

Nilpotent free groups

by

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The following theorem, proved by A. I. Malcev in [3], will be denoted further by (M).

(M) *Let G be a nilpotent free group of class c , and X a subset of G of the cardinality $|X| > 1$. Then X is a nilpotent free generating system for some subgroup of G , of the same class c , if and only if X is linearly independent modulo the derived subgroup G' .*

This paper consists of two parts. The first is devoted to a group theoretical proof of (M). The proof is based on the following theorem.

(T) *If x_1, \dots, x_i, \dots is a free, or nilpotent free, generating system of a free, or nilpotent free, group G , then a system $x_1^{n_1} \cdot x'_1, \dots, x_i^{n_i} \cdot x'_i, \dots$ is free, or nilpotent free, for any $x'_i \in G'$, and for any positive integers n_i .*

The proof of (T) essentially needs M. Hall's theory of basic commutators exposed, for example, in [1].

The second part contains theorems that can be derived from (M):

THEOREM 1. *A subgroup H of a nilpotent free group G is a nilpotent free group if and only if it satisfies the condition $H' = H \cap G'$ or is a cyclic group. (H' and G' are the derived subgroups of H and G .)*

THEOREM 2. *Every retract of a nilpotent free group G , is a nilpotent free factor of G and a nilpotent free subgroup of G .*

The analogous statement fails for retracts of free or solvable free groups, see [4].

THEOREM 3. *An endomorphism of a nilpotent free group G is an automorphism of that group if and only if it induces an automorphism of G/G' .*

The terminology of the paper is the same as that in the book of M. Hall [1]. Some basic notions concerning varieties of nilpotent groups are listed in an introductory part. They can be found partly in papers [2], [5] and [6], and partly in the book quoted.

1. We define recursively the simple commutators as: $(x_1) = x_1$, $(x_1, x_2) = x_1^{-1} \cdot x_2^{-1} \cdot x_1 \cdot x_2$, and $(x_1, \dots, x_{n+1}) = ((x_1, \dots, x_n), x_{n+1})$. A group

G is nilpotent of the class c , $c = 1, 2, \dots$, if $(g_1, \dots, g_{c+1}) = 1$ for all $g_1, \dots, g_{c+1} \in G$. In this case we say briefly that G has nil- c . All groups having nil- c form a variety of groups (i.e. an equationally definable class of groups); thus we can speak about nil- c -free groups and nil- c -free products for every $c = 1, 2, \dots$. For $c = 1$ we deal with abelian free groups and direct products.

We denote by $\Gamma_n(G)$ the word subgroup of G defined by the word (x_1, \dots, x_n) , i.e. the subgroup generated by all (g_1, \dots, g_n) for $g_1, \dots, g_n \in G$. For $n = 2$, $\Gamma_2(G) = G'$ is the derived subgroup of G .

One can easily prove that $\Gamma_{n+1}(G) = (\Gamma_n(G), G)$ so that the sequence of fully invariant subgroups

$$G = \Gamma_1(G) \supseteq \Gamma_2(G) \supseteq \dots \supseteq \Gamma_n(G) \supseteq \dots$$

is the lower central series of G .

Let X be a generating system of a group G . We shall call X a nil- c -free generating system of G if and only if there exists a free⁽¹⁾ group F , freely generated by some system Y , such that G can be represented as the factor group $F/\Gamma_{c+1}(F)$, in such a manner that between Y and X the representation is one to one. A group which has a nil- c -free generating system will be called a nil- c -free group. A system of a group which is a nil- c -free generating system for a subgroup will be called in the sequel a nil- c -free system.

If X is a nil- c -free generating system of G , then the image of X under the natural homomorphism of G onto G/G' is an abelian free generating system of G/G' . It simply means that G/G' is a direct product of cyclic groups generated by the images of elements belonging to X . This gives us a base for the following definition.

DEFINITION. A system X of a group G is linearly independent modulo the derived subgroup G' if and only if the image of X into G/G' is an abelian free system.

Now we can formulate some statements about nil- c -free systems.

P1. A system X of any group is a nil- c -free system if and only if:

1. The subgroup $\{X\}$ generated by X has nil- c .

2. Every mapping of X into any group having nil- c , can be extended to the homomorphism of $\{X\}$ into that group.

P2. A system is nil- c -free if and only if it is a locally nil- c -free system, i.e. if every finite subsystem is nil- c -free.

⁽¹⁾ In the sequel "free" without any "prefixes" always means free in the variety of all groups.

P3. If $x_1, \dots, x_i, \dots, x_j, \dots$ is a nil- c -free generating system of G , then the following three systems:

$$\begin{aligned}
 & x_1, \dots, x_j, \dots, x_i, \dots, \\
 (*) & x_1, \dots, x_i^{-1}, \dots, x_j, \dots, \\
 & x_1, \dots, x_i \cdot x_j, \dots, x_j, \dots \quad (i \neq j),
 \end{aligned}$$

are nil- c -free generating systems of G .

Property P1 easily follows from the definition since $\Gamma_{c+1}(G)$ is a fully invariant subgroup of G ⁽²⁾. Properties P2 and P3 may easily be checked by P1.

Now we report some facts about nilpotent groups.

P4. For any group G , if $f \in \Gamma_k(G)$, $g \in \Gamma_l(G)$, and $h \in \Gamma_m(G)$, then

$$4.1. (f, g) \equiv 1 \pmod{\Gamma_{k+l}(G)},$$

$$4.2. (f \cdot g, h) \equiv (f, h) \cdot (g, h) \pmod{\Gamma_{k+l+m}(G)},$$

$$4.3. (h, f \cdot g) \equiv (h, f) \cdot (h, g) \pmod{\Gamma_{k+l+m}(G)}.$$

P5. If F is a free group freely generated by r elements, then $\Gamma_n(F)/\Gamma_{n+1}(F)$ are for $n = 1, 2, \dots$, abelian free groups. Their dimensions $M_r(n)$, are defined by Witt formulas

$$(**) \quad M_r(n) = 1/n \sum_{d|n} \mu(d) \cdot r^{n/d}$$

where $\mu(d)$ denotes the Möbius function of d .

For the proof of P4 and P5 we refer the reader to a paper of Witt [6] or to M. Hall's book [1] cited above. In this book the reader will find a proof of the following property of nilpotent groups quoted below as (P).

(P) If H is a subgroup of G and $H \cdot G' = G$, then $H = G$.

The meaning of this is the following: If, for a nilpotent group G , we take in G/G' a generating system, then every preimage in G of that system generates G .

The remaining of this part is devoted to the proof of (M). The definition of linear independence given in the introduction may be stated in a more operative form as follows:

A system z_1, z_2, \dots of a group G is linearly independent modulo G' if and only if from the relation $z_{i_1}^{m_1} \dots z_{i_l}^{m_l} \in G'$, $i_1 < \dots < i_l$, it follows that $z_{i_1}^{m_1} = 1, \dots, z_{i_l}^{m_l} = 1$.

⁽²⁾ Analogous to properties P1-P3 are generally valid for free systems in a variety. In this case it is convenient to take the property analogous to P1 as a definition.

elements: the element $g \in G'$ and a new element h : If the length s of relation (4) is (i) $s = 1$, then h is any element of X , $h \neq x_1$; (ii) if $s > 1$, then $h = x_2$. In the case (i) it is a subsystem of (5). In the case (ii) the situation is more complicated; then we replace z_1, z_2, \dots, z_s of (5) by $z_1 \cdot z_2 \dots z_s = g, z_2, \dots, z_s$. Using P3 we prove that the changed system (5) is nil- c -free. Evidently this system contains g, h as a subsystem. In both cases it is proved that the system g, h is nil- c -free; the group $K = \{g, h\}$ is nil- c -free. Then according to the Witt theorem given by the formula (**) the group $\Gamma_c(K)$ is abelian free of the dimension $M_2(c) \neq 0$.

Since $g \in G'$, we prove by induction on i that $\Gamma_i(K) \subset \Gamma_{i+1}(G)$, $i = 1, \dots, c$. The group G has nil- c ; then $\Gamma_c(K) \subset \Gamma_{c+1}(G) = \{1\}$. It is contrary to the fact that the dimension of $\Gamma_c(K)$ is different from zero.

This proves that no relation such as (4) takes place, and X is linearly independent modulo G' , as required.

Lemma 3 is the first half of theorem (M). The second half can be deduced as follows:

Let X be a linearly independent system, modulo the derived subgroup of a nil- c -free group G . Let u_1, \dots, u_r be a finite subsystem of X . Then we use Lemma 1, and transform, by operations $(*)$, u_1, \dots, u_r onto the regular system z_1, \dots, z_r . By (I) this system is nil- c -free. Since the inverse to the transformation $(*)$ is also a transformation $(*)$, we prove by P3 that u_1, \dots, u_r is a nil- c -free system.

We have proved that every finite subsystem of X is nil- c -free; now P2 finishes the proof of (M).

In the same way we can deduce the following:

COROLLARY. *Every system of a free group linearly independent modulo the derived subgroup is a free system.*

2. In this part we give some results derived from (M). Let G be any nilpotent group such that G/G' is an abelian free group, and let a subgroup H satisfy the condition

$$(6) \quad H' = H \cap G',$$

where $H' = (H, H)$ is a derived subgroup of H , and $G' = (G, G)$ a derived subgroup of G .

The subgroup $H \cdot G'/G'$ of G/G' is an abelian free group. Denote an abelian free generating system of this subgroup by $\bar{y}_1, \bar{y}_2, \dots$. Choose any elements y_1, y_2, \dots of H such that $h(y_1) = \bar{y}_1, h(y_2) = \bar{y}_2, \dots$; there h is the natural homomorphism of G onto G/G' . By (6) and by the second isomorphism theorem, groups $H \cdot G'/G'$ and H/H' are isomorphic. The superposition of h and that isomorphism maps the system y_1, y_2, \dots onto an (abelian free) generating system of H/H' . Using property (P) we prove that y_1, y_2, \dots is a generating system of H . This system is linearly

independent modulo G' , because the images under h form an abelian free system. We formulate this fact as a lemma.

LEMMA 4. *Let G be any nilpotent group (not necessarily nil- c -free) such that G/G' is abelian free. Then every subgroup H satisfying condition (6) is generated by a system linearly independent modulo G' .*

Now let G be any group (not necessarily nilpotent), and H a subgroup of G , generated by a system linearly independent modulo G' . Our aim is to prove that H satisfies condition (6).

The inclusion $H' \subset H \cap G'$ is evident. We prove the inverse inclusion. Let $g \in H \cap G'$. Then g as an element of H may be represented in the following form: $g = y_1^{n_1} \dots y_s^{n_s} \cdot k, k \in H'$, where y_1, \dots, y_s denote elements that are from the generating system of H which is linearly independent modulo G' . Since $g \in G'$, we have a relation $y_1^{n_1} \dots y_s^{n_s} = g \cdot k^{-1} \in G'$ between the elements of the linearly independent system. This proves that $y_1^{n_1} = 1, \dots, y_s^{n_s} = 1$, and therefore $g \cdot k^{-1} = 1$. It means that $g = k \in H'$ and proves the inclusion $H \cap G' \subset H'$.

This proves the lemma

LEMMA 5. *If a subgroup H of a group G (not necessarily nilpotent) is generated by a system linearly independent modulo G' , then H satisfies condition (6).*

Both lemma proved in connection with (M) give

THEOREM 1. *A subgroup H of a nil- c -free group G is a nil- c -free group if only if it satisfies condition (6)*

$$H' = H \cap G'$$

or is a cyclic group.

Now we give a definition of a retract of a group: A subgroup H is called a *retract* of a group G if there exists an endomorphism e of G onto H , such that $e(h) = h$ for $h \in H$. We prove the following lemma:

LEMMA 6. *If a subgroup H is a retract of a group G , then $H' = H \cap G'$.*

Proof. For any subgroup we have an inclusion $H' \subset H \cap G'$; thus we need only to prove opposite inclusion $H \cap G' \subset H'$. Suppose $h \in H \cap G'$ and suppose that e is the endomorphism retracting G onto H . Since for any endomorphism e of G onto H , $e(G') \subset H'$, it follows by $h \in G'$ that $e(h) \in H'$. But $h \in H$; then $e(h) = h$ for that endomorphism e . This proves that $h \in H'$, and completes the proof.

In the paper [2] P. Hall has proved that if H is a retract of G , then the subgroup $H \cdot G'/G'$ is a direct factor of G/G' . This fact connected with (M) is a basis for the following theorem.

THEOREM 2. *A retract H of a nil- c -free group G is a nil- c -free group and a nil- c -free factor of G .*

Proof. According to lemmas 6 and 4 there exists a generating system y_1, y_2, \dots of H , which is linearly independent modulo G' . Let $\bar{y}_1 = h(y_1), \bar{y}_2 = h(y_2), \dots$ be the image of this system under the natural homomorphism h of G onto G/G' . Basing ourselves on the result of P. Hall we can complete the $\bar{y}_1, \bar{y}_2, \dots$ by some $\bar{z}_1, \bar{z}_2, \dots$ to an abelian free generating system of G/G' . Using property (P) we infer that any system z_1, z_2, \dots such that $h(z_1) = \bar{z}_1, h(z_2) = \bar{z}_2, \dots$, generates together with y_1, y_2, \dots the whole group G . We have thus found a generating system of G ,

$$(7) \quad y_1, y_2, \dots, z_1, z_2, \dots,$$

linearly independent modulo G' .

Now we infer from (M) that (7) is a nil- c -free generating system of G . Its part y_1, y_2, \dots generates H . This completes the proof.

If h is the natural homomorphism of a group G onto G/G' , and e any endomorphism of G , then there exists a unique endomorphism e' of G/G' such that following diagram is commuting (?).

$$\begin{array}{ccc} & h & \\ G & \rightarrow & G/G' \\ e \downarrow & h & \downarrow e' \\ G & \rightarrow & G/G' \end{array}$$

We shall write that e' is "induced" by e .

Let the group G be nil- c -free, and let y_1, y_2, \dots be its nil- c -free generating system. For the mappings h and e we put $e(y_i) = z_i, h(y_i) = \bar{y}_i$ and $h(z_i) = \bar{z}_i$. Then for the induced endomorphism $e', e'(\bar{y}_i) = \bar{z}_i$. If e is an automorphism of G , then z_1, z_2, \dots is a nil- c -free generating system of G , and therefore $\bar{z}_1, \bar{z}_2, \dots$ is an abelian free generating system of G/G' . This proves that the induced mapping e' is an automorphism of G/G' .

Conversely, if the induced mapping e' is an automorphism of G/G' , then $\bar{z}_1, \bar{z}_2, \dots$ is an abelian free generating system of G/G' . Then the system z_1, z_2, \dots is, by (P), a generating system of G , and it is linearly independent modulo G' . This proves that z_1, z_2, \dots is a nil- c -free generating system of G ; therefore the endomorphism e is an automorphism of G . We have thus proved the following theorem.

THEOREM 3. *An endomorphism of a nil- c -free group G is an automorphism of G if and only if it induces an automorphism of G/G' .*

Note that this theorem has been proved for the group G finitely or infinitely generated.

(?) It means that $e'h = he$.

References

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