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## A normal space $X$ for which $X \times I$ is not normal

by

Mary Ellen Rudin (Madison, Wisc.)

The purpose of this paper is to construct (without using any set theoretic conditions beyond the axiom of choice) a normal Hausdorff space  $X$  whose Cartesian product with the closed unit interval  $I$  is not normal. Such a space is often called a *Dowker space*. The question of the existence of such a space is an old and natural one [3].

In 1951, C. H. Dowker [4] proved that a normal Hausdorff space is not countably paracompact if and only if its Cartesian product with  $I$  is not normal. Other interesting equivalences are given by C. H. Dowker and M. Katětov in [4] and [8], and one is a useful tool for constructing a Dowker space. M. Katětov [8] proved there is no perfectly normal Dowker space and B. J. Ball [1] proved there is no linear Dowker space.

In [10] I proved that the existence of a Souslin line implies the existence of a Dowker space. And, more recently, I observed that almost the same proof yields: if  $\kappa$  is a regular cardinal which is not the successor of a singular cardinal, then the existence of a Souslin tree of cardinality  $\kappa$  implies the existence of a Dowker space. The existence of a Souslin line and Souslin trees of these cardinalities has been proved consistent with the usual axioms of set theory ([13], [11], [7]).

I am indebted to N. Howes [6] for the idea that a *singular* cardinal might be useful in constructing a Dowker space. Howes also introduced me to the example of A. Miščenko given in [9] which I was able to prove is not normal. But successive modification of this example led me to the Dowker space  $X$  described below.

**I. The definition of  $X$  and some notation will be given.** We use the usual convention that an ordinal  $\lambda$  is the set of all ordinals less than  $\lambda$ . An ordinal  $\gamma$  is *cofinal* [5] with  $\lambda$  if there is a subset  $I$  of  $\lambda$  order isomorphic with  $\gamma$  such that  $\alpha < \lambda$  implies there is a  $\beta \in I$  such that  $\alpha \leq \beta$ . Let  $\text{cf}(\lambda)$  denote the smallest ordinal cofinal with  $\lambda$ .

Let  $N$  denote the set of all positive integers.

Let  $F = \{f: N \rightarrow \omega_\omega \mid f(n) \leq \omega_n \text{ for all } n \in N\}$ .

Let  $X = \{f \in F \mid \exists i \in N \text{ such that } \omega_0 < \text{cf}(f(n)) < \omega_i \text{ for all } n \in N\}$ .

Suppose  $f$  and  $g$  belong to  $F$ . If  $f(n) < g(n)$  for all  $n \in N$ , we say  $f < g$ . If  $f(n) \leq g(n)$  for all  $n \in N$ , we say  $f \leq g$ . And if  $i \in N$  and  $f(n) < g(n)$  for all  $n \geq i$ , we say  $f <_i g$ . Define  $U_{f,g} = \{h \in X \mid f < h \leq g\}$ .

The set of all  $U_{f,g}$  for  $f$  and  $g$  in  $F$  is a basis for a topology on  $X$ , and we prove this space is a Dowker space. It is obviously Hausdorff.

**II. We prove that  $X \times I$  is not normal.** We prove there is a simple sequence  $D_1 \supset D_2 \supset \dots$  of sets closed in  $X$  such that  $\bigcap_{n \in N} D_n = \emptyset$ , but  $\bigcap_{n \in N} U_n \neq \emptyset$  if each  $U_n$  is open in  $X$  and contains  $D_n$ . Thus (as proved by Dowker in [4]),  $X \times 0$  cannot be separated from  $\bigcup_{n \in N} (D_n \times 1/n)$  in  $X \times I$ . So  $X \times I$  is not normal.

For all  $n \in N$ , let

$$D_n = \{f \in X \mid \exists i \geq n \text{ such that } f(i) = \omega_i\}.$$

And for  $1 < n$ , let

$$C_n = \{f \in X \mid f(i) = \omega_i \text{ for all } i < n \text{ and } f(i) < \omega_i \text{ for all } i \geq n\}.$$

Observe that  $\bigcap_{n \in N} D_n = \emptyset$ . Also, each  $D_n$  is closed. For suppose  $f \in X - D_n$ ;

then  $\{g \in X \mid g \leq f\}$  is open and does not intersect  $D_n$ .

Suppose that  $U_n$  is an open set containing  $D_n$ . Claim:  $\bigcap_{n \in N} U_n \neq \emptyset$ .

In fact, we prove that  $\bigcap_{n \in N} U_n \cap C_2 \neq \emptyset$ .

**LEMMA 1.** Suppose  $1 < n \in N$ ,  $U$  is open,  $f \in C_{n+1}$ , and  $U \supset \{h \in C_{n+1} \mid f <_{n+1} h\}$ . Then there exists  $g \in C_n$  such that  $U \supset \{h \in C_n \mid g <_n h\}$ .

**Proof.** Define  $k \in C_n$  by letting  $k(i) = f(i)$  for all  $i \neq n$  and  $k(n) = 0$ . Define  $K = \{k_\alpha \mid \alpha < \lambda\}$  to be a maximal well-ordered family of terms of  $C_n - U$  such that  $k <_n k_\alpha <_n k_\beta$  for all  $\alpha < \beta < \lambda$ . Without loss of generality we assume  $\lambda \neq 0$  since  $\lambda = 0$  implies  $k$  has the property of the  $g$  in our lemma. Let  $g'$  be the term of  $F$  defined by letting  $g'(i) = \sup\{k_\alpha(i) \mid \alpha < \lambda\}$  for all  $i \in N$ .

Clearly  $\lambda \leq \omega_n$ . Suppose  $\lambda = \omega_n$ . Then  $g' \in C_{n+1}$  and  $f <_{n+1} g'$ ; so, by the hypothesis of the lemma,  $g' \in U$  and there exists  $g^* < g'$  in  $F$  such that  $U_{g^*, g'} \subset U$ . But there is an  $\alpha_i < \lambda$  for each  $i \geq n$  such that  $k_{\alpha_i}(i) > g^*(i)$ . Let  $\alpha = \sup\{\alpha_i \mid i \geq n\}$ . Then  $g^* < k_\alpha \leq g'$ ; hence  $k_\alpha \in U$  which is a contradiction.

Thus  $\lambda < \omega_n$ . Define  $g \in X$  by letting  $g(i) = g'(i) + \omega_1$  for all  $i \geq n$  and  $g(i) = \omega_i$  for all  $i < n$ . Then  $g \in C_n$ . And, by the maximality of  $K$ ,  $U \supset \{h \in C_n \mid g <_n h\}$ .

**LEMMA 2.** Suppose  $1 < n \in N$ . There is a term  $f$  of  $C_2$  such that  $U_n \supset \{h \in C_2 \mid f < h\}$ .

**Proof.** Since the  $g$  of Lemma 1 depends only on  $f$  and  $U$ , denote the  $g$  guaranteed by Lemma 1 by  $g_{f,U}$ . By induction down we define  $k_i \in C_i$  for  $2 \leq i \leq n+1$ . Select any  $k_{n+1} \in C_{n+1}$ . Since  $U_n \supset D_n \supset C_{n+1}$ ,  $U_n \supset \{h \in C_{n+1} \mid k_{n+1} <_{n+1} h\}$ . So we can define  $k_n = g_{k_{n+1}, U_n}$ ; and in general, define  $k_i = g_{k_{i+1}, U_n}$  for  $2 \leq i \leq n$ . Thus,  $f = k_2$  has the desired properties for Lemma 2.

**LEMMA 3.**  $\bigcap_{n \in N} U_n \neq \emptyset$ .

**Proof.** For  $1 < n \in N$ , let  $f_n$  be the  $f$  guaranteed by Lemma 2. Then for  $i \in N$ , select an ordinal  $\alpha_i$  such that  $\text{cf}(\alpha_i) = \omega_1$  and, for all  $n > 1$   $f_n(i) < \alpha_i < \omega_i$ . Define  $\alpha_1 = \omega_1$ . Now define  $g \in X$  by letting  $g(i) = \alpha_i$  for all  $i \in N$ . Clearly  $g \in U_n \cap C_2$  for  $n > 1$ . And  $g \in X = D_1 \subset U_1$ .

**III. We prove  $X$  is (collectionwise) normal.** Suppose  $\mathcal{K} = \{H_j\}_{j \in J}$  is a collection of disjoint closed subsets of  $X$  such that  $L \subset J$  implies  $\bigcup_{j \in L} H_j$  is closed. We show that there is a collection  $\{U_j\}_{j \in J}$  of disjoint open sets such that  $U_j \supset H_j$  for each  $j \in J$ . This shows that  $X$  is *collectionwise normal* [2]. And, by the special case where  $J$  has exactly two members,  $X$  is normal.

Let  $H$  be the union of the members of  $\mathcal{K}$ . And if  $U \subset F$ , define  $t_U$  in  $F$  by letting  $t_U(n) = \sup\{f(n) \mid f \in U\}$  for each  $n \in N$ . Clearly  $U \supset V$  implies  $t_U \leq t_V$ .

A. Our aim will be to define for each countable ordinal  $\alpha$ , by induction, a cover  $\mathcal{J}_\alpha$  of  $H$  by disjoint open sets having the following property:

If  $\beta < \alpha < \omega_1$  and  $V \in \mathcal{J}_\alpha$ , then there exists a  $U \in \mathcal{J}_\beta$  such that

- (1)  $V \subset U$ ,
- (2) if  $V$  intersects at least two members of  $\mathcal{K}$ , then  $t_V \neq t_U$ , and
- (3) if  $U$  intersects at most one member of  $\mathcal{K}$ , then  $U = V$ .

B. First let us prove that the existence of  $\mathcal{J}_\alpha$  as described in A is sufficient to find a set of disjoint open sets  $\{U_j\}_{j \in J}$  such that  $U_j \supset H_j$ .

Suppose  $f \in H$ . Since  $\mathcal{J}_\alpha$  covers  $H$  with disjoint open sets,  $\alpha < \omega_1$  implies there is a unique  $U_\alpha \in \mathcal{J}_\alpha$  such that  $f \in U_\alpha$ . Since the terms of  $\mathcal{J}_\beta$  are disjoint by (1) of A,  $\beta < \alpha < \omega_1$  implies  $U_\alpha \subset U_\beta$ ; thus  $t_{U_\alpha} \leq t_{U_\beta}$ . And if  $U_\alpha$  intersects more than one term of  $\mathcal{K}$ , by (2) of A, there is at least one  $n \in N$  such that  $t_{U_\alpha}(n) < t_{U_\beta}(n)$ . Hence, since for any one  $n$ , one can move backward in  $\omega_n$  only finitely many steps, there is an  $\alpha_1 < \omega_1$  such that  $U_{\alpha_1}$  intersects at most one term of  $\mathcal{K}$ . So, by (3) of A,  $\alpha_1 < \beta < \omega_1$  implies  $U_\beta = U_{\alpha_1}$ .

For all  $j \in J$ , define  $U_j = \bigcup_{i \in H_j} U_{\alpha_j}$ . Suppose  $f$  and  $g$  belong to different terms of  $\mathcal{K}$ . Now there is  $\alpha < \omega_1$  greater than  $\alpha_f$  or  $\alpha_g$ ; thus the term of  $\mathcal{J}_\alpha$  to which  $f$  belongs is  $U_{\alpha_f}$  and the term to which  $g$  belongs is  $U_{\alpha_g}$ . Since

the terms of  $\mathcal{J}_\alpha$  are disjoint and  $U_\alpha$  intersects only one term of  $\mathcal{K}$ ,  $U_\alpha \cap U_\beta = \emptyset$ . Hence the terms of  $\{U_j\}_{j \in \mathcal{J}}$  are disjoint.

C. We now prove the existence of  $\mathcal{J}_\alpha$  as described in A.

Define  $\mathcal{J}_0 = \{X\}$ .

Suppose  $\mathcal{J}_\beta$  has been defined for all  $0 \leq \beta < \alpha$ , and let us define  $\mathcal{J}_\alpha$ .

C.1. Suppose  $\alpha$  is a limit ordinal. If  $f \in H$  and  $\beta < \alpha$ , define  $U_f(\beta)$  to be the term of  $\mathcal{J}_\beta$  to which  $f$  belongs, and define  $U_f = \bigcap_{\beta < \alpha} U_f(\beta)$ . Define  $\mathcal{J}_\alpha = \{U_f \mid f \in H\}$ . By Lemma 4 below, each  $U_f$  is open since  $\alpha$  is countable. And the terms of  $\mathcal{J}_\alpha$  are disjoint. If  $\beta < \alpha$ ,  $U_f(\beta)$  is the term of  $\mathcal{J}_\beta$  containing  $U_f$ . If  $U_f$  intersects two terms of  $\mathcal{K}$ , then so do  $U_f(\beta)$  and  $U_f(\beta+1)$ . So  $t_{U_f(\beta+1)} \neq t_{U_f(\beta)}$  and  $t_{U_f} \leq t_{U_f(\beta+1)} \leq t_{U_f(\beta)}$ . Hence,  $t_{U_f} \neq t_{U_f(\beta)}$  and (2) of A is satisfied. If  $U_f(\beta)$  intersects only one term of  $\mathcal{K}$ , then  $U_f(\beta) = U_f(\gamma)$  for all  $\beta < \gamma < \alpha$ ; hence  $U_f = U_f(\beta)$  and (3) of A is satisfied.

LEMMA 4. If  $\{U_n\}_{n \in N}$  is a collection of open sets, then  $\bigcap_{n \in N} U_n$  is open.

Proof. Suppose  $f \in \bigcap_{n \in N} U_n$ ; for all  $n \in N$  there exists  $g_n \in F$  such that  $g_n < f$  and  $U_{g_n} \subset U_n$ . Let  $g \in F$  be defined by letting  $g(i) = \sup\{g_n(i) \mid n \in N\}$  for each  $i \in N$ . Then, since  $g \leq f$  and  $\text{cf}(g(i)) \leq \omega_0 < \text{cf}(f(i))$  for each  $i \in N$ ,  $g < f$ . So  $U_{g,f} \subset \bigcap_{n \in N} U_n$ . Thus  $\bigcap_{n \in N} U_n$  is open.

C.2. Suppose  $\alpha = \beta + 1$ . For all  $U \in \mathcal{J}_\beta$  we shall define a set  $\mathcal{J}_U$  of disjoint open subsets of  $U$  covering  $U \cap H$  such that  $V \in \mathcal{J}_U$  implies (2) and (3) of A are satisfied. Clearly  $\mathcal{J}_\alpha = \bigcup_{U \in \mathcal{J}_\beta} \mathcal{J}_U$  thus has the desired properties.

Assume  $U \in \mathcal{J}_\beta$  and let  $t$  denote  $t_U$ .

Case 1. If  $U$  intersects at most one term of  $\mathcal{K}$ , then define  $\mathcal{J}_U = \{U\}$ . Clearly (3), and trivially (2), of A are satisfied. In all other cases we need only satisfy (2) since (3) then becomes trivial.

Case 2. Assume  $U$  intersects at least two members of  $\mathcal{K}$  and there is an  $i \in N$  such that  $\text{cf}(t(i)) \leq \omega_0$ . Since  $U \neq \emptyset$ ,  $t(i) \neq 0$ . If  $0 < \text{cf}(t(i)) < \omega_0$ , then  $t(i) = \gamma + 1$  for some ordinal  $\gamma$ . But  $f \in U$  implies  $\text{cf}(f(i))$  is uncountable and  $f(i) \leq t(i)$ , so  $f(i) < \gamma$ ; but this contradicts  $t = t_U$ . Thus  $\text{cf}(t(i)) = \omega_0$ . Let  $\{\lambda_n\}_{n \in N}$  be an increasing sequence of terms of  $t(i)$  cofinal with  $t(i)$ . Define  $V_1 = \{f \in U \mid f(i) \leq \lambda_1\}$  and, for  $1 < n < \omega_0$ , define  $V_n = \{f \in U \mid \lambda_{n-1} < f(i) \leq \lambda_n\}$ . Then  $\mathcal{J}_U = \{V_n \mid n \in N\}$  is a set of disjoint open subsets of  $U$  covering  $U$ . And  $n \in N$  implies  $t_{V_n}(i) < t(i)$ . Thus  $\mathcal{J}_U$  has the desired properties.

Case 3. Assume  $U$  intersects at least two members of  $\mathcal{K}$  and  $\text{cf}(t(n)) > \omega_0$  for all  $n \in N$ . This is the hard case and we need a lemma.

LEMMA 5. There exists an  $f \in F$  such that  $f < t$  and  $\{h \in U \mid f < h\}$  intersects at most one term of  $\mathcal{K}$ .

From Lemma 5, we define  $\mathcal{J}_U$  in Case 3 as follows. If  $M \subset N$ , define

$$V_M = \{h \in U \mid h(n) \leq f(n) \text{ for all } n \in M \text{ and } h(n) > f(n) \text{ for all } n \in (N - M)\}.$$

Observe that, if  $t_U = t$ , then  $M = \emptyset$ . But  $V_\emptyset$  intersects at most one term of  $\mathcal{K}$ . So, if  $\mathcal{J}_U = \{V_M \mid M \subset N\}$ , then  $\mathcal{J}_U$  has the desired properties.

In order to prove Lemma 5, we need Lemma 6. For each  $n \in N$ , define

$$U_n = \{h \in U \mid \text{cf}(h(i)) \leq \omega_n \text{ for all } i \in N\}; \text{ clearly } U = \bigcup_{n \in N} U_n.$$

LEMMA 6. Suppose  $n \in N$ . There exists  $g \in F$  such that  $g < t$  and  $\{h \in U_n \mid g < h\}$  intersects at most one term of  $\mathcal{K}$ .

Before proving Lemma 6, let us show that Lemma 6 implies Lemma 5. Let  $g_n$  be the  $g$  guaranteed by Lemma 6 for  $n$ . Define  $f \in F$  by letting  $f(i) = \sup\{g_n(i) \mid n \in N\}$  for each  $i \in N$ . Then  $f < t$  by the assumption of Case 3. Let us show that  $\{h \in U \mid f < h\}$  intersects at most one term of  $\mathcal{K}$ . Suppose  $f < h \in U \cap H$  and  $f < k \in U \cap H$ . There exists  $i$  and  $j$  in  $N$  such that  $h \in U_i$  and  $k \in U_j$ ; let  $n = i + j$ . Then  $g_n < h$  and  $g_n < k$  and  $h \in U_n$  and  $k \in U_n$ ; thus  $h$  and  $k$  belong to the same term of  $\mathcal{K}$ .

Proof of Lemma 6. Assume that Lemma 6 is false; that is, for all  $f \in F$  such that  $f < t$ , there are terms  $h$  and  $k$  of  $U_n$  such that  $f < h$  and  $f < k$  and  $h$  and  $k$  belong to different terms of  $\mathcal{K}$ .

We now need more notation. Remember,  $n$  is fixed.

For  $i \leq n$ , define  $M_i = \{j \in N \mid \text{cf}(t(j)) = \omega_i\}$ . Define  $M = \{j \in N \mid \text{cf}(t(j)) > \omega_n\}$ . By Case 3,  $N = \bigcup_{i \leq n} M_i \cup M$ .

Let  $R = \{r: (1, 2, \dots, n) \rightarrow \omega_n \mid r(i) < \omega_i \text{ for all } i \in (1, \dots, n)\}$ . The cardinality of  $R$  is clearly  $\omega_n$ . So we can define a set  $\{r_\lambda\}_{\lambda < \omega_n}$  of terms of  $R$  such that  $\lambda < \omega_n$  and  $r \in R$  implies there is a  $\gamma$  such that  $\lambda < \gamma < \omega_n$  and  $r_\gamma = r$ .

For all  $i \leq n$  and  $j \in M_i$ , choose a subset  $\{s_{j,\sigma}\}_{\sigma < \omega_i}$  of  $t(j)$  cofinal with  $t(j)$  such that  $\sigma < \gamma < \omega_i$  implies  $s_{j,\sigma} < s_{j,\gamma}$ .

To return to the proof, we wish to select by induction for each ordinal  $\lambda < \omega_n$  an  $f_\lambda \in F$  and  $h_\lambda$  and  $k_\lambda$  belonging to  $U_n$  as follows. Define  $f_0$  by letting  $f_0(j) = s_{j,r_0(i)}$  for  $j \in M_i$  and  $i \leq n$  and letting  $f_0(j) = 0$  for  $j \in M$ . Then choose  $h_0 \in U_n$  and  $k_0 \in U_n$  belonging to different terms of  $\mathcal{K}$  such that  $f_0 < h_0$  and  $f_0 < k_0$ ; such a choice is possible since  $f_0 < t$  and we assumed that Lemma 6 is false. Suppose  $h_\lambda$  and  $k_\lambda$  in  $U_n$  have been chosen for all  $\gamma < \lambda < \omega_n$ . Define  $f_\lambda$  by letting  $f_\lambda(j) = s_{j,r_\lambda(i)}$  for  $j \in M_i$  and  $i \leq n$ , and  $f_\lambda(j) = \sup\{h(j) \mid h \in \bigcup_{\gamma < \lambda} \{h_\gamma, k_\gamma\}\}$  for  $j \in M$ . If  $h$  is  $h_\gamma$  or  $k_\gamma$  for some  $\gamma < \lambda$ ,

then  $h \in U_n$  by our induction hypothesis; so  $h(j) < t(j)$  for  $j \in M$ . Since  $\lambda < \omega_n$ , the definition of  $M$  gives  $f_\lambda(j) < t(j)$  for all  $j \in M$ . And  $f_\lambda(t) < t(j)$  for  $j \in N - M$ . Hence  $f_\lambda < t$ . Thus, by our assumption that Lemma 6 is

false, we can choose  $h_\lambda \in U_n$  and  $k_\lambda \in U_n$  such that  $f_\lambda < h_\lambda$  and  $f_\lambda < k_\lambda$  and  $h_\lambda$  and  $k_\lambda$  belong to different terms of  $\mathcal{K}$ . The facts we need to remember are:

- (a)  $\lambda < \omega_n$  and  $i \leq n$  and  $j \in M_i$  implies  $s_{j,r_\lambda(i)} < h_\lambda(j) \leq t(j)$  and  $s_{j,r_\lambda(i)} < k_\lambda(j) \leq t(j)$ .
- (b)  $\gamma < \lambda < \omega_n$  and  $j \in M$  implies  $h_\gamma(j) < k_\lambda(j) < h_{\lambda+1}(j) < t(j)$  and  $h_\gamma(j) < k_\lambda(j) < h_{\lambda+1}(j) < t(j)$ .
- (c)  $h_\lambda$  and  $k_\lambda$  belong to different terms of  $\mathcal{K}$ .

Define  $g \in F$  by letting  $g(j) = t(j)$  for  $j \in N - M$  and  $g(j) = \sup\{h_\lambda(j) \mid \lambda < \omega_n\}$  for  $j \in M$ . If  $j \in N - M$ , then  $\text{cf}(g(j)) > \omega_0$  by our assumption of Case 3, and  $\text{cf}(g(j)) \leq \omega_n$  by our definition of  $M$ . For  $j \in M$ ,  $\text{cf}(g(j)) = \omega_n$  by (b). Hence  $g \in X$ , and, by (a) and (b),  $g \leq t$ .

By our definition of  $\mathcal{K}$ , since  $g \in X$ , there exists  $f \in F$  such that  $f < g$  and  $U_{f,g}$  intersects at most one term of  $\mathcal{K}$ .

Since  $f < g$  and  $g \leq t$ ,  $f < t$ .

For  $i \leq n$  and  $j \in M_i$ , since  $\{s_{j,\sigma} \mid \sigma < \omega_i\}$  is cofinal with  $t(j)$  and  $f(j) < t(j)$ , we can choose  $\sigma_j < \omega_i$  such that  $f(j) < s_{j,\sigma_j}$ . Then let  $\mu_i = \sup\{\sigma_j \mid j \in M_i\}$ . Since  $M_i$  is countable,  $\mu_i < \omega_i$ . Define  $r \in R$  by letting  $r(i) = \mu_i$  for all  $i \leq n$ . Then  $f(j) < s_{j,r(i)}$  for all  $j \in M_i$ .

For  $j \in M$  there is a  $\sigma_j < \omega_n$  such that  $h_{\sigma_j}(j) > f(j)$ . Let  $\sigma = \sup\{\sigma_j \mid j \in M\}$ . Then  $h_\gamma(j) > f(j)$  and  $k_\gamma(j) > f(j)$  for all  $j \in M$  and  $\gamma > \sigma$  by (b).

Choose  $\gamma$  with  $\sigma < \gamma < \omega_n$  and  $r_\gamma = r$ . If  $j \in M$ , then  $f(j) < h_\gamma(j) < g(j)$ . And, if  $j \in M_i$  for some  $i \leq n$ , then  $f(j) < s_{j,r(i)} = s_{j,r_\gamma(i)} < h_\gamma(j) \leq t(j) = g(j)$ . Thus  $f < h_\gamma \leq g$ ; and similarly  $f < k_\gamma \leq g$ . But this contradicts the fact that  $U_{f,g}$  intersects at most one term of  $\mathcal{K}$ .

**IV. Let us comment.** The construction of a Dowker space makes one wonder about other, perhaps nicer Dowker spaces. There is nothing unique about the space  $X$  described here, but all other Dowker spaces I know how to construct are roughly as bad as  $X$ . Consider the following questions:

1. Does there exist a 1st-countable Dowker space?
2. Does there exist a separable Dowker space?
3. Does there exist a cardinality  $\aleph_1$  Dowker space?

It is consistent with the usual axioms of set theory that the answer to each of the three questions is *yes*.<sup>(1)</sup> But I do not know how to construct

<sup>(1)</sup> The example described in [10] has cardinality  $\aleph_1$  and with a simple modification can be made 1st-countable. Thus (1) and (3) exist in any model of set theory (such as  $V = L$ ) which allows an  $\aleph_1$  Souslin tree.

To prove that (2) is consistent with the usual axioms of set theory, assume  $M_{\aleph_2}$ , a Martin's axiom. Then one can have both (a) an  $\aleph_1$  Souslin tree  $T$  and (b) an  $\aleph_2$  subset  $S$  of the reals every subset of which is a relative  $F_\sigma$  [11]. Using  $T$  of (a) and the methods

such spaces using only the axiom of choice. I conjecture that (3) having a *no* answer is equivalent to Souslin's conjecture [12]. I feel (2) is a question about the cardinality of  $c$  rather than about Dowker spaces. There is probably a non-consistency example for (1).

Another question one might ask is:

4. Does there exist a *realcompact* Dowker space?

The Dowker space constructed in (5) using a Souslin line is realcompact. But the space  $X$  (as well as the Dowker spaces constructed using Souslin trees of cardinality greater than  $\aleph_1$ ) is not realcompact. Thus a *yes* answer to (4) is again only a consistency result.

To see that  $X$  is not realcompact let us look at the space  $X' = \{f \in F \mid \text{cf}(f(n)) > \omega_0 \text{ for all } n\}$  for this space is interesting in itself. Mr. P. Nyikos pointed out to me that  $X'$  is the realcompactification of  $X$ . Clearly  $X \neq X'$  but  $X$  is dense in  $X'$ . By Lemma 5 every continuous real valued function on  $X$  can be extended to  $X'$ . And  $X'$  is realcompact (and paracompact) for, given an open cover  $\mathcal{U}$  of  $X'$ , there is an open refinement of  $\mathcal{U}$  covering  $X'$  with *disjoint* open sets. Let us prove this.

Suppose  $g \in G$  and  $p \in X'$ . Define  $t(p, g)$  to be the point  $t$  of  $X'$  such that  $t(n) = \omega_n$  when  $p(n) \geq g(n)$  and  $t(n) = p(n)$  when  $p(n) < g(n)$ . Define  $U(p, g) = \{q \in X' \mid q(n) \geq g(n) \text{ when } p(n) \geq g(n) \text{ and } q(n) = p(n) \text{ when } p(n) < g(n)\}$ . Since  $\mathcal{U}$  covers  $t(p, g)$ , there exists a term  $h(p, g) \geq g$  in  $G$  such that  $U(t(p, g), h(p, g))$  is a subset of some term of  $\mathcal{U}$ .

Suppose  $p \in X'$ . Let  $f_p, \omega_1 \rightarrow G$  be defined by:

- (a)  $f_p(0)$  is the term of  $F$  all of whose coordinates are 0.
- (b)  $f_p(a+1) = h(p, f_p(a))$ .
- (c)  $f_p(a)(n) = \sup\{f_p(\beta)(n) \mid \beta < a\}$  when  $a$  is a limit ordinal.

If  $p \in X'$  and  $a < \omega_1$ , define  $N(p, a) = \{n \in N \mid p(n) < f_p(a)(n)\}$ . Then  $a < \beta$  implies  $N(p, a) \subset N(p, \beta)$ ; and if  $p \in U(p, f_p(a))$ , then  $N(p, a) \neq N(p, \beta)$ . So there is a smallest ordinal  $a_p$  such that  $p \in U(p, f_p(a_p))$ .

Define  $\mathcal{V} = \{U(p, f_p(a_p)) \mid p \in X'\}$ . Clearly  $\mathcal{V}$  is an open refinement of  $\mathcal{U}$  covering  $X'$ . In order to show that the terms of  $\mathcal{V}$  are disjoint, assume a point  $r \in U(p, f_p(a_p)) \cap U(q, f_q(a_q))$ .

Recall that  $\beta \leq a_p$  and  $p(n) < f_p(\beta)(n)$  implies  $r(n) = p(n)$ , and  $\beta \leq a_q$  and  $p(n) \geq f_p(\beta)(n)$  implies  $r(n) \geq f_p(\beta)(n)$ ; and similarly for  $q$  in place of  $p$ . Without loss of generality we assume  $a_p \leq a_q$ .

Hence  $\beta \leq a_p \leq a_q$  and  $f_p(\beta) = f_q(\beta)$  implies  $t(p, f_p(\beta)) = t(q, f_q(\beta))$  and thus  $f_p(\beta+1) = f_q(\beta+1)$ . So by induction  $f_p(a_p) = f_q(a_p)$  and  $t(p, f_p(a_p))$

of [10], one can build an  $\aleph_2$  Dowker space  $Y$ . And using  $S$  of (b) one can, in a canonical way, add a countable discrete set  $C$  to  $S$  and topologize  $C \cup S$  to be a normal space with  $\bar{C} \supset S$  and  $S$  being discrete in itself. Now identify  $S$  and  $Y$  in some one-to-one fashion. The space  $C \cup Y$  thus formed is a separable Dowker space.

$= t(q, f_q(a_p))$ . If  $q \in U(q, f_q(a_p))$  then  $U(p, f_p(a_p)) = U(q, f_q(a_q))$ . Otherwise there is an  $n$  such that  $q(n) < f_q(a_p)(n)$  but  $p(n) \geq f_p(a_p)(n)$ . But  $f_p(a_p) = f_q(a_p)$  so  $r(n) < f_q(a_p)(n)$  and  $r(n) \geq f_p(a_p)(n)$  which is a contradiction.

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