

## References

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## A counter-example in dimension theory

by

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In proving results involving the *strong inductive dimension* 'Ind' of a topological space one frequently uses the following

LEMMA. Let  $A$  be a subset of the hereditarily normal space  $X$ . If  $\dim A \leq 0$  then for any pair of a closed set  $F$  and an open set  $G$  with  $F \subseteq G$  there exists an open set  $V$  such that

$$F \subseteq V \subseteq G, \quad b(V) \cap A = \emptyset$$

where  $b(V) = \bar{V} \setminus V$  is the boundary of  $V$  (see, e.g. Morita [1], Hurewicz and Wallman [2], Nagata [3]).

That this is not true for every normal space is shown by the construction which follows.

Let  $\omega_0$  be the first infinite ordinal and let  $\omega_1$  be the first uncountable ordinal and provide each of the sets

$$N = \{k: k \text{ and ordinal}, 0 \leq k \leq \omega_0\},$$

$$P = \{a: a \text{ an ordinal}, 0 \leq a \leq \omega_1\}$$

with the order topology.

Let the set  $I = \{t: t \text{ real}, 0 \leq t \leq 1\}$  be provided with the usual topology. Form the topological product

$$Z = P \times I \times N.$$

Then since  $P$ ,  $I$ ,  $N$  are all compact Hausdorff spaces, so is their product  $Z$ . Finally, form the quotient space  $X$  by identifying all points of  $Z$  with the same  $t$ -coordinate for  $a = \omega_1$ , that is, define a decomposition  $\mathcal{D}$  of  $Z$  whose members are:

the singletons  $\{z\} = \{(a, t, k)\}$  if  $a \neq \omega_1$ ,

the sets  $E_t = \{(a, t, k): a = \omega_1, 0 \leq k \leq \omega_0\}$

and provide the family  $\mathcal{D}$  of equivalence classes with the quotient topology thereby obtaining the quotient space  $X$  in which a subset  $U$  is open if and only if  $\pi^{-1}[U]$  is open in  $Z$ , where  $\pi: Z \rightarrow X$  is the projection of  $Z$  onto  $X$ .



The topological space  $X$  will be referred to as the 'book-space' and the subspace

$$B = \bigcup_{t \in I} E_t$$

as the 'spine' of the book-space.

Note that there exists a homeomorphism  $f: \mathfrak{S} \rightarrow B$  between the unit interval  $I$  and the spine  $B$  defined by

$$f(t) = \pi((\omega_1, t, k)), \quad \text{any } k \in \mathbb{N}.$$

Next, let  $r_0 = 0, r_1 = 1, r_2, r_3, \dots$  be an enumeration of the rational numbers in  $I = [0, 1]$ . Let

$$A_k = \{(\alpha, r_k, k): \alpha \in P, \alpha < \omega_1\}.$$

Then

$$A_k \subseteq X, \quad k \in \mathbb{N}.$$

Let  $C$  = that subset of  $X$  for which  $a = \omega_1$  and  $t$  = an irrational number  $0 < t < 1$ .

$$A = \left(\bigcup_{k=0}^{\infty} A_k\right) \cup C.$$

Clearly,  $A \subset X$ .

Finally, let

$$H = \text{that subset of } X \text{ for which } t = 0,$$

$$K = \text{that subset of } X \text{ for which } t = 1.$$

Then  $H, K$  are disjoint closed subsets of  $X$ . We assert that

- (1)  $X$  is normal;
- (2)  $X$  is not hereditarily normal;
- (3)  $\dim A = 0$ ;
- (4) if  $G = X \setminus K$ , then  $G$  is open and the closed set  $H \subseteq G$ ;

for every open set  $W$  such that

$$H \subseteq W \subseteq \overline{W} \subseteq G, \quad b(W) \cap A \neq \emptyset.$$

We shall establish (4) in the equivalent symmetric form:

(4') for any two open subsets  $U, V$  of  $X$  such that  $H \subseteq U, K \subseteq V, U \cap V = \emptyset$

$$(X \setminus (U \cup V)) \cap A \neq \emptyset.$$

Proof. (1) Since  $Z$  is compact, the quotient space  $X$  is compact. It suffices to show that  $X$  is Hausdorff or, equivalently, to observe that the decomposition  $\mathfrak{D}$  is upper semi-continuous. (Let  $Z$  be a space and let  $\mathfrak{C} = \{C_\alpha\}$  be a collection of disjoint compact sets whose union is  $Z$ . The collection  $\mathfrak{C}$  is said to be upper semi-continuous provided that for each  $C_\alpha$  of  $\mathfrak{C}$  and each open set  $U$  containing  $C_\alpha$  there is an open set  $V$  with  $C_\alpha \subseteq V \subseteq U$  such that every element  $C_\beta$  of  $\mathfrak{C}$  that intersects  $V$  lies in  $U$ . (See Hocking and Young [4].)

The collection  $\mathfrak{D}$  clearly satisfies the requirements for being upper semi-continuous. Hence  $X$  is a compact Hausdorff space and is therefore normal.

(2) The space  $X$  contains homeomorphs of  $P \times I$  in which  $P \times N$ , the 'Tychonov plank', can be imbedded. Since the 'Tychonov plank' is not hereditarily normal (see Kelley [5]), the space  $X$  is not hereditarily normal.

(3) Let  $A = \bigcup_{i=1}^m A \cap U_i$ , where  $U_1, U_2, \dots, U_m$  are open in  $X$ . Then, since  $C$  is zero-dimensional, there exist  $J_i$  ( $i = 1, 2, \dots, m$ ) open in  $I, J_i$  disjoint, such that

$$f(J_i) \subset U_i, \quad \bigcup f(J_i) \supset C.$$

Since  $I$  has a countable base and the number of 'leaves' of the book-space  $X$  is countable, we can choose  $\beta_i$  so large that

$$V_i = \pi[\{(\alpha, t, k): \beta_2 < \alpha \leq \omega_1, t \in J_i, 0 \leq k \leq \omega_0\}] \subset U_i.$$

Now  $\bigcup V_i$  is open and  $A \setminus \bigcup V_i$ , the part of  $A$  not covered by the sets  $V_i$ , is the union of a family of disjoint zero-dimensional open subsets of  $A$ . Hence  $\dim A = 0$ .

(4') Let  $U, V$  be any two open subsets of  $X$  such that  $H \subseteq U, K \subseteq V$  and  $U \cap V = \emptyset$ . Put  $Q = X \setminus (U \cup V)$ . It remains to show that  $Q \cap A \neq \emptyset$ . Since  $B$  is connected,  $Q \cap B \neq \emptyset$ . If  $Q \cap B$  has any point with irrational  $t$ -coordinate, then  $Q \cap A \neq \emptyset$ ; if it does not, there is some  $t_0 \in I$  such that  $f(t_0)$  is an accumulation point of both  $U$  and  $V$ . Then  $t_0$  is rational and all  $(\alpha, t_0, k)$  with  $\omega_1 > \alpha > \text{some } \xi$  are accumulation points of both  $U$  and  $V$ ; hence all such points are in  $Q$ . Hence  $A \cap Q \neq \emptyset$ .

The above construction thus shows that we cannot relax the condition 'hereditarily normal' to 'normal' in the above lemma.

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**References**

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