vee XY. \end{aligned}$$

If $n = 0, k \geq 1$,

$$\begin{aligned} (X^k \vee XY)(X \vee Y): (X \vee Y) &= (X^{k+1} \vee XY): (X \vee Y) \\ &= (X^k \vee Y) \wedge X = X^k \vee XY. \end{aligned}$$

Hence, $X \vee Y$ satisfies RCL in RL_2/XY . However RL_2/XY is not of one of the types listed in Corollary 2.14. It can be shown in a similar manner that $X_1 \vee \dots \vee X_n$ satisfies RCL in $RL_n/\bigvee_{i \neq j} X_i X_j$. We will show that these

are the only Noether lattices, other than those mentioned in Theorem 1.23, in which the maximal element satisfies RCL.

LEMMA 2.15. *If (L, M) is a local Noether lattice where $M = E \vee F$ is a minimal representation of M as the join of principals, $M^2 \neq 0$ and M satisfies RCL, then $L \simeq RL_2/XY$.*

Proof. First, notice that $\text{rank } M = 1$, for if $\text{rank } M = 0$, then L is special primary and M is principal by Theorem 1.18 contradicting $E \vee F$ is a minimal representation of M as the join of principals. Also 0 is not prime, for then by Lemma 2.13, M is principal. If $E \leq P$, P a prime with $P \neq M$, then $P = P \wedge (E \vee F) = E \vee (P \wedge F) = E \vee (P: F)F = E \vee PF$ since $F \not\leq P$. So $P \leq E \vee MP$ and by the Intersection Theorem $P = E$. Similarly, if $F \leq P'$, for some prime P' , then $P' = F$. Lemma 2.13 implies E and F are prime. $M^2 = (E^2 \vee F^2)M \neq 0$, so $M^2 = E^2 \vee F^2$ and $EF \leq E^2 \vee F^2$. Hence $EF: F = E \vee 0: F \leq (E^2 \vee F^2): F = E^2: F \vee F$. So

$E \leq E^2: F \vee F$ and $E = E \wedge (E^2: F \vee F) = E^2: F \vee E \wedge F = E^2: F \vee EF \leq E^2: F \vee ME$. Hence, $E \leq E^2: F \leq E$. Similarly, $F = F^2: E$ and $EF \leq E^2 \wedge F^2 = (E^2: F^2)F^2 \leq EF^2 \leq MEF$. So $EF = 0$ and $E \wedge F = (E: F)F = EF = 0$ is normal decomposition for 0. Now, if C is principal in L , then by Lemma 2.13, $C \leq E$ or $C \leq F$. If $C \leq E \wedge F$, then $C = 0$. Assume $C \leq E$, $C \not\leq F$. Choose k so that $C \leq E^k$, $C \not\leq E^{k+1}$, then $C = C \wedge E^k = (C: E^k)E^k$. If $C: E^k = F_1 \vee \dots \vee F_n$, where F_i is principal for each i , then $C = E^k F_1 \vee \dots \vee E^k F_n$, but in a local lattice, principals are join irreducible, so $C = F_j E^k$, for some j . If $F_j \leq F$, then $C \leq E \wedge F$ and $C = 0$. If $F_j \not\leq F$ then $C \leq E^{k+1}$, contrary to the choice of k . Hence $F_j \not\leq E$ and $F_j \not\leq F$, so $F_j = I$ by Lemma 2.13 and $C = E^k$. Furthermore, $E^n \neq E^{n+j}$ for $j > 0$ by the Intersection Theorem. Therefore $\{0, I, E, E^2, \dots, F, F^2, \dots\}$ is the complete set of principals of L . If $E^n \vee F^j = E^k \vee F^m$, $k \geq n$ and $m \geq 1$, then $E^n \leq E^k \vee F^m$ and $E^n = E^n \wedge (E^k \vee F^m) = E^k \vee (E^n \wedge F^m) = E^k$, and $n = k$. If $m = 0$ and $j > 0$ then $F^j \leq E$ and $F \leq E$ which is a contradiction. Hence $j = 0$, $E^n = E^k$ and $n = k$. Hence, every element of L has a unique representation as the join of principals and L is clearly isomorphic to RL_2/XY . q.e.d.

THEOREM 2.16. *If (L, M) is a local Noether lattice in which M satisfies RCL, $M^2 \neq 0$ and $M = E_1 \vee \dots \vee E_k$, $k \geq 2$, is a minimal representation of M as the join of principals, then if $P_i = E_1 \vee \dots \vee \hat{E}_i \vee \dots \vee E_k$, where $\hat{}$ denotes omission, $\{P_1, \dots, P_k, M\}$ is the set of primes of L , $E_i E_j = 0$ for $i \neq j$. Furthermore, L is a distributive lattice and is isomorphic to $RL_k/\bigvee_{i \neq j} X_i X_j$.*

Proof. Since M is not principal, $\text{rank } M = 1$ and 0 is not prime. If $k = 2$, the result follows by Lemma 2.15. Assume the theorem is true for $k \geq 2$ and $M = E_1 \vee \dots \vee E_{k+1}$ is a minimal representation of M as the join of principal elements. In L/E_i , the maximal M is the join of k principals and this is a minimal representation for M . If $A, B \in L/E_i$, and $A \circ M = B \circ M \neq E_i$, then $AM \vee E_i = BM \vee E_i$. Hence, $(B \vee A)M \leq BM \vee E_i$ and $(B \vee A)M = (B \vee A)M \wedge (BM \vee E_i) = BM \vee (B \vee A)M \wedge E_i$, by modularity, $= BM \vee ((B \vee A)M: E_i)E_i \leq BM \vee ME_i$, since $E_i \not\leq M^2$, $E_i \not\leq (B \vee A)M$. Hence, $(B \vee A)M \leq (B \vee E_i)M = BM \neq 0$ and $(B \vee A) \leq B$. So $A \leq B$. Similarly, $B \leq A$ and RCL holds in L/E_i . In $L/E_1, P_2, \dots, P_{k+1}$ are prime, $E_1 \leq P_i$, for $i = 2, \dots, k+1$, so P_2, \dots, P_{k+1} are prime in L . By considering $L/E_2, P_1$ is also prime in L . Therefore, P_1, \dots, P_{k+1} are prime in L .

Now in L/E_i , $\bigwedge_{j \neq i} P_j = E_i$, so $\bigwedge_{i=1}^{k+1} P_i = P_i \wedge E_i = (P_i: E_i)E_i = P_i E_i$. $M^2 = E_1^2 \vee \dots \vee E_{k+1}^2$, as in Lemma 2.15, so $M^2 = P_1^2 \vee E_1^2$ and $P_1 E_1 \leq P_1^2 \vee E_1^2$. Hence, $P_1 \leq P_1^2: E_1 \vee E_1$ and $P_1 = (P_1^2: E_1 \vee E_1) \wedge P_1 = P_1^2: E_1 \vee P_1 E_1$ and $P_1 = P_1^2: E_1$, by the Intersection Theorem. Hence, $P_1 E_1 \leq P_1^2$ and $P_1^2 E_1 \leq P_1^{k+1}$, for $k \geq 1$. Suppose Q is P_1 -primary. Choose k , such

that $P_1^{k+1} \leq Q$, $P_1^k \leq Q$. If $k \geq 1$, then $P_1^k E \leq P_1^{k+1} \leq Q$ and $P_1^k \leq Q$, $E_1 \leq P_1$, contradicting Q is P_1 -primary. Hence, $k = 0$ and the only element of L which is primary for P_1 is P_1 .

If P_1, \dots, P_{k+1} are the only primes of L which are less than M , then $0 = P_1 \wedge \dots \wedge P_{k+1}$. Suppose P^* is prime in L , $P^* \neq P_i$, for $i = 1, \dots, k+1$, and $P^* \neq M$. If $P^* \leq P_i$, then $\text{rank } M = 1$ implies $P^* = P_i$, contrary to the choice of P^* . Furthermore, if $E_i \leq P^*$, for some i , $i = 1, \dots, k+1$, then P^* is prime in L/E_i . By the induction hypothesis, $P_1, \dots, P_i, \dots, P_{k+1}$, M are the only primes of L/E_i and $P^* = P_j$, for some $j \neq i$ or $P^* = M$. This is a contradiction. Hence $E_i \not\leq P^*$, for $i = 1, \dots, k+1$. Let $P^* = F_1 \vee \dots \vee F_m$ be a representation of P^* as the join of principals. Since $P^* \not\leq P_1$, there is an F_j , such that, $F_j \not\leq P_1$, say $F_1 \not\leq P_1$. If $i \geq 2$, $F_1 \vee E_i$ is principal in L/E_i which by induction is distributive and isomorphic to $RL_k / \bigvee_{i \neq j} X_i X_j$. Hence, $F_1 \vee E_i = E_{j(i)}^{n(i)} \vee E_i$, where $n(i)$ and $j(i)$ are

integers, since these are the only principals of L/E_i . If $j(i) \neq 1$, then $F_1 \leq P_1$ which is a contradiction. Hence, $j(i) = 1$ for $i = 2, \dots, k+1$ and $F_1 \leq E_1 \vee E_i$, for $i = 2, \dots, k+1$. Since $k \geq 2$, $F_1 \leq E_1 \vee E_2 \leq P_{k+1}$, and $F_1 \leq E_1 \vee E_3 \leq P_2, \dots, F_1 \leq E_1 \vee E_i \leq P_{i-1}, \dots, F_1 \leq E_1 \vee E_{k+1} \leq P_k$, so $F_1 \leq P_2 \wedge \dots \wedge P_{k+1} = E_1$. So $F_1 = F_1 \wedge E_1 = (F_1 : E_1) E_1 = (T_1 \vee \dots \vee T_j) E_1$, where T_i is lattice principal for each i . By join irreducibility of principals in a local lattice, $F_1 = T_q E_1$. $F_1 = T_q E_1 \leq P^*$, $E_1 \not\leq P^*$, so since P^* is prime, $T_q \leq P^*$ and $F_1 \leq MP^*$. Arrange F_1, \dots, F_m , so that F_1, \dots, F_p have the property, $F_i \leq P_j$ for some j and $F_{p+1}, \dots, F_m \leq \bigwedge_{i=1}^{k+1} P_i$. By the above argument, if $j \leq p$, then $F_j \leq MP^*$. Hence, $P^* \leq MP^* \vee F_{p+1} \vee \dots \vee F_m$ and $P^* = F_{p+1} \vee \dots \vee F_m \leq \bigwedge_{i=1}^{k+1} P_i \leq P_i$, for each i . This is a contradiction. Therefore, $\{M, P_1, \dots, P_{k+1}\}$ is the set of primes of L and $\bigwedge_{i=1}^{k+1} P_i = P_j E_j = 0$, for each j .

Furthermore, if C is principal in L , $C \neq I$, then $C \neq 0$ implies $C \leq P_j$, for some j . Suppose $C \leq P_1$, then as in the preceding argument, $C \leq P_j$, for $j = 2, \dots, k+1$, and $C \leq E_1$. Choose n , such that $C \leq E_1^n$ and $C \leq E_1^{n+1}$, then $C = C' E_1^n$, where C' is principal. If $C' \neq I$, then since $C' \neq 0$, $C' \leq P_j$, for some j and $C' \leq E_j$. $j \neq 1$, since $C \leq E_1^{n+1}$. If $j > 1$, then $C = C' E_1^n \leq E_j E_1 = 0$, contradicting $C \neq 0$. Hence $C' = I$ and $C = E_1^n$. Therefore, L is distributive. If $E_i^j = E_i^{j+1}$, $j > 0$, then $E_i^j = 0$ and $M^n = E_1^n \vee \dots \vee E_i^n \vee \dots \vee E_{k+1}^n = P_i^{n-1} M \neq 0$. By RCL, $M^{n-1} = P_i^{n-1}$ which is a contradiction. Thus, $\{0, I, E_1, \dots, E_{k+1}, E_1^i, \dots, E_i^i, i \text{ an integer}\}$ is the complete set of principals of L and no two of these principals are equal. If $E_1^{n(1)} \vee \dots \vee E_{k+1}^{n(k+1)} = E_1^{m(1)} \vee \dots \vee E_{k+1}^{m(k+1)}$, then in L/E_1 , $E_1 \vee E_2^{n(2)} \vee \dots \vee E_{k+1}^{n(k+1)} = E_1 \vee E_2^{m(2)} \vee \dots \vee E_{k+1}^{m(k+1)}$. By induction, $n(i) = m(i)$, for $i = 2, \dots, k+1$. By considering L/E_2 , $n(1) = m(1)$. Hence, every element

of L has a unique representation as the join of principals and clearly L is isomorphic to $RL_{k+1} / \bigvee_{i \neq j} X_i X_j$. q.e.d.

THEOREM 2.17. *If (L, M) is a local Noether lattice in which M satisfies RCL, then L is one of the following*

- (i) $M^2 = 0$,
- (ii) special primary,
- (iii) regular local altitude one,
- (iv) $RL_n / \bigvee_{i \neq j} X_i X_j$, for some integer n .

Proof. By Lemma 1.16, $\text{rank } M \leq 1$. If $\text{rank } M = 0$, then the result follows by Theorem 1.18. If $\text{rank } M = 1$ and 0 is not prime, then L is $RL_n / \bigvee_{i \neq j} X_i X_j$ by Theorem 2.16. q.e.d.

THEOREM 2.18. *If (L, M) is a local Noether lattice in which M satisfies RCL, then M is join principal.*

Proof. If $M^2 = 0$, then $(A \vee BM): M = M = A: M \vee B$, if $A \neq M$. If $A = M$, then $(A \vee BM): M = I = A: M \vee B$, and M is join principal. If L is special primary or regular local altitude one, then M is principal and hence, join principal. By Theorem 2.17, the only remaining possibility is that L be isomorphic to $RL_n / \bigvee_{i \neq j} X_i X_j$. We shall show that the maximal in this lattice is join principal.

First, we show $X \vee Y$ is join principal in RL_n / XY . The elements of RL_n / XY are of one of the following forms, $X^k \vee XY$, where $k \geq 1$, $Y^k \vee XY$, where $k \geq 1$, or $X^k \vee Y^n \vee XY$, where $k, n \geq 1$.

We omit this part of the proof since it is almost identical to the induction step proof. So, assume that the maximal in $RL_{n-1} / \bigvee_{i \neq j} X_i X_j$ is join principal and consider $RL_n / \bigvee_{i \neq j} X_i X_j$. For simplicity of notation, we will denote $\bigvee_{i \neq j} X_i X_j$ by 0^* and $RL_n / 0^*$ by L^* . Also we note that $0^*: X_i = P_i$, for $i = 1, \dots, n$. Furthermore in L^* , the multiplication is defined by $A \circ B = AB \vee 0^*$.

If $X_1^{k(1)} \vee \dots \vee X_m^{k(m)} \vee 0^* \in L^*$ and $k(i) \geq 2$ for $i = 1, \dots, m$, then $(X_1^{k(1)} \vee \dots \vee X_m^{k(m)} \vee 0^*): M = (X_1^{k(1)-1} \vee P_1) \wedge \dots \wedge (X_m^{k(m)-1} \vee P_m) \wedge P_{m+1} \wedge \dots \wedge P_n = X_1^{k(1)-1} \vee \dots \vee X_m^{k(m)-1} \vee 0^*$.

Let $A, B \in L^*$. If $X_i \leq A \wedge B$, for any i , then since the maximal of L^* / X_i is join principal, $(A \circ M \vee B): M = A \vee B: M$ in L^* / X_i , and hence, in L^* . Rearrange the X_i , so that $A = X_1^{n(1)} \vee \dots \vee X_m^{n(m)} \vee 0^*$ and $B = X_1^{j(1)} \vee \dots \vee X_j^{j(j)} \vee 0^*$.

First, assume $n(i), k(i) \geq 2$. We have two cases, $m \leq j$ and $j \leq m$. Assume $m \leq j$.

$$\begin{aligned}
 (AM \vee B): M &= (X_1^{n(1)+1} \vee \dots \vee X_m^{n(m)+1} \vee 0^* \vee X_1^{k(1)} \vee \dots \vee X_j^{k(j)}): M \\
 &= (X_1^{\min(n(1)+1, k(1))} \vee \dots \vee X_m^{\min(n(m)+1, k(m))} \vee X_{m+1}^{k(m+1)} \vee \dots \vee X_j^{k(j)} \vee 0^*): M \\
 &= X_1^{\min(n(1)+1, k(1)-1} \vee \dots \vee X_m^{\min(n(m)+1, k(m)-1} \vee X_{m+1}^{k(m+1)-1} \vee \dots \vee X_j^{k(j)-1} \vee 0^*. \\
 A \vee B: M &= X_1^{n(1)} \vee \dots \vee X_m^{n(m)} \vee 0^* \vee X_1^{k(1)-1} \vee \dots \vee X_j^{k(j)-1} \\
 &= X_1^{\min(n(1), k(1)-1} \vee \dots \vee X_m^{\min(n(m), k(m)-1} \vee X_{m+1}^{k(m+1)-1} \vee \dots \vee X_j^{k(j)-1} \vee 0^*.
 \end{aligned}$$

Assume $j \leq m$.

$$\begin{aligned}
 (AM \vee B): M &= X_1^{\min(n(1)+1, k(1))} \vee \dots \vee X_j^{\min(n(j)+1, k(j))} \vee X_{j+1}^{n(j+1)+1} \vee \dots \vee X_m^{n(m)+1} \vee 0^*: M \\
 &= X_1^{\min(n(1)+1, k(1)-1} \vee \dots \vee X_j^{\min(n(j)+1, k(1)-1} \vee X_{j+1}^{n(j+1)} \vee \dots \vee X_m^{n(m)} \vee 0^*. \\
 A \vee B: M &= X_1^{\min(n(1), k(1)-1} \vee \dots \vee X_j^{\min(n(j), k(1)-1} \vee X_{j+1}^{n(j+1)} \vee \dots \vee X_m^{n(m)} \vee 0^*.
 \end{aligned}$$

Hence, in either case, $(AM \vee B): M = A \vee B: M$.

We will outline the cases which remain. However, we omit the proofs since they are lengthy, but straight-forward, computations.

(a) $k(i) \geq 2$, for $i = 1, \dots, j$, and $n(i) = 1$ for at least one i , $1 \leq i \leq m$.

(b) $n(i) \geq 1$, for $i = 1, \dots, m$, and $k(i) = 1$, for at least one i , $1 \leq i \leq j$.

Say $A = X_1^{n(1)} \vee \dots \vee X_m^{n(m)} \vee 0^*$ and $B = X_1 \vee \dots \vee X_q \vee X_{q+1}^{k(q+1)} \vee \dots \vee X_j^{k(j)} \vee 0^*$, by rearranging the X_i if necessary. In this case, we consider three possibilities, $m \leq q \leq j$, $q \leq m \leq j$, and $q \leq j \leq m$.

(c) Assume $A = X_1^{n(1)} \vee \dots \vee X_m^{n(m)} \vee 0^*$, where $n(i) \geq 1$, and $B = X_{m+1}^{k(m+1)} \vee \dots \vee X_q^{k(q)} \vee 0^*$. We consider two alternatives for B , either $k(j) \geq 2$, for $j = m+1, \dots, q$, or $k(j) = 1$, for some j .

These are all the possibilities for A and B in L^* . Therefore $X_1 \vee \dots \vee X_n$ satisfies $(AM \vee B): M = A \vee B: M$, for any $A, B \in L^*$ and hence, is join principal. q.e.d.

Section 3. An element M is *weak join principal* (WJP) if $AM: M = A \vee 0: M$ for every $A \in L$. An element M , which satisfies RCL, in addition to being weak join principal, has the property that $AM \neq 0$ implies $0: M \leq A$. Theorem 2.18 shows that this additional condition on a weak join principal maximal element of a local Noether lattice insures that the maximal is, in fact, join principal. However a weak join principal maximal need not satisfy RCL and it also need not be join principal. A lattice which illustrates this is $RL_2/X^2Y^2 \vee X^2Y$. The maximal of this lattice $X \vee Y$ fails to satisfy $XY^2 \vee X^2Y: X \vee Y = XY^2 \vee X^2Y$ so by Lemma 2.11, $X \vee Y$ does not satisfy RCL in $RL_2/X^2Y^2 \vee X^2Y$. Furthermore $X \vee Y$ is not join principal since $(X \circ (X \vee Y)) \vee Y^2: (X \vee Y) = (X^2 \vee XY^2 \vee Y^2): (X \vee Y) = X \vee Y$ but $XY(Y^2: (X \vee Y)) = X \vee Y^2$. It is a straight forward

computation to verify that $X \vee Y$ is weak join principal in $RL_2/X^2Y^2 \vee XY^2$. Furthermore the maximal in RL_2/XY , $RL_2/XY \vee X^i$, $RL_2/XY \vee X^i \vee Y^n$, $RL_2/X^2Y^2 \vee XY^2 \vee X^i$ and $RL_2/X^2Y^2 \vee XY^2 \vee X^i \vee Y^n$ where $j, n \geq 2$, can be shown to be weak join principal. In the following theorem we show that these are all the distributive local lattices with a maximal which is the join of two principals in which the maximal is weak join principal.

THEOREM 3.11. *If (L, M) is a distributive local Noether lattice in which $M = X \vee Y$ is a minimal representation of M as the join of principals and M is weak join principal, then L is one of the following RL_2/XY , $RL_2/XY \vee X^i$, $RL_2/XY \vee X^i \vee Y^n$, $RL_2/XY^2 \vee X^2Y$, $RL_2/XY^2 \vee X^2Y \vee X^i$, $RL_2/XY^2 \vee X^2Y \vee X^i \vee Y^n$, where $j, n \geq 2$.*

Proof. $M^3 = (X^2 \vee Y^2)M$, so $M^2 \leq X^2 \vee Y^2 \vee 0: M$ and $XY \leq X^2 \vee Y^2 \vee 0: M$. By distributivity, $XY \leq X^2$, $XY \leq Y^2$, or $XY \leq 0: M$. If $XY \leq X^2$, then $Y \leq X \vee 0: X$ and $Y \leq X$ implies $Y \leq 0: X$ and $XY = 0$. Similarly, if $XY \leq Y^2$, then $XY = 0$. If $XY \leq 0: M$, then $X^2Y = XY^2 = 0$. Furthermore, $M^3 = X^3 \vee Y^3$ and $M^k = X^k \vee Y^k$, for $k \geq 3$, so as in Lemma 1.17, $\text{rank } M \leq 1$. The proof is divided into two main cases, $\text{rank } M = 0$ and $\text{rank } M = 1$.

Case 1. Since $\text{rank } M = 0$, M is the only prime of L . First, assume $XY = 0$. $X \not\leq Y$, but $M^n = 0$, for some integer n , so choose j , such that, $X^j \leq Y$ and $X^{j-1} \not\leq Y$, then $j \geq 2$. Since $M^j \leq Y$, $M^j = M^j \wedge Y = (M^j: Y)Y$. Now $Y \leq M^2$, for then $M \leq X \vee M^2$ and $M \leq X$, contradicting $X \vee Y$ is a minimal base for M . Therefore, $Y \leq M^j$, since $Y \leq M^2$, and $M^j \leq MY$. M is weak join principal, so $M^{j-1} \leq Y \vee 0: M$. $X^{j-1} \leq 0: M$, since $X^{j-1} \not\leq Y$, so $X^j = 0$. In the same way, choose n , such that $Y^n \leq X$, $Y^{n-1} \not\leq X$, then $Y^n = 0$ and $n \geq 2$. Define $C^* = \{I, 0, X, X^2, \dots, X^{j-1}, Y, Y^2, \dots, Y^{n-1}\}$. Clearly, any principal in L is an element of C^* , since L is distributive and $XY = 0$. If $X^k, Y^p \in C^*$, then $X^k = Y^p \leq Y$ implies $k \geq j$, contradicting $X^k \in C^*$. Hence, the elements of C^* are distinct. Since these elements are distinct and L is distributive, joins of these elements are unique. For, if $X^h \vee Y^k = X^p \vee Y^q$, $1 \leq h, p \leq j-1$, $1 \leq k, q \leq n$, then $X^h \not\leq Y^q$ implies $X^h \leq X^p$ and similarly, $X^p \leq X^h$, and $p = h$. Similarly, $q = k$. Hence, $j, n \geq 2$ and L is isomorphic to $RL_2/X^j \vee Y^n \vee XY$.

Now assume that $XY \neq 0$, then $X^2Y = XY^2 = 0$. There exist n and j both greater than one, so that $X^j = 0$, $X^{j-1} \not\leq Y$, $Y^n = 0$, $Y^{n-1} \not\leq X$. $C^* = \{0, I, X, X^2, \dots, X^{j-1}, Y, Y^2, \dots, Y^{n-1}, XY\}$ is the set of all principal elements of L . As in the preceding argument, these principals are distinct and their joins are unique. Therefore, L is isomorphic to $RL_2/X^2Y \vee XY^2 \vee X^j \vee Y^n$.

Case 2. Assume $\text{rank } M = 1$. Since L is distributive the only possible primes of L are $0, X, Y$ and $X \vee Y$. 0 is not prime, for then M satisfies RCL and is principal by Lemma 2.13, contradicting $M = X \vee Y$ is a minimal

representation of M . Case 2 is divided into three subcases, (a) X and Y are prime, (b) X is prime and Y is not prime, (c) Y is prime and X is not prime.

(a) Assume X and Y are prime. If $XY = 0$, then $X \wedge Y = (X : Y)Y = XY = 0$ and M is not a prime of 0. Therefore, M satisfies RCL and hence L is isomorphic to RL_2/XY .

If $XY \neq 0$, then $X^2Y = XY^2 = 0$. If $k \geq 2$, then $XY^2 = 0 \leq X^k \leq X^2$ and $X \not\leq X^2$, $Y^2 \not\leq X$, so the only X -primary element of L is X . Similarly, Y is the only Y -primary element of L . Hence, $0 = X \wedge Y \wedge Q_M$, where Q_M is M -primary and is necessary to the decomposition since $XY \neq 0$. Let $Q_M = M^3$, $X \wedge Y \wedge M^3 = X^2Y \vee XY^2 = 0$ and since M is maximal, M^3 is primary for M . If $C^* = \{0, I, XY, X^i, Y^j\}$ where i and j are positive integers, then every principal of L is in C^* and the elements of C^* are distinct. By distributivity, joins of these elements are unique and L is isomorphic to $RL_2/X^2Y \vee XY^2$.

(b) Assume X is prime and Y is not prime. Every principal of L is a power of X or Y or equal to XY . Since Y is not prime, $X^k \leq Y$ for some k . Choose k , such that, $X^k \leq Y$ and $X^{k-1} \not\leq Y$, then $k \geq 2$, since $X \not\leq Y$. $M^k \leq Y$, so $M^k = (M^k : Y)Y$. $Y \not\leq M^2$ implies $Y \not\leq M^k$ and since M is weak join principal, $M^{k-1} \leq Y \vee 0 : M$, since $M^k \leq MY$. $X^{k-1} \not\leq Y$, so $X^{k-1} \leq 0 : M$ and $X^k = 0$.

First, suppose that $XY = 0$. $C^* = \{0, I, X, X^2, \dots, X^{k-1}, Y, Y^2, \dots\}$ is the set of all principals of L and elements of C^* are all distinct. Furthermore, the joins of these principals are unique by distributivity. Hence, L is isomorphic to $RL_2/X^k \vee XY$.

Now, assume $XY \neq 0$, then $XY^2 = X^2Y = 0$. $C^* = \{0, I, X, X^2, \dots, X^{k-1}, XY, Y, Y^2, \dots\}$ is the complete set of principals of L . $Y^i \neq X^n$, since $Y \not\leq X$, a prime. $Y^i \neq Y^n$ for $n \neq j$, since Y is not nilpotent. $XY \neq Y^n$, since $Y \not\leq X$. $XY \neq X^j$, because if $XY = X$ then $X = 0$ and if $XY = X^j$, for $j > 1$, then $Y \vee 0 : X = X^{j-1} \vee 0 : X$ and $XY \neq 0$ implies $Y \leq X^{j-1} \leq X$, which is a contradiction. Hence the elements of C^* are distinct. As before, the joins of elements of C^* are unique and hence, L is isomorphic to $RL_2/X^2Y \vee XY^2 \vee X^k$ and $k \geq 2$.

(c) If Y is prime and X is not prime, then as in (b), L is isomorphic to $RL_2/XY \vee Y^n$ or $RL_2/X^2Y \vee XY^2 \vee Y^n$, where $n \geq 2$. q.e.d.

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PURDUE UNIVERSITY
 FORT WAYNE CAMPUS
 Fort Wayne, Indiana

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