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Two theorems on the generation of systems of functions

by

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This paper deals with two basic questions about multiplace functions ("functions of several variables") defined on a finite set $N_m = \{1, \dots, m\}$. How many functions can k functions generate by composition, and how many functions are needed to generate by composition all p -place functions?

The essential feature of the paper is its algebraic approach to the subject matter in contrast to the traditional treatment of functions in logic ⁽¹⁾. Consider e.g. the functions over N_2 . By composition, the two basic logical functions, negation and disjunction, do not generate more than eight functions, namely, the four 1-place functions, four of the sixteen 2-place functions and none of the higherplace functions (see Example 2). All that Sheffer's stroke (herein denoted by a frontal A) generates are four of the 2-place functions. The traditional statement that $A(x, y)$ also generates e.g. the 1-place negation $n(x)$ is based on the fact that $n(x) = A(x, x)$. But in so saying one substitutes x for y ; and similarly one substitutes $A(y, z)$ for y in saying that $A(x, y)$ generates $A(x, A(y, z))$. Substitution of an expression for a variable, however, is not the composition of functions. Nor is it possible to obtain any 1-place or 3-place function from A by compositions.

From our strictly algebraic point of view, we prove that the maximum number of functions that k functions can generate depends upon k but (except for trivial limitations) is independent of the place-numbers of the functions (Corollary 2 of Theorem I). At least p functions are necessary (Corollary 3 of Theorem I), and p properly chosen functions are sufficient (Theorem II), to generate all p -place functions for $p > 1$ with one important exception: the 2-place functions over N_2 . Thus while three functions are needed to generate all the 2-place func-

* Theorem I and its Corollaries are due to the first author, Theorem II is the work of the second.

⁽¹⁾ Another algebraic approach to the study of multiplace functions is the Marczewski abstract algebra which, however, stresses the domains of the functions rather than their composition.

tions of the 2-valued logic, only two functions are necessary for all other finite-valued logics; and three functions are sufficient to generate all 3-place functions of the 2-valued as well as of all other finite-valued logics.

Let S be a set, and p a natural number. By a p -place function over S we mean (*) a mapping of S^p (the set of all ordered p -tuples of elements of S) into S . In other words, a p -place function over S is a set of pairs (T, s) containing, for each ordered p -tuple T of elements of S exactly one pair where s is an element of S . If the p -place function is denoted by F , and (T, s) belongs to F , then we write, as is customary, $s = F(T)$. The set of all elements of S that are second members of pairs (T, s) belonging to F is called the *range* of F —briefly, $\text{ran}F$.

If F is a p -place function over S , and F_1, \dots, F_p are q -place functions over S for some natural number q , then $F(F_1, \dots, F_p)$ will denote the q -place function consisting of the pairs $(U, F(F_1(U), \dots, F_p(U)))$ for all elements U of S^q . This q -place function is said to be the result of the *composition* of F with F_1, \dots, F_p , or of the *application* of F to F_1, \dots, F_p , or of the *substitution* of F_1, \dots, F_p into F . (Even in the case $p = 1$, substitution of a function F_1 into F has of course nothing whatever to do with the substitution of F_1 for F in the sense of replacing F by F_1 or y by x). Clearly,

$$\text{ran}F(F_1, \dots, F_p) \subseteq \text{ran}F.$$

The main property of the operation just defined is what we have called *superassociativity* (*):

$$(F(F_1, \dots, F_p))(G_1, \dots, G_q) = F(F_1(G_1, \dots, G_q), \dots, F_p(G_1, \dots, G_q))$$

for any p -place function F , any q -place functions F_1, \dots, F_p , and any functions G_1, \dots, G_q of one and the same place-number—all of them over S .

If \mathcal{G} is a set of functions over S (not necessarily of the same place-number), then the smallest set of functions containing \mathcal{G} (as a subset) that is closed under substitution will be called the set *generated* by \mathcal{G} and denoted by $\mathfrak{S}\mathcal{G}$. Thus $\mathfrak{S}\mathcal{G}$ is the smallest set including 1) \mathcal{G} as a subset, and 2) the function $F(F_1, \dots, F_p)$ if the p -place function F

(*) Cf. H. I. Whitlock [7]. It will be noted that we herein adhere to the typographical convention introduced by the senior author of this paper: All references to functions are in *italic* type (e.g., $F, A, I, O, n, \text{deg}, \text{Max}$); all references to numbers are to elements of the domains and ranges of functions are in lower case roman type (e.g., p, s, x, y); sets of numbers or subsets of domains and ranges are denoted by capital letters in roman type (e.g., S, T); sets of functions in bold face (e.g., \mathcal{G}, F).

(*) Cf. K. Menger [3] and the bibliography in that paper.

and the functions F_1, \dots, F_p having one and the same place-number belong to the set. Clearly, each function in $\mathfrak{S}\mathcal{G}$ must have the same place-number as one of the functions in \mathcal{G} . A set of functions will be called *homogeneous* if all its elements have the same place-number. If \mathcal{G} is homogeneous, then so is $\mathfrak{S}\mathcal{G}$.

EXAMPLE 1. If $S = \{0, 1\}$, consider the homogeneous set $\mathcal{G} = \{A, I\}$ where I is the first 2-place selector assuming the value $I(x, y) = x$ for every pair (x, y) in S^2 , and A is *incompatibility*, for which $A(0, 0) = A(0, 1) = A(1, 0) = 1$ and $A(1, 1) = 0$. The set $\mathfrak{S}\{I, A\}$ consists of the eight functions $I, A, A' = A(A, A), I' = A(I, I), C = A(I, A), C' = A(C, C), I'' = A(A, A')$ and $I'' = 0 = A(I, I)$. Here, C is the *implication*, for which $C(0, 0) = C(0, 1) = C(1, 1) = 1$ and $C(1, 0) = 0$; I is the *constant* 2-place function of value 1, for which $I(x, y) = 1$ for every (x, y) in S^2 ; and $F'(x, y) = 1 - F(x, y)$ for each (x, y) in S^2 , where $F = A, I, C, I'$. The function A' , for which $A'(x, y) = \text{Min}(x, y)$ is the *conjunction*; and 0 is the other constant function.

EXAMPLE 2. If $S = \{0, 1\}$, consider the nonhomogeneous set $\{n, B\}$, where n is the 1-place function for which $n(x) = 1 - x$ for $x = 0, 1$, and B is the *disjunction*, for which $B(x, y) = \text{Max}(x, y)$. Setting $nB = B'$ and $nm = j$ one readily verifies that

$$\begin{aligned} \mathfrak{S}\{n, j\}, \quad \mathfrak{S}\{B\} = \{B\}, \quad \mathfrak{S}B' = \{B', B, I, 0\}, \\ \text{and} \quad \mathfrak{S}\{n, B\} = \{n, j, o, i, B, B', I, 0\}. \end{aligned}$$

Here, $o = B'(n, j) = 0(n, n)$ and $i = B'(o, o) = no$ are the *constant* 1-place functions over S , and j is the identity function over $\{0, 1\}$, for which $j(x) = x$ for $x = 0, 1$.

REMARK 1. For every p -place function F in $\mathfrak{S}\mathcal{G} - \mathcal{G}$, there is, for some natural number q , a q -place function, G , in \mathcal{G} , and p -place functions F_1, \dots, F_q in $\mathfrak{S}\mathcal{G}$ such that $F = G(F_1, \dots, F_q)$.

If \mathcal{G} is given we first associate, with some elements F of $\mathfrak{S}\mathcal{G}$, a natural number, called the *degree* of F relative to \mathcal{G} . We get $\text{deg}(F, \mathcal{G}) = 1$ if and only if F belongs to \mathcal{G} . If the elements of degree $\leq n$ are defined, let F be a p -place function such that $\text{deg}(F, \mathcal{G})$ is not $\leq n$. We set $\text{deg}(F, \mathcal{G}) = n+1$ if there exist 1) a function in \mathcal{G} , say a q -place function G , and 2) p -place functions F_1, \dots, F_q of degree $\leq n$ such that $F = G(F_1, \dots, F_q)$.

Parenthetically we remark that $\text{deg}(F, \mathcal{G})$ expresses a relation between F and the set \mathcal{G} (and not a property of F , nor even a relation between F and $\mathfrak{S}\mathcal{G}$). Relative to $\mathcal{G} = \{I, A\}$ in Example 1, I and A have the degree 1; I', A' , and C , the degree 2; C' and I'' , the degree 3; and 0 has the degree 4. Relative to $\{I, A, I\}$, the degree of I is 1, and that of 0 is 2. Relative to $\{C, A\}$ the degree of I is 2, and that of 0 is 3, since $C(C, C) = I$ and $A(I, I) = 0$. Set $\mathfrak{S}\{I, A\} = \mathfrak{S}\{I, A, I\} = \mathfrak{S}\{A, C\}$.



The subsequent proof of Remark 1 pertains to one and the same set \mathcal{G} of functions over the same S , whence $\text{deg}(F, \mathcal{G})$ will be abbreviated to $\text{deg}F$. The set F , of all functions in $\mathcal{S}\mathcal{G}$ that have a finite degree relative to \mathcal{G} is a subset of $\mathcal{S}\mathcal{G}$ which 1) contains the subset \mathcal{G} , and 2) is closed under substitution. We prove that, more precisely,

$$\text{deg}F(F_1, \dots, F_p) \leq \text{deg}F + \text{Max}(\text{deg}F_1, \dots, \text{deg}F_p)$$

for any p -place function F and any p -tuple of functions F_1, \dots, F_p having one and the same place-number. Indeed, this inequality clearly holds if $\text{deg}F = 1$. Assume its validity for all F of a degree $\leq n$, and suppose that $\text{deg}K = n+1$. By the definition of degree, there exist a function in \mathcal{G} , say a q -place function G , and functions H_1, \dots, H_q in $\mathcal{S}\mathcal{G}$ whose degrees are $\leq n$ such that $K = G(H_1, \dots, H_q)$. By the superassociative law,

$$\begin{aligned} K(F_1, \dots, F_p) &= (G(H_1, \dots, H_q))(F_1, \dots, F_p) \\ &= G(H_1(F_1, \dots, F_p), \dots, H_q(F_1, \dots, F_p)). \end{aligned}$$

Since, by the inductive assumption, the inequality holds for each of the functions $H_i(F_1, \dots, F_p)$, it holds for $K(F_1, \dots, F_p)$.

By definition, $\mathcal{S}\mathcal{G}$ is the smallest set with properties 1) and 2). Hence $F = \mathcal{S}\mathcal{G}$. In other words, each function $\mathcal{S}\mathcal{G}$ has a finite degree relative to \mathcal{G} . This clearly entails Remark 1.

COROLLARY. *If F belongs to $\mathcal{S}\mathcal{G}$, then $\text{ran}F \subseteq \text{ran}G$ for some function G in \mathcal{G} .* (This function G need not have the same place-number as F .)

REMARK 2. *If T and T' are two elements of S^p such that $G(T) = G(T')$ for each p -place function G belonging to the set \mathcal{G} , then $F(T) = F(T')$ for each p -place function F belonging to $\mathcal{S}\mathcal{G}$.*

In view of Remark 1, the proof by induction is straight forward.

If \mathcal{G} is a homogeneous set of, say p -place, functions, then by a substitutive base—briefly, a *base*—of \mathcal{G} we mean a subset B of S^p with the following properties: 1) for each T in S^p , there exists an element T' in B such that $G(T) = G(T')$ for all G in \mathcal{G} ; 2) if T' and T'' are two elements of B , then $G(T') \neq G(T'')$ for at least one function G in \mathcal{G} . In other words, a base of \mathcal{G} is a minimal subset of S^p with property 1). By Remark 2, a base of a homogeneous set \mathcal{G} is also a base of $\mathcal{S}\mathcal{G}$.

Thus the set of all p -place functions with one and the same base B is closed with respect to substitution into (i.e. left-side composition with) functions as well as with respect to the application to (i.e. right-side composition with) functions having the base B .

If F is a constant p -place function over S , then any single element of S^p constitutes a base of F . Now let S be $\{0, 1\}$. The base of each non-constant p -place function F consists of exactly two elements of S^p : one

for which F assumes the value 0, and for which F assumes the value 1. Any base of the function A in Example 1 necessarily contains $(1, 1)$ and any one of the three other pairs. A base of $\{A, F\}$ consists of $(1, 1)$ and one or two of the other pairs, for any F . Any one other pair in conjunction with $(1, 1)$ constitutes a base of $\{A, I\}$. The bases of $\{A, C\}$ are $\{(1, 1), (1, 0), (0, 0)\}$ and $\{(1, 1), (1, 0), (0, 1)\}$.

One furthermore readily proves

REMARK 3. *Let E be the set $\{I, I', J, J', E, E'\}$ where J is the second 2-place selector, for which $J(x, y) = \bar{y}$ and $E(x, y) = 1$ or 0 according as $x = y$ or $x \neq y$. A pair of 2-place functions $\{F, G\}$ over $\{0, 1\}$ has a base including all four pairs if and only if F and G belong to E without constituting one of the pairs $\{I, I'\}$, $\{J, J'\}$, $\{E, E'\}$.*

If (G_1, \dots, G_k) is an ordered k -tuple of p -place functions, then by the range of the k -tuple—briefly, $\text{ran}(G_1, \dots, G_k)$ —we mean the subset of S^k consisting of all k -tuples (s_1, \dots, s_k) for which there exists an element T of S^p such that $s_i = G_i(T)$ ($i = 1, \dots, k$). Clearly, $\text{ran}(G_1, \dots, G_k)$ is a subset of the Cartesian product, $\text{ran}G_1 \times \dots \times \text{ran}G_k$. For example,

$$\text{ran}(A, C) = \{(0, 1), (1, 0), (1, 1)\},$$

$$\text{ran}(A, C, I) = \{(0, 1, 1), (1, 0, 1), (1, 1, 1)\},$$

$$\text{ran}(A, C, I) = \{(1, 1, 0), (1, 0, 1), (0, 1, 1)\}.$$

REMARK 4. *Any base of a homogeneous ordered set \mathcal{G} , is in a one-to-one correspondence with $\text{ran}\mathcal{G}$. The power of any such set $\mathcal{G} = \{G_1, \dots, G_k\}$ does not exceed the product of the powers of $\text{ran}G_1, \dots, \text{ran}G_k$.*

What is the maximum number of functions that a set \mathcal{G} of k functions over one and the same set S can generate? What is the minimum number of p -place functions generating all p -place functions over S^p ?

First consider the case where $\mathcal{G} = \{F\}$. Let B be a base of F and let r be the number of elements in $\text{ran}F$ and the number of elements in B . The values of any function H generated by F determine H , whence $\mathcal{S}F$ includes at most r^r functions, regardless of the place-number of F .

Next consider the case where $\mathcal{G} = \{F_1, F_2\}$ and F_1 and F_2 have the same place-number, say p . Let r_1 and r_2 be the numbers of elements in their ranges. According to the Corollary of Remark 1, the range of each function in $\mathcal{S}\{F_1, F_2\}$ is a subset of either $\text{ran}F_1$ or $\text{ran}F_2$. According to Remark 2, a base of $\{F_1, F_2\}$ is also a base of $\mathcal{S}\{F_1, F_2\}$. If b is the number of elements in such a base, then at most r_1^b and r_2^b functions are generated whose ranges are $\subseteq \text{ran}F_1$ and $\subseteq \text{ran}F_2$, respectively. If r_{12} is the number of elements in the intersection of $\text{ran}F_1$ and $\text{ran}F_2$, then the maximum number of functions in $\mathcal{S}\{F_1, F_2\}$ is $r_1^b + r_2^b - r_{12}^b$, regardless of the place number p . By induction, one readily sees that,

if r_{i_1, \dots, i_h} is the number of elements in the intersection of $\text{ran} F_{i_1}, \dots, \text{ran} F_{i_h}$, then the maximum number of functions in $\mathfrak{S}\{F_1, \dots, F_k\}$ is

$$\sum_{h=1}^k \sum_{i_1, \dots, i_h} (-1)^{h+1} r_{i_1, \dots, i_h}^b.$$

Suppose now that F_1, \dots, F_k are all the p -place functions in \mathcal{G} and that \mathcal{G} also contains functions G_{k+1}, \dots, G_t having the range numbers r_{k+1}, \dots, r_t but place-numbers $\neq p$. The range of a function in $\mathfrak{S}\mathcal{G}$ is a subset of one of the t functions in \mathcal{G} . According to Remark 2, all p -place functions in $\mathfrak{S}\mathcal{G}$ have the same base as $\{F_1, \dots, F_k\}$. The total number of functions with those b base elements whose ranges are subsets of one of the t ranges of the functions in \mathcal{G} is a sum like the one above, the only difference being that the summation of h ranges from 1 to t instead of from 1 to k . We can express this result in

THEOREM I. *If \mathcal{G} is a set of t functions, F_1, \dots, F_t , having s different place-numbers, p_1, \dots, p_k , let b_k be the number of base elements of the set of all p_k -place functions in \mathcal{G} ; and, for any h such that $1 \leq h \leq t$ and any set of h functions F_{i_1}, \dots, F_{i_h} , let r_{i_1, \dots, i_h} denote the number of elements in the intersection of $\text{ran} F_{i_1}, \dots, \text{ran} F_{i_h}$. Then the number of p_k -place functions in $\mathfrak{S}\mathcal{G}$ does not exceed*

$$a_k = \sum_{h=1}^t \sum_{i_1, \dots, i_h} (-1)^{h+1} r_{i_1, \dots, i_h}^{b_k},$$

where one summation extends over all sets $\{i_1, \dots, i_h\}$ of h of the numbers $1, \dots, t$, and the other summation extends over the numbers h from 1 to t .

The number of functions in $\mathfrak{S}\mathcal{G}$ does not exceed $\sum_{k=1}^s a_k$.

It should be noted that the place-numbers p_1, \dots, p_s themselves do not enter into the upper bounds given above for the numbers of functions generated. An obvious limitation for the number of p -place functions that can be generated is of course the number of all p -place functions. This number is less than the given upper bound when there are too many generators.

In Example 2, we have $t = s = 2$; $p_1 = 1$, $b_1 = 2$; $p_2 = 2$, $b_2 = 2$; $r_1 = r_2 = r_{12} = 2$. Hence, according to Theorem I, the number of functions in $\mathfrak{S}\{n, B\}$ is at most $(2^2 + 2^2 - 2^2) + (2^2 + 2^2 - 2^2)$. It actually is 8.

COROLLARY 1. *If \mathcal{G} is a homogeneous set of k functions with b base elements, and the ranges of the functions in \mathcal{G} , which contain r_1, \dots, r_k elements, are disjoint, then $\mathfrak{S}\mathcal{G}$ includes at most $r_1^b + \dots + r_k^b$ functions.*

We now come to the most important special cases of Theorem I. They concern the sets $S = N_m = \{1, \dots, m\}$ for some natural number m .

The range of each function contains at most m elements. The base of any homogeneous set of k functions contains at most m^k elements. Hence

COROLLARY 2. *A homogeneous set of k functions over N_m generates at most mm^k functions, regardless of their place-number. If \mathcal{G} is a set of functions over N_m having s different place-numbers, p_1, \dots, p_s , and if k_i is the number of p_i -place functions in \mathcal{G} , then $\mathfrak{S}\mathcal{G}$ includes at most $\sum_{i=1}^s mm^{k_i}$ functions.*

COROLLARY 3. *A set \mathcal{G} generating all p -place functions over N_m includes at least p functions.*

We now turn to the questions whether there actually exist sets of k functions over N_m that generate mm^k functions, and whether p functions are sufficient to generate all p -place functions over N_m .

Obviously, the lower bound, p , stipulated in Corollary 3 is unsharp in three simple cases:

a) $m = 1$ and $p > 1$. There is only one single p -place function over N_m for each p .

b) $m > 1$ and $p = 1$. No single function generates the full semigroup of 1-place functions over N_m . Two or three functions are needed (cf. Piccard [4]) to generate those m^m functions according as $m = 2$ or $m > 2$.

c) $p = m = 2$. Three functions are needed (cf. Menger [2]) to generate all 2-place functions over N_2 . If one considers $S = \{0, 1\}$ instead of N_2 , then from Remark 3 one readily concludes: Unless the pair of 2-place functions $\{F, G\}$ is a subset of the set E , it has a base of at most three elements and, therefore, cannot generate more than eight functions. If $\{F, G\}$ is a subset of E , then $\mathfrak{S}\{F, G\} \subset \mathfrak{S}E$, and $\mathfrak{S}E$ is easily seen to consist of eight elements: the six functions in E and the constant functions I and O . All sixteen 2-place functions over S are indeed generated by some triples of functions, e.g., by $\{A, I, J\}$.

Except for these cases, however, the lower bound, p , stipulated in Corollary 3 will now be proved to be sharp.

In the proof, we shall make extensive use of the selectors. For any two natural numbers, m and p , there are p such p -place functions over N_m . Where m is kept fixed, the k -th p -place selector over N_m will be denoted by $I_k^{(p)}$ and is defined for $1 \leq k \leq p$ by

$$I_k^{(p)}(x_1, \dots, x_p) = x_k \quad \text{for any } x_1, \dots, x_p \text{ in } N_m.$$

In some cases, we shall continue to write I and J for $I_1^{(2)}$ and $I_2^{(2)}$ over N_m , respectively. The single 1-place selector $I_1^{(1)}$ is the identity function j over N_m . It has the fundamental property that any function F (of any

number of places) remains unchanged upon substitution into j ; that is to say, $jF = F$ for any F .

If (F_1, \dots, F_k) is an ordered k -tuple of k -place functions over N_m , then, according to Remark 4, $\text{ran}(F_1, \dots, F_k)$ and any base of the set $F = \{F_1, \dots, F_k\}$ consist of equally many elements of N_m^k . If the (unique) base of F includes all k^m elements of N_m^k , then the set F will be called *perfect*. If F is perfect, then $\text{ran}(F_1, \dots, F_k)$ (as well as the range of F in any order) is a permutation of the base of F . For any k -place function H , we set $H(F_1, \dots, F_k) = H(F_1, \dots, F_k)^1$ and define

$$(F_1, \dots, F_k)^{r+1} = (F_1(F_1, \dots, F_k)^r, \dots, F_k(F_1, \dots, F_k)^r).$$

Clearly, k^m iterations of the permutation of the k^m elements yield the identical permutation; that is to say,

$$(F_1, \dots, F_k)^{k^m} = (I_1^{(k)}, \dots, I_k^{(k)}).$$

Since each component of each $(F_1, \dots, F_k)^r$ belongs to $\mathfrak{S}F$ we thus have

LEMMA 1. *If F is a perfect set of k -place functions over N_m , then $\mathfrak{S}F$ includes all k -place selectors, $I_i^{(k)}$ ($1 \leq i \leq k$).*

EXAMPLE 3. Consider the triple (F, I_1, I_2) of 3-place functions over N_2 , where

$$F(1, 1, 1) = F(1, 2, 2) = F(2, 1, 1) = F(2, 2, 1) = 2,$$

$$F(2, 2, 2) = F(1, 2, 1) = F(2, 1, 2) = F(1, 1, 2) = 1;$$

$$I_1(x, y, z) = x, \quad I_2(x, y, z) = y \quad \text{for each } (x, y, z) \text{ in } N_2^3.$$

The set $\{F, I_1, I_2\}$ is easily seen to be perfect. (F, I_1, I_2) produces a cyclical permutation of the triples

$$(1, 1, 1), (2, 1, 1), (2, 2, 1), (2, 2, 2), (1, 2, 2), (2, 1, 2), (1, 2, 1), (1, 1, 2).$$

Hence $(F, I_1, I_2)^8 = (I_1, I_2, I_3)$, where $I_3(x, y, z) = z$. It follows that I_3 belongs to $\mathfrak{S}F$. Indeed, $I_3 = I_2(F, I_1, I_2)^7 = I_1(F, I_1, I_2)^6 = F(F, I_1, I_2)^5$.

A classical theorem in Boolean algebra asserts that each "function of p variables x_1, \dots, x_p " over $\{0, 1\}$ can be represented in two (so-called *normal*) forms: as a sum of products and as a product of sums. The first half states, more precisely, that each $F(x_1, \dots, x_p)$, except the function assuming only the value 0, is, for some number k , where $1 \leq k \leq 2^p$, the sum of k products of the form $y_1 \dots y_p$, where, for each $i = 1, \dots, p$, one has $y_i = x_i$ or $y_i = 1 - x_i$. This theorem can be expressed in terms of the functions $n, A',$ and B , mentioned in Examples 1 and 2, and the p -place selectors $I_i^{(p)}$, which we shall denote, briefly, by I_1, \dots, I_p . It is convenient to set $n^1 = n, n^2 = nn = j$. Hence $n^k F = nF$ or $= F$ accord-

ing as $k = 1$ or 2 . If (i_1, \dots, i_p) is an ordered p -tuple of numbers belonging to $\{1, 2\}$, then we define a p -place function

$$P_{i_1, \dots, i_p} = A'(\dots(A'(A'(n^{i_1}I_1, n^{i_2}I_2), n^{i_3}I_3), \dots, n^{i_p}I_p)).$$

Each such function corresponds to one of the products $y_1 \dots y_p$ and obviously belongs to $\mathfrak{S}\{n, A', I_1, \dots, I_p\}$.

The classical theorem asserts that, for any p -place function F over $\{0, 1\}$ there exist k ordered p -tuples (i_{h1}, \dots, i_{hp}) where $1 \leq h \leq k$, for some k ($1 \leq k \leq 2^p$) such that

$$F = B(\dots(B(B(P_{i_{11}, \dots, i_{1p}}, P_{i_{21}, \dots, i_{2p}}), P_{i_{31}, \dots, i_{3p}}), \dots, P_{i_{k1}, \dots, i_{kp}})).$$

Hence F belongs to $\mathfrak{S}\{n, A', B, I_1, \dots, I_p\}$.

Post [5] generalized the theorem just mentioned to the set \mathfrak{S}_m^p of all p -place functions over N_m . We assume N_m to be ordered according to $1 < 2 < \dots < m$, and define

$$A'(x, y) = \text{Min}(x, y), \quad B(x, y) = \text{Max}(x, y), \quad n(x) = x + 1$$

for $1 \leq x \leq m - 1$ and $n(m) = 1$.

We set $n^{k+1} = nn^k$ for $1 \leq k \leq m - 1$. Clearly, $n^m = j$, where $jF = F$ for each F over N_m . The functions P_{i_1, \dots, i_p} are defined as in the classical theorem, but for all ordered p -tuples (i_1, \dots, i_p) of numbers $1, \dots, m$. Any p -place function F over N_m , except the constant p -place function of value 1, can be expressed, just as in the classical case, in terms of B and k functions $P_{i_{h1}, \dots, i_{hp}}$ ($1 \leq i \leq k$) for some k such that $1 \leq k \leq m^p$.

We now prove

LEMMA 2. *Let $p, r,$ and m be natural numbers > 1 . Then the set \mathfrak{S}_m^p of all p -place functions over N_m is a subset of*

$$\mathfrak{S}^* = \mathfrak{S}\{N_r^*, A_r^*, B_r^*, I_1^{(r)}, \dots, I_p^{(r)}\}$$

where $N_r^*, A_r^*,$ and B_r^* are r -place functions defined as follows:

$$N_r^* = nI_1^{(r)}, \quad A_r^* = A'(I_1^{(r)}, I_2^{(r)}), \quad B_r^* = B(I_1^{(r)}, I_2^{(r)}).$$

Let F be a function belonging to \mathfrak{S}_m^p . According to Post's Theorem, F belongs to $\mathfrak{S} = \mathfrak{S}\{n, A', B, I_1, \dots, I_p\}$, where I_i is an abbreviation for $I_i^{(p)}$. We prove that F belongs to \mathfrak{S}^* by induction on the degree of F relative to the set \mathfrak{S} . If $\text{deg}F = 1$ then, being a p -place function, F is one of the selectors I_i and therefore belongs to \mathfrak{S}^* . Only if $p = 2$, also $\text{deg}A' = \text{deg}B = 1$; but in this case $A' = A_r^*(I_1, I_2, I_2, \dots, I_2)$ and $B = B_r^*(I_1, I_2, I_2, \dots, I_2)$. For $p > 2$, assume that all p -place functions

of a degree $\leq n$ relative to \mathfrak{S} belong to \mathfrak{S}^* , and let F be a p -place function of degree $n+1$. Clearly, F is either nK or $A'(K, L)$ or $B(K, L)$ for two functions K and L of a degree $\leq n$. But

$$nK = N_p^*(K, K, \dots, K), \quad A'(K, L) = A_p^*(K, L, \dots, L), \\ B(K, L) = B_p^*(K, L, \dots, L).$$

In any case, F thus belongs to \mathfrak{S}^* .

An immediate consequence is

LEMMA 3. For any two natural numbers, p and r , if $\mathfrak{S}\{F_1, \dots, F_k\} = \mathfrak{S}_m^r$, then $\mathfrak{S}_m^p \subseteq \mathfrak{S}\{F_1, \dots, F_k, I_1^{(p)}, \dots, I_p^{(p)}\}$.

The set $\mathfrak{S}\{F, I_1, I_2\}$ in Example 3 contains, as has been shown, all three 3-place selectors. As one readily verifies, $N_3^* = F(I_1, I_1, I_1)$ and, if one sets $K = F(I_1, I_2, I_2)$ and $L = N_3^*(I_1, I_2, I_2)$, $M = N_3^*(I_2, I_1, I_1)$, then $A_3 = N_3^*(K, K, K)$ and $B_3 = F(L, M, M)$. Thus also N_3^* , A_3^* , and B_3^* belong to $\mathfrak{S}\{F, I_1, I_2\}$. From Lemma 2 it follows that this set is \mathfrak{S}_3^3 . We thus have established the case $p = 3$ of

LEMMA 4. For each $p > 2$, there exist p functions generating \mathfrak{S}_p^3 .

Assume $p > 3$, and consider

$$\mathfrak{G} = \{F(I_1, I_2, I_3), I_1, I_2, I_4, \dots, I_p\},$$

where I_1 is an abbreviation of $I_1^{(p)}$. As one easily verifies, \mathfrak{G} is perfect. Hence, by Lemma 1, $\mathfrak{S}\mathfrak{G}$ includes all p -place selectors (also I_3). We further show that $\mathfrak{S}\mathfrak{G}$ includes N_p^* , A_p^* , and B_p^* . Setting $F^* = F(I_1, I_2, I_3)$ one can verify that $N_p = F^*(I_1, I_1, \dots, I_1)$. Setting $K = F^*(I_1, I_2, \dots, I_2)$ $L = N^*(I_1, I_2, \dots, I_2)$ and $M = N_p^*(I_2, I_1, \dots, I_1)$, one furthermore verifies that

$$A_p^* = N_p^*(K, K, K, \dots, K) \quad \text{and} \quad B_p^* = F^*(L, M, \dots, M).$$

By Lemma 2, $\mathfrak{S}_p^3 \subseteq \mathfrak{S}\mathfrak{G}$, which completes the proof of Lemma 4.

EXAMPLE 4. Consider the 2-place function F over N_3 defined by

$$F(1, 1) = F(1, 2) = F(1, 3) = 2; \\ F(2, 2) = F(3, 1) = F(3, 2) = 3; \\ F(3, 3) = F(2, 1) = F(2, 3) = 1.$$

Martin [1] has proved that this function F in conjunction with I and J generates all 3^3 2-place functions over N_3 . If we define G by $G(x, y) = F(y, x)$ for each (x, y) in N_3^2 , then the set $\{F, G\}$ is easily seen to be perfect and, therefore, by Lemma 1, includes I and J . It follows that $\mathfrak{S}\{F, G\} = \mathfrak{S}_3^3$.

EXAMPLE 5. For any $m > 3$, consider the 2-place function F defined by

$$F(i, i) = i+1 \quad \text{for} \quad 1 \leq i \leq m-1, \quad F(m, m) = 1, \\ F(1, 2) = F(1, 4) = 2, \quad F(2, 3) = F(2, 4) = 1, \\ F(x, y) = x \quad \text{for all other pairs } (x, y) \text{ in } N_m^2.$$

Set $F = F_1$ and $F(F_k, F_k) = F_{k+1}$, and define 1-place functions g_k over N_m for $1 \leq k \leq m$ by setting $g_k(i) = F_k(i, i)$ for $1 \leq i \leq m$. Since $g_1(i) \equiv i+1 \pmod{m}$ it is clear that these m functions g_k are the m cyclical permutations of $(1, 2, \dots, m)$. From the definition of F , one further sees that $t = F(g_m, g_1)$ is the transposition interchanging 1 and 2, and that $h = F(g_m, g_2)$ has the values $h(1) = h(2) = 1$ and $h(k) = k$ for $3 \leq k \leq m$. It is well known that g_1, t , and h generate all m^m functions in \mathfrak{S}_m^1 . Since the functions $T = F(F_m, F_1)$ and $H = F(F_m, F_2)$ belong to $\mathfrak{S}\{F\}$ and

$$F(i, i) = g_1(i), \quad T(i, i) = t(i), \quad H(i, i) = h(i) \quad \text{for} \quad 1 \leq i \leq m,$$

it is clear that, for each function u in \mathfrak{S}_m^1 , the set $\mathfrak{S}\{F\}$ contains a function U such that $U(j, j) = u$; that is to say, $U(i, i) = u(i)$, for $1 \leq i \leq m$. Hence $\mathfrak{S}\{F\}$ includes m^m mutually different functions.

We now define G by setting $G(x, x) = F(x, x)$,

$$G(1, 2) = 3, \quad G(2, 3) = 2, \quad \text{and} \\ G(x, y) = y \quad \text{for all other } (x, y) \text{ in } N_m^2.$$

It is easy to verify that the set $\{F, G\}$ is perfect. Hence $\mathfrak{S}\{F, G\}$ includes the 2-place selectors, I and J .

Slupecki [6] proved, for every natural number $m > 2$, an important theorem which may be formulated as follows. If H is any 2-place function over N_m which, for no f in \mathfrak{S}_m^1 is equal to either fI or fJ , then

$$\mathfrak{S}_m^2 \subseteq \mathfrak{S}\{g_1, t, h, H, I, J\}.$$

In Example 5, $\mathfrak{S}\{F, G\}$ includes I and J and U for every u in \mathfrak{S}_m^1 . By induction on the degree of 2-place functions relative to $\{g_1, t, h, F, I, J\}$ one sees that $\mathfrak{S}\{F, G\} = \mathfrak{S}_m^2$.

We abbreviate $I_1^{(p)}$ to I_1 and define, for each $p > 2$,

$$F_p = F(I_1, I_2), \quad G_p = G(I_1, I_2),$$

where F and G are the functions studied in Example 5 if $m > 3$, and the functions in Example 4 if $m = 3$. In any case, the set

$$\mathfrak{G} = \{F_p, G_p, I_3, \dots, I_p\}$$

is readily seen to be perfect, whence $\mathfrak{S}\{F_p, G_p, I_2, \dots, I_p\}$ includes all p -place functions. Now set

$$\mathfrak{G}^* = \{F, G, I_1, \dots, I_p\}.$$

By an inductive proof similar to that of Lemma 2, we see that the p -place functions in $\mathfrak{S}\mathfrak{G}$ and in $\mathfrak{S}\mathfrak{G}^*$ are the same. Hence $\mathfrak{S}\mathfrak{G} = \mathfrak{S}_m^p$.

We thus have the first half of

THEOREM II. *If $m > 1$ then, except for the case $m = p = 2$, the bounds given in Corollaries 3 and 2 of Theorem I are sharp; that is to say, there are p functions generating all p -place functions over N_m ; and there exists a homogeneous set of k functions generating m^{mk} functions. More specifically, there exists a homogeneous set of p functions including $p-2$ selectors and generating \mathfrak{S}_m^p ; and there exists a homogeneous set of k functions including $k-2$ selectors and generating m^{mk} functions.*

In order to obtain a homogeneous set of k functions generating the maximum number of functions, for any place-number $p > k$, consider a homogeneous set F of k functions, F_1, \dots, F_k , generating \mathfrak{S}_m^k . (F may be so chosen as to include $k-2$ selectors.) For $p > k$, set $F_1^{(p)} = F_1(I_1^{(p)}, I_2^{(p)})$ and

$$G = \{F_1^{(p)}, \dots, F_k^{(p)}\}.$$

The number of functions in $\mathfrak{S}F$ and in $\mathfrak{S}G$ is the same. Thus the k functions in G generate m^{mk} functions.

Addition in the proofs. In Remark 1, a function F in G is not necessarily obtainable by substituting functions belonging to $\mathfrak{S}\mathfrak{G}$ into a function belonging to G . Cf. [2] p. 291 for an example of two functions F and G in the 3-valued logic such that $F(X, Y) = G(X, Y) = G \neq F$ for each X, Y in $\{F, G\}$.

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