

Then

$$\mathcal{M}_t = \bigcup \{ \mathcal{H}_j(a_1 \dots a_i) : j = 1, 2, \dots, a_1, \dots, a_i \in \Omega \}$$

is a locally finite closed collection of  $X \times Y$ . Thus  $\bigcup \mathcal{M}_t$  is a  $\sigma$ -locally finite closed covering of  $X \times Y$  refining  $\mathcal{G}$ . By Lemma 4.9  $X \times Y$  is countably paracompact and the proof is completed.

4.11. Remark. Almost all propositions about  $\Sigma$ -spaces are also true if we replace  $\Sigma$ -spaces with  $\Sigma(m)$ -spaces. The following are such ones: Theorems 1.8, 3.2, 3.6, 3.9, 3.13 and Corollaries 1.8, 1.19.

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## A generalized contraction principle

by

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Various versions and generalizations of the Banach contraction mapping theorem ([1], p. 160) have been given. For only two of many examples see [4], p. 43, 50 (where an application is given by solving the Volterra type integral equation) and [2] (where an application is given to analytic mappings of a compact connected set in the complex plane into itself.) We discuss a general definition of contraction mapping here for which we can prove the necessary result that a contraction mapping of a complete metric space into itself has a unique fixed point. In order to make this definition it is convenient to work with uniform spaces having a countable symmetric base rather than metric spaces although, of course, the two are equivalent.

See Kelley ([3], Chapter 6) for the necessary terminology and results. In what follows  $Z$  will denote the integers and  $\Delta$  the diagonal of  $X \times X$  ( $\Delta = \{(x, x) | x \in X\}$ ).

DEFINITION. Let  $(X, \mathcal{U})$  be a uniform space. A mapping  $f: X \rightarrow X$  is *u-contracting* provided there is a collection of symmetric sets  $\{V_n\}_{n \in Z}$ , cofinal in  $\mathcal{U}$  (with respect to the ordering  $U_1 \geq U_2$  if and only if  $U_1 \subseteq U_2$ ) which satisfy

$$(i) V_i \subseteq V_j \text{ if } i \leq j, \bigcap_{n \in Z} V_n = \Delta, \bigcup_{n \in Z} V_n = X \times X,$$

(ii) for each  $n \in Z$  there is an integer  $p(n) > 0$  such that  $\{p(n) | n \in Z\}$  is bounded and  $V_{n-p(n)} \circ V_{n-p(n)} \subseteq V_n$ ,

$$(iii) \text{ if } (x, y) \in V_n \text{ then } (f(x), f(y)) \in V_{n-1}.$$

LEMMA 1. If  $f: X \rightarrow X$  is *u-contracting* then  $f$  has at most one fixed point.

Proof. Suppose  $f(x) = x$  and  $y \neq x$ . Let  $n$  be the least integer for which  $(x, y) \in V_n$ . ( $n$  exists since  $\bigcap V_n = \Delta$  and  $\bigcup V_n = X \times X$ .) Then  $(x, y) \in V_n$  so  $(f(x), f(y)) \in V_{n-1}$ . If  $y = f(y)$  we would have  $(x, y) \in V_{n-1}$ , a contradiction.

LEMMA 2. If  $f: X \rightarrow X$  is *u-contracting* then so is any iterate,  $f^p$ , of  $f$ .

Proof. The sequence of  $V_n$  which demonstrates that  $f$  is *u-contracting* will suffice.

LEMMA 3. If  $f: X \rightarrow X$  is  $u$ -contracting then  $f$  is uniformly continuous.

Proof. Define  $f_2: X \times X \rightarrow X \times X$  by  $f_2(x, y) = (f(x), f(y))$ .

$$f_2^{-1}(V_n) \supseteq V_n \quad \text{so that} \quad f_2^{-1}(V_n) \in \mathcal{U}.$$

THEOREM. Let  $f: X \rightarrow X$  be  $u$ -contracting where  $(X, \mathcal{U})$  is a complete uniform space. Then there is exactly one  $x_0 \in X$  for which  $f(x_0) = x_0$ .

Proof. Let  $p = \max\{p(n) \mid n \in \mathbb{Z}\}$  and let  $x$  be an arbitrary point of  $X$ . Let  $g$  denote the  $p$ th iterate of  $f$ . Rename, if necessary, the  $V_n$  so that  $(x, g(x)) \in V_0$ . Then

$$(g(x), g^2(x)) \in V_{-p}, (g^2(x), g^3(x)) \in V_{-2p}, \dots, (g^n(x), g^{n+1}(x)) \in V_{-np}, \dots, \\ \dots, (g^{n+q}(x), g^{n+q+1}(x)) \in V_{-(n+q)p}.$$

Thus

$$(g^n(x), g^{n+q+1}(x)) \in V_{-np} \circ V_{-(n+1)p} \circ \dots \circ V_{-(n+q-1)p} \circ V_{-(n+q)p}.$$

Now  $V_{-(n+q)p} \subseteq V_{-(n+q-1)p}$  so that

$$V_{-(n+q-1)p} \circ V_{-(n+q)p} \subseteq V_{-(n+q-1)p} \circ V_{-(n+q-1)p} \subseteq V_{-(n+q-2)p}.$$

Consequently, we see that

$$V_{-np} \circ V_{-(n+1)p} \circ \dots \circ V_{-(n+q-1)p} \circ V_{-(n+q)p} \subseteq V_{-np} \circ V_{-np} \subseteq V_{-(n-1)p}.$$

For each  $U \in \mathcal{U}$  there is an  $N$  such that if  $(n-1)p > N$  then  $V_{-(n-1)p} \subseteq U$  since  $\{V_n\}_{n \in \mathbb{Z}}$  is cofinal in  $\mathcal{U}$ . Thus, if  $n > N/p + 1$  and  $q \geq 0$ , we have  $(g^n(x), g^{n+q+1}(x)) \in V_{-(n-1)p} \subseteq U$ . Therefore,  $\{g^n(x)\}_{n=1}^\infty$  is a Cauchy sequence in  $(X, \mathcal{U})$ . Let  $x_0 = \lim g^n(x)$ . Since  $g$  is uniformly continuous we have  $g(x_0) = g(\lim g^n(x)) = \lim g^{n+1}(x) = x_0$  and so  $x_0$  is a fixed point of  $g$ . However,

$$g(f(x_0)) = f(g(x_0)) = f(x_0).$$

Thus,  $f(x_0)$  is also a fixed point of  $g$ . We conclude that  $f(x_0) = x_0$ .

COROLLARY 1. [Banach.] If  $f: X \rightarrow X$ , where  $X$  is a complete metric space (metric  $d$ ) and  $d(f(x), f(y)) \leq \alpha d(x, y)$  for some  $\alpha \in [0, 1)$  and all  $x, y \in X$ , then  $f$  has a unique fixed point.

Proof. If  $\alpha = 0$  then  $f$  is a constant mapping and so has a unique fixed point. If  $\alpha \neq 0$  then in  $X \times X$  define  $V_n = \{(x, y) \mid d(x, y) < \alpha^{-n}\}$ ,  $n \in \mathbb{Z}$ . Then  $\{V_n\}_{n \in \mathbb{Z}}$  shows that  $f$  is  $u$ -contracting.

COROLLARY 2. [Kolmogoroff-Fomin.] Suppose  $f: X \rightarrow X$ ,  $(X, \mathcal{U})$  a complete uniform space, and suppose some iterate of  $f$ , say  $f^q$ , is  $u$ -contracting. Then  $f$  has a unique fixed point.

Proof. By the theorem  $f^q$  has a unique fixed point, say  $x_0$ . Then

$$f^q(f(x_0)) = f(f^q(x_0)) = f(x_0)$$

and so  $f(x_0)$  is a fixed point of  $f^q$ . Thus,  $f(x_0) = x_0$ . If  $f(y) = y$  then we would have  $f^q(y) = y$  and again,  $y = x_0$ .

COROLLARY 3. Suppose  $f, g: X \rightarrow X$ ,  $(X, \mathcal{U})$  a complete uniform space and suppose  $f(g(x)) = g(f(x))$  for all  $x \in X$ . If either  $f$  or  $g$  is  $u$ -contracting, then  $f$  and  $g$  have a common fixed point.

Proof. Suppose  $f$  is  $u$ -contracting. Then  $f$  has a unique fixed point, say  $x_0$ . Then  $f(g(x_0)) = g(f(x_0)) = g(x_0)$  whence  $g(x_0) = x_0$ .

From the proof of the theorem it is clear that the definition of  $u$ -contracting is slightly more stringent than actually necessary. In particular, the requirement that for each  $n \in \mathbb{Z}$  there is a  $p(n) > 0$  such that  $V_{n-p(n)} \circ V_{n-p(n)} \subseteq V_n$  can be relaxed to state that for each  $n$  less than some integer  $N$  there is a  $p(n) > 0$  such that  $V_{n-p(n)} \circ V_{n-p(n)} \subseteq V_n$ . Also, for a given  $f: X \rightarrow X$  we do not need that  $\bigcup_{n \in \mathbb{Z}} V_n = X \times X$ . Rather, we need that for some  $x \in X$  there is an  $n \in \mathbb{Z}$  for which  $(x, f(x)) \in V_n$ .

COROLLARY 4. [Edelstein.] If  $f: X \rightarrow X$  is  $(\varepsilon, \alpha)$ -uniformly locally contractive ( $d(f(x), f(y)) \leq \alpha d(x, y)$  when  $d(x, y) < \varepsilon$ ,  $\alpha \in [0, 1)$ , and  $\varepsilon > 0$ ) where  $(X, d)$  is a complete metric space and if for each  $(x, y) \in X \times X$  there is an integer  $n > 0$  such that  $d(f^n(x), f^n(y)) < \varepsilon$ , then  $f$  has a unique fixed point.

Proof. Define

$$V_{-n} = \{(x, y) \mid d(x, y) < \alpha^n \varepsilon\}, \quad n = 0, 1, 2, \dots$$

and

$$V_n = \{(x, y) \mid (f^n(x), f^n(y)) \in V_0\}, \quad n = 1, 2, \dots$$

(If  $\alpha = 0$  define

$$V_0 = \{(x, y) \mid d(x, y) < \varepsilon\}$$

and

$$V_{-n} = \{(x, y) \mid d(x, y) < \varepsilon 2^{-n}\}, \quad n = 1, 2, \dots)$$

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