

Thus, f is the desired quasi-isomorphism and the Theorem is proved.

We observe that the construction of s_i^j except for the condition $i \leq j$, which is most essential for the proof of the Theorem, resembles a similar construction given in [1] of [2].

As an immediate consequence of the above theorem we have [3]:

COROLLARY. *Every partial order in a set P can be extended to a simple order in the same set P preserving the original order among the elements of P .*

References

- [1] E. Mendelson, *Appendix* [2].
 [2] W. Sierpiński, *Cardinal and Ordinal Numbers*, Monografie Matematyczne Vol. 34, Warszawa 1958.
 [3] E. Szpilrajn-Marczewski, *Sur l'extension de l'ordre partiel*, Fund. Math. 16 (1930), pp. 386-389.

THE OHIO STATE UNIVERSITY
 COLUMBUS, OHIO, USA

Reçu par la Rédaction le 21. 7. 1965

On embedding curves in surfaces

by

K. Borsuk (Warszawa)

I. Preliminaries

1. Elementary properties of surfaces. By a *bounded surface* we understand here a continuum N such that every point of it has a neighborhood which is a disk, i.e. a topological image of the square. In particular, the disk, the circular ring (annulus) and the Möbius band are bounded surfaces. The points of a bounded surface N for which no neighborhood is homeomorphic to the Euclidean plane E^2 constitute a set N^* called the *boundary* of N . The set $N - N^*$ is said to be the *interior* of the bounded surface N ; it will be denoted by N° . The set N^* is the union of a finite number of simple closed curves disjoint with one another. If we match each of these curves with the boundary of a disk, then we obtain from N another bounded surface M with an empty boundary, i.e. a *closed surface*, or simply a *surface*. Hence every bounded surface is homeomorphic to a subset of a surface.

A bounded surface is said to be *orientable* if it does not contain topologically the Möbius band. All other bounded surfaces are said to be *non-orientable*.

A subset X_0 of a space X is said to *have arbitrarily small neighborhoods* (in X) with a property (α) provided every neighborhood of X_0 contains a neighborhood of X_0 with property (α) . If there exists a neighborhood U_0 of X_0 such that every neighborhood of X_0 contained in U_0 has property (α) , then we say that the property (α) holds for all sufficiently small neighborhoods of X_0 .

Let us formulate some elementary properties of surfaces:

- (1.1) *Each closed subset of a surface M has arbitrarily small neighborhoods (in M) which are bounded surfaces.*
- (1.2) *Each arc (and also each disk) lying on a surface M has arbitrarily small neighborhoods which are disks.*
- (1.3) *If C is a simple closed curve lying on a surface M , then only the following two cases are possible: (i) C has arbitrarily small neighborhoods homeomorphic to the Möbius band. (ii) C has arbitrarily small neighborhoods homeomorphic to the annulus.*

In case (i) the curve C is said to be *one-sided* (on M), in case (ii) *two-sided*. If M is orientable, then each simple closed curve $C \subset M$ is two-sided on M . If M is non-orientable, then M contains also one-sided curves.

We say that a subset X_0 of a space X *decomposes* a subset Y of X (globally) if the set $Y - X_0$ is not connected. In the case where X is connected, we say that X_0 *locally decomposes* Y provided for every sufficiently small neighborhood U of X_0 the set X_0 decomposes the set $U \cap Y$. Moreover, we say that X_0 *decomposes* Y at a point $y_0 \in Y$ provided X_0 decomposes every sufficiently small neighborhood of y_0 . It is well known that

- (1.4) If M is an orientable surface, then every simple closed curve $C \subset M$ locally decomposes M .
- (1.5) If M is a surface, then every simple closed curve $C \subset M$ decomposes M at every point of C .
- (1.6) If M is a surface, then every arc $L \subset M$ decomposes M at each point belonging to the interior of L .

To every surface M corresponds an integer $\gamma(M)$, called the *genus* of M , defined as the maximal number of mutually disjoint simple closed curves in M which together do not decompose M . Let us recall the following propositions:

- (1.7) Two surfaces M and M' are homeomorphic if and only if they are both orientable or both non-orientable and $\gamma(M) = \gamma(M')$.
- (1.8) Two surfaces M and M' are homeomorphic if and only if $p_i(M) = p_i(M')$ for $i = 1, 2$, where $p_i(X)$ denotes the i -th Betti number of X .

The fundamental theorem on surfaces gives (see, for instance, [8], p. 141) an explicit enumeration of all topological types of them. Let P denote the *perforated torus*, i.e. the bounded surface which we get from the surface of a torus by removing the interior of a disk, and let Q denote the Möbius band. Then

- (1.9) Each surface M of genus m is homeomorphic to the space which is obtained from the sphere S^2 by replacing m disjoint disks D_1, D_2, \dots, D_m lying in S^2 by m bounded disjoint surfaces N_1, N_2, \dots, N_m in the following manner:

- (i) If M is orientable, then N_i is homeomorphic to P for every $i = 1, 2, \dots, m$.
- (ii) If M is non-orientable, then N_1 is homeomorphic to Q and all other N_i are homeomorphic to P .
- (iii) $N_i \cap S^2 = N_i^* \cap S^2 = D_i^*$ for every $i = 1, 2, \dots, m$.

Moreover, let us recall that

- (1.10) Every bounded surface is triangulable.

2. Plane sets. A set A is said to be *plane* if it is homeomorphic to a subset of the Euclidean plane E^2 , or, which is the same, to a proper subset of the sphere S^2 . Let us recall that

- (2.1) A bounded surface $N \neq S^2$ is plane if and only if every simple closed curve $C \subset N^\circ$ decomposes N .

In particular

- (2.2) A bounded surface N with a non-empty and connected boundary N^* and such that every simple closed curve $C \subset N^\circ$ decomposes N is a disk.

Moreover, let us recall that

- (2.3) The interior of the perforated torus P and also of the Möbius band Q contain simple closed curves which do not decompose them.

The following theorem of Kuratowski ([4], p. 272) characterizes 1-dimensional plane ANR's:

- (2.4) THEOREM. A 1-dimensional ANR is plane if and only if it does not contain topologically any of the following two graphs:

K , which is the union of all edges of a tetrahedron and of a segment joining two points lying in the interiors of two opposite edges of it.

K' , which is the union of all edges of a tetrahedron and of all segments joining its barycentre with its vertices.

In the sequel we need also the following elementary fact ([4], p. 282)

- (2.5) The graph K is homeomorphic to a subset of the perforated torus P and also to a subset of the Möbius band Q .

Let us observe that for every surface M there exists a graph which is not homeomorphic to any subset of M . In order to see it, let us denote by H_m (for every $m = 1, 2, \dots$) the graph which is the union of m disjoint graphs homeomorphic to K . Then

- (2.6) A surface M contains topologically the graph H_m if and only if $\gamma(M) \geq m$.

Proof. It follows by (1.9) and (2.5) that $\gamma(M) \geq m$ implies that H_m is topologically contained in M . On the other hand, if M contains m disjoint copies K_1, K_2, \dots, K_m of the graph K , then (1.1) implies that there exists in M a system of m disjoint bounded surfaces N_1, N_2, \dots, N_m such that $K_i \subset N_i^*$. Let $C_{i,1}, C_{i,2}, \dots, C_{i,m}$ be simple closed curves which are components of N_i^* , and let $D_{i,1}, D_{i,2}, \dots, D_{i,m}$ be disjoint disks. By matching $C_{i,j}$ with $D_{i,j}^*$ we get from N_i a surface M_i which contains topologically K . Since S^2 does not contain K , we infer by (2.1) that $\gamma(M_i) > 0$. Hence there is on M_i a simple closed curve C_i which does

not decompose M_i . Let us consider a disk $D_{i,j} \subset D_{i,j}^\circ - C_i$. Evidently there is a homeomorphism h_i mapping M_i onto itself such that $h_i(D'_{i,j}) = D_{i,j}$. Then $h_i(C_i)$ is a simple closed curve on N_i° which does not decompose M_i , and consequently does not decompose N_i either. It follows at once that the system of disjoint curves $h_1(C_1), h_2(C_2), \dots, h_m(C_m)$ does not decompose M , whence $\gamma(M) \geq m$.

(2.7) **PROBLEM.** Let M be an orientable and M' a non-orientable surface with $\gamma(M) = \gamma(M')$. Is it true that there exist two graphs $G \subset M$ and $G' \subset M'$ such that G is not homeomorphic to any subset of M' and G' is not homeomorphic to any subset of M ?

Some results concerning similar problems are given by E. Vázsonyi [9].

3. Moore decompositions. By a Moore decomposition of a space M we understand any upper semicontinuous decomposition Σ of M such that each element A of Σ is a continuum having arbitrarily small neighborhoods (in M) homeomorphic to the plane E^2 . It follows by (1.2) that each upper semicontinuous decomposition Σ of a surface M into elements which are disks, arcs and individual points is necessarily a Moore decomposition. Evidently every element of a Moore decomposition is acyclic in all dimensions.

By a classical theorem of R. L. Moore ([6], p. 427), the decomposition space of a Moore decomposition of E^2 is homeomorphic to E^2 . Let us observe that an analogous proposition holds also for every surface M , i.e.:

(3.1) *If M is a surface, then for every Moore decomposition Σ of M the decomposition space M_Σ is homeomorphic to M .*

Proof. Consider an element A of the decomposition Σ and let U be its neighborhood homeomorphic to E^2 . Since the decomposition Σ is upper semicontinuous, there exists a compact neighborhood V and A (in M) such that every element B of Σ such that $B \cap V \neq \emptyset$ is a subset of U . Now let us denote by Σ' the decomposition of U whose non-degenerate elements are all those elements B of Σ for which $B \cap V \neq \emptyset$. Evidently Σ' is a Moore decomposition of U , whence its decomposition space $U_{\Sigma'}$ is homeomorphic to E^2 . Moreover, $U_{\Sigma'}$ is locally homeomorphic to $M_{\Sigma'}$ at the point $A \in \Sigma$. It follows that M_Σ is a continuum locally homeomorphic to E^2 , i.e. it is a surface. Moreover, by the classical theorem of L. Vietoris ([10], p. 470) the Betti numbers of M_Σ are the same as the corresponding Betti numbers of M . By (1.8), the surface M_Σ is homeomorphic to M .

4. An elementary lemma. Now let us establish a simple lemma concerning locally connected continua, which we need in the sequel:

(4.1) **LEMMA.** *Let X be a locally connected subcontinuum of a space M and \mathfrak{C} a collection of indices. Assume that to each index $\tau \in \mathfrak{C}$ corresponds an open subset G_τ of M and a locally connected continuum $F_\tau \subset M$ satisfying the following conditions:*

(α) *For every $\varepsilon > 0$ the inequality $\delta(F_\tau) < \varepsilon$ holds for almost all indices $\tau \in \mathfrak{C}$.*

(β) *$\tau \neq \tau'$ implies $G_\tau \cap G_{\tau'} = \emptyset$.*

(γ) *$0 \neq X \cap (\bar{G}_\tau - G_\tau) \subset F_\tau$ for every index $\tau \in \mathfrak{C}$.*

Then the set

$$X' = (X - \bigcup_{\tau \in \mathfrak{C}} G_\tau) \cup \bigcup_{\tau \in \mathfrak{C}} F_\tau$$

is a locally connected continuum.

Proof. Since X is a locally connected continuum, there is a continuous map f of the circle S^1 onto X . It follows by (β) and (γ) that the sets $f^{-1}(G_\tau)$ are open, disjoint and non-empty proper subsets of S^1 . Hence each component $J_{\tau,\nu}$ of the set $f^{-1}(G_\tau)$ is an open subarc of S^1 . Moreover, it follows by (β) that all sets $J_{\tau,\nu}$ are disjoint with one another. Let $a_{\tau,\nu}$ and $b_{\tau,\nu}$ be the end-points of $J_{\tau,\nu}$. By (γ), the points $f(a_{\tau,\nu}), f(b_{\tau,\nu})$ both belong to F_τ .

Since F_τ is a locally connected continuum, there exists a continuous function $f_{\tau,\nu}$ which maps the closure $\bar{J}_{\tau,\nu}$ of $J_{\tau,\nu}$ into F_τ and satisfies the conditions:

$$f_{\tau,\nu}(a_{\tau,\nu}) = f(a_{\tau,\nu}), \quad f_{\tau,\nu}(b_{\tau,\nu}) = f(b_{\tau,\nu}).$$

Moreover, we may assume that

(4.2) *For every index $\tau \in \mathfrak{C}$ there is an index ν such that $f_{\tau,\nu}(\bar{J}_{\tau,\nu}) = F_\tau$; for every $\varepsilon > 0$ and for every index τ the inequality $\delta[f_{\tau,\nu}(\bar{J}_{\tau,\nu})] < \varepsilon$ holds for almost all indices ν .*

Now let us set

$$f'(x) = f(x) \quad \text{for every point } x \in S^1 - \bigcup_{\tau \in \mathfrak{C}} f^{-1}(G_\tau),$$

$$f'(x) = f_{\tau,\nu}(x) \quad \text{for every point } x \in J_{\tau,\nu}.$$

We infer by (α) and (4.2) that f' is a continuous map of S^1 onto X' . Hence X' is a locally connected continuum.

II. On locally plane curves

5. Embedding of 1-dimensional ANR's in surfaces. A set X is said to be *locally plane* if every point $x \in X$ has a plane neighborhood. As has been shown by T. Ważewski ([11], p. 57), every dendrite is plane. We infer that every space in which each point has a neighborhood which

is a dendrite is locally plane. Since 1-dimensional ANR's (compact) are the same as compacta locally homeomorphic to dendrites, it follows that

(5.1) *Every 1-dimensional ANR is locally plane.*

Now let us prove the following

(5.2) **THEOREM.** *If X is an ANR-space such that $\dim X < 2$ and $p_1(X) < n$ and if M is a surface with $\gamma(M) > n-4$, then X is homeomorphic to a subset of M .*

We start with the following

(5.3) **LEMMA.** *For every $X \in \text{ANR}$ with $\dim X = 1$ and with $p_1(X) < 0$ there is a decomposition $X = X_1 \cup X_2$ such that X_1 is a dendrite, X_2 is an ANR with $p_1(X_2) = p_1(X) - 1$ and $X_1 \cap X_2$ consists of two points.*

Proof. The hypothesis $p_1(X) > 0$ implies that X contains a simple closed curve C . Since the set of ramification points of X is at most countable ([5], p. 229), there is a point $a \in C$ of order 2 in X . We infer that there exists a dendrite $X_1 \subset X$ which is a neighborhood of a in X and is such that set $X_1 \cap \overline{X - X_1}$ consists of exactly two points b, c . Setting $X_2 = \overline{X - X_1}$, we have $X = X_1 \cup X_2$, and since X and $X_1 \cap X_2$ are ANR's, we infer ([1], p. 226) that $X_2 \in \text{ANR}$. Moreover, X_1 , as a dendrite, does not contain C . It follows that $b, c \in C$ and that $X_1 \cap \overline{X - X_1}$ is a carrier of a 0-dimensional true cycle which is homologous to zero in the sets X_1 and X_2 . It follows (as a special case of the theorem of Mayer-Vietoris) that

$$p_1(X) = p_1(X_1) + p_1(X_2) + 1,$$

and since $p_1(X_1) = 0$, we get $p_1(X_2) = p_1(X) - 1$. Thus the proof of Lemma (5.3) is finished.

Now we prove Theorem (5.2) by induction (*). Let $X \in \text{ANR}$ and $\dim X < 2$. Evidently for the graphs K and K' of Kuratowski we have $p_1(K) = 4$ and $p_1(K') = 6$. If $p_1(X) < n = 4$, then X contains neither K nor K' , and we infer by (2.4) that X is homeomorphic to a subset of each surface. Thus proposition (5.2) is true for $n \leq 4$.

Let us assume now that for an $m \geq 4$ proposition (5.2) holds if $n \leq m$, and let us consider the case $n = m + 1$. Let M be a surface with $\gamma(M) > n - 4 = m - 3 \geq 1$. Thus $\gamma(M) > 1$ and, the projective plane being a surface of genus 1, we conclude that M is not homeomorphic to the projective plane. It follows by (1.9) that M may be represented as the union of two bounded surfaces N_1 and N_2 having a simple closed curve C as their common boundary and such that

- (a) $N_1^2 \cap N_2^2 = 0$,
- (b) N_1 is homeomorphic to the perforated torus P .

(*) The present form of this proof is due to J. J. Charatonik.

Let us observe that if we add to N_2 a disk D with the boundary C and the interior disjoint with N_2 , then we get a surface M_2 such that

$$\gamma(M_2) = \gamma(M) - 1 > n - 5 = m - 4.$$

By Lemma (5.3) there exists a decomposition

$$X = X_1 \cup X_2,$$

where X_1 is a dendrite and X_2 an ANR-set with $p_1(X_2) < n - 1$ and where $X_1 \cap X_2$ consists of two points a and b . Moreover, the hypothesis of induction implies that there exists a homeomorphism h_2 mapping X_2 onto a subset of M_2 . Since each point of a 1-dimensional ANR-set lying in the Euclidean plane is accessible from its complement ([1], p. 233), we infer that there are in M_2 two disjoint disks D_a and D_b such that $D_a \cap h_2(X_2) = (h_2(a))$ and $D_b \cap h_2(X_2) = (h_2(b))$. On the other hand, there exists a homeomorphism h_1 mapping X_1 onto a subset of S^2 and there are on S^2 two disjoint disks D'_a and D'_b such that

$$D_a \cap h_1(X_1) = (h_1(a)) \quad \text{and} \quad D'_b \cap h_1(X_1) = (h_1(b)).$$

Now let us consider a disk $D \subset M_2$ containing the disks D_a and D_b in its interior. Let us fix a positive orientation on D and also on S^2 . They induce positive orientations on the boundaries D_a^* , D_b^* , D'_a^* and D'_b^* of the disks D_a , D_b , D'_a and D'_b . Manifestly there exists a homeomorphism h mapping $D_a^* \cup D_b^*$ onto $D'_a^* \cup D'_b^*$ which preserves the positive orientation and maps $h_2(a)$ onto $h_1(a)$ and $h_2(b)$ onto $h_1(b)$. If we identify every point $y \in D_a^* \cup D_b^*$ with the point $h(y) \in D'_a^* \cup D'_b^*$, we get from the sets $M_2 = (D_a^* \cup D_b^*)$ and $S^2 - (D'_a^* \cup D'_b^*)$ a surface M' homeomorphic to M , and the homeomorphisms h_1 and h_2 give together a homeomorphism of the set $X = X_1 \cup X_2$ into M' . Thus the proof of Theorem (5.2) is finished.

(5.4) **PROBLEM.** *Is it true that every ANR-space of dimension $< n$ such that each of its points has a neighborhood homeomorphic to a subset of the Euclidean n -space E^n is homeomorphic to a subset of an n -dimensional manifold?*

6. Locally plane curve which cannot be embedded in any surface.

Let us prove the following

(6.1) **THEOREM.** *There exists a locally plane and locally connected curve which is not homeomorphic to any subset of any surface.*

Proof. Consider in the Euclidean 3-space E^3 a tetrahedron T with vertices a, b, c, d and let c_n denote the point dividing the segment \overline{ac} at the ratio $1 : (n - 1)$, and d_n denote the point dividing the segment \overline{bd}

at the same ratio $1 : (n-1)$. The points a, b, c_n, d_n are vertices of a tetrahedron T_n and the segment $\overline{c_{n+1}d_{n+1}}$ joins two inner points of two opposite edges of it. It follows that the set

$$X_n = \overline{ab} \cup \overline{ac_n} \cup \overline{ad_n} \cup \overline{bc_n} \cup \overline{bd_n} \cup \overline{c_n d_n} \cup \overline{c_{n+1}d_{n+1}}$$

is homeomorphic to the graph K , whence it is not plane. Moreover, it follows by our construction that

$$\lim_{n \rightarrow \infty} X_n = \overline{ab}.$$

Setting

$$(6.2) \quad X = \bigcup_{n=1}^{\infty} X_n,$$

we get a curve which is the union of two sets

$$Z = X - \overline{bd} \quad \text{and} \quad Z' = X - \overline{ac},$$

open in X . In order to prove that X is locally plane, it suffices to show that Z and Z' are plane, and since Z is homeomorphic with Z' , it suffices to construct a homeomorphism h mapping Z onto a subset of E^2 .

In order to do that, let us consider in E^2 an orthogonal system of coordinates x, y and let

$$a' = (0, 0), \quad b' = (1, 0),$$

$$c'_n = \left(0, \frac{1}{n}\right), \quad b'_n = \left(1, \frac{1}{n}\right), \quad d'_n = \left(1, \frac{2}{2n+1}\right), \quad d''_n = \left(1, -\frac{1}{n}\right)$$

for $n = 1, 2, \dots$

Now let us consider the linear maps f, g, f_n, f'_n, f''_n given by the following conditions:

$$\begin{aligned} f: \overline{ab} &\rightarrow \overline{a'b'} & \text{with} & \quad f(a) = a', \quad f(b) = b'; \\ g: \overline{ac} &\rightarrow \overline{a'c'} & \text{with} & \quad g(a) = a', \quad g(c) = c'; \\ f_n: \overline{c_n d_n} &\rightarrow \overline{c'_n d'_n} & \text{with} & \quad f_n(c_n) = c'_n, \quad f_n(d_n) = d'_n; \\ f'_n: \overline{c_n b} &\rightarrow \overline{c'_n b'_n} & \text{with} & \quad f'_n(c_n) = c'_n, \quad f'_n(b) = b'_n; \\ f''_n: \overline{ad_n} &\rightarrow \overline{a'd''_n} & \text{with} & \quad f''_n(a) = a', \quad f''_n(d_n) = d''_n; \end{aligned}$$

Setting

$$h(x) = \begin{cases} f(x) & \text{for } x \in \overline{ab} - (b), \\ g(x) & \text{for } x \in \overline{ac}, \\ f_n(x) & \text{for } x \in \overline{c_n d_n} - (d_n), \\ f'_n(x) & \text{for } x \in \overline{c_n b} - (b), \\ f''_n(x) & \text{for } x \in \overline{ad_n} - (d_n), \end{cases}$$

we get a homeomorphism h mapping Z onto the set

$$Z_0 = \overline{a'b'} \cup \overline{a'c'} \cup \bigcup_{n=1}^{\infty} (\overline{b'_n c'_n} \cup \overline{c'_n d'_n} \cup \overline{a' d''_n}) - \overline{d'_1 d''_1} \subset E^2.$$

Thus the proof that X is a locally plane curve is concluded.

Now let us prove that X is not homeomorphic to any subset of any surface. Suppose, on the contrary, that there exists a homeomorphism h_0 mapping X onto a subset $h_0(X)$ of a surface M . Then $h_0(\overline{ab})$ is an arc in M and we infer by (1.2) that there is a disk $D \subset M$ containing $h_0(\overline{ab})$ in its interior. It follows by (6.2) that for almost all indices n the set $h_0(X_n)$ is a subset of D . But this is impossible, because the set X_n is not plane.

In order to conclude the proof of Theorem (6.1), it suffices to show that X is a subset of a locally plane and locally connected curve. Let us consider, for every $i = 1, 2, \dots, n$ and for $n = 1, 2, \dots$, the point $a_{i,n}$ decomposing the segment $\overline{a'b'}$ at the ratio $i : (n+1-i)$ and let $L_{n,i}$ denote the segment which is parallel to the segment $\overline{a'c'_i}$ and has $a_{i,n}$ as one of its end-points, with the other end-point lying on the segment $\overline{c'_i d'_i}$. Moreover, let $L'_{n,i}$ denote the segment parallel to $\overline{a'c'_i}$ with one end-point in $a_{i,n}$ and the other on the segment $\overline{a' d''_i}$. We easily verify that the set

$$Y' = Z_0 \cup \bigcup_{n=1}^{\infty} \bigcup_{i=1}^n (L_{n,i} \cup L'_{n,i})$$

is locally connected and it is easy to show that the homeomorphism $h^{-1}: Z_0 \rightarrow Z$ can be extended to a homeomorphism φ of the set Y' onto a subset of $E^2 - \overline{bd}$ so that for every $\varepsilon > 0$ there exists an index $n(\varepsilon)$ such that for $n \geq n(\varepsilon)$ the diameters of the sets $\varphi(L_{n,i} \cup L'_{n,i})$ are less than ε . Setting

$$Y = \varphi(Y') \cup \overline{bd},$$

we easily see that Y is a locally connected curve containing Z and such that

$$Y = \varphi(Y') \cup [\overline{bd} \cup \varphi(Y') - \overline{ac}],$$

where each of the sets $\varphi(Y')$ and $\overline{bd} \cup \varphi(Y') - \overline{ac}$ is open in Y and homeomorphic to the set $Y' \subset E^2$. Hence the set Y is locally plane and (since it contains Z) it is not homeomorphic to any subset of any surface. Thus the proof of Theorem (6.1) is concluded.

III. S -curves in surfaces

7. A_M -curves. Let M be a surface. A locally connected curve $A \subset M$ is said to be an S -curve in M (cf. G. T. Whyburn [13], p. 321) if there exists a sequence $\{D_i\}$ of mutually disjoint disks in M such that

$$(7.1) \quad A = M - \bigcup_{i=1}^{\infty} D_i^{\circ}.$$

We can easily see that the hypothesis that A is locally connected implies

$$(7.2) \quad \lim_{i \rightarrow \infty} \delta(D_i) = 0.$$

On the other hand, if $\{D_i\}$ is a sequence of mutually disjoint disks in M , satisfying (7.2), and if the set $\bigcup_{i=1}^{\infty} D_i$ is dense in M , then the set A given by formula (7.1) is a locally connected curve.

By a theorem of G. T. Whyburn ([13], p. 322), any two S -curves in the sphere S^2 are homeomorphic. More exactly:

$$(7.3) \quad \text{If } A = S^2 - \bigcup_{i=1}^{\infty} D_i \text{ and } A' = S^2 - \bigcup_{i=1}^{\infty} D'_i \text{ are two } S\text{-curves in } S^2 \text{ and if } h_1 \text{ is a homeomorphism mapping } D_1 \text{ onto } D'_1, \text{ then } h_1 \text{ can be extended to a homeomorphism } h: A \rightarrow A'.$$

Let us apply (7.3) to prove the following generalization of the theorem of Whyburn:

$$(7.4) \quad \text{Any two } S\text{-curves in a given surface } M \text{ are homeomorphic.}$$

Proof. Let A be an S -curve in the surface M , given by (7.1). By (1.10) there exists a triangulation T_0 of M . Consider the upper semi-continuous decomposition \mathcal{E} of M whose non-degenerate elements are disks D_i . It follows by (1.2) that \mathcal{E} is a Moore decomposition of M , whence by (3.1) the decomposition space $M_{\mathcal{E}}$ is homeomorphic to M . Since the subset of $M_{\mathcal{E}}$ consisting of all points d_i corresponding to disks D_i is only countable, we see at once that there exists a triangulation T_1 of M isomorphic to the triangulation T_0 and such that no point d_i belongs to the 1-dimensional skeleton Z of the triangulation T_1 , i.e. to the union of all 1-dimensional simplexes of T_1 . This skeleton Z may be considered as lying in the set $A - \bigcup_{i=1}^{\infty} D_i$ and thus we get a triangulation T of M isomorphic to T_0 and such that every disk D_i lies in the interior of a triangle $\Delta \in T$. Now let us consider another S -curve $A' = M - \bigcup_{i=1}^{\infty} D'_i$ in M . By the same argument we get another triangulation T' of M isomorphic to T_0 and such that every disk D'_i lies in the interior of a triangle $\Delta' \in T'$. Let Z' denote the 1-dimensional skeleton of T' .

Since T and T' are isomorphic, there exists a homeomorphism $h: M \rightarrow M'$ such that each triangle $\Delta \in T$ is mapped by h onto a triangle $\Delta' \in T'$. Evidently the common part of the curve A with the triangle Δ (and also the common part of A' with Δ') may be considered as an S -curve on a sphere, which we obtain if we match the boundary of the

triangle with the boundary of another triangle. It follows by (7.3) that the partial homeomorphism

$$h/\Delta^*: \Delta^* \rightarrow \Delta'^*$$

can be extended to a homeomorphism

$$h_{\Delta}: A \cap \Delta \rightarrow A' \cap \Delta'.$$

Setting

$$h'(x) = h_{\Delta}(x) \quad \text{for every point } x \in A \cap \Delta, \text{ where } \Delta \in T,$$

we get a homeomorphism h' mapping A onto A' . Thus the proof of (7.4) is finished.

It follows by (7.4) that, from the topological point of view, there exists only one S -curve in each surface M ; it will be denoted by A_M .

8. The boundary and the interior of A_M . Consider now in a surface M an S -curve A given by formula (7.1). Let us prove that

$$(8.1) \quad \text{None of the curves } D_i^* \text{ decomposes } A \text{ at any point } a \in D_i^*.$$

It suffices to prove that for every $\varepsilon > 0$ there exists a neighborhood U of a in A such that every two points $a_1, a_2 \in U - D_i$ can be joined in the set $A - D_i$ by a continuum with diameter less than ε . First let us observe that (7.2) implies that there exists a positive number $\eta < \frac{1}{3}\varepsilon$ such that the distance between the point a and every disk D_j with $j \neq i$ and with diameter $\geq \frac{1}{3}\varepsilon$ is greater than η . Moreover, since the set $M - D_i \supset A - D_i$ is uniformly locally connected, there exists a neighborhood U of a in A such that every two points $a_1, a_2 \in U - D_i$ can be joined in $M - D_i$ by an arc L with diameter less than η . Let R denote the set of all indices k such that $L \cap D_k \neq \emptyset$. Then lemma (4.1) implies that the set

$$X' = (L - \bigcup_{k \in R} D_k^*) \cup \bigcup_{k \in R} D_k^*$$

is a continuum joining the points a_1, a_2 in the set $A - D_i$ and its diameter is less than ε , because $\delta(L) < \eta < \frac{1}{3}\varepsilon$ and $\delta(D_k) < \frac{1}{3}\varepsilon$ for every index $k \in R$. Thus the proof of (8.1) is finished.

By an analogous argument we show that

$$(8.2) \quad D_i^* \text{ does not locally decompose } A.$$

Now let us prove that

$$(8.3) \quad \text{If } a \in A - \bigcup_{i=1}^{\infty} D_i, \text{ then every simple closed curve } C \subset A \text{ containing the point } a \text{ decomposes } A \text{ at } a.$$

Proof. Evidently there exists for every $\varepsilon > 0$ a disk $D \subset M$ with diameter less than ε such that $a \in D^{\circ}$ and that the set $D^{\circ} - C$ is the union



of two regions G_1 and G_2 . In order to prove that C locally decomposes A at the point a it suffices to show that each of the sets $A \cap G_1$ and $A \cap G_2$ is non-empty. Suppose, on the contrary, that $A \cap G_1 = 0$. Then there is an index i_0 such that $G_1 \subset D_{i_0}^*$. Since $a \in \bar{G}_1$, we conclude that $a \in D_{i_0}^*$, which contradicts the relation $a \in A - D_{i_0}^*$. By the same argument we infer that $A \cap G_2 \neq 0$. Thus the proof of (8.3) is concluded.

It follows by (8.1) and (8.3) that the subset A^* of A given by the formula

$$(8.4) \quad A^* = \bigcup_{i=1}^{\infty} D_i^*$$

is topologically marked out in the curve A . This set A^* will be said to be the *boundary* of the S -curve A , and the set

$$A^\circ = A - A^*$$

will be said to be the *interior* of A . We can easily see that the set A° coincides with the subset of A consisting of all points x such that every arc $L \subset A$ containing x in its interior locally decomposes A at the point x .

Now let us consider an arbitrarily given subcompactum Y of M of dimension less than 2. Evidently there exists in the set $M - Y$ a sequence $\{D_i\}$ of mutually disjoint disks satisfying (7.2) and such that the set $\bigcup_{i=1}^{\infty} D_i$ is dense in M . It follows that the set A , given by the formula (7.1), is an S -curve in M and that $Y \subset A^\circ$. Hence

(8.5) *If Y is a subcompactum of M and $\dim Y \leq 1$, then there exists an S -curve in M containing Y in its interior.*

9. Two lemmas. In this section we establish two lemmas which we need for the proof of the principal theorem in Section 11. In both lemmas A denotes an S -curve in a surface M given by the formula (7.1).

(9.3) **LEMMA.** *Let $L \subset A^\circ$ be an arc and let x_0 be a point of its interior. Then there exist in A :*

- (i) *A sequence $\{C_n\}$ of simple closed curves with diameters converging to zero such that the set $L_n = C_n \cap L$ is an arc containing x_0 in its interior for every $n = 1, 2, \dots$*
- (ii) *An arc L' starting from x_0 and disjoint with all sets $C_n - (x_0)$.*

Proof. Consider a disk $D' \subset M$ containing x_0 in its interior and such that $D^\circ - L$ is the union of two distinct regions G_1 and G_2 . It follows by (7.2) that for every natural number n there exists a disk $D'_n \subset D'$ with the following properties:

$$(9.2) \quad x_0 \in D'_n{}^\circ.$$

$$(9.3) \quad \delta(D'_n) < 1/3n.$$

$$(9.4) \quad \text{If } D_i \cap D'_n \neq 0 \text{ then } D_i \subset D'^\circ \text{ and } \delta(D_i) < 1/3n.$$

Let F_n denote the union of the set $D'_n{}^\circ \cap A$ and of all curves D_i^* such that $D_i^* \cap D'_n{}^\circ \neq 0$. By Lemma (4.1) we infer that F_n is a local continuum with diameter less than $1/n$ which decomposes the disk D' between its boundary D'° and the point x_0 . It follows that F_n contains a simple closed curve C'_n which is the boundary of a disk $D''_n \subset D'$ containing x_0 in its interior. Evidently there is an arc $L'_n \subset C'_n$ with the interior in G_1 and with end-points a, b belonging to different components of the set $L - (x_0)$. We infer that a and b are end-points of another arc $L_n \subset L$ containing the point x_0 . Setting

$$C_n = L_n \cup L'_n \quad \text{for } n = 1, 2, \dots,$$

we get a sequence of simple closed curves satisfying condition (i).

Let us denote by $G_{2,\varepsilon}$, for every $\varepsilon > 0$, the set of all points $x \in G_2$ with $\rho(x, x_0) < \varepsilon$. Since $x_0 \in A^\circ$, we infer by (7.2) that there exists a positive number ε_0 so small that $D_i \cap D_{2,\varepsilon_0} \neq 0$ implies $D_i \subset G_2$. Now let us consider an arc L_0 starting from x_0 and such that $L_0 - (x_0) \subset G_{2,\varepsilon_0}$. Since $x_0 \in A^\circ$, there is a point $x_1 \in (L_0 - A) - (x_0)$. Let R denote the set of all indices i such that $D_i^* \cap L_0 \neq 0$. It follows by (4.1) that the set

$$F = (L_0 - \bigcup_{i \in R} D_i^*) \cup \bigcup_{i \in R} D_i^*$$

is a locally connected subcontinuum of A containing the points x_0 and x_1 and such that $F - (x_0) \subset G_2$. This continuum contains an arc L' with end-points x_0 and x_1 . It is clear that this arc satisfies condition (ii). Thus the proof of Lemma (9.1) is finished.

(9.5) **LEMMA.** *If a compactum $X \subset A^\circ$ does not decompose the surface M , then the set $A - X$ is connected.*

Proof. Let $a, b \in A - X$. Then there is an arc $L \subset M - X$ with end-points a and b . Let Z denote the union of L and of all curves D_i^* such that $L \cap D_i^* \neq 0$. It follows by Lemma (4.1) that the set $Z \cap A = Z - \bigcup_{i=1}^{\infty} D_i^*$ is a subcontinuum of the set $A - X$ containing the points a and b . Hence the set $A - X$ is connected.

10. Embedding S -curves in surfaces. Let us prove the following

(10.1) **THEOREM.** *If M and M' are surfaces and either M is orientable or M and M' are both non-orientable, then $\gamma(M) < \gamma(M')$ implies that A_M is homeomorphic to a subset of $A_{M'}$.*

Proof. First let us assume that M is orientable and that M' is another surface, its genus $m' = \gamma(M')$ being greater than the genus $m = \gamma(M)$ of M . It follows by (1.9) that M' is a surface which we get

from M by replacing $k = m' - m$ disjoint disks D_1, D_2, \dots, D_k lying on M by k disjoint bounded surfaces N_1, N_2, \dots, N_k , each of them homeomorphic either to the perforated torus P or to the Möbius band Q , and that $N_i \cap M = N_i^* \cap M = D_i^*$ for $i = 1, 2, \dots, k$. By (7.4) and (7.1) we may assume that the system of disks D_1, D_2, \dots, D_k may be completed to the sequence of disjoint disks $\{D_i\}$ such that $A_M = M - \bigcup_{i=1}^{\infty} D_i^*$. It follows that $A_M \subset M'$, whence in the case where M is orientable, the proof of (10.1) is finished.

The proof in the case where M and M' are non-orientable is analogous, but in that case we can assume that each of the bounded surfaces N_1, N_2, \dots, N_k (where $k = m' - m$) is homeomorphic to the perforated torus P .

11. S -curves which cannot be embedded in a given surface. As a complement to Theorem (10.1) let us prove the following

(11.1) **THEOREM.** *Let M and M' be two surfaces. The curve A_M is not homeomorphic to any subset of M' in the following three cases:*

Case 1. M is non-orientable and M' is orientable.

Case 2. $\gamma(M) > \gamma(M')$.

Case 3. M is orientable and M' is non-orientable with $\gamma(M) = \gamma(M')$.

Proof. In case 1, the surface M contains a Möbius band. Evidently the equator of this band is a simple closed curve C such that if we cut M along it, then we get from C another simple closed curve B , and from $M - (a)$ bounded surface N having B as its boundary. Then there exists a map φ of N onto M which is a homeomorphism on the set $N^\circ = N - B$. If we identify B with the boundary of a disk D , we get from N and D a surface M^* .

By (8.5) we can assume that C is a subset of the interior A° of the S -curve $A = A_M$. By cutting M along C and by matching B with the boundary of the disk D , we get from A a curve A^* on the surface M^* . Manifestly, if

$$A = M - \bigcup_{i=1}^{\infty} D_i^*$$

then

$$A^* = M^* - \left(\bigcup_{i=1}^{\infty} \varphi^{-1}(D_i^*) \cup D^\circ \right),$$

and consequently A^* is an S -curve on M^* containing B in its boundary. It follows by (8.1) that B has arbitrarily small neighborhood U^* in A^* with $U^* - B$ connected. Since the partial map $\hat{\varphi} = \varphi/(N - B)$ is a homeomorphism of the set $N - B$ onto $M - C$, and since $A^* - B \subset N - B$, we

infer that the set $U = C \cup \hat{\varphi}(U^* - B)$ is a neighborhood of C in A such that the set $U - C$ is connected. Moreover, if the neighborhood U^* of B in the space A^* is sufficiently small, then the neighborhood U of C in the space A is arbitrarily small. Thus we have shown that

(11.2) *There exists in A a simple closed curve C having arbitrarily small neighborhoods U in A with $U - C$ connected.*

Suppose now that there exists a homeomorphism h mapping the curve A onto a subset of an orientable surface M' . Then $C' = h(C)$ is a simple closed curve on M' and (1.3) implies that C' has a neighborhood W (in M') homeomorphic to an annulus. Then $C' \subset W^\circ$ and $W^\circ - C'$ is the union of two regions G_1, G_2 with $\bar{G}_1 \cap \bar{G}_2 = C'$. Consider an arc $L \subset C$ and a point $x_0 \in L^\circ$. Since $C \subset A^\circ$, we infer by Lemma (9.1) that there exists in A a sequence of simple closed curves $\{C_n\}$ and an arc L' satisfying conditions (i) and (ii) of this Lemma. Then at least one of the sets \bar{G}_1, \bar{G}_2 (say, the set \bar{G}_1) contains an infinite collection of simple closed curves $h(C_n)$. Then $x'_0 = h(x_0)$ is an accumulation point for the set $h(A) \cap G_1$. Moreover, the set $h(C_n) \cap h(L)$ is a subarc of the arc $h(L)$ for every $n = 1, 2, \dots$. If we recall that x'_0 is an end-point of the arc $h(L')$ and that $h(C_n) \cap h(L' - (x'_0)) = \emptyset$, we infer that $h(L' - (x'_0)) \subset G_2$. Hence x'_0 is also an accumulation point of the set $h(A) \cap G_2$. It follows that for every neighborhood $V \subset W$ of the curve C' in the set $h(A)$, the set $V - C'$ is not connected. But this contradicts proposition (11.2) since h is a homeomorphism. Thus in case 1 the proof is concluded.

Passing to case 2, consider in M a system of $m = \gamma(M)$ mutually disjoint simple closed curves C_1, C_2, \dots, C_m , which together do not decompose M . By (8.5), we can assume that there exists in M an S -curve A such that $C_1 \cup C_2 \cup \dots \cup C_m \subset A^\circ$. It follows by Lemma (9.5) that

(11.3) *The set $A - \bigcup_{i=1}^m C_i$ is connected.*

Suppose now that there is a homeomorphism h mapping A onto a subset $h(A)$ of the surface M' . Then $h(C_1), h(C_2), \dots, h(C_m)$ are simple closed curves in M' , disjoint with one another. Since $m' - \gamma(M')$ is less than m , we infer that the set $M' - \bigcup_{i=1}^m h(C_i)$ contains two distinct components G_1 and G_2 such that for an index i_0 the curve $h(C_{i_0})$ is contained in the boundary of G_1 and also in the boundary of G_2 . Then the set $G_1 \cup G_2 \cup h(C_{i_0})$ is a neighborhood in M' of the curve $h(C_{i_0})$ decomposing this neighborhood into two regions G_1 and G_2 . Applying Lemma (9.1), we infer that for every point $x'_0 \in h(C_{i_0})$ there exists in $h(A)$ a sequence $\{C'_n\}$ of simple closed curves with diameters converging to zero and such that $L_n = C'_n \cap h(C_{i_0})$ is an arc containing the point

w'_0 in its interior for each $n = 1, 2, \dots$. Moreover, there exists an arc $L' \subset h(A)$ starting from w'_0 and disjoint with all sets $C'_n - (w'_0)$. We infer, as in the proof in case 1, that w'_0 is an accumulation point for the sets $h(A) \cap G_1$ and $h(A) \cap G_2$. Since G_1 and G_2 are distinct components of the set $M - \bigcup_{i=1}^m h(C_i)$, we infer that the set $\bigcup_{i=1}^m h(C_i)$ decomposes the set $h(A)$, which by (11.3) is impossible, because h is a homeomorphism.

It remains to consider case 3. Then $\gamma(M) = \gamma(M') = m$ and let C_1, C_2, \dots, C_m be a system of mutually disjoint simple closed curves on M which together do not decompose M . By (8.5), the S -curve A on M (given by formula (7.1)) can be found so that $\bigcup_{i=1}^m C_i \subset A^\circ$. It follows by Lemma (9.5) that the set $W = A - \bigcup_{i=1}^m C_i$ is connected.

Now let us suppose that there exists a homeomorphism

$$h: A \rightarrow A' \subset M'.$$

Consider a simple closed curve C , which is the boundary of one of the disks D_i . Then the system of curves $h(C), h(C_1), \dots, h(C_m)$ decomposes the surface M' . Consequently there are at least two distinct components G' and G'' of the set $M' - h(C) - h(C_1) - \dots - h(C_m)$, both containing $h(C)$ is their boundaries and such that the whole (connected) set $h(W)$ lies in one of them (say in G''). Then the set $N = \bar{G}'$ is a bounded surface, having the curve $h(C)$ as its boundary. If G' contains a simple closed curve C' which does not decompose the bounded surface N , then the mutually disjoint curves $C', h(C_1), \dots, h(C_m)$ together do not decompose the surface M' . But this contradicts the hypothesis that $\gamma(M') = m$. It follows by (2.2) that N is a disk.

Thus we have shown that each of the curves $h(D_i^*)$ is the boundary of a disk D_i^* such that $D_i^* \subset M' - h(A)$. Since the diameters of the sets $h(D_i^*)$ converge to zero, the diameters of the disks D_i^* converge to zero. It follows that if we extend the homeomorphism h/D_i^* to a homeomorphism of the whole disk D_i onto D_i^* for every $i = 1, 2, \dots$, we get a homeomorphism h' of the whole surface M onto a subset of M' . But this is possible only if $h'(M) = M'$. If we recall that M is assumed to be orientable, we infer that M' must also be orientable. Thus the proof of theorem (11.1) is concluded.

12. Final remarks. It follows by Theorem (11.1) that two S -curves A_M and $A_{M'}$ are homeomorphic if and only if the surfaces M and M' are homeomorphic. Thus the correspondence between the topological types of surfaces M and of the curves A_M is one-to-one. Since the decomposition of an n -dimensional manifold into the Cartesian product of 1-dimensional and 2-dimensional factors is topologically unique

([2], p. 296), the question arises whether a decomposition of finite-dimensional compacta into the Cartesian products of S -curves is topologically unique. In particular the following case seems to be interesting. Let P be the perforated torus, R the annulus and N a 2-sphere with three holes. By a remark due to J. H. C. Whitehead ([12], p. 827) the Cartesian products $P \times R$ and $N \times R$ are homeomorphic. But P may be considered as the first approximation of the S -curve A_T , where T denotes the torus, and N is an approximation of the S -curve A_{S^2} (the usual universal plane curve of Sierpiński). Thus the following problem arises (12.1) *Are the Cartesian products $A_T \times A_{S^2}$ and $A_{S^2} \times A_{S^2}$ homeomorphic?*

If the answer is positive, then the problem concerning the uniqueness of the decomposition of finite-dimensional continua into Cartesian products of curves ([3], p. 110) is solved negatively. Let us observe that for finite-dimensional ANR-spaces the uniqueness of the Cartesian decomposition into 1-dimensional factors has recently been proved by H. Patkowska ([7]).

Warsaw, 1965.

References

- [1] K. Borsuk, *Über eine Klasse von lokal zusammenhängenden Räumen*, Fund. Math. 19 (1932), pp. 220-242.
- [2] — *On the decomposition of manifolds into products of curves and surfaces*, Fund. Math. 33 (1945), pp. 273-298.
- [3] — *Über einige Probleme der anschaulichen Topologie*, Jahresber. d. DMV. 60 (1958), pp. 101-114.
- [4] K. Kuratowski, *Sur le problème des courbes gauches en Topologie*, Fund. Math. 15 (1930), pp. 271-283.
- [5] — *Topologie II*, Monografie Matematyczne 21, Warszawa 1961.
- [6] R. L. Moore, *Concerning upper semicontinuous collections of continua*, Trans. Am. Math. Soc. 27 (1925), pp. 416-428.
- [7] H. Patkowska, *On the uniqueness of the decomposition of finite-dimensional ANR's into Cartesian product of at most 1-dimensional spaces*, Fund. Math. 58 (1966), pp. 89-110.
- [8] H. Seifert and W. Threlfall, *Lehrbuch der Topologie*, Leipzig 1936.
- [9] E. Vászsonyi, *Graphen auf Flächen*, Mat. Fiz. Lapok 44 (1937), pp. 133-163.
- [10] L. Vietoris, *Über den höheren Zusammenhang kompakter Räume und eine Klasse von zusammenhangstreuen Abbildungen*, Math. Ann. 97 (1927), pp. 454-472.
- [11] T. Ważewski, *Sur les courbes de Jordan ne renfermant aucune courbe simple fermée de Jordan*, Ann. Soc. Pol. Math. 2 (1923), pp. 49-170.
- [12] J. H. C. Whitehead, *On the homotopy type of manifolds*, Annals of Math. 41 (1940), pp. 825-832.
- [13] G. T. Whyburn, *Topological characterization of the Sierpiński curve*, Fund. Math. 45 (1958), pp. 320-324.

Reçu par la Rédaction le 21. 7. 1965