

are isomorphic. Two fc-spaces  $X$  and  $Y$  are homeomorphic iff the lattices  $L_R(X)$  and  $L_R(Y)$  are isomorphic.

Remark. The pc- and fc-spaces play roles in the theory of  $T_0$ -spaces analogous to the roles of compact and realcompact spaces in the theory of Tychonoff spaces. It is interesting to note that it is possible to define a concept which is analogous to pseudocompactness. A  $T_0$ -space  $X$  is said to be a pseudo-pc-space if each element of  $(X, R)$  is bounded above on every irreducible closed subset of  $X$ .

It is clear from Lemma 4.1 that a  $T_0$ -space  $X$  is a pseudo-pc-space iff every irreducible closed subset of  $X$  has the FCI-property and also that a  $T_0$ -space is a pc-space iff it is both an fc- and a pseudo-pc-space.

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## On the position of the set of monotone mappings in function spaces

by

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K. Kuratowski and R. C. Lacher have shown in [5] that if  $X$  and  $Y$  are compact topological spaces and  $Y$  is locally connected, then the set of all monotone mappings of  $X$  onto  $Y$  is closed in  $Y^X$  (endowed with the compact-open topology). In an earlier paper [4] K. Kuratowski showed that if the space  $X$  is compact and metric and  $Y$  an arbitrary metric space, then the monotone mappings of  $X$  into  $Y$  form a  $G_\delta$ -set in  $Y^X$ .

In this connection the question arises whether the above theorems can be generalized by dropping the assumption of the compactness of  $X$  and restricting the considerations to perfect mappings. More generally, in the space  $Y^X$  can consider subset  $\Phi \subset \Psi \subset Y^X$  (we shall be interested in closed or perfect monotone mappings), and, under certain assumptions on  $X$  and  $Y$ , one can prove that  $\Phi$  is closed (or that is a  $G_\delta$ -set) in  $\Psi$ . Below we shall prove a few facts of this type and give examples illustrating role of the assumptions which have been made.

We adopt the terminology and notation of [2] and [3]. All the spaces considered below are Hausdorff spaces. The space  $Y^X$  of mappings of  $X$  into  $Y$  will be considered with the compact-open topology. The symbol  $M(A, B)$ , where  $A \subset X$ ,  $B \subset Y$ , will denote the set  $\{f \in Y^X \mid f(A) \subset B\}$ .

LEMMA 1. Let  $X$  be an arbitrary space,  $Y$  a locally connected space and  $\Phi$  the set  $\{f: X \rightarrow Y \mid f^{-1}(S) \subset X \text{ is connected for all open and connected } S \subset Y\}$ .

If the mapping  $f: X \xrightarrow{\text{onto}} Y$  satisfies the conditions

(i) the boundary  $\text{Fr}f^{-1}(y)$  is compact for every  $y \in Y$ ,

(ii) if  $y \in Y$  and  $U$  is a neighbourhood of the set  $f^{-1}(y)$ , then there exists an open set  $V \subset X$  such that  $f^{-1}(y) \subset V \subset U$  and the boundary  $\text{Fr}V$  is compact,

(iii)  $f \in \overline{\Phi}$ ,

then  $f$  is a monotone, closed mapping.

Proof. We shall first prove that  $f$  is a closed mapping.

Let  $A$  be an arbitrary closed subset of  $X$  and assume that  $y \in \overline{f(A)} \setminus f(A)$ . Hence  $f^{-1}(y) \subset X \setminus A$ . By (ii) there exists an open set  $V \subset X$  such that  $f^{-1}(y) \subset V \subset X \setminus A$  and the boundary  $\text{Fr}V$  is compact. Since the compact set  $f(\text{Fr}V)$  does not contain the point  $y$  and  $Y$  is a locally connected Hausdorff space, there exists a connected and open neighbourhood  $S$  of  $y$  such that  $\bar{S} \cap f(\text{Fr}V) = \emptyset$ . Since  $y \in \overline{f(A)}$  and  $f$  is onto, there exist a point  $a \in A$  such that  $f(a) \in S$  and a point  $b \in f^{-1}(y)$ . Since  $f \in \bar{\Phi}$ , there exists a  $g \in \mathbf{M}(\text{Fr}V, Y \setminus \bar{S}) \cap \mathbf{M}(\{a, b\}, S) \cap \Phi$ . But  $b \in g^{-1}(S) \cap V$ ,  $a \in g^{-1}(S) \cap (X \setminus V)$  and  $g^{-1}(S) \cap \text{Fr}V = \emptyset$ ; hence  $g^{-1}(S)$  is not connected, contrary to the assumption that  $g \in \Phi$ .

Now we shall prove that  $f$  is a monotone mapping.

Let us assume that for a certain  $y \in Y$  we have  $f^{-1}(y) = A_1 \cup A_2$ , where  $\bar{A}_i = A_i$ ,  $A_i \neq \emptyset$  for  $i = 1, 2$ , and  $A_1 \cap A_2 = \emptyset$ . As  $A_1$  and  $A_2$  are separated, we have  $\text{Fr}(A_1 \cup A_2) = \text{Fr}A_1 \cup \text{Fr}A_2$ . From (i) it follows that the sets  $\text{Fr}A_i$  are compact, and  $X$  being a Hausdorff space, there exist open disjoint sets  $G_1, G_2$  such that  $A_i \subset G_i$ . Since  $G_1 \cup G_2 \supset A_1 \cup A_2 = f^{-1}(y)$ , by (ii) there exists an open set  $V \subset X$  such that  $A_1 \cup A_2 \subset V \subset G_1 \cup G_2$  and the boundary  $\text{Fr}V$  is compact. The sets  $W_1 = G_1 \cap V$  and  $W_2 = G_2 \cap V$  are separated and  $\text{Fr}(W_1 \cup W_2) = \text{Fr}W_1 \cup \text{Fr}W_2$ . Therefore the set  $\text{Fr}W_1$  is compact,  $W_1 \supset A$  and  $\bar{W}_1 \cap A_2 = \emptyset$ . Since the compact set  $f(\text{Fr}W_1)$  does not contain the point  $y$  and  $Y$  is a Hausdorff and locally connected space, there exists a connected and open neighbourhood  $S$  of  $y$  such that  $\bar{S} \cap f(\text{Fr}W_1) = \emptyset$ . Take  $a_i \in A_i$  for  $i = 1, 2$ . The set  $M = \mathbf{M}(\text{Fr}W_1, Y \setminus \bar{S}) \cap \mathbf{M}(\{a_1, a_2\}, S)$  is a neighbourhood of  $f$  in  $Y^X$ . If  $g \in M$ , then  $g^{-1}(S) \cap \text{Fr}W_1 = \emptyset$ ,  $a_1 \in g^{-1}(S) \cap W_1$ ,  $a_2 \in g^{-1}(S) \cap (X \setminus W_1)$ ; hence the set  $g^{-1}(S)$  is not connected and  $g \notin \Phi$ . It follows that  $M \cap \Phi = \emptyset$ , contrary to (iii). Therefore  $f$  is monotone.

**LEMMA 2.** *If  $f: X \xrightarrow{\text{onto}} Y$  is a closed mapping such that for all  $y \in Y$  the boundary  $\text{Fr}f^{-1}(y)$  is compact, then for every compact  $Z \subset Y$  the set  $\bigcup_{z \in Z} \text{Fr}f^{-1}(z)$  is compact.*

Proof. Let  $X_1 = \bigcup_{y \in Y} \text{Fr}f^{-1}(y)$ ,  $Y_1 = f(X_1)$  and  $f_1 = f|_{X_1}$ . The set  $X_1$  is closed in  $X$ , hence  $f_1$  is a perfect mapping of  $X_1$  onto the closed subset  $Y_1$  of  $Y$  (see [2], Problem 3.X) and the inverse image  $f_1^{-1}(Z \cap Y_1)$  is compact. The lemma now follows from the equality

$$\bigcup_{z \in Z} \text{Fr}f^{-1}(z) = f_1^{-1}(Z \cap Y_1).$$

(<sup>1</sup>) We say that  $X$  is a rim-compact space if for every  $x \in X$  and every neighbourhood  $U$  of  $x$  there exists a neighbourhood  $V$  of  $x$  such that  $V \subset U$  and  $\text{Fr}V$  is compact.

**LEMMA 3.** *If the mapping  $f: X \xrightarrow{\text{onto}} Y$  is closed, the boundary  $\text{Fr}f^{-1}(y)$  is compact for every  $y \in Y$ , and  $Y$  is a rim-compact (<sup>1</sup>) space, then for every  $y \in Y$  the set  $f^{-1}(y)$  has a neighbourhood system consisting of open sets with compact boundaries.*

Proof. For an arbitrary  $A \subset Y$  we have

$$(1) \quad \text{Fr}f^{-1}(A) \subset \bigcup_{y \in \text{Fr}A} \text{Fr}f^{-1}(y).$$

Indeed,  $\bigcup_{y \in Y} \text{Int}f^{-1}(y) \subset \text{Int}f^{-1}(A) \cup \text{Int}(X \setminus f^{-1}(A)) = X \setminus \text{Fr}f^{-1}(A)$ , and thus  $\text{Fr}f^{-1}(A) \subset \bigcup_{y \in Y} \text{Fr}f^{-1}(y)$ ; from the continuity of  $f$  we have  $\text{Fr}f^{-1}(A) \subset f^{-1}(\text{Fr}A)$ ; hence  $\text{Fr}f^{-1}(A) \subset (\bigcup_{y \in Y} \text{Fr}f^{-1}(y)) \cap f^{-1}(\text{Fr}A) = \bigcup_{y \in \text{Fr}A} \text{Fr}f^{-1}(y)$ .

Now take an arbitrary point  $y \in Y$  and let  $G$  be an open neighbourhood of  $f^{-1}(y)$ . Since the mapping  $f$  is closed and  $Y$  is rim-compact, we can find an open set  $H$  such that  $y \in H \subset Y \setminus f(X \setminus G)$  and the boundary  $\text{Fr}H$  is compact. For  $V = f^{-1}(H)$  we have  $f^{-1}(y) \subset V \subset G$  and by virtue of (1),  $\text{Fr}V \subset \bigcup_{z \in \text{Fr}H} \text{Fr}f^{-1}(z)$ . By Lemma 2 we infer that the set  $\text{Fr}V$  is compact.

**THEOREM 1.** *If  $X$  is a paracompact space, and  $Y$  is locally connected, rim-compact and satisfies the first axiom of countability, then the set of all monotone closed mappings of  $X$  onto  $Y$  is closed in the set of all closed mappings of  $X$  onto  $Y$ .*

Proof. By Michael's generalization of Vainštein's theorem ([6]), it follows that if  $f: X \xrightarrow{\text{onto}} Y$  is a closed mapping, then  $\text{Fr}f^{-1}(y)$  is compact for every  $y \in Y$ . Hence — by Lemma 3 —  $f$  has properties (i) and (ii) of Lemma 1. Our theorem now follows from Lemma 1 and the theorem ([3], § 46, I, Theorem 9), which states that if  $f: X \xrightarrow{\text{onto}} Y$  is a monotone closed mapping then the inverse image  $f^{-1}(S)$  is connected for every connected  $S \subset Y$ .

K. Morita ([7], simplified proof in [1]) proved that if  $f: X \xrightarrow{\text{onto}} Y$  is a closed monotone mapping such that  $\text{Fr}f^{-1}(y)$  is compact for every  $y \in Y$  and  $X$  is rim-compact, then  $Y$  is also rim-compact. Hence, from the quoted above result of Michael and our Theorem 1, we obtain

**THEOREM 2.** *If  $X$  is a paracompact and rim-compact space and  $Y$  is locally connected and satisfies the first axiom of countability, then the set of all monotone closed mappings of  $X$  onto  $Y$  is closed in the set of all closed mappings of  $X$  onto  $Y$ .*

**THEOREM 3.** *If  $X$  is a paracompact space and  $Y$  is a locally connected, locally compact space, then the set of all monotone closed mappings of  $X$  onto  $Y$  is closed in the set of all closed mappings of  $X$  onto  $Y$ .*

**Proof.** This follows from the argument given in the proof of Theorem 1, because the Vainštejn–Michael theorem is valid also under the assumption of local compactness of  $Y$ , and every locally compact space is obviously rim-compact.

**THEOREM 4.** *If  $X$  is a paracompact space and  $Y$  is a locally connected, locally compact space, then the set of all monotone, perfect mappings of  $X$  onto  $Y$  is closed in the set of all closed mappings of  $X$  onto  $Y$ .*

**Proof.** Let  $A = \{f: X \xrightarrow{\text{onto}} Y \mid f \text{ is a monotone, perfect mapping}\}$ , and let  $f: X \xrightarrow{\text{onto}} Y$  be an arbitrary closed mapping such that  $f \in \bar{A}$ . By the Vainštejn–Michael theorem for every  $y \in Y$  the boundary  $\text{Fr}f^{-1}(y)$  is compact and from Theorem 3 we infer that

$$(2) \quad f \text{ is a monotone mapping.}$$

Suppose that there exists a  $y_0 \in Y$  such that  $f^{-1}(y_0)$  is not compact. Let us observe first that

$$(3) \quad \text{Fr}f^{-1}(y_0) \neq \emptyset.$$

Indeed, suppose that  $\text{Fr}f^{-1}(y_0) = \emptyset$ . Then, as  $f$  is closed,  $y_0$  is an isolated point in  $Y$ . Let us take an arbitrary point  $a \in f^{-1}(y_0)$ . As  $f \in \bar{A}$ , there exists a  $g \in \mathbf{M}(\{a\}, \{y_0\}) \cap A$ . Since  $g(f^{-1}(y_0)) \ni y_0$  and  $f^{-1}(y_0)$  is connected by (2), we have  $g(f^{-1}(y_0)) = y_0$ . Thus  $f^{-1}(y_0) \subset g^{-1}(y_0)$ , contrary to the assumption that  $f^{-1}(y_0)$  is not compact.

Now take two open sets  $V_1, V_2 \subset Y$  such that

$$(4) \quad y_0 \in V_1 \subset \bar{V}_1 \subset V_2 \quad \text{and} \quad \bar{V}_2 \text{ is compact.}$$

Let  $\{P_s\}_{s \in S}$  be the family of all components of  $Y \setminus \bar{V}_1$ . Since  $Y \setminus \bar{V}_1$  is locally connected,  $\{P_s\}_{s \in S}$  is an open covering of  $Y \setminus \bar{V}_1$ . The compact set  $\text{Fr}V_2$  is contained in  $Y \setminus \bar{V}_1$ , hence there exist  $s_1, \dots, s_k \in S$  such that

$$(5) \quad \text{Fr}V_2 \subset P_{s_1} \cup \dots \cup P_{s_k}.$$

Take points  $x_i \in X$  such that  $f(x_i) \in P_{s_i}$  for  $i = 1, \dots, k$ .

Since  $f \in \bar{A}$ , there exists a mapping

$$(6) \quad g \in \mathbf{M}(\text{Fr}f^{-1}(y_0), V_1) \cap \bigcap_{i=1}^k \mathbf{M}(\{x_i\}, P_{s_i}) \cap A.$$

Let  $A = f^{-1}(y_0) \setminus g^{-1}(\bar{V}_1)$ . We shall show that

$$(7) \quad A \text{ is open} \quad \text{and} \quad g^{-1}(g(A)) = A.$$

By (6)  $g^{-1}(V_1) \supset \text{Fr}f^{-1}(y_0)$  and  $A = \text{Int}f^{-1}(y_0) \setminus g^{-1}(\bar{V}_1)$  is an open set. Since  $g^{-1}(g(A)) \cap \text{Fr}f^{-1}(y_0) = \emptyset$  and  $g$  is a monotone mapping, we have  $g^{-1}(g(a)) \subset f^{-1}(y_0)$  for all  $a \in A$ . It follows that  $g^{-1}(g(A)) = \bigcup_{a \in A} g^{-1}(g(a)) \subset f^{-1}(y_0)$  and  $g^{-1}(g(A)) = A$ .

We shall now prove that

$$(8) \quad g(A) \text{ is open-and-closed in } Y \setminus \bar{V}_1.$$

By (7),  $g(A)$  is open even in  $Y$ . Since  $\overline{g(A)} = g(\bar{A}) \subset g(A \cup g^{-1}(\bar{V}_1)) = g(A) \cup \bar{V}_1$ ,  $g(A)$  is closed in  $Y \setminus \bar{V}_1$ .

As  $f^{-1}(y_0) \subset g^{-1}(g(f^{-1}(y_0)))$ , the set  $f^{-1}(y_0)$  is not compact and  $g$  is a perfect mapping, we infer that  $g(f^{-1}(y_0))$  is not compact; thus  $g(f^{-1}(y_0)) \cap (Y \setminus \bar{V}_2) \neq \emptyset$ . By virtue of (3) and (6)  $g(f^{-1}(y_0)) \cap V_1 \neq \emptyset$ . From the connectedness of  $g(f^{-1}(y_0))$  and from (4) we have  $g(f^{-1}(y_0)) \cap \text{Fr}V_2 \neq \emptyset$ , and thus  $g(A) \cap \text{Fr}V_2 \neq \emptyset$ . Take  $z \in g(A) \cap \text{Fr}V_2$ ; by virtue of (5),  $z \in P_{s_{i_0}}$  and by (8)  $P_{s_{i_0}} \subset g(A)$ . From (6) it follows that  $g(x_{i_0}) \in P_{s_{i_0}}$ , then  $g(x_{i_0}) \in g(A)$  and, by (7)  $x_{i_0} \in A \subset f^{-1}(y_0)$ . So we have  $f(x_{i_0}) = y_0$ , contrary to the choice of  $x_{i_0}$ . The contradiction shows that  $f^{-1}(y_0)$  must be compact, which completes the proof.

**Remark 1.** Theorems 2 and 4 can be slightly generalized as follows:

**THEOREM 2'.** *Let  $X$  be a rim-compact space,  $Y$  a locally connected space,  $\mathcal{E} = \{f: X \xrightarrow{\text{onto}} Y \mid f \text{ is closed and monotone}\}$  and  $\mathcal{P} = \{f: X \xrightarrow{\text{onto}} Y \mid \text{Fr}f^{-1}(y) \text{ is compact for every } y \in Y\}$ .*

*Then the intersection  $\mathcal{E} \cap \mathcal{P}$  is closed in the set  $\mathcal{P}$ .*

Indeed, if  $X$  is rim-compact and  $f \in \mathcal{P}$ , then  $f$  satisfies conditions (i), (ii) of Lemma 1; furthermore if  $f \in \mathcal{E}$ , then  $f^{-1}(S)$  is connected for every connected  $S \subset Y$ . Theorem 2' follows now from Lemma 1.

**THEOREM 4'.** *If  $X$  is an arbitrary space and  $Y$  is a locally connected, locally compact space, then the set  $A$  of all perfect monotone mappings  $X$  onto  $Y$  is closed in the set  $\mathcal{P} = \{f: X \xrightarrow{\text{onto}} Y \mid \text{Fr}f^{-1}(y) \text{ is compact for every } y \in Y\}$ .*

We can assume that  $A \neq \emptyset$ . Then  $X$  is locally compact (see [2], Problem 3.Y) and *a fortiori* rim-compact. By Theorem 2' we have  $\bar{A} \cap \mathcal{P} \subset \mathcal{E} \cap \mathcal{P}$  and, as in the proof of Theorem 4, we can show that  $\bar{A} \cap \mathcal{P} = A$ .

**Remark 2.** Under the assumptions of Theorem 2 the set of all monotone closed mappings of  $X$  onto  $Y$  is identical (as observed by Morita in [7]) with the set of all monotone, quotient (?) mappings of  $X$  onto  $Y$  for which the boundaries of inverse images of points are compact. Indeed, in this case such a quotient mapping  $f$  satisfies conditions (i) and (ii) of Lemma 1; furthermore, if  $S$  is an open and connected subset of  $Y$ , then  $f^{-1}(S)$  is connected, thus the condition (iii) is also satisfied.

Now we shall show that the assumptions in our theorems are essential. We shall use the fact that the compact-open topology in  $Y^X$  is identical

(?) A mapping  $f: X \xrightarrow{\text{onto}} Y$  is *quotient* if  $f^{-1}(A)$  is closed in  $X$  implies that  $A$  is closed in  $Y$ .

with the topology of uniform convergence on compacta induced in  $Y^X$  by an arbitrary uniformity compactible with the topology of  $Y$  (see [2], Theorem 8.2.3). Let  $E^2$  denote the Euclidean plane, and for  $a, b \in E^2$  let  $[a, b]$  denote the closed segment with end-points  $a$  and  $b$ .

EXAMPLE 1. The assumptions " $Y$  is rim-compact" in Theorem 1, " $X$  is rim-compact" in Theorem 2 and " $Y$  is locally compact" in Theorem 3 cannot be omitted.

Take in  $E^2$  the points  $x_0 = (0, 0)$ ,  $y_0 = (1, 0)$  and  $x_n = (0, 1/n)$ ,  $y_n = (1, 1/n)$  for  $n = 1, 2, \dots$  and  $X = \bigcup_{n=1}^{\infty} [x_n, y_n] \cup \{y_0, y_1\} \cup [x_0, x_1]$ . Denote by  $R$  the decomposition of  $X$  into the sets  $\{x\}$  for  $x \notin [x_0, x_1] \cup [y_0, y_1]$  and  $\{[x_0, x_1] \cup [y_0, y_1]\}$ . The quotient space  $Y = X/R$  is metrizable, because the natural quotient mapping  $p: X \rightarrow X/R$  is perfect (see [2], Problem 4.S); let  $d$  be a metric in  $Y$ . For every  $\varepsilon > 0$  there exist open, disjoint squares  $K_1, K_2$  with sides parallel to the axes and centres at  $x_0$  and  $y_0$ , such that  $X \cap (K_1 \cup K_2) \subset p^{-1}(B(p(x_0), \varepsilon/2))$ , where  $B(y, r)$  is a ball of radius  $r$  with centre at  $y$ . Let  $Z \subset X$  be an arbitrary compact set. Take the first  $n_0$  such that  $Z \cap [x_n, y_n] \subset K_1 \cup K_2$  for  $n > n_0$  and  $f_{\varepsilon, Z}: X \rightarrow Y$  defined by

$$f_{\varepsilon, Z}(x) = \begin{cases} p(x) & \text{for } x \in \bigcup_{n=1}^{n_0} [x_n, y_n], \\ p(x_0) & \text{for } x \in [x_{n_0+1}, y_{n_0+1}] \cup [x_0, x_1] \cup [y_0, y_1], \\ p(x + (x_{n-1} - x_n)) & \text{for } x \in [x_n, y_n] \text{ and } n > n_0 + 1. \end{cases}$$

It is easy to see that  $\sup_{z \in Z} d(f_{\varepsilon, Z}(x), p(x)) < \varepsilon$ , hence

$$p \in \overline{\{f_{\varepsilon, Z} \mid \varepsilon > 0, Z \subset X \text{ is compact}\}}.$$

The mappings  $f_{\varepsilon, Z}$  are perfect and monotone, and  $p$  is perfect, but not monotone, as  $p^{-1}(p(x_0)) = [x_0, x_1] \cup [y_0, y_1]$  is not connected.

EXAMPLE 2. The assumption " $Y$  satisfies the first axiom of countability" in Theorem 2 is essential.

Let  $X$  and  $R$  be as in Example 1. Take  $X' = X \setminus \{x_0, y_0\}$ ,  $R' = R \cap (X' \times X')$  and  $p': X' \rightarrow X'/R' = Y'$ . Let  $Z \subset X'$  be a compact set. Take the first  $n_0$  such that  $Z \cap [x_n, y_n] = \emptyset$  for  $n > n_0$ . Let

$$f_Z(x) = \begin{cases} p'(x) & \text{for } x \in \bigcup_{n=1}^{n_0} [x_n, y_n], \\ p'(x_1) & \text{for } x \in [x_{n_0+1}, y_{n_0+1}] \cup (x_0, x_1] \cup (y_0, y_1], \\ p'(x + (x_{n-1} - x_n)) & \text{for } x \in [x_n, y_n] \text{ and } n > n_0 + 1. \end{cases}$$

The mapping  $f_Z: X' \xrightarrow{\text{onto}} Y'$  is closed and monotone; furthermore

$f_Z|Z = p'|Z$ ; thus  $p' \in \overline{\{f_Z \mid Z \subset X' \text{ is compact}\}}$ , but  $p'$  is closed and not monotone.

EXAMPLE 3. The assumption " $Y$  is locally compact" in Theorem 4 cannot be replaced by rim-compactness.

Let  $z_n = (\cos \frac{\pi}{4n}, \sin \frac{\pi}{4n})$ ,  $X_n = \{\lambda z_n \mid 0 \leq \lambda < 1/n\}$ ,  $n = 1, 2, \dots$ , and  $X = \bigcup_{n=1}^{\infty} X_n$ . Define  $f: X \xrightarrow{\text{onto}} X$  by

$$f(\lambda z_n) = \begin{cases} (0, 0) & \text{for } n = 1 \text{ and } 0 \leq \lambda < 1, \\ \frac{n}{(n-1)} \lambda z_{n-1} & \text{for } n > 1 \text{ and } 0 \leq \lambda < \frac{1}{n}, \end{cases}$$

and for  $m = 2, 3, \dots$   $f_m: X \rightarrow X$  by

$$f_m(\lambda z_n) = \begin{cases} \frac{\lambda}{m} z_m & \text{for } n = 1 \text{ and } 0 \leq \lambda < 1, \\ \frac{n}{n-1} \lambda z_{n-1} & \text{for } 1 < n \leq m \text{ and } 0 \leq \lambda < \frac{1}{n}, \\ \lambda z_n & \text{for } n > m \text{ and } 0 \leq \lambda < \frac{1}{n}. \end{cases}$$

For  $z \in X$  we have  $|f(z) - f_m(z)| \leq 1/m$  and the homeomorphisms  $f_m$  converge uniformly to the closed, monotone, but not perfect mapping  $f$ .

It has been shown in [5] that the assumption of local connectedness of  $Y$  cannot be omitted in all the above theorems. In this context we will show a little more:

EXAMPLE 4. The assumption " $Y$  is locally connected" in Theorem 4 cannot be omitted, even if we restrict ourselves to the set of monotone and closed mappings.

Let  $z_n = (\cos \frac{\pi}{4n}, \sin \frac{\pi}{4n})$  for  $n = 1, 2, \dots$ ,  $z_0 = (1, 0)$  and  $X_n = \{\lambda z_n \mid 0 \leq \lambda < 1\}$  for  $n = 0, 1, \dots$ . Put  $X = \bigcup_{n=0}^{\infty} X_n$  and define the mapping  $f: X \xrightarrow{\text{onto}} X$  by

$$f(\lambda z_n) = \begin{cases} (0, 0) & \text{for } n = 1 \text{ and } 0 \leq \lambda < 1, \\ \lambda z_{n-1} & \text{for } n > 1 \text{ and } 0 \leq \lambda < 1, \\ \lambda z_0 & \text{for } n = 0 \text{ and } 0 \leq \lambda < 1. \end{cases}$$

Let  $\varphi_m: [0, 1] \rightarrow [0, 1]$  for  $m = 2, 3, \dots$  be defined by

$$\varphi_m(\lambda) = \begin{cases} \frac{\lambda}{m-1} & \text{for } 0 \leq \lambda < 1 - \frac{1}{m}, \\ (m-1)\left(\lambda - \frac{m-1}{m}\right) + \frac{1}{m} & \text{for } 1 - \frac{1}{m} \leq \lambda \leq 1, \end{cases}$$

and define  $f_m: X \rightarrow X$  by

$$f_m(\lambda z_n) = \begin{cases} \varphi_m(\lambda) z_m & \text{for } n = 1 \text{ and } 0 \leq \lambda < 1, \\ \lambda z_{n-1} & \text{for } 1 < n \leq m \text{ and } 0 \leq \lambda < 1, \\ \lambda z_n & \text{for } n > m, n = 0 \text{ and } 0 \leq \lambda < 1. \end{cases}$$

For  $z \in X_0 \cup \{\lambda z_1 \mid 0 \leq \lambda \leq 1 - 1/m\} \cup X_2 \cup \dots$  we have  $|f_m(z) - f(z)| \leq 1/m$  and homeomorphisms  $f_m$  converge in the compact-open topology to the closed, monotone, but not perfect mapping  $f$ .

LEMMA 4 (cf. [5]). *If  $X, Y, Z$  are arbitrary spaces such that  $Y \subset Z$  and  $F$  is a compact subset of  $X$ , then the set  $M_1 = \{(f, z) \in Y^X \times Z \mid z \in f(F)\} = \{(f, y) \in Y^X \times Y \mid y \in f(F)\}$  is closed in  $Y^X \times Z$ ; furthermore if  $Y$  is metrizable, then  $M_1$  is a  $G_\delta$ -set in  $Y^X \times Y$ .*

Proof. Note that

$$(9) \quad M_2 = \{(f, z) \in Y^F \times Z \mid z \in f(F)\} \text{ is closed in } Y^F \times Z.$$

Indeed, if  $(f, z) \notin M_2$  we have  $z \notin f(F)$  and there exists an open set  $U \subset Z$  such that  $f(F) \subset U$  and  $z \notin \bar{U}$ ; now the set  $M(F, U) \times (Z \setminus \bar{U})$  is a neighbourhood of  $(f, z)$  in  $Y^F \times Z$  disjoint with  $M_2$ .

Consider the restriction  $\varphi: Y^X \times Z \rightarrow Y^F \times Z$ , where  $\varphi(f, z) = (f|_F, z)$ , for  $f \in Y^X$  and  $z \in Z$ . We have

$$(10) \quad \begin{aligned} \varphi^{-1}(M_2) &= \{(f, y) \in Y^X \times Y \mid y \in (f|_F)(F)\} \\ &= \{(f, y) \in Y^X \times Y \mid y \in f(F)\} = M_1. \end{aligned}$$

The mapping  $\varphi$  is continuous; hence by (9) and (10)  $M_1 = \varphi^{-1}(M_2)$  is closed in  $Y^X \times Z$ . If  $Y$  is metrizable, the space  $Y^F$  is also metrizable, and  $M_2$  is a  $G_\delta$ -subset of  $Y^F \times Y$  as a closed subset of a metrizable space. This implies that  $\varphi^{-1}(M_2) = M_1$  is a  $G_\delta$ -set in  $Y^X \times Y$ , which completes the proof.

THEOREM 5. *Let  $X$  be a rim-compact and  $\sigma$ -compact<sup>(\*)</sup>, metrizable space and  $Y$  a metrizable space. Then the set  $\Gamma = \{f \in Y^X \mid f^{-1}(y) \text{ is compact and connected for every } y \in Y\}$  is a  $G_\delta$ -set in the set  $\Omega = \{f \in Y^X \mid f^{-1}(y) \text{ is compact for every } y \in Y\}$ .*

(\*) We say that  $X$  is a  $\sigma$ -compact space if  $X = \bigcup_{i=1}^{\infty} X_i$ , where  $X_i$  are compact subspaces of  $X$ . Obviously, every  $\sigma$ -compact metric space is separable.

Proof. Let  $\alpha Y$  be an arbitrary compactification of  $Y$  and let  $P = \Omega \times Y$ ,  $Q = \Omega \times \alpha Y$ . For an open set  $G \subset X$  such that  $\text{Fr} G$  is compact put  $[G] = \{(f, u) \in Q \mid f^{-1}(u) \cap G \neq \emptyset, f^{-1}(u) \cap (X \setminus \bar{G}) \neq \emptyset \text{ and } f^{-1}(u) \cap \text{Fr} G = \emptyset\}$ .

We shall show first that

$$(11) \quad [G] \text{ is an } F_\sigma\text{-set in } Q.$$

Let us note that  $[G] = A \cap B_1 \cap B_2$ , where

$$\begin{aligned} A &= \{(f, u) \in Q \mid f^{-1}(u) \cap \text{Fr} G = \emptyset\}, \\ B_1 &= \{(f, u) \in Q \mid f^{-1}(u) \cap G \neq \emptyset\} \quad \text{and} \\ B_2 &= \{(f, u) \in Q \mid f^{-1}(u) \cap (X \setminus \bar{G}) \neq \emptyset\}. \end{aligned}$$

We have  $A \cap P = \{(f, y) \in \Omega \times Y \mid f^{-1}(y) \cap \text{Fr} G = \emptyset\}$ ; hence by Lemma 4 the set  $A \cap P$  is an  $F_\sigma$ -set in  $P$ . Thus  $A \cap P = \bigcup_{n=1}^{\infty} F_n$ , where  $F_n = \bar{F}_n^P = \bar{F}_n^Q \cap P$  and we obtain

$$A = (A \cap P) \cup (A \cap (Q \setminus P)) = \left[ \left( \bigcup_{n=1}^{\infty} \bar{F}_n^Q \right) \cap P \right] \cup [A \cap (Q \setminus P)].$$

We shall prove now that both sets  $B_i$  are  $F_\sigma$ -sets in  $Q$  and that  $B_i \subset P$ . By the symmetry of assumptions we may limit ourselves to  $B_1$ . Since  $X$  is metrizable,  $G$  is an  $F_\sigma$ -set in  $X$  and  $G = \bigcup_{n=1}^{\infty} A_n$ , where  $\bar{A}_n^X = A_n$ .

Since  $X$  is  $\sigma$ -compact,  $X = \bigcup_{n=1}^{\infty} X_n$ , where  $X_n$  are compact. Hence  $G = \bigcup_{n,m=1}^{\infty} A_n \cap X_m$  and  $B_1 = \bigcup_{n,m=1}^{\infty} \{(f, u) \in Q \mid f^{-1}(u) \cap (A_n \cap X_m) \neq \emptyset\}$ . Since the sets  $A_n \cap X_m$  are compact, it follows from Lemma 4 that  $B_1$  is an  $F_\sigma$ -set in  $Q$ . If  $u \in \alpha Y \setminus Y$  and  $f \in \Omega$ , then  $f^{-1}(u) \cap G \subset f^{-1}(u) = \emptyset$ ; hence  $B_1 \subset P$ . Finally we have

$$A \cap B_1 \cap B_2 = \left[ \left( \bigcup_{n=1}^{\infty} \bar{F}_n^Q \right) \cap P \right] \cup [A \cap (Q \setminus P)] \cap B_1 \cap B_2 = \bigcup_{n=1}^{\infty} \bar{F}_n^Q \cap B_1 \cap B_2.$$

The set on the right side is an  $F_\sigma$ -set in  $Q$ , which proves (11).

Since  $X$  is a rim-compact, separable and metrizable space, there exists (see [2], Theorem 1.1.7) a countable base whose elements have compact boundaries. Let  $G_1, G_2, \dots$  be all the finite unions of elements of such a base. Let us denote by  $p$  the projection  $p: \Omega \times \alpha Y \rightarrow \Omega$  and let  $\mathcal{P} = p\left(\bigcup_{n=1}^{\infty} [G_n]\right)$ . Since  $p$  is closed (see [2], Theorem 3.2.8)  $\mathcal{P}$  is an  $F_\sigma$ -set in  $\Omega$ . Now it suffices to show that  $\mathcal{P} = \Omega \cap \Gamma$ . If  $f \in \Omega \cap \Gamma$ , then there exists a point  $y \in Y$  such that  $f^{-1}(y) = A_1 \cup A_2, \bar{A}_i = A_i, A_i \neq \emptyset, i = 1, 2$  and

$A_1 \cap A_2 = \emptyset$ . We can find a  $k$  satisfying  $G_k \supset A_1$ ,  $\bar{G}_k \cap A_2 = \emptyset$ . This implies that  $(f, y) \in [G_k]$  and that  $f \in \mathcal{Y}$ . Conversely, if  $f \in \mathcal{Y}$  there exist a  $k$  and a point  $y \in Y$  such that  $(f, y) \in [G_k]$ . By the definition of  $[G_k]$  it follows that  $f^{-1}(y)$  is not connected, hence  $f \in \Omega \setminus \Gamma$ .

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## Errata to the paper “On shape”

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by

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It has been pointed out to me by Julian Eisner that my proof of Lemma 5.5 is incorrect. A correct proof under the slightly stronger hypothesis that  $P$  is metrizable can be found on p. 240 of the paper of J. Dugundji, *Absolute Neighborhood Retracts and Local Connectedness in Arbitrary Metric Spaces*, Compositio Mathematicae 13 (1958), pp. 229–246.