

sionalen euklidischen Raum, während die hier ausführlich konstruierte Menge X^{m+1} in den $(2m+2)$ -dimensionalen euklidischen Raum eingebettet ist. Wir haben die Menge X^{m+1} und nicht die Menge \tilde{X}^{m+1} konstruiert, da die Konstruktion von \tilde{X}^{m+1} komplizierter als die von X^{m+1} ist.

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Some mappings of ANR-sets

by

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Recently, in connection with a method of construction of ANR-sets ⁽¹⁾ having some paradoxical properties, K. Borsuk raised the following problem:

Given an ANR-set X . Take a sequence of mutually disjoint AR-sets X_1, X_2, \dots in X which have diameters converging to zero. Suppose ξ_i is a mapping of X_i such that $\xi_i(X_i)$ is an AR-set for $i = 1, 2, \dots$. Then the decomposition of X into the sets $\xi_i^{-1}(y)$, where $i = 1, 2, \dots$ and $y \in \xi_i(X_i)$, and the points belonging to $X - (X_1 \cup X_2 \cup \dots)$, is upper semicontinuous; thus it induces a mapping ξ of X . Is $\xi(X)$ an ANR-set?

We shall solve the problem in the affirmative for the case when $\xi(X)$ has a finite dimension (see Corollary 7 below). This will be done by showing that $\xi(X)$ is LC^n , i.e. homotopically locally connected in dimensions up to n (see [3], p. 506), for $n = 0, 1, \dots$. Therefore the condition that $\xi(X)$ is finitely dimensional plays an essential role in our result. It is now an open question if the above problem has an affirmative solution also for $\xi(X)$ with infinite dimension.

THEOREM. *Let $n = 0, 1, \dots$, let f be a continuous mapping of an ANR-set X and let X_1, X_2, \dots be subsets of X such that*

- (i) X_i are $(n-1)$ -connected ANR-sets ⁽²⁾ for $i = 1, 2, \dots$,
- (ii) $f(X_i)$ are n -connected ANR-sets for $i = 1, 2, \dots$,
- (iii) f is 1-1 on the set $X_0 = X - (X_1 \cup X_2 \cup \dots)$ and $f(X_i)$ are mutually disjoint sets for $i = 0, 1, \dots$ with diameters converging to zero as i tends to infinity.

Then the image $f(X)$ is LC^n .

Proof. Put

$$X_\infty = X \times \{0, 1, \frac{1}{2}, \frac{1}{3}, \dots\}$$

⁽¹⁾ By ANR-set (or AR-set) we understand a compact metric absolute neighbourhood (or absolute) retract.

⁽²⁾ A set is said to be n -connected if it is homotopically connected in dimensions up to n , i.e. if all its l -dimensional homotopy groups vanish for $l = 0, 1, \dots, n$. The (-1) -connectedness means that no condition is required.

and consider the decomposition of X_∞ into the sets $f^{-1}(y) \times \{0\}$, where $y \in f(X)$, the sets $f^{-1}(y) \times \{1/i\}$, where $y \in f(X)$ and $f^{-1}(y) \subset X_0 \cup \dots \cup X_i$, and the points belonging to $(X_{i+1} \cup X_{i+2} \cup \dots) \times \{1/i\}$, for every $i = 1, 2, \dots$. It is a decomposition of the whole space X_∞ , since the sets $f(X_i)$ are mutually disjoint according to (iii), and is upper semicontinuous by the continuity of f . It thus induces a continuous mapping f_∞ of X_∞ . Write

$$\begin{aligned} F_\infty &= f_\infty(X_\infty), \\ f_0 &= f_\infty|X \times \{0\}, \quad F_0 = f_\infty(X \times \{0\}), \\ f_i &= f_\infty|X \times \{1/i\}, \quad F_i = f_\infty(X \times \{1/i\}) \end{aligned}$$

for $i = 1, 2, \dots$. Then the sets F_0, F_1, \dots are mutually disjoint and F_0 is homeomorphic to $f(X)$. So it is sufficient to show that F_0 is LC^n .

For every $i = 1, 2, \dots$ we have $F_i = f_i(X \times \{1/i\})$, the mapping f_i is 1-1 on the set $[X - (X_1 \cup \dots \cup X_i)] \times \{1/i\}$ and the sets X_1, \dots, X_i are mutually disjoint, according to (iii). Thus their union $X_1 \cup \dots \cup X_i$ is an ANR-set, by (i). We also have $f_i((X_1 \cup \dots \cup X_i) \times \{1/i\}) = f_i(X_1 \times \{1/i\}) \cup \dots \cup f_i(X_i \times \{1/i\})$ and the union on the right is that of mutually disjoint sets homeomorphic to the sets $f(X_1), \dots, f(X_i)$, respectively. Thus it is also an ANR-set, by (ii). It follows from the Borsuk theorem (see [1], p. 250) that the compactum F_i is locally contractible for $i = 1, 2, \dots$. Hence F_1, F_2, \dots are LC^n .

But from $\lim_{t \rightarrow \infty} X \times \{1/t\} = X \times \{0\}$ we obtain

$$(1) \quad \lim_{t \rightarrow \infty} F_t = F_0$$

and all F_0, F_1, \dots are closed subsets of the compactum F_∞ . Consequently, by virtue of the Kuratowski theorem (see [2], p. 122, Theorem A₁), in order to show that F_0 is LC^n it is enough to prove that F_1, F_2, \dots are uniformly LC^n (see [2], p. 121, footnote). We are going to do it.

Namely, let p be an arbitrary point of F_0 , and U —an arbitrary open set in F_∞ to which p belongs. We ought to find an open subset V of F_∞ such that $p \in V$ and every continuous mapping of the l -dimensional sphere S_l ($l \leq n$) into $V \cap F_i$ is homotopic in $U \cap F_i$ with a constant mapping, i.e. $\varphi \simeq 0$ in $U \cap F_i$ for every $\varphi: S_l \rightarrow V \cap F_i$, $i = 1, 2, \dots$ and $l = 0, 1, \dots, n$.

Let us first observe that since $p \in F_0$, a positive integer j exists such that

$$(2) \quad p \in f_0(X_{j-1} \times \{0\}).$$

Next, we have $F_j \cap f_\infty(A) = f_\infty(A \cap f_\infty^{-1}(F_j)) = f_\infty(A \cap (X \times \{1/j\}))$ for every $A \subset X_\infty$, whence

$$(3) \quad F_j \cap f_\infty(A) = f_j(A \cap (X \times \{1/j\})).$$

Further, let $r_0: X_\infty \rightarrow X \times \{0\}$ be a retraction defined by the formula $r_0(x, t) = (x, 0)$ for $t = 0, 1, \frac{1}{2}, \dots$ and take another retraction r so that the diagram

$$\begin{array}{ccc} X_\infty & \xrightarrow{r_0} & X \times \{0\} \\ f_\infty \downarrow & & \downarrow f_0 \\ F_\infty & \xrightarrow{r} & F_0 \end{array}$$

be commutative, namely by putting $r = f_0 r_0 f_\infty^{-1}$. This is possible because the inverses of points under f_∞ are contained in those under $f_0 r_0$.

Setting

$$r_i = r|F_i$$

for $i = 1, 2, \dots$, we get $r_j^{-1}(p) = F_j \cap r^{-1}(p) = F_j \cap f_\infty(A)$, where $A = r_0^{-1}f_0^{-1}(p)$, and $f_0^{-1}(p) = f^{-1}(\tilde{p}) \times \{0\}$, where $\tilde{p} \in f(X_{j-1})$, according to (2) and the definition of f_0 . Hence

$$r_j^{-1}(p) = f_j(f^{-1}(\tilde{p}) \times \{1/j\})$$

by (3), and $f^{-1}(\tilde{p}) \subset X_{j-1}$. It follows from the definition of f_j that the set $r_j^{-1}(p)$ reduces to a single point, say p' .

Now, since r is a retraction of F_∞ to F_0 and $p \in U \cap F_0$, there exist, by (1), a positive integer k such that

$$(F_0 \cup \bigcup_{m=k}^{\infty} F_{j+m}) \cap r^{-1}(p) \subset U,$$

and an open subset V' of F_0 such that $p \in V'$ and

$$(F_0 \cup \bigcup_{m=k}^{\infty} F_{j+m}) \cap r^{-1}(V') \subset U.$$

Since $r_{j+m}^{-1}(V') = F_{j+m} \cap r^{-1}(V')$, we get

$$(4) \quad r_{j+m}^{-1}(V') \subset U \cap F_{j+m}$$

for $m \geq k$. Moreover, $r_j^{-1}(V')$ is an open subset of F_j and $\{p'\} = r_j^{-1}(p) \subset r_j^{-1}(V')$. But F_j is LC^n . Consequently, an open subset W' of F_j exists such that $p' \in W'$ and

$$(5) \quad \varphi \simeq 0 \text{ in } r_j^{-1}(V') \quad \text{for every } \varphi: S_l \rightarrow W',$$

$l = 0, 1, \dots, n$. We also can choose an open subset V'' of F_0 such that $p \in V''$ and $r_j^{-1}(V'') \subset W'$.

The sets $f_0(X_{j+i} \times \{0\})$ are homeomorphic to $f(X_{j+i})$ for $i = 1, 2, \dots$, respectively, and, by (iii), they are mutually disjoint and have diameters converging to zero as i tends to infinity. By (ii), they are compact subsets of F_0 . It follows that the decomposition of F_0 into the sets $f_0(X_{j+i} \times \{0\})$,

where $i = 1, 2, \dots$, and the remaining points, is upper semicontinuous, and therefore it induces a continuous mapping g_0 of F_0 . Since no set $f_0(X_{j+i} \times 0)$ meets $f_0(X_{j-1} \times 0)$ according to (iii), we get

$$g_0^{-1}g_0(p) = \{p\} \subset V'',$$

by (2). Hence putting

$$G = F_0 - g_0^{-1}g_0(F_0 - V''),$$

we obtain $G \subset V''$ and $p \in G$. Let G' be a component of G to which p belongs. Since the set F_0 , being homeomorphic to $f(X)$, is locally connected and G is an open subset of F_0 , the set G' is open in F_0 . Thus the set

$$W = r_j^{-1}(G')$$

is open in F_j and

$$(6) \quad W \subset r_j^{-1}(G) \subset r_j^{-1}(V'') \subset W'.$$

Moreover, the set $g_0^{-1}g_0(F_0 - V'')$ either does not intersect or contains the set $f_0(X_{j+i} \times 0)$ for $i = 1, 2, \dots$. Consequently, the set G and its component G' do the same, since all sets $f_0(X_{j+i} \times 0)$ are connected, by (ii). This yields

$$(7) \quad G' = g_0^{-1}g_0(G'),$$

and we see that the set W either does not intersect or contains the set $r_j^{-1}f_0(X_{j+i} \times 0)$ for $i = 1, 2, \dots$. On the other hand, we have

$$r_j^{-1}f_0(X_{j+i} \times 0) = F_j \cap r^{-1}f_0(X_{j+i} \times 0) = F_j \cap f_\infty(B),$$

where $B = r_0^{-1}f_0^{-1}f_0(X_{j+i} \times 0) = r_0^{-1}(X_{j+i} \times 0)$ by (iii). Hence (3) applied to $A = B$ gives

$$(8) \quad r_j^{-1}f_0(X_{j+i} \times 0) = f_j(X_{j+i} \times 1/j)$$

for $i = 1, 2, \dots$

The decomposition of F_j into the mutually disjoint sets $f_j(X_{j+i} \times 1/j)$, where $i = 1, \dots, m$, and the remaining points, is upper semicontinuous for $m = 1, 2, \dots$, and so it induces a continuous mapping g_m of F_j . By (8), the set W either does not intersect or contains $g_m^{-1}(y)$ for $y \in g_m(F_j)$. Then the inverses of points under $g_m|W$ coincide with the ones under g_m for $m = 1, 2, \dots$. It follows that the mapping $g_m|W$ is *proper* in the sense of Smale (see [4], p. 604), i.e. the inverses of compact sets under $g_m|W$ are compact, for $m = 1, 2, \dots$

Let $s_m(x, 1/j) = (x, 1/(j+m))$ for $x \in X$ and $m = 1, 2, \dots$. We get $r_0 s_m(x, 1/j) = r_0(x, 1/j)$ and can define a continuous mapping $h_m: F_j \rightarrow F_{j+m}$ so that the diagram

$$\begin{array}{ccc} X \times 1/j & \xrightarrow{s_m} & X \times 1/(j+m) \\ f_j \downarrow & & \downarrow f_{j+m} \\ F_j & \xrightarrow{h_m} & F_{j+m} \end{array}$$

is commutative, namely by putting $h_m = f_{j+m} s_m f_j^{-1}$ for $m = 1, 2, \dots$. The definition is right since the inverses of points under f_j lie in those under $f_{j+m} s_m$. Let us observe that the inverses of points under h_m are single points or subsets of $f_j(X_{j+i} \times 1/j)$, where $i = 1, \dots, m$ (compare the definition of f_∞ and f_i , p. 226). It follows that the inverses of points under $h_m|W$ are contained in those under $g_m|W$. The formula

$$u_m = (g_m|W)(h_m|W)^{-1}$$

defines thus a continuous mapping such that the diagram

$$\begin{array}{ccc} & W & \\ h_m|W \swarrow & & \searrow g_m|W \\ h_m(W) & \xrightarrow{u_m} & g_m(W) \end{array}$$

is commutative. Moreover, $g_m|W$ being a proper mapping, u_m is the same, according to the continuity of $h_m|W$, for $m = 1, 2, \dots$

Since $f_\infty^{-1} = f_i^{-1}$ on F_i , we get

$$r h_m(x) = f_0 r_0 f_{j+m}^{-1} f_{j+m} s_m f_j^{-1}(x) = f_0 r_0 f_j^{-1}(x) = r(x)$$

for $x \in F_j$, whence

$$(9) \quad r_j = r_{j+m} h_m$$

for $m = 1, 2, \dots$

We now define the desired open subset V of F_∞ (see p. 226) by setting

$$V = r^{-1}(G') - (F_1 \cup \dots \cup F_{j+k-1})$$

and verify its properties as follows.

The point p belongs to F_0 , that is $p = r(p)$, and so $p \in r^{-1}(p) \subset r^{-1}(G')$. The set F_0 being disjoint with any set F_i for $i = 1, \dots, j+k-1$, we conclude that $p \in V$. Furthermore, $V \cap F_{j+m} = r_{j+m}^{-1}(G')$ for $m \geq k$, whence

$$(10) \quad V \cap F_{j+m} = h_m h_m^{-1} r_{j+m}^{-1}(G') = h_m r_j^{-1}(G') = h_m(W)$$

for $m \geq k$, according to (9).

Now, let us point out that our theorem trivially holds for $n = 0$. We can thus assume that $n > 0$.

Then the superposition $g_0 r_j$ is a monotone mapping. Indeed, for $z \in g_0(F_0)$, the inverse $g_0^{-1}(z)$ is either 1° a single point y belonging to $f_0(X_i \times 0)$, where $i = 0, \dots, j$, or 2° a set $f_0(X_{j+i} \times 0)$, where $i = 1, 2, \dots$. If 1°, we have

$$(g_0 r_j)^{-1}(z) = r_j^{-1} g_0^{-1}(z) = r_j^{-1}(y)$$

and confirm, in the same way as previously for p (see p. 227) instead of y , that the set $r_j^{-1}(y)$ reduces to a single point. If 2°, we obtain

$$(g_0 r_j)^{-1}(z) = r_j^{-1} f_0(X_{j+i} \times 0),$$

whence by (8) the inverse of z under $g_0 r_j$ is the set $f_j(X_{j+i} \times 1/j)$, homeomorphic to X_{j+i} by the definition of f_j . It is therefore connected according to (i) and the inequality $n > 0$.

But since (7) implies

$$W = r_j^{-1}(G') = (g_0 r_j)^{-1} g_0(G'),$$

the connectedness of G' yields that of W .

Let $m \geq k$ be an integer. Then the set $h_m(W)$ is open in F_{j+m} according to (10). The compacta F_j and F_{j+m} being LC^n , their open subsets W and $h_m(W)$, respectively, are LC^n too. They are also locally compact and 0-connected, since W is connected.

Furthermore, the inverse of any point under $g_m|W$ is that under g_m , i.e. it is a single point or one of the sets $f_j(X_{j+i} \times 1/j)$, where $i = 1, \dots, m$. As we have just asserted, all these sets are homeomorphic to X_{j+i} , respectively. Hence the inverses of points under $g_m|W$ are $(n-1)$ -connected ANR-sets by (i). Consequently, the inverse of any point under u_m is a single point or one of the sets

$$h_m f_j(X_{j+i} \times 1/j) = f_{j+m} g_m f_j^{-1} f_j(X_{j+i} \times 1/j) = f_{j+m}(X_{j+i} \times 1/(j+m)),$$

where $i = 1, \dots, m$. These sets are homeomorphic to $f(X_{j+i})$, respectively, as we have already seen (compare p. 226), and so the inverses of points under u_m are n -connected ANR-sets by (ii).

The mappings $g_m|W$ and u_m being proper, we thus conclude from the Smale theorem (see [4], p. 604) that the homomorphism

$$(g_m|W)_*: \pi_l(W) \rightarrow \pi_l(g_m(W))$$

of homotopy groups induced by $g_m|W$ is an epimorphism and that

$$u_{m*}: \pi_l(h_m(W)) \rightarrow \pi_l(g_m(W))$$

is a monomorphism for every $l = 0, \dots, n$. Hence in view of the equality

$$(g_m|W)_* = u_{m*}(h_m|W)_*$$

$(h_m|W)_*$ is an epimorphism in all dimensions $l = 0, \dots, n$.

Now, every mapping $\varphi: S_l \rightarrow V \cap F_i$, where $i = 1, 2, \dots$ and $l = 0, \dots, n$, must satisfy $j+k \leq i$ because $V \cap F_i = 0$ for $i < j+k$. So $i = j+m$, where $m \geq k$. Then $V \cap F_i = h_m(W)$ by (10). Since $(h_m|W)_*$ is an epimorphism, there exists a mapping $\psi: S_l \rightarrow W$ such that $\varphi \simeq h_m \psi$ in $h_m(W)$. It follows from (5) and (6) that $\psi \simeq 0$ in $r_j^{-1}(V')$, whence

$$\varphi \simeq h_m \psi \simeq 0 \quad \text{in} \quad h_m r_j^{-1}(V') = r_{j+m}^{-1}(V') \subset U \cap F_{j+m} = U \cap F_i$$

by (4) and (9). This completes the proof of the theorem.

Remarks. In the case where all $f(X_i)$ are single points ($i = 1, 2, \dots$) the theorem is a simple consequence of the Smale theorem (see [4], p. 604). Easy examples show that each of conditions (i)-(iii) is necessary. Analyzing our proof one sees that condition

(a) the diameters of $f(X_i)$ converge to zero when $i \rightarrow \infty$,

given by (iii), has been used only when we assert that the decomposition of $f(X)$ into the sets $f(X_i)$, where $i \geq j$, and the remaining points, is upper semicontinuous for every $j = 1, 2, \dots$ (cf. p. 227). The last statement is, however, equivalent to (a).

The following example shows that condition (a) cannot be replaced by a weaker one, namely by

(b) there is a homeomorphism h of the union $f(X_1) \cup f(X_2) \cup \dots$ such that the diameters of $h f(X_i)$ converge to zero when $i \rightarrow \infty$.

Indeed, let I^2 be the unit square on the plane and X_i —an arc in I^2 composed of two straight segments one of which joins the points $(1/(2i-1), 0)$ and $(1/2i, 1)$ and another—the points $(1/2i, 0)$ and $(1/2i, 1)$ for $i = 1, 2, \dots$. Consider the identification of points belonging to the same X_i and having the same ordinate. This determines a mapping f of I^2 such that all X_i and $f(X_i)$ are ANR-sets ($i = 1, 2, \dots$), f is 1-1 outside of $X_1 \cup X_2 \cup \dots$ and the image $f(I^2)$ is not LC^1 . Here (b) holds, but (a) does not.

The preceding theorem states a local regularity of images of compacta under those mappings of special kind that are investigated here. This allows us to deduce corollaries, some of which say that also a total regularity of spaces is preserved.

COROLLARY 1. Let X , f and n satisfy the hypotheses of the theorem (see p. 225). If $\dim f(X) \leq n$, then $f(X)$ is an ANR-set.

For if an n -dimensional compact metric space is LC^n , it is an ANR-set (see [3], p. 289).

COROLLARY 2. Let X , f and n satisfy the hypotheses of the theorem. If $x \in X$, then f induces an epimorphism

$$f_*: \pi_l(X, x) \rightarrow \pi_l(f(X), f(x))$$

of homotopy groups for $l = 0, 1, \dots, n$.

In fact, according to (iii), the decomposition of $f(X)$ into the sets $f(X_i)$, where $i = 1, 2, \dots$, and the points belonging to $f(X_0)$, is upper semicontinuous. It thus induces a continuous mapping g of $f(X)$ such that the inverses of points under g are elements of this decomposition, and so they are n -connected ANR-sets by (ii). Consequently, the inverse of a point under the superposition gf is a single point or one of the sets X_1, X_2, \dots by (iii). It is therefore an $(n-1)$ -connected ANR-set by (i). Since both compacta X and $f(X)$ are LC^n by our theorem, it follows from the Smale theorems that

$$g_*: \pi_l(f(X), f(x)) \rightarrow \pi_l(gf(X), gf(x))$$

is a monomorphism (see [4], p. 607, Theorem 8) and

$$(gf)_*: \pi_l(X, x) \rightarrow \pi_l(gf(X), gf(x))$$

is an epimorphism (see [4], p. 608-609, Theorems 9 and 11) for $l = 0, \dots, n$. Hence f_* is an epimorphism, as $(gf)_* = g_*f_*$.

COROLLARY 3. Let X , f and n satisfy the hypotheses of the theorem (see p. 225). If X is n -connected, then $f(X)$ is also n -connected.

This instantly follows from Corollary 2.

COROLLARY 4. Let X , f and n satisfy the hypotheses of the theorem. If X is n -connected and $\dim f(X) \leq n$, then $f(X)$ is an AR-set.

For $f(X)$ is an n -connected ANR-set by Corollaries 1 and 3 (see [3], p. 289).

COROLLARY 5. Let X , f and n satisfy the hypotheses of the theorem with conditions (i) and (ii) replaced by condition

(iv) X_i and $f(X_i)$ are n -connected ANR-sets for $i = 1, 2, \dots$

If $x \in X$, then f induces an isomorphism

$$f_*: \pi_l(X, x) \approx \pi_l(f(X), f(x))$$

of homotopy groups for $l = 0, 1, \dots, n$.

Indeed, taking the mapping g , as previously after Corollary 2, we see that the inverses of points under g as well as under gf are n -connected ANR-sets by (iv). It follows from the same Smale theorems that both g_* and $(gf)_*$ are isomorphisms, whence $f_* = g_*^{-1}(gf)_*$ is an isomorphism.

COROLLARY 6. Let X , f and n satisfy the hypotheses of the theorem with conditions (i) and (ii) replaced by condition (iv). If $\dim X \leq n$ and $\dim f(X) \leq n$, then f is a homotopy equivalence, and so X and $f(X)$ are of the same homotopy type.

In fact, the compacta X and $f(X)$ are both ANR-sets by Corollary 1. Then X has a finite number of components C_1, \dots, C_k each of which is a 0-connected ANR-set with dimension less than or equal to n . By (iv), all sets X_i are connected ($i = 1, 2, \dots$), whence the sets $f(C_1), \dots, f(C_k)$ are mutually disjoint according to (iii); thus they are components of $f(X)$, i.e. 0-connected ANR-sets with dimensions less than or equal to n . It follows that the hypotheses of the theorem (see p. 225) are satisfied for C_j and $f|C_j$ instead of X and f ($j = 1, \dots, k$), respectively, and with conditions (i) and (ii) replaced by condition (iv). In view of the well-known fact that every m -dimensional ANR-set is dominated by an m -dimensional finite simplicial complex, we conclude from Corollary 5 and from the Whitehead theorem (see [5], p. 1133) that each $f|C_j$ is a homotopy equivalence for $j = 1, \dots, k$. Hence f is also a homotopy equivalence.

COROLLARY 7. Let f be a continuous mapping of a space X and let X_1, X_2, \dots be subsets of X satisfying condition (iii) and

(v) X_i and $f(X_i)$ are AR-sets for $i = 1, 2, \dots$

If the image $f(X)$ has a finite dimension and X is an ANR-set (or AR-set), then $f(X)$ is also an ANR-set (or AR-set). Further, if X is an ANR-set and both X and $f(X)$ have finite dimensions, then they are of the same homotopy type.

Since (v) implies (iv) for every $n = 0, 1, \dots$, Corollary 7 directly follows from Corollaries 1, 4 and 6.

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