

A representation theorem for distributive quasi-lattices*

by

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1. Introduction. In [4], J. Plonka introduces the notion of a distributive quasi-lattice (DQL). Roughly speaking, they are algebras which satisfy the usual axioms for a distributive lattice (considered as an algebra with two binary operations) except that the absorption laws are deleted. The purpose of this paper is to obtain a representation theorem for distributive quasi-lattices which is analogous to the representation of distributive lattices as rings of sets. Indeed, in the case when a DQL is a distributive lattice, our representation coincides with the classical one.

2. Definitions and lemmas. A *distributive quasi-lattice* (DQL) is an algebra $\mathfrak{D} = (D, +, \cdot)$ with axioms:

- (1) $x + x = x; \quad xx = x;$
- (2) $x + y = y + x; \quad xy = yx;$
- (3) $(x + y) + z = x + (y + z); \quad [(xy)z = x(yz);$
- (4) $x(y + z) = xy + xz; \quad x + yz = (x + y)(x + z).$

For a finite non-empty subset $S = \{x_1, \dots, x_n\}$ of a DQL, $\Pi(S)$ will denote $x_1 \cdot \dots \cdot x_n$ and we adopt the convention that $x \cdot \Pi(\emptyset) = x$. The following properties of distributive quasi-lattices were proved in [4]:

- (5) $\Pi(S) \Sigma(S) = \Pi(S) \quad (S \neq \emptyset);$
- (6) $\Pi(S) + \Sigma(S) = \Sigma(S) \quad (S \neq \emptyset);$
- (7) For finite non-empty subsets S_1, \dots, S_n

$$\sum_{i=1}^n \Pi(S_i) = \left(\sum_{j=1}^n \Pi(S_j) \right) + \Pi \left(\bigcup_{i=1}^n S_i \right)$$

where S_{i_1}, \dots, S_{i_n} are the minimal members of $\{S_1, \dots, S_n\}$ under inclusion.

In order to simplify notation, define the relation: $x \leq y$ iff $xy = x$. It is evident that (D, \leq) is a meet semi-lattice where $x \wedge y = xy$. Although $x + y$ may not be an upper bound for x and y in (D, \leq) , $x + y$ is \leq any

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upper bound for x and y . Dually, define $x \leq y$ iff $x+y = y$. (D, \leq) and (D, \leq) are isomorphic under $x \rightarrow y$ iff \mathcal{D} is a distributive lattice.

THEOREM 1. *There is a one-to-one correspondence between the class of distributive quasi-lattices and the class of relational systems of the form (D, \leq, \leq), where (D, \leq, \wedge) is a meet semi-lattice, (D, \leq, \vee) is a join semi-lattice and*

$$(8) \quad x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z);$$

$$(9) \quad x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z).$$

The main result of this paper (Theorem 4) is possible because of the variant of the "prime filter theorem" which appears below.

DEFINITION 2. A filter F in a DQL $\mathcal{D} = (D, +, \cdot)$ is a non-empty subset of D which satisfies

$$(10) \quad x \leq y, \quad x \in F \Rightarrow y \in F;$$

$$(11) \quad x, y \in F \Rightarrow xy \in F.$$

If $F \neq D$ and $x+y \in F \Rightarrow x \in F$ or $y \in F$, then F is a prime filter.

A prime ideal is defined dually (that is; $+$, \cdot and \leq are replaced with \cdot , $+$, \leq respectively). If $\emptyset \neq S \subseteq D$, then the smallest filter, $[S]$, containing S is, as usual, $\{y \in D \mid y \geq x_1 \cdot \dots \cdot x_n \text{ where } x_i \in S\}$ so that for $x \in D$, $[x] = \{y \mid y \geq x\}$.

LEMMA 3. *If \mathcal{D} is a DQL and $x \not\leq y$ then there is a prime filter F which contains x and not y .*

Proof. By using Zorn's lemma, it can be verified that the family \mathcal{F} of filters that contain x and not y has a maximal member F . To show F is prime, let $u+v \in F$, $u \notin F$, $v \notin F$. Then $F \subset [F \cup \{u\}]$ implies $y \in [F \cup \{u\}]$, so $y \geq f_1 u$ for some $f_1 \in F$, similarly $y \geq f_2 v$ for some $f_2 \in F$. We have already noted that this implies that $y \geq f_1 u + f_2 v$. Now by (6)

$$\begin{aligned} (f_1 u + f_2 v) f_1 f_2 (u+v) &= f_1 f_2 u + f_1 f_2 uv + f_1 f_2 v \\ &= f_1 f_2 (u + uv + v) = f_1 f_2 (u+v). \end{aligned}$$

So $f_1 f_2 (u+v) \leq f_1 u + f_2 v \leq y$, which means $y \in F$, a contradiction.

3. Representation theorem.

THEOREM 4. *An algebra \mathcal{D} is a DQL if and only if it is of the form ($X_{\mathcal{D}}, +, \cdot$) where $X_{\mathcal{D}}$ and Y are families of sets closed under finite intersections and unions respectively, $\theta: X_{\mathcal{D}} \rightarrow Y$ is a one-to-one correspondence satisfying*

$$(12) \quad A \cap \theta^{-1}(\theta(B) \cup \theta(C)) = \theta^{-1}(\theta(A \cap B) \cup \theta(A \cap C))$$

for $A, B, C \in X_{\mathcal{D}}$;

$$(13) \quad R \cup \theta(\theta^{-1}(S) \cap \theta^{-1}(T)) = \theta(\theta^{-1}(R \cup S) \cap \theta^{-1}(R \cup T))$$

for $R, S, T \in Y$

and for $A, B \in X_{\mathcal{D}}$:

$$A+B = \theta^{-1}(\theta(A) \cup \theta(B)), \quad A \cdot B = A \cap B.$$

Proof. It is immediate that the algebra described in the theorem is a DQL. Now suppose $\mathcal{D} = (D, +, \cdot)$ is a DQL. Let \mathcal{F} be the set of prime filters and \mathcal{J} the prime ideals in \mathcal{D} . For each $x \in D$, let

$$x^* = \{F \in \mathcal{F} \mid x \in F\} \quad \text{and} \quad x^+ = \{I \in \mathcal{J} \mid x \notin I\};$$

also

$$X_{\mathcal{D}} = \{x^* \mid x \in D\} \quad \text{and} \quad Y = \{x^+ \mid x \in D\}.$$

By using Lemma 3, and its dual, $\theta: x^* \rightarrow x^+$ is seen to be a one-to-one correspondence between $X_{\mathcal{D}}$ and Y . Clearly

$$(14) \quad x^* \cap y^* = (xy)^* \quad \text{and} \quad x^+ \cup y^+ = (x+y)^+.$$

For $x^*, y^*, z^* \in X_{\mathcal{D}}$:

$$\begin{aligned} x^* \cap \theta^{-1}(\theta(y^*) \cup \theta(z^*)) &= x^* \cap \theta^{-1}(y^+ \cup z^+) = x^* \cap \theta^{-1}((y+z)^+) \\ &= x^* \cap (y+z)^* = (x(y+z))^* = (xy+xz)^* = \theta^{-1}(\theta(xy+xz)^+) \\ &= \theta^{-1}(\theta(xy)^+ \cup \theta(xz)^+) = \theta^{-1}(\theta((xy)^*) \cup \theta((xz)^*)) \\ &= \theta^{-1}(\theta(x^* \cap y^*) \cup \theta(x^* \cap z^*)). \end{aligned}$$

Similarly for (13). Finally the mapping $x \rightarrow x^*$ is an isomorphism of \mathcal{D} onto $(X_{\mathcal{D}}, +, \cdot)$.

COROLLARY 5. *In Theorem 4, $\mathcal{D} \cong (X_{\mathcal{D}}, +, \cdot)$ where $x^* + y^* = (x+y)^*$ and $x^* \cdot y^* = (xy)^* = x^* \cap y^*$.*

COROLLARY 6 (Birkhoff, Stone). *Every distributive lattice \mathcal{D} is isomorphic with a ring of sets.*

Proof. For each $x \in D$, where \mathcal{D} is a distributive lattice, $x^* = x^+$ so $X_{\mathcal{D}} = Y$ and $\theta = 1_{X_{\mathcal{D}}}$. Hence \mathcal{D} is isomorphic with the ring $X_{\mathcal{D}}$.

4. Independent sets. In order to show that prime filters in a DQL can sometimes be explicitly determined, we will consider the case of a DQL generated by an independent set J , ([4], § 3). First observe that in any finite DQL, F is a prime filter if and only if $F = [x]$ where x is subjoin irreducible (SJI); that is

$$(15) \quad x \leq y+z \Rightarrow x \leq y \text{ or } x \leq z \text{ and } x \text{ is not the least element of } (D, \leq).$$

Since we want to consider the most general case, we assume throughout the section that $\mathcal{D} = (D, +, \cdot)$ is a DQL generated by a set J satisfying:

For S, T finite non-empty subsets of J

$$(16) \quad \Pi(S)\Pi(T) + \Sigma(T) = \Sigma(T) \Rightarrow S \cap T \neq \emptyset;$$

$$(17) \quad \Pi(S) + \Pi(S)\Sigma(T) = \Pi(S)\Sigma(T) \Rightarrow S \cap T \neq \emptyset$$

(see [4], Theorem 6).

LEMMA 7. Suppose $\emptyset \neq A \subseteq B \subseteq J$ and $\emptyset \neq S_i \subseteq J$ for $i = 1, \dots, n$.

Then $\Pi(A) + \Pi(B) \leq \sum_{i=1}^n \Pi(S_i)$ if and only if

$$(18) \quad \bigcup_{i=1}^n S_i \subseteq B$$

and

$$(19) \quad S_{i_0} \subseteq A \quad \text{for some } i_0 \in \{1, \dots, n\}.$$

Proof. Suppose $\Pi(A) + \Pi(B) \leq \sum_{i=1}^n \Pi(S_i)$. Let $s_i \in S_i$ for $i = 1, \dots, n$. Then

$$\Pi(A) + \Pi(B) \leq \sum_{i=1}^n \Pi(S_i) = \Pi\{u_1 + \dots + u_n \mid u_i \in S_i\} \leq s_1 + \dots + s_n.$$

Let x_1, \dots, x_m be the distinct members of $\{s_1, \dots, s_n\}$ so

$$(20) \quad \Pi(A) + \Pi(B) = \sum_{i=1}^m \Pi(A)x_i + \sum_{i=1}^m \Pi(B)x_i.$$

Multiply (20) by $\Pi(B) \cdot x_1 \cdot \dots \cdot x_{p-1}x_{p+1} \cdot \dots \cdot x_m$ to obtain

$$(21) \quad \Pi(B)x_1 \cdot \dots \cdot x_{p-1}x_{p+1} \cdot \dots \cdot x_m \\ = \Pi(B) \cdot x_1 \cdot \dots \cdot x_{p-1}x_{p+1} \cdot \dots \cdot x_m + \Pi(B) \cdot x_1 \cdot \dots \cdot x_m.$$

Let $T = B \cup \{x_1, \dots, x_{p-1}, x_{p+1}, \dots, x_m\}$, and add $\Sigma(T)$ to (21). By (6) we obtain: $\Sigma(T) = \Sigma(T) + \Pi(T)x_p$. This means $x_p \in T$ so $\{s_1, \dots, s_n\} \subseteq B$ and hence (18) is proved.

If (19) is false, then there is a non-empty subset $S' \subseteq B - A$ such that $\Pi(A) + \Pi(B) \leq \Sigma(S')$. Hence,

$$\begin{aligned} \Pi(A) + \Pi(A)(\Pi(B - A) + \Sigma(B - A)) \\ = \Pi(A) + \Pi(B) + \Pi(A)\Sigma(B - A) \\ = \Pi(A)\Sigma(S') + \Pi(B)\Sigma(S') + \Pi(A)\Sigma(B - A) \\ = \Pi(A)(\Sigma(S') + \Pi(B - A)\Sigma(S') + \Sigma(B - A)). \end{aligned}$$

But $S' \subseteq B - A$ so $\Pi(A) + \Pi(A)\Sigma(B - A) = \Pi(A)\Sigma(B - A)$, which by independence implies that $A \cap (B - A) \neq \emptyset$.

Conversely, without loss of generality, let us assume that $S_i \subseteq A$.

Then

$$\begin{aligned} (\Pi(A) + \Pi(B)) \sum_{i=1}^n \Pi(S_i) \\ = \Pi(A - S_1)(\Pi(S_1) + \Pi(B - (A - S_1))) \left(\Pi(S_1) + \sum_{i=2}^n \Pi(S_i) \right) \\ = \Pi(A - S_1) \left(\Pi(S_1) + \sum_{i=2}^n \Pi(B - (A - S_1))\Pi(S_i) \right) \\ = \Pi(A - S_1)\Pi(S_1) + \sum_{i=2}^n \Pi([A - S_1] \cup [B - (A - S_1)] \cup S_i) \\ = \Pi(A) + \Pi(B) \end{aligned}$$

so

$$\Pi(A) + \Pi(B) \leq \sum_{i=1}^n \Pi(S_i).$$

THEOREM 8. An element $a \in \mathcal{D}$ is SJI if and only if $a = \Pi(S) + \Pi(T)$ where $\emptyset \neq S \subseteq T \subseteq J$, and $S \neq J$. Moreover the representation is unique.

Proof. Suppose $a = \Pi(S) + \Pi(T)$, $\emptyset \neq S \subseteq T \subseteq J$, $S \neq J$ and $a \leq b + c$ where $b = \sum_{i=1}^n \Pi(S_i)$, $c = \sum_{j=1}^m \Pi(T_j)$; S_i, T_j are non-empty subsets of J . Then Lemma 7 implies, without loss of generality, that $S_i \subseteq A$ and $\bigcup_{i=1}^n S_i \subseteq B$. So by the converse of Lemma 7, $a \leq b$. Also if $s \in J - S$ then $a \not\leq s$.

Conversely, suppose $a = \sum_{i=1}^n \Pi(S_i)$ is SJI, where $\emptyset \neq S_i \subseteq J$, and that for no i is it true that $S_i \subseteq \bigcap_{i=1}^n S_i$. Then for each $i \in \{1, \dots, n\}$ there exists $x_i \in S_i - S_{i_0}$ for some $i_0 \in \{1, \dots, n\}$. Since $a \leq x_1 + \dots + x_n$ and a is SJI, $a \leq x_p$ for some p . Hence

$$(22) \quad \sum_{i=1}^n \Pi(S_i) = \sum_{i=1}^n x_p \Pi(S_i).$$

Let $S = (\bigcup_{i=1}^n S_i) - \{x_p\}$. Then

$$\Pi(S_i)\Pi(S) = \begin{cases} x_p \Pi(S) & \text{if } x_p \in S_i; \\ \Pi(S) & \text{if } x_p \notin S_i. \end{cases}$$



Since $x_p \in S_p - S_{p_0}$, the product of (22) with $\Pi(S)$ yields:

$$x_p \Pi(S) + \Pi(S) = x_p \Pi(S).$$

But (17) implies the contradiction $x_p \in S$. We now invoke (7) to obtain

$$a = \Pi(S_t) + \Pi\left(\bigcup_{i=1}^n S_i\right).$$

If $S_t = J$ then $a \leq b$ for all $b \in D$.

The uniqueness follows from Lemma 7.

THEOREM 9. Let \mathcal{D} be a DQL generated by a finite independent set J . Then \mathcal{D} has the form $(Z, +, \cdot)$ where Z is the family of all finite intersections of $\{A' \mid \emptyset \neq A \subseteq J\}$ where

$$\left(\bigcap_{i=1}^n A_i\right) + \left(\bigcap_{j=1}^m B_j\right) = \bigcap_{\substack{1 \leq i \leq n \\ 1 \leq j \leq m}} (A_i \cup B_j)';$$

$$\left(\bigcap_{i=1}^n A_i\right) \cdot \left(\bigcap_{j=n+1}^m A_j\right) = \bigcap_{i=1}^m A_i'$$

and $A' = \{\Pi(S) + \Pi(T) \mid S \subseteq T \subseteq J, S \neq J, A \cap S \neq \emptyset, A \subseteq T\}$.

Proof. For each $d \in D$, let $d^- = \{a \in D \mid a \leq d, a \text{ is SJI}\}$ and $Z = \{d^- \mid d \in D\}$. Then since \mathcal{D} is finite, $d^* \rightarrow d^-$ is an isomorphism of $(X_{\mathcal{D}}, +, \cdot)$ onto $(Z, +, \cdot)$ where $(X_{\mathcal{D}}, +, \cdot)$ is as in Corollary 5,

$$d^- + e^- = (d+e)^- \quad \text{and} \quad d^- \cdot e^- = (d \cdot e)^- = d^- \cap e^-.$$

Set $A' = (\Sigma(A))^-$. Since J generates \mathcal{D} , $d \in D$ has the form

$$d = \prod_{i=1}^n \Sigma(S_i), \quad \emptyset \neq S_i \subseteq J \quad \text{so} \quad d^- = \bigcap_{i=1}^n (\Sigma(S_i))^- = \bigcap_{i=1}^n S_i'.$$

Next

$$\begin{aligned} \left(\bigcap_{i=1}^n A_i\right) + \left(\bigcap_{j=1}^m B_j\right) &= \bigcap_{i=1}^n (\Sigma(A_i))^- + \bigcap_{j=1}^m (\Sigma(B_j))^- \\ &= \left(\prod_{i=1}^n (\Sigma(A_i))\right)^- + \left(\prod_{j=1}^m (\Sigma(B_j))\right)^- \\ &= \left(\prod_{i=1}^n (\Sigma(A_i)) + \prod_{j=1}^m (\Sigma(B_j))\right)^- \\ &= \left(\prod_{\substack{1 \leq i \leq n \\ 1 \leq j \leq m}} (\Sigma(A_i) + \Sigma(B_j))\right)^- \\ &= \bigcap_{\substack{1 \leq i \leq n \\ 1 \leq j \leq m}} (\Sigma(A_i \cup B_j))^- = \bigcap_{\substack{1 \leq i \leq n \\ 1 \leq j \leq m}} (A_i \cup B_j)'; \end{aligned}$$

$$\begin{aligned} \left(\bigcap_{i=1}^n A_i\right) \cdot \left(\bigcap_{i=n+1}^m A_i\right) &= \left(\prod_{i=1}^n \Sigma(A_i)\right)^- \cdot \left(\prod_{i=n+1}^m \Sigma(A_i)\right)^- \\ &= \left(\prod_{i=1}^m \Sigma(A_i)\right)^- = \bigcap_{i=1}^m A_i'. \end{aligned}$$

It remains to show that if $\emptyset \neq A \subseteq J$ then

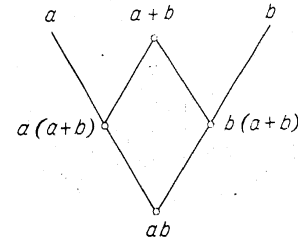
$$(\Sigma(A))^- = \{\Pi(S) + \Pi(T) \mid S \subseteq T \subseteq J, S \neq J, A \cap S \neq \emptyset, A \subseteq T\}.$$

Now $x \in (\Sigma(A))^-$ iff $x \leq \Sigma(A)$ and x is SJI. But x is SJI iff $x = \Pi(S) + \Pi(T)$ where $S \subseteq T \subseteq J, S \neq J$ so by Lemma 7, $x \leq \Sigma(A)$ iff $A \subseteq T$ and $A \cap S \neq \emptyset$. This completes the proof.

EXAMPLE. Let $J = \{a, b\}$ be an independent set that generates $(D, +, \cdot)$. Then

$$\begin{aligned} \{a\}' &= \{a, a+ab\}; \\ \{b\}' &= \{b, b+ab\}; \\ \{a, b\}' &= \{a+ab, b+ab\}; \\ \{a\}' \cap \{b\}' &= \emptyset; \\ \{a\}' \cap \{a, b\}' &= \{a+ab\}; \\ \{b\}' \cap \{a, b\}' &= \{b+ab\}. \end{aligned}$$

It follows from Theorem 9 that the diagram for (D, \leq) is:



Corollary 5 shows that every DQL \mathcal{D} determines a unique semi-lattice $X_{\mathcal{D}}$ which is isomorphic—as a DQL—with \mathcal{D} . We close with the following question: To which meet semi-lattices M do there correspond distributive quasi-lattices \mathcal{D} such that $X_{\mathcal{D}}$ is isomorphic to M —as a semi-lattice?



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Characterizing the 3-cell by its metric

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The purpose of this article is to prove that certain elementary properties enjoyed by the Euclidean metric on the unit cube or ball in 3-space yield some rather simple characterizations of the topological 3-cell.

Introduction. In a metric space (X, d) , m is a *midpoint* of x and y provided $d(x, m) = d(m, y) = \frac{1}{2}d(x, y)$. We say that a space (or its metric) is *convex* if each pair of points has at least one midpoint, *strongly convex* (SC) if each pair has exactly one midpoint, and *without ramifications* (WR) if no midpoint of x and y is also a midpoint of x' and y' , unless $x = x'$. A metric space which is simultaneously SC and WR will be called SC-WR.

Following are the paper's main results (these have already been announced in [10] without detailed proofs).

THEOREM A. *Each 3-dimensional compact SC-WR metric space is homeomorphic to the 3-cell.*

THEOREM B. *Each compact 3-manifold (with boundary) having a SC metric is homeomorphic to the 3-cell.*

THEOREM C. *Any crumpled cube having a SC metric is homeomorphic to the 3-cell. (A crumpled cube is defined to be the closure of the bounded complementary domain of some 2-sphere in E^3 .)*

Theorem A generalizes the analogous result in 2-dimensions proved by Lelek and Nitka [6]. The content of Theorem B is that if "fake cubes" (i.e. homotopy 3-cells which are not real cells) exist, then they fail to have a metric with unique midpoints. Although the higher dimensional versions of these theorems seem at present to be unanswered, the following related result is true at least for $n > 5$: Each compact n -manifold having a SC-WR metric is topologically an n -cell [see 10]. Section I recalls some general properties of convex metric spaces, Section II contains the proof of Theorem A, while Theorems B and C are proved simultaneously in Section III.

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