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Concerning first countable spaces*

by

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By a development for a topological space S (all spaces are to be T_1) is meant a sequence G_1, G_2, \dots of open covers of S such that for each point p of S and each open set D containing p , there is a positive integer n such that each element of G_n containing p is contained in D . The statement that the development $G = (G_1, G_2, \dots)$ for the space S satisfies Axiom C at the point p of S means that if D is an open set containing p then there exists a positive integer n such that each element of G_n intersecting an element of G_n which contains p is contained in D . A regular developable space is a Moore space. A Moore space S is metrizable if and only if it has a development which satisfies Axiom C at each point of S [14]. There has been considerable work done in [18], [6], [7], [15], and [17] concerning Axiom C and the existence of dense metrizable subspaces in Moore spaces.

In part I the author establishes necessary and sufficient conditions under which first countable spaces have dense developable and dense metrizable subspaces. The statement that the subset M of the first countable space S is developable (C -developable) in S means there is a sequence G_1, G_2, \dots of open covers of S such that (1) for each point x of M and open set D containing x there is a positive integer n such that each element of G_n containing x (each element of G_n intersecting an element of G_n containing x) is contained in D and (2) for each point p of S there exists a non-increasing sequence $g_1(p), g_2(p), \dots$ of open sets in S forming a local base at p such that for each positive integer i , $g_i(p)$ is an element of G_i .

A first countable stratifiable space (a Nagata space [4]) is a σ -space (a regular space with a σ -locally finite network) [10]. A first countable

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σ -space is a first countable semi-stratifiable space (equivalently a semi-metric space) [5]. The following theorems are established: (i) Each semi-metric space has a dense developable subspace. (ii) Each first countable σ -space S has a dense subset which is developable in S (hence a Moore space). (iii) Each Nagata space S has a dense subset which is C -developable in S (hence metrizable).

In part II a question raised by Heath in [9] is answered. In [8] Heath gave an example of a paracompact semi-metric space which is not a Nagata space. In [9] he asked for a necessary and sufficient condition for a semi-metric space to be a Nagata space. Lutzer in [12] gave an answer to this question with the concept of k -semi-stratifiable spaces. He showed that a first countable semi-stratifiable space is stratifiable if and only if it is k -semi-stratifiable. However, each k -semi-stratifiable space is a semi-stratifiable space. Borges in [2] defined $w\Delta$ -spaces which have proved extremely useful ([2], [3], and [11]) in exploring the relationships between M -spaces, semi-stratifiable spaces, and Moore spaces. The author defines a more general class of spaces called $w\delta$ -spaces and proves that a paracompact semi-metric space is a Nagata space if and only if it is a $w\delta$ -space.

I. Notation. (i) The letters i, j, m, n will be used exclusively to denote variables with integer values. (ii) $st(x, \mathcal{G})$, where x is a point and \mathcal{G} is a collection of point sets, will denote $\{g \text{ in } \mathcal{G} \mid x \text{ in } g\}^*$. (iii) If M is a subset of the space S , $CL(M)$ will denote the closure of M in S .

DEFINITIONS. (i) A collection U of subsets of the space S is *discrete* provided that for each point p in S there exists an open set containing p which intersects at most one element of U . (ii) A subset K of the space S is *discrete* provided that no point of S is a limit point of K . (iii) A subset K (subspace K) of the space S is σ -*discrete* provided that K is the union of countably many point sets each of which has no limit point in S (in K).

Remark 1.1. Consider the space X such that the points of X are the points of the real line and define a basis B for X such that (1) if x is irrational then $\{x\}$ is in B and (2) if x is rational and i is a positive integer then the segment (with respect to the topology of the real line) $(x-1/i, x+1/i)$ is in B . X is a regular first countable space such that $I = \{x \text{ in } X \mid x \text{ is irrational}\}$ is a dense metrizable subspace of X but no dense subset of X is developable in X . In [17] the author gave an example of a Moore space S which has a dense metrizable subset but for which there exists no development for S satisfying Axiom C at each point of a dense subset. It follows that this space S has a dense metrizable subset which is developable in S but there exists no dense subset of S which is C -developable in S .

LEMMA 1.2. *If K is a discrete subset of the first countable space S then K is developable in S .*

Proof. For each point p of S , let $g_1(p), g_2(p), \dots$ be a non-increasing sequence of open sets in S which forms a local base at p and which is such that $g_1(p)$ contains no point of K other than (possibly) p . It is easy to verify that G_1, G_2, \dots , where for each $i, G_i = \{g_i(p) \mid p \text{ in } S\}$, is a sequence of open covers of S with the desired properties.

LEMMA 1.3. *If K is a subset of the regular first countable space S and there exists a discrete collection U of open sets in S such that U covers K and each element of U contains only one point of K , then K is C -developable in S .*

Proof. For each point p of S , let $g_1(p), g_2(p), \dots$ be a non-increasing sequence of open sets in S which forms a local base at p . Consider the following defining process: (1) For each point p in S , let $g_p^1 = g_1(p)$ for some $i \geq 1$ such that: if p is in K , $CL(g_i(p))$ is contained in an element of U ; if p is in $S-K$ and p is in $CL(g_x^1)$ for some x in K , $g_i(p)$ is contained in an element of U and $g_i(p) \cap K = \emptyset$; if p is not in $CL(g_x^1)$ for some x in K , $g_i(p) \cap \{g_x^1 \mid x \text{ in } K\}^* = \emptyset$. (2) For each point p in S , let $g_p^2 = g_i(p)$ for some $i \geq 2$ such that: if p is in K , $CL(g_i(p)) \subset g_p^1$; if p is in $S-K$ and p is in $CL(g_x^2)$ for some x in K , $g_i(p) \subset g_x^1$ and $g_i(p) \subset g_p^1$; if p is not in $CL(g_x^2)$ for some x in K , $g_i(p) \subset g_p^1$ and $g_i(p) \cap \{g_x^2 \mid x \text{ in } K\}^* = \emptyset$. Continue this process such that if $n > 2$ and p is a point of S , then $g_p^n = g_i(p)$ for some $i \geq n$ such that: if p is in K , $CL(g_i(p)) \subset g_p^{n-1}$; if p is in $S-K$ and p is in $CL(g_x^n)$ for some x in K , $g_i(p) \subset g_x^{n-1}$ and $g_i(p) \subset g_p^{n-1}$; if p is not in $CL(g_x^n)$ for some x in K , $g_i(p) \subset g_p^{n-1}$ and $g_i(p) \cap \{g_x^n \mid x \text{ in } K\}^* = \emptyset$.

For each i , let $G_i = \{g_p^i \mid p \text{ in } S\}$. From the construction it follows that for each point p in S , g_p^1, g_p^2, \dots forms a non-increasing local base at p . Now suppose that p is a point of K and D is an open set in S containing p . There exists an n such that $g_n(p) \subset D$. Consider G_{n+1} . If p is in g_x^{n+1} for some x in S , then x is p . And if $g_p^{n+1} \cap g_x^{n+1} \neq \emptyset$ for some x in S , then it follows that $g_x^{n+1} \subset g_p^n$. Thus the sequence of open covers G_1, G_2, \dots has the desired properties.

LEMMA 1.4. *If $K = \bigcup_{i=1}^{\infty} K_i$ is a subset of the first countable space S and for each i, K_i is developable in S (C -developable in S), then K is developable in S (C -developable in S).*

Proof. For each i , let G_1^i, G_2^i, \dots be the sequence of open covers of S required for K_i to be developable in S (C -developable in S). For each n , let G_n be an open cover of S which refines G_j^i where each of i and j is $\leq n$. It follows that G_1, G_2, \dots has the required properties for K to be developable in S (C -developable in S).

THEOREM 1.5. *In a first countable space S the following are equivalent:*

- (i) S has a dense σ -discrete subspace.
- (ii) S has a dense developable subspace.

Proof. Suppose (i) is true. It follows from Lemma 1.2 and Lemma 1.4 that each σ -discrete first countable space is developable.

Suppose (ii) is true. It follows from Theorem 18 (Chapter I) of [13], that each developable space has a dense σ -discrete subset.

THEOREM 1.6. *In a first countable space S the following are equivalent:*

- (i) S has a dense σ -discrete subset.
- (ii) S has a dense subset which is developable in S .

Proof. Suppose (i) is true. Then (ii) follows from Lemma 1.2 and Lemma 1.4.

Suppose (ii) is true. Let G_1, G_2, \dots be a sequence of open covers of S as required for the subset K to be developable in S . By an argument similar to the one given for Theorem 18 (Chapter I) of [13], it follows that for each i , there exists a subset K_i of K such that K_i is discrete in S and each point of K is contained in an element of G_i which intersects K_i . Thus $K' = \bigcup_{i=1}^{\infty} K_i$ has the desired properties.

Remark 1.7. A space S is screenable provided that for each open cover G of S there is an open cover $H = \bigcup_{i=1}^{\infty} H_i$ of S which refines G such that for each i , H_i is a collection of mutually exclusive open sets. A space S has property J provided that if D is an open set in S then there exists a sequence d_1, d_2, \dots of open sets in S such that $D \subset \text{CL}(\bigcup_{i=1}^{\infty} d_i)$ and for each i , $\text{CL}(d_i) \subset D$. In [17] the author proved that in a Moore space S there exists a development for S which satisfies Axiom C at each point of a dense subset if and only if S has property J and a dense screenable subspace. Theorem 1.8 is a generalization of this result.

THEOREM 1.8. *In a regular first countable space S the following are equivalent:*

- (i) S has property J and a dense metrizable subspace.
- (ii) S has property J and a dense σ -discrete, screenable subspace.
- (iii) S has a dense subset which is C -developable in S .

Proof. Suppose that (i) is true. Let M be a dense metrizable subspace of S . Then M is screenable and has a dense σ -discrete subset. Thus (ii) is true.

Suppose that (ii) is true. Let K be a σ -discrete, screenable subspace of S . It follows that $K = \bigcup_{i=1}^{\infty} K_i$ where for each i , K_i is discrete

in K and there is a collection U_i of mutually exclusive open sets (with respect to K) covering K_i such that each element of U_i contains only one point of K_i . Since K is dense in S , there exists such a collection U'_i of open sets in S . For each point p of K_i , let $g_1(p), g_2(p), \dots$ be a non-increasing sequence of open sets in S which forms a local base at p such that $g_i(p)$ is contained in the element of U'_i containing p . Note that for each n , $H_n = \{g_n(p) \mid p \text{ in } K_i\}$ is a collection of mutually exclusive open sets. Now for each n , there exists a sequence d_1^n, d_2^n, \dots of open sets in S such that $H_n^* \subset \text{CL}(\bigcup_{j=1}^{\infty} d_j^n)$ and for each j , $\text{CL}(d_j^n) \subset H_n^*$. For each n and each j , let $V_j^n = \{d_j^n \cap h \mid h \text{ in } H_n\}$. It follows that V_j^n is a discrete collection of open sets in S . Let $V = \bigcup_{n=1}^{\infty} \bigcup_{j=1}^{\infty} V_j^n$ and let X_i be a set containing one point from each element of V . K_i is contained in $\text{CL}(X_i)$ and by Lemma 1.3 and Lemma 1.4, X_i is C -developable in S . Thus $X = \bigcup_{i=1}^{\infty} X_i$ is dense in S and by Lemma 1.4 is C -developable in S . Thus (iii) is true.

Suppose that (iii) is true. Let K be a dense subset of S which is C -developable in S . It follows that K , regarded as spaces, is metrizable. S also has property J . For suppose that D is an open set in S . Then $K \cap D$ is a dense subset of D . Denote by G_1, G_2, \dots the sequence of open covers of S required for K to be C -developable in S . For each i , let K_i denote the set of all p in K such that each element of G_i intersecting an element of G_i containing p is contained in D . And for each i , let $d_i = \{g \text{ in } G_i \mid g \cap K_i \neq \emptyset\}^*$. It follows that the sequence d_1, d_2, \dots has the desired properties. This completes the proof.

THEOREM 1.9 (Heath [9]). *The space S is a semi-metric space if and only if for each point x of S there exists a non-increasing sequence $g_1(x), g_2(x), \dots$ of open sets in S such that (1) $g_1(x), g_2(x), \dots$ is a local base at x and (2) if y is a point of S and x_1, x_2, \dots is a point sequence in S such that for each n , y is in $g_n(x_n)$, then x_1, x_2, \dots converges to y .*

THEOREM 1.10. *If S is a semi-metric space, then S has a dense developable subspace.*

Proof. By Theorem 1.5, it is sufficient to show that S has a dense σ -discrete subspace.

For each point p of S , let $g_1(p), g_2(p), \dots$ be a sequence of open sets in S as in Theorem 1.9. For each j , let $G_j = \{g_j(p) \mid p \text{ in } S\}$. Denote by Ω a well-ordering of the elements of S . For each j , let K_j be the subset of S such that: (1) The first element of K_j is the first element of S with respect to Ω . (2) If I is an initial segment of K_j , then the first element p of $K_j - I$ is the first element of S with respect to Ω such that $g_j(p) \cap I = \emptyset$ and p is not in $g_j(q)$ for q in I . (3) If K'_j is a subset of S having

properties (1) and (2) then either K'_j is K_j or K'_j is an initial segment of K_j .

It follows that $K = \bigcup_{i=1}^{\infty} K_i$ is dense in S . For suppose that p is a point of S and D is an open set containing p . There exists an n such that if $i \geq n$, $g_i(p)$ is contained in D . Thus suppose that for $i \geq n$, $g_i(p) \cap K = \emptyset$. Then for each $i \geq n$, p is in $g_i(q_i)$ for some q_i in K_i . If this were not true, p would be in K_j for some j . But by Theorem 1.9, the sequence q_n, q_{n+1}, \dots converges to p and p is a limit point of K . This is a contradiction. Thus D contains a point of K .

Now, let $X_1 = K_1$ and for each $i > 1$, let $X_i = K_i - (\text{CL}(\bigcup_{j=1}^{i-1} X_j) \cap K_i)$.

Since K is dense in S it follows that $X = \bigcup_{i=1}^{\infty} X_i$ is dense in S . Consider X_i for each i . By the definition of K_i , no point of X_i is a limit point of X_i .

And by the definition of X_i , no point of $\bigcup_{j=i+1}^{\infty} X_j$ is a limit point of X_i .

Thus if X_i has a limit point q in X , q must be in $\bigcup_{j=1}^{i-1} X_j$. But for each

point p in X_i , there exists an n such that p is not in $g_n(q)$ for q in $\bigcup_{j=1}^{i-1} X_j$.

If this were not true, the sequence q_1, q_2, \dots , where for each n , q_n is in $\bigcup_{j=1}^{i-1} X_j$

and p is in $g_n(q_n)$, would converge to p and hence p would be a limit point

of $\bigcup_{j=1}^{i-1} X_j$. Thus for each n , let $X_i^n = \{p \text{ in } X_i \mid p \text{ is not in } g_n(q) \text{ for } q \text{ in } \bigcup_{j=1}^{i-1} X_j\}$.

Note for each n , X_i^n has no limit point in X .

Thus $X = \bigcup_{i=1}^{\infty} \bigcup_{n=1}^{\infty} X_i^n$ is a σ -discrete subspace of S which is dense in S .

This completes the proof.

THEOREM 1.11. *If S is a first countable σ -space then S has a dense subset which is developable in S .*

Proof. Let $N = \bigcup_{i=1}^{\infty} N_i$ be a network for S such that for each i , N_i is locally finite. For each i , let K_i be a point set containing one point from each element of N_i . It follows that for each i , K_i is discrete in S and $K = \bigcup_{i=1}^{\infty} K_i$ is dense in S . Thus by Lemma 1.2 and Lemma 1.4, K is a dense subset of S which is developable in S .

THEOREM 1.12. *If S is a Nagata space then S has a dense subset which is C -developable in S and which, regarded as space, is metrizable.*

Proof. Heath has shown in [10] that each Nagata space is a σ -space.

Thus as in the proof of Theorem 1.11, let $K = \bigcup_{i=1}^{\infty} K_i$ be a dense subset

of S such that for each i , K_i is discrete in S . Since each Nagata space is paracompact [4] (hence collectionwise normal), for each i , there exists a discrete collection U_i of open sets in S covering K_i such that each element of U_i contains only one point of K_i . Thus by Lemma 1.3 and Lemma 1.4, K is a dense subset of S which is C -developable in S . And since K (regarded as space) is a Moore space with a development which satisfies Axiom C at each point of K , then K is metrizable [14].

II. THEOREM 2.1 (Heath [9]). *The space S is a Nagata space if and only if for each point p of S there exists a sequence $g_1(p), g_2(p), \dots$ of open sets in S such that (1) $g_1(p), g_2(p), \dots$ is a non-increasing local base at p and (2) if x is a point of S and R is an open set containing x then there exists an n such that if $g_n(x) \cap g_n(q) \neq \emptyset$ for some point q of S then q is in R .*

DEFINITION 2.2 (Borges [2]). The space S is a $w\Delta$ -space if and only if there is a sequence G_1, G_2, \dots of open covers of S such that if x is a point of S and for each i , p_i is in $\text{st}(x, G_i)$, then the sequence p_1, p_2, \dots has a cluster point.

DEFINITION 2.3 (Hodel [11]). The space S has a G^*_δ -diagonal if and only if there is a sequence G_1, G_2, \dots of open covers of S such that for any two distinct points x and y of S , there is an n such that y is not in $\text{CL}(\text{st}(x, G_n))$.

THEOREM 2.4 (Hodel [11]). *The following are equivalent:*

- (i) S is a Moore space.
- (ii) S is a regular $w\Delta$ -space with a G^*_δ -diagonal.

DEFINITION 2.5. The space S is a $w\delta$ -space if and only if for each point p of S there is a sequence $g_1(p), g_2(p), \dots$ of open sets in S each term of which contains p such that if x is a point of S and for each i , p_i is in $\text{st}(x, G_i)$ where $G_i = \{g_i(p) \mid p \text{ in } S\}$, and $g_i(p_i) \cap g_i(x) \neq \emptyset$, then the sequence p_1, p_2, \dots has a cluster point.

THEOREM 2.6 (Compare with Theorem 2.1). *If S is a $w\delta$ -space with a G^*_δ -diagonal then for each point p in S there exists a non-increasing sequence $g_1(p), g_2(p), \dots$ of open sets in S such that (1) $g_1(p), g_2(p), \dots$ is a local base at p and (2) if x is a point of S and R is an open set containing x then there exists an n such that if q is in $\text{st}(x, G_n)$, where $G_n = \{g_n(p) \mid p \text{ in } S\}$, and $g_n(q) \cap g_n(x) \neq \emptyset$, then q is in R .*

Proof. For each point p in S , let $r_1(p), r_2(p), \dots$ be a sequence of open sets as in the definition of a $w\delta$ -space. Let H_1, H_2, \dots be a sequence of open covers of S as in the definition of a G^*_δ -diagonal. For each point p in S , let $g_1(p), g_2(p), \dots$ be a sequence of open sets in S each term of which contains p such that for each i , (1) $g_i(p) \subset r_i(p)$, (2) $g_i(p)$ is contained in an element of H_i , and (3) $g_i(p) \supset g_{i+1}(p)$.

Now suppose that there exists a point x of S contained in the open set R such that for each i , q_i is in $\text{st}(x, G_i)$, where $G_i = \{g_i(p) \mid p \in S\}$, $g_i(q_i) \cap g_i(x) \neq \emptyset$ and q_i is not in R . The sequence q_1, q_2, \dots has a cluster point y since S is a $w\delta$ -space. y cannot be x , thus since S has a G_δ^* -diagonal there exists an n such that y is not in $\text{CL}(\text{st}(x, G_n))$. Thus there exists an open set u in S such that u contains y and does not intersect $\text{st}(x, G_n)$. But $\text{st}(x, G_i) \supset \text{st}(x, G_{i+1})$, for each i . Which means that u does not contain q_i for $i \geq n$. Therefore y is not a cluster point of q_1, q_2, \dots and this is a contradiction. It follows that part (2) in the statement of the theorem is satisfied.

For each point p in S , the sequence $g_1(p), g_2(p), \dots$ is a non-increasing sequence of open sets. It also forms a local base at p . For suppose p is contained in the open set R . From the above there exists an n such that if x is in $\text{st}(p, G_n)$ and $g_n(x) \cap g_n(p) \neq \emptyset$, then x is in R . If x is in $g_n(p)$ then x is in $\text{st}(p, G_n)$ and $g_n(x) \cap g_n(p) \neq \emptyset$. Thus $g_n(p) \subset R$. This completes the proof.

COROLLARY 2.7. *If S is a $w\delta$ -space with a G_δ^* -diagonal then S is a semi-metric space.*

Proof. Use Theorem 2.6 to satisfy Theorem 1.9.

THEOREM 2.8. *The following are equivalent:*

- (i) S is a Nagata space.
- (ii) S is a paracompact $w\delta$ -space with a G_δ^* -diagonal.

Proof. Suppose that (ii) is true. For each point p of S , let $g_1(p), g_2(p), \dots$ be a non-increasing sequence of open sets as in Theorem 2.6. For each i , let $G_i = \{g_i(p) \mid p \in S\}$. Since S is paracompact, for each i , there exists an open cover H_i of S such that if p is a point of S then $\text{st}(p, H_i)$ is contained in an element of G_i . For each point p of S , let $g'_1(p), g'_2(p), \dots$ be a sequence of open sets in S each term of which contains p such that for each i , (1) $g'_i(p) \subset g_i(p)$, (2) $g'_i(p)$ is contained in some element of H_i , and (3) $g'_{i+1}(p) \subset g'_i(p)$. Now suppose that x is a point of S and R is an open set containing x . There exists an n such that if p is in $\text{st}(x, G_n)$ and $g_n(p) \cap g_n(x) \neq \emptyset$, then p is in R . But if $g'_n(p) \cap g'_n(x) \neq \emptyset$, then p is in $\text{st}(x, G_n)$ and $g_n(p) \cap g_n(x) \neq \emptyset$. Thus if $g'_n(p) \cap g'_n(x) \neq \emptyset$ for some point p in S , then p is in R . Thus Theorem 2.1 is satisfied and S is a Nagata space.

Suppose that (i) is true. Then S is stratifiable and therefore paracompact [4]. S also has a G_δ^* -diagonal since Hodel proved in [11] that each regular semi-stratifiable space has a G_δ^* -diagonal. To see that S is a $w\delta$ -space, for each point p of S let $g_1(p), g_2(p), \dots$ be a sequence of open sets as in Theorem 2.1. Without loss of generality, require that $g_{i+1}(p) \subset g_i(p)$ for each i . It then follows that if x is a point of S and R is an open set containing x , then there exists an m such that if $n \geq m$ and $g_n(x) \cap$

$\cap g_n(q) \neq \emptyset$ for some point q in S then q is in R . Thus suppose x is a point of S and there exists a point sequence p_1, p_2, \dots in S such that for each i , p_i is in $\text{st}(x, G_i)$, where $G_i = \{g_i(p) \mid p \in S\}$, and $g_i(p_i) \cap g_i(x) \neq \emptyset$. Then x is a cluster point of p_1, p_2, \dots . For if R is an open set containing x , there exists an m such that if $n \geq m$ then p_n is in R . Thus S is a $w\delta$ -space.

COROLLARY 2.9. *The semi-metric space S is a Nagata space if and only if it is a paracompact $w\delta$ -space.*

Remark 2.10. A $w\mathcal{A}$ -space is a $w\delta$ -space. Thus it follows from [2] and Theorem 2.8 that the class of $w\delta$ -spaces contains the class of Moore spaces, \mathcal{M} -spaces, and first countable stratifiable spaces.

QUESTION 2.11. Is a regular $w\delta$ -space with a G_δ^* -diagonal a σ -space? Or even must such a space S have a dense subset which is developable in S ?

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