

Remarquons que le théorème peut être faux sans l'hypothèse que G soit connexe. En effet, soit $G = \{0, 1\}$ un groupe de deux éléments, chacun de mesure $1/2$. Soit $A = B = \{0\}$. Alors, $A + B = \{0\}$.

THÉOREME 2. Soit G un groupe topologique compact quelconque. Soient $A, B \subset G$ mesurables avec $m(A) + m(B) > 1$. Alors on a $A + B = G$.

En effet, soit $x \in G$. Alors, $m(x - A) = m(A)$, donc $(x - A) \cap B \neq \emptyset$ (l'ensemble vide), ce qui implique que $x \in A + B$, c. q. f. d.

Travaux cités

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A property of plane homeomorphisms

by

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In a real Euclidean plane E let (x, y) denote the Cartesian coordinates of a point p , and let Θ denote the set of all homeomorphisms of E onto itself which are of the form

$$(x, y) \rightarrow (x', y')$$

where

$$x' = x, \quad y' = \Phi(x, y) \quad \text{or} \quad x' = \Phi(x, y), \quad y' = y.$$

It is supposed that either the first alternative holds for every point (x, y) of E or the second alternative holds for every point of E . Denote by \mathcal{E} the group formed by all finite superpositions of any of the transformations of Θ . S. Ulam¹⁾ has raised the question as to whether it is possible to approximate to any arbitrary homeomorphism of the plane onto itself by members of \mathcal{E} .

The solution of the problem depends upon the meaning to be assigned to the word "approximate". In § 1 of this paper it is shown that if the approximation is to be uniform then the answer is in the negative, that is to say, if for any two homeomorphisms $\mathfrak{H}_1, \mathfrak{H}_2$ of the plane E we write

$$\delta(\mathfrak{H}_1, \mathfrak{H}_2) = \text{up. bd. } \varrho(\mathfrak{H}_1(p), \mathfrak{H}_2(p)),$$

where ϱ denotes the Euclidean distance, then a homeomorphism \mathfrak{G} can be constructed such that for any member \mathfrak{H} of \mathcal{E} , $\delta(\mathfrak{H}, \mathfrak{G}) > 1$.

The example that is constructed here, depends essentially upon the fact that the plane is not compact. If we restrict ourselves to compact subsets the situation is different. In §§ 2 and 3 we prove that if S is a closed square with its sides parallel to the coordinate axes and if Θ' is the subclass of the members of Θ which leave each frontier point of S fixed and if \mathcal{E}' is the group generated by finite superpositions of mem-

¹⁾ S. Ulam, *Problème 60*, Fundamenta Mathematicae 24 (1935), p. 324.

bers of \mathcal{O}' ; then given any plane homeomorphism \mathfrak{G} of S onto itself that leaves the frontier points of S fixed, there exists a sequence of homeomorphisms \mathfrak{H}_n belonging to \mathcal{E}' such that

$$\delta'(\mathfrak{H}_n, \mathfrak{G}) = \sup_{p \in S} \text{bd. } \varrho(\mathfrak{H}_n(p), \mathfrak{G}(p)) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

The result proved in § 3 is used in § 4 to show that if we interpret $\mathfrak{H}_n \rightarrow \mathfrak{G}$ as $n \rightarrow \infty$ to mean that $\mathfrak{H}_n(p) \rightarrow \mathfrak{G}(p)$ for every point p of E then to any given homeomorphism \mathfrak{G} corresponds a sequence of homeomorphisms \mathfrak{H}_n , belonging to \mathcal{E} such that $\mathfrak{H}_n \rightarrow \mathfrak{G}$ as $n \rightarrow \infty$.

§ 1. Uniform approximation

Let A denote a simple arc with end-points a_1, a_2 in the plane E and let p be any point of the plane which does not belong to A . Let q denote a variable point on A . Consider the change in direction of the line pq , directed from p to q when q describes A from a_1 to a_2 . If we fix on a direction through p from which to measure angles, say pl , take a particular sense of rotation as positive and assign a particular appropriate value to $\sphericalangle a_1 pl$, then there will be two points (or more) of A say q' and q'' such that for all q of A ,

$$\sphericalangle q' pl < \sphericalangle q pl < \sphericalangle q'' pl.$$

Write

$$(1) \quad \sphericalangle q'' pl - \sphericalangle q' pl = \alpha(A, p),$$

$$(2) \quad \beta(A) = \sup_{p \in E-A} \text{bd. } \alpha(A, p).$$

$\alpha(A, p)$ is independent of the sense of description of A and of the particular way in which the angles $\sphericalangle q pl$ are measured. It is always a positive or zero number. It is also finite (for if it were infinite A would wind round p infinitely often and since p is at a positive distance from A this would mean that A was not locally connected) but $\beta(A)$ may be infinite. However we shall use this function of A only when it is finite. We then have the following lemma:

LEMMA 1. *If $\beta(A)$ is finite and H is a homeomorphism of the class \mathcal{O} then*

$$(3) \quad \beta(\mathfrak{H}(A)) \leq 2\pi + \beta(A).$$

Suppose that \mathfrak{H} is $(x, y) \rightarrow (x', y')$ where

$$x' = x, \quad y' = \Phi(x, y).$$

If H is of the alternative form the argument is similar with x and y interchanged. Since when p varies over $E-A$, $\mathfrak{H}(p)$ varies over $E-\mathfrak{H}(A)$ it is sufficient to show that for any p of $E-A$

$$(4) \quad \alpha(\mathfrak{H}(A), \mathfrak{H}(p)) \leq 2\pi + \alpha(A, p).$$

In proving (4) we assume (without loss of generality since $\alpha(A, p)$ varies continuously with A) that A is a polygonal arc with no segments parallel to the y -axis. Let A' be a minimal subarc of A for which

$$(5) \quad \alpha(\mathfrak{H}(A'), \mathfrak{H}(p)) = \alpha(\mathfrak{H}(A), \mathfrak{H}(p)).$$

If A' degenerates to a single point the result is trivially true, otherwise let p be the point (x_1, y_1) .

Firstly consider the case when A' does not intersect the line $x=x_1$. Then $\mathfrak{H}(A')$ does not intersect the line $x=x_1$. Thus, since this line contains the point $\mathfrak{H}(p)$,

$$(6) \quad \alpha(\mathfrak{H}(A), \mathfrak{H}(p)) = \alpha(\mathfrak{H}(A'), \mathfrak{H}(p)) \leq \pi \leq 2\pi + \alpha(A, p).$$

Next consider the case when A' does intersect the line $x=x_1$. Let A'' have end-points e, f and meet the line $x=x_1$ in points whose order on A' from e to f is p_1, p_2, \dots, p_n where e may be p_1 and f may be p_n . Since the subarc ep_1 of A' lies entirely (except for the point p_1) on one side of $x=x_1$, so does the subarc $\mathfrak{H}(ep_1)$ of $\mathfrak{H}(A')$. Similarly $\mathfrak{H}(fp_n)$ lies entirely on one side of $x=x_1$. Thus if the line $\mathfrak{H}(p)m$ is in a fixed direction through $\mathfrak{H}(p)$ we have,

$$(7) \quad \alpha(\mathfrak{H}(A'), \mathfrak{H}(p)) \leq 2\pi + |\sphericalangle \mathfrak{H}(p_n) \mathfrak{H}(p) m - \sphericalangle \mathfrak{H}(p_1) \mathfrak{H}(p) m|.$$

Further

$$(8) \quad |\sphericalangle p_n pl - \sphericalangle p_1 pl| = |\sphericalangle \mathfrak{H}(p_n) \mathfrak{H}(p) m - \sphericalangle \mathfrak{H}(p_1) \mathfrak{H}(p) m|$$

and

$$(9) \quad \alpha(A, p) \geq \alpha(A', p) \geq |\sphericalangle p_n pl - \sphericalangle p_1 pl|.$$

Thus from (5), (7), (8), (9)

$$(10) \quad \alpha(\mathfrak{H}(A), \mathfrak{H}(p)) \leq 2\pi + \alpha(A, p),$$

and this is the required inequality (4).

We can now prove the main result which we state as a theorem.

THEOREM 1. *There are homeomorphisms of the plane onto itself which are not the uniform limit of any sequence of members of \mathcal{E} .*

Let K_n be the arc whose equation in polar coordinates is

$$(11) \quad r = (4 + e^{-\theta} - 5e^{-2n\theta})(1 - e^{-2n\theta})^{-1}, \quad 0 \leq \theta \leq 2\pi; \quad n=1, 2, \dots$$

This arc is part of a spiral which starts at $r=5$, $\theta=0$ winds round the origin n times with r monotonically decreasing and ends at the point $r=4$, $\theta=2n\pi$.

Denote by M_n the arc obtained from K_n by a translation parallel to the x -axis by an amount $10n$. The arcs $M_1, M_2, \dots, M_n, \dots$ are disjoint. There is a homeomorphism of the plane onto itself which maps the segment $L_n = \{(x, y); 10n-1 \leq x \leq 10n, y=0\}$ onto the arc M_n , $n=1, 2, \dots$. Denote this homeomorphism by \mathfrak{G} and let \mathfrak{H} be a member of \mathcal{E} which we may suppose is obtained by the superposition of the members $\mathfrak{H}_1, \mathfrak{H}_2, \dots, \mathfrak{H}_s$ of \mathcal{O} .

Then $\beta(L_n) = \pi$ and thus by lemma 1,

$$(12) \quad \beta(\mathfrak{H}(L_n)) \leq (2s+1)\pi, \quad n=1, 2, \dots$$

Consider the segment L_{s+1} . Let q be the point with coordinates $x=10(s+1)$, $y=0$. Write $p = \mathfrak{G}^{-1}(q)$. Then

$$(13) \quad \alpha(\mathfrak{G}(L_{s+1}), \mathfrak{G}(p)) = \alpha(M_{s+1}, q) = (2s+2)\pi.$$

Now if it were the case that $\delta(\mathfrak{H}, \mathfrak{G}) < 1$, then $\mathfrak{H}(L_{s+1})$ would be an arc whose end-points would be distant at most 1 from the end-points of $\mathfrak{G}(L_{s+1}) = M_{s+1}$ and which is such that when p' describes L_{s+1} the points $\mathfrak{H}(p')$ and $\mathfrak{G}(p')$ are distant apart at most 1. But under these circumstances $\mathfrak{G}(p')$ winds round the point q $s+1$ times keeping at a distance of at least 4 from it. Thus $\mathfrak{H}(p')$ also winds round q $s+1$ times except that its end-points may be such that it fails to complete the $(s+1)$ th rotation by an angle less than $2 \sin^{-1}(1/4)$. Hence

$$(14) \quad \alpha(\mathfrak{H}(L_{s+1}), \mathfrak{G}(p)) \geq (2s+2)\pi - 2 \sin^{-1}(1/4) > (2s+1)\pi.$$

Since the inequalities (12) and (14) are in contradiction with one another, $\delta(\mathfrak{H}, \mathfrak{G}) > 1$ and the theorem is proved.

§ 2. Homeomorphisms of certain subsets of a closed square onto themselves

Let S be a closed square with sides parallel to the coordinate axes. We shall consider approximations to homeomorphisms of S onto itself which leave each frontier point of S fixed. Let \mathcal{O}' be the class of homeomorphisms \mathfrak{G} of the form

$$(15) \quad x' = x, \quad y' = \Phi(x, y) \quad \text{or} \quad x' = \Phi(x, y), \quad y' = y$$

and which map S onto itself and leave each frontier point of S fixed.

²) The angle $\sin^{-1}(1/4)$ that is needed here lies between 0 and $\pi/2$.

In the present paragraph we consider homeomorphisms which are defined over certain polygonal arcs contained in S and we shall use these results to show that homeomorphisms of a closed square onto itself can be uniformly approximated to by means of members of \mathcal{E}' , the group generated by \mathcal{O}' .

We require a number of auxiliary lemmas. The following convention is used. If a segment L is such that, apart from its end-points, it belongs to the interior of a closed Jordan domain T then we shall say that L belongs to the interior of T . If X is any point set then we denote its interior by X^0 and its frontier by $\text{Fr } X$.

LEMMA 2. *If a simple polygonal arc $aq_0q_1\dots q_mb$, where $m \neq 0$, together with the segment ab bounds a simple closed Jordan domain T , then at least one of the segments $aq_1, q_0q_2, \dots, q_{i-1}q_{i+1}, \dots, q_{m-1}b$ belongs to the interior of T .*

Either q_0b belongs to T^0 or there is a vertex q_j , $j \neq 0$ such that aq_j belongs to T^0 . In the first case we replace $aq_0q_1\dots q_mb$ by $q_0q_1\dots q_mbq_0$ and in the second case we replace $aq_0q_1\dots q_mb$ by $aq_jq_1\dots q_{j-1}q_ja$. In either case we obtain a polygonal curve which bounds a domain contained in T , has less segments than $aq_0q_1\dots q_mb$ and is such that all its segments but one belong to $aq_0q_1\dots q_mb$. If this new polygonal curve is not a triangle then we repeat the process a finite number of times until we obtain a triangle that bounds a domain contained in T and two of whose sides belong to $aq_0q_1\dots q_mb$. Now the construction of the new polygon from $aq_0q_1\dots q_mb$ is such that the only side that does not belong to $aq_0q_1\dots q_mb$ is contained in T^0 . Thus finally the third side of the triangle which we ultimately obtain is contained in T^0 and it is also one of the segments $aq_1, q_0q_2, \dots, q_{i-1}q_{i+1}, \dots, q_{m-1}b$.

The lemma is proved.

LEMMA 3. *Two polygonal arcs $ap_0p_1\dots p_kb$ and $aq_0q_1\dots q_mb$, $m \geq 0$, together form the boundary of a simple closed Jordan domain T . The arc $ap_0p_1\dots p_kb$ together with ab bounds a convex set U and those of the vertices q_0, q_1, \dots, q_m which lie on the same side of ab as does U lie either on the segment ab or interior to U , (it is assumed that U has interior points). Also all the points p_1, q_1 lie in the strip bounded by the two lines that are perpendicular to ab and pass through a and b respectively. Then either*

- (i) the arc $aq_0q_1\dots q_mb$ together with the segment ab bounds a convex set V that is exterior to T , or
- (ii) one of the segments $aq_1, q_0q_2, \dots, q_{i-1}q_{i+1}, \dots, q_{m-1}b$ is contained in T^0 .

Let B denote the arc $ap_0p_1\dots p_kb$ and let K denote the convex cover of the arc $aq_0q_1\dots q_mb$. Since all the points q_i lie inside the strip bounded

by the two lines through a and b perpendicular to ab both a and b are frontier points of K . Thus $\text{Fr } K$ consists of two arcs that join a to b . (The set K will have interior points because the arc $aq_0q_1\dots q_mb$ has at least three vertices where by a vertex is meant a point which is either an end-point or a point that lies on two segments that lie on distinct lines. We take the notation to imply that the segments aq_0, q_0q_1 , for example, are not collinear.) Let these two arcs be A_1 and A_2 . Now no points of B are interior points of K and, of all the vertices of K (which are some of the points $a, b, q_0, q_1, \dots, q_m$), only a and b belong to B . Thus K meets B either in the whole segment ab or in just the two points a and b . The first case can arise only when B is precisely the segment ab . In this case U would have no interior points which is contrary to the hypotheses of the lemma. We suppose then that B meets K in the two points a and b .

The two arcs A_1 and B bound a domain say W_1 . Similarly we define W_2 to be the domain bounded by A_2 and B . Either every segment of A_1 belongs to W_2^0 or every segment of A_2 belongs to W_1^0 . We choose the notation so that the first of these alternatives holds. If the whole of A_1 belongs to the arc $aq_0q_1\dots q_mb$ then it coincides with the whole of this arc and the lemma is proved since (i) is true. If however there is a segment of A_1 that does not belong to $aq_0q_1\dots q_mb$ then we can find two points of the set $a, q_0, q_1, \dots, q_m, b$ say r_1 and r_2 such that these points belong to A_1 and there are no other points of the arc $aq_0q_1\dots q_mb$ that belong to the segment r_1r_2 . The points r_1 and r_2 are not necessarily vertices of K but they are vertices of the arc $aq_0q_1\dots q_mb$.

The points r_1 and r_2 are not consecutive vertices of $aq_0q_1\dots q_mb$ for if they were the whole of the segment r_1r_2 would belong to the arc $aq_0q_1\dots q_mb$. If they are separated in this sequence by only one other vertex then the segment r_1r_2 itself is of the form required in (ii). If however r_1 and r_2 are separated by more than one vertex in the order $aq_0q_1\dots q_mb$ then the subarc of $aq_0q_1\dots q_mb$ whose end-points are r_1 and r_2 , bounds with the segment r_1r_2 a domain contained in T . We can apply Lemma 2 to it and deduce the existence of a segment as required in (ii).

The lemma is proved.

We now prove a result that will be needed in the next paragraph. Suppose that the square S is cut by a number of segments parallel to the x -axis. Let these segments, which join the two sides of S that are parallel to the y -axis, be denoted by A_1, A_2, \dots, A_n . We also suppose that the segments A_1 and A_n are sides of S and that the notation is such that the ordinate of the line containing A_i is greater than or less than that containing A_j according as i is less than or greater than j . Denote the totality of these segments by K .

THEOREM 2. *If \mathfrak{G}^{-1} is a homeomorphism defined over $K \cup \text{Fr } S$ which leaves every point of the frontier of S fixed and maps each of the segments A_i onto a polygonal line contained in S , then there is a homeomorphism \mathfrak{H} of the group \mathcal{E}' such that for any point p of K $\mathfrak{H}(\mathfrak{G}^{-1}(p)) = p$.*

Write a_i, b_i for the two end points of A_i and use the symbols A'_i for $\mathfrak{G}^{-1}(A_i)$. By the given conditions $\mathfrak{G}^{-1}(a_i) = a_i$, and $\mathfrak{G}^{-1}(b_i) = b_i$.

We need the following lemmas.

- LEMMA 4.** *If A'_2 is a polygonal line with t segments, $t > 1$, then either*
- it bounds with the segment a_2b_2 a convex set that is exterior to the set bounded by A'_1, A'_2 , segment a_1a_2 and segment b_1b_2 , or*
 - there is a member \mathfrak{H} of \mathcal{E}' such that $\mathfrak{H}(A'_2)$ is a polygonal line of at most $t-1$ segments and every point of each A'_j , $j \neq 2$ is a fixed point under \mathfrak{H} .*

We apply lemma 3 with a_2 for a and b_2 for b , with $a_2a_1b_1b_2$ for $ap_0p_1\dots p_kb$ and A'_2 for $aq_0q_1\dots q_mb$. By that lemma if (a) is not true then there are two consecutive segments of A'_2 say cd and de such that the segment ce is contained in T^0 , where T is the domain bounded by $A'_1, A'_2, a_1a_2, b_1b_2$, i. e. ce is, apart from its end-points, contained in the interior of T . The segment ce does not meet any A'_j with $j \neq 2$. We next select two points d' and d'' on opposite sides of the line ce such that the closed quadrilateral $cd'ed''$ is convex, contains d as an interior point, does not meet A'_j , $j \neq 2$, and meets A'_2 only in the segments cd, de . This last requirement can be satisfied because only the end-points of the segment ce belong to A'_2 and thus the triangle cde meets A'_2 only in the segments cd and de . Join d' to a point of ce other than c or e , by a polygonal line lying in the interior of $cd'ed''$, such that each segment of this line is parallel either to the x -axis or to the y -axis. Let this polygonal line be $dr_1r_2\dots r_s$, where r_s is the point of ce .

We shall now show that there is a member of \mathcal{E}' say \mathfrak{J}_1 such that

$$(16) \quad \mathfrak{J}_1(d) = r_1; \quad \mathfrak{J}_1(cd) = cr_1; \quad \mathfrak{J}_1(de) = r_1e,$$

and such that every point on the frontier of or exterior to the quadrilateral $cd'ed''$ is fixed under \mathfrak{J}_1 .

Suppose for the moment that such a homeomorphism exists, then the lemma follows. For just as we have defined \mathfrak{J}_1 so we can define \mathfrak{J}_i such that

$$\mathfrak{J}_i(r_{i-1}) = r_i, \quad \mathfrak{J}_i(cr_{i-1}) = cr_i, \quad \mathfrak{J}_i(r_{i-1}e) = r_1e, \quad i = 2, \dots, s$$

and every point on the frontier of or exterior to the quadrilateral $cd'ed''$ is fixed under \mathfrak{J}_i . Then the homeomorphism $\mathfrak{J}_s \mathfrak{J}_{s-1} \dots \mathfrak{J}_1$ maps cd onto cr_s and de onto r_1e . Since all the other points of A'_2 are fixed it reduces the

number of segments of A'_2 by at least one. Also every point of every A'_j , $j \neq 2$ is fixed and the lemma is established.

Thus we have only to construct the homeomorphism \mathfrak{F}_1 . Suppose that dr_1 is parallel to the y -axis. In the other case we use the same argument with x and y interchanged. On a particular line $x=x'$, \mathfrak{F}_1 is defined as follows. All points exterior to or on the frontier of $cd'ed''$ are fixed. If the line $x=x'$ meets the pair of segments cd, de in one point say p , then it also meets the pair of segments cr_1, r_1e in one point q . Define $\mathfrak{F}_1(p)$ to be q and complete the definition of \mathfrak{F}_1 on $x=x'$ by linearity. If the line $x=x'$ meets cd, de in two points say p' and p'' and the ordinate of p' is greater than that of p'' , then the line also meets the segments cr_1, r_1e in two points which we may call q' and q'' where the ordinate of q' is greater than that of q'' . Define $\mathfrak{F}_1(p')$ to be q' and $\mathfrak{F}_1(p'')$ to be q'' and complete the definition of \mathfrak{F}_1 on the line by linearity. If the line $x=x'$ contains the whole of the segment cd or de then it also contains the point r_1 . Define $\mathfrak{F}_1(d)$ to be r_1 and complete as before by linearity. If the abscissae of the two points d' and d'' are equal to one of or lie between the abscissae of c and e then the definition of \mathfrak{F}_1 is complete. Otherwise we still have to define it for those lines $x=x'$ that meet the quadrilateral $cd'ed''$ but do not meet the pair of segments cd, de . In this case there is a line $x=x''$ on which \mathfrak{F}_1 has been defined, and which passes through one or more of the points c, d, e , and which is such that the segment of the line $x=x''$ that is interior to the quadrilateral $cd'ed''$, say tu , forms with either d' or d'' , say \tilde{d} , a triangle that contains that part of the line $x=x'$ that is interior to the quadrilateral $cd'ed''$. Let the part of $x=x'$ that is contained in $cd'ed''$ be the segment vw . To define \mathfrak{F}_1 on vw , join \tilde{d} to a point say z on vw , produce to meet tu in z' . Let $\mathfrak{F}_1(z')$, which has been defined to be a point of tu , be the point z'_1 . Join z'_1 to \tilde{d} cutting vw in z_1 . Define $\mathfrak{F}_1(z)$ to be z_1 .

Then \mathfrak{F}_1 has been completely defined, it is of the form

$$(x, y) \rightarrow (x', y') \quad \text{where} \quad x' = x, \quad y' = \Phi(x, y),$$

and has the properties stated in (16).

The proof of the lemma is complete.

LEMMA 5. A rectangle T is given with its sides parallel to the coordinate axes. A homeomorphism \mathfrak{R} defined over the frontier of T is of the form

$$(17) \quad (x, y) \rightarrow (x', y) \quad \text{where} \quad x' = \Phi(x, y).$$

Further \mathfrak{R} leaves fixed the points of the sides of T that are parallel to the y -axis. Then there is a homeomorphism which is of the form (17), maps the whole of T onto itself and coincides with \mathfrak{R} on $\text{Fr}T$.

Suppose that T is the rectangle $a_1 \leq x \leq a_2$; $b_1 \leq y \leq b_2$. Consider the two rectangles

$$T_1: \quad a_1 \leq x \leq a_2, \quad \frac{1}{2}(b_1 + b_2) \leq y \leq b_2,$$

$$T_2: \quad a_1 \leq x \leq a_2, \quad b_1 \leq y \leq \frac{1}{2}(b_1 + b_2).$$

In T_1 define the homeomorphism \mathfrak{H} by $(x, y) \rightarrow (x', y)$ where

$$x' = x + \left(\Phi(x, b_2) - x \right) \left(y - \frac{1}{2}(b_1 + b_2) \right) / \frac{1}{2}(b_2 - b_1).$$

In T_2 define \mathfrak{H} by $(x, y) \rightarrow (x', y)$ where

$$x' = x + \left(\Phi(x, b_1) - x \right) \left(\frac{1}{2}(b_1 + b_2) - y \right) / \frac{1}{2}(b_2 - b_1).$$

It may be verified that \mathfrak{H} is a homeomorphism with the properties stated in the lemma.

LEMMA 6. There is a member \mathfrak{G} of \mathcal{E}' such that $\mathfrak{G}(A'_j) = A_j$, $j = 1, \dots, n$.

Consider A'_2 . By lemma 4, either A'_2 is an arc convex with respect to a_2b_2 and A'_2 lies on the same side of a_2b_2 as A'_1 , or we can find \mathfrak{F}_1 of \mathcal{E}' which reduces the number of segments of A'_2 and leaves every point of every A'_j , $j \neq 2$, fixed. By successive repetitions of this argument it follows that we can find a member of \mathcal{E}' say \mathfrak{F}_1 such that either $\mathfrak{F}_1(A'_2)$ is the straight line segment a_2b_2 or it is convex with respect to a_2b_2 and lies on the same side of a_2b_2 as does A'_1 . In either case $\mathfrak{F}_1(A'_2)$ together with the segments a_2a_3, a_3b_3, b_3b_2 , bounds a convex set. We may now apply lemma 3 to A'_3 exactly as we applied it to A'_2 in the proof of lemma 4. We obtain \mathfrak{F}_2 of \mathcal{E}' such that every point of every A'_j , $j > 3$, and of $A_1, \mathfrak{F}_1(A'_2)$ is fixed, and such that $\mathfrak{F}_2(A'_3)$ is convex with respect to the segment a_3b_3 and lies on the same side of a_3b_3 as does A'_1 , or alternatively $\mathfrak{F}_2(A'_3)$ is a straight line segment. Proceeding in this fashion we eventually arrive at a member \mathfrak{F} of \mathcal{E}' such that each $\mathfrak{F}(A'_j)$ is either the segment a_jb_j or bounds with a_jb_j a convex set.

Now every line parallel to the y -axis that meets $\mathfrak{F}(A'_j)$ at all does so in exactly one point and also meets A_j in exactly one point. Suppose that such a line meets $\mathfrak{F}(A'_j)$ in x_j and A_j in y_j . Then the points $x_1x_2 \dots x_n$ occur on this line in the same order as $y_1y_2 \dots y_n$. We define a homeomorphism on this line by mapping x_j onto y_j and making the mapping linear between x_j and x_{j+1} . Such a mapping is defined for all the points of \mathcal{S} . For points outside \mathcal{S} we define each point to be its own image. Denote this mapping by \mathfrak{Q} . Then $\mathfrak{Q}\mathfrak{F}$ is a member of \mathcal{E}' with the property stated in the enunciation of the lemma.

We can now complete the proof of theorem 2. This theorem is nearly contained in lemma 6 but although that lemma provides a homeomorphism that maps A'_j onto A_j we do not know that it coincides with \mathfrak{G} for each point p of $\mathfrak{G}^{-1}(p)$. To secure this result we proceed as follows.

The homeomorphism $\mathfrak{L}\mathfrak{F}\mathfrak{G}^{-1}$ maps each segment A_j onto itself and leaves each point of the frontier of S fixed. If lemma 5 is applied to the rectangle that is bounded by A_j , A_{j+1} , and the two segments $a_j a_{j+1}$, $b_j b_{j+1}$ then there is a homeomorphism of the form (17) say \mathfrak{M}_j that maps this rectangle onto itself and coincides with $\mathfrak{L}\mathfrak{F}\mathfrak{G}^{-1}$ on the frontier of this rectangle. Denote by \mathfrak{M} the homeomorphism of the whole plane onto itself that coincides with \mathfrak{M}_j in the rectangle in which it is defined and leaves every point exterior to S fixed. Then for any point p of $K\mathfrak{M}(p)$ is the point $\mathfrak{L}\mathfrak{F}\mathfrak{G}^{-1}(p)$. Thus $p = \mathfrak{M}^{-1}\mathfrak{L}\mathfrak{F}\mathfrak{G}^{-1}(p)$, that is to say the homeomorphism $\mathfrak{M}^{-1}\mathfrak{L}\mathfrak{F}$ which is a member of the group \mathcal{E}' has the property required in the theorem.

§ 3. Homeomorphisms of a closed square onto itself

As before let S denote a closed square with sides parallel to the axes. In this paragraph all the homeomorphisms concerned map S onto itself and leave the frontier points of S fixed. This class of homeomorphisms is denoted by Γ . Again we write \mathcal{O}' for the class of homeomorphisms that belong both to Γ and to \mathcal{O} , and we write \mathcal{E}' for the group generated by finite superpositions of members of \mathcal{O}' .

THEOREM 3. *If \mathfrak{G} is a homeomorphism belonging to the class Γ , then, given a positive number ε there exists a homeomorphism \mathfrak{H} of \mathcal{E}' such that*

$$\delta'(\mathfrak{H}, \mathfrak{G}) = \sup_{p \in S} \text{bd. } \rho(\mathfrak{H}(p), \mathfrak{G}(p)) < \varepsilon.$$

Let l be the side length of S and choose a positive integer n so large that

$$4 \cdot 2^{1/2} l < n\varepsilon.$$

Divide S into n^2 equal squares each of side length l/n and let the vertices of these squares be a_{ij} , $i=1, 2, \dots, n+1$, $j=1, 2, \dots, n+1$, where the vertices of S itself are $a_{11}, a_{1,n+1}, a_{n+1,n+1}, a_{n+1,1}$. Denote the segment $a_n a_{n+1}$ by A_i and the segment $a_{1j} a_{n+1,j}$ by B_j . Also write $\mathfrak{G}^{-1}(A_i) = A'_i$, $\mathfrak{G}^{-1}(a_{ij}) = a'_{ij}$, $\mathfrak{G}^{-1}(B_j) = B'_j$; and let E_{ij} be the subarcs of A'_i with end points $a'_{ij}, a'_{i,j+1}$ and F_{ij} be the subarcs of B'_j with end points $a'_{ij}, a'_{i+1,j}$. Let the axes be such that the x -axis is parallel to each A_i and the y -axis is parallel to each B_j .

Define η to be a positive number, less than the least distance apart of any pair of nonintersecting arcs E_{ij} or F_{ij} .

Let C_{ij} be the closed circle whose centre is a'_{ij} and whose radius is $\eta/3$. No two of the circles C_{ij} intersect; let C denote their point-set union. The arc A'_i contains a subarc that is minimal with respect to the property of joining C_{ji} to $C_{j,i+1}$, and which we denote by L_{ij} , $j=1, 2, \dots, n$, $i=1, 2, \dots, n+1$. Similarly there is a minimal subarc of B'_i that joins C_{ij} to $C_{j+1,i}$, say M_{ji} , $j=1, 2, \dots, n$, $i=1, 2, \dots, n+1$.

Any two segments of the form A_j or B_i either do not meet or do so in one of the points a_{ji} . Thus any two of the arcs A'_j , B'_i either do not meet at all or if they do meet their point of intersection belongs to the set C^0 . Thus all the arcs of the form L_{ij} and M_{kr} are disjoint from one another. Also of all the circles C_{ij} the arc L_{pq} meets only two namely the two circles C_{pq} and $C_{p,q+1}$, similarly M_{rs} meets only the two circles C_{rs} and $C_{r+1,s}$. For suppose that the arc L_{pq} met a circle other than the two stated above, say it met C_{iu} , then on the one hand L_{pq} is a subset of E_{pq} and is thus at a distance of at least η from any of the arcs E_{ij} or F_{ij} which do not actually meet E_{pq} and on the other hand a'_{iu} lies on two arcs of the form E_{ij} and on two arcs of the form F_{ij} . It follows that a'_{iu} lies on an arc of one of these two types that does not meet E_{pq} . Thus the point a'_{iu} is distant at least η from L_{pq} and L_{pq} does not meet C_{iu} . In fact L_{pq} is distant at least $2\eta/3$ from C_{iu} .

Let η_1 be a positive number less than the distance apart of any two arcs L_{ij} or M_{rs} and let η_0 be the smaller of the two numbers η and η_1 . Let P_{ij} be a polygonal line joining the end-points of L_{ij} and lying both inside S and within a distance of $\eta_0/3$ of L_{ij} and outside C_{ij}^0 and $C_{i,j+1}^0$, $i=2, 3, \dots, n$, $j=1, 2, \dots, n$. Let the point of intersection of the arc L_{ij} with the circle C_{ij} be l'_{ij} and the point of intersection of L_{ij} with $C_{i,j+1}$ be l''_{ij} . Let P'_{ij} be the polygonal line consisting of segment $a'_{ij} l'_{ij}$, polygonal line P_{ij} , and segment $l''_{ij} a'_{i,j+1}$. We also suppose that P'_{ij} is the segment $a_{1j} c_{1,j+1}$ and that $P'_{n+1,j}$ is the segment $a_{n+1,j} a_{n+1,j+1}$. Finally

let P_i denote the union $\bigcup_{j=1}^n P'_{ij}$, $i=1, 2, \dots, n+1$.

Next define polygonal lines Q_j in the same way as P_j has been defined but using the minimal arcs M_{rs} in place of the minimal arcs L_{ij} .*

The polygonal lines P_i, Q_j approximate to A'_i and B'_j respectively and have the following properties:

- (i) P_i intersects Q_j in exactly one point namely a'_{ij} .
- (ii) Of the points a'_{ij} ; P_i contains in order the points $a'_{i1}, a'_{i2}, \dots, a'_{in+1}$.
- (iii) Of the points a'_{ij} ; Q_j contains in order the points $a'_{1j}, a'_{2j}, \dots, a'_{n+1,j}$.
- (iv) The four sides of the square S are $P_1, P_{n+1}, Q_1, Q_{n+1}$.

* Q_{rs}, Q'_{rs} are defined first with respect to M_{rs} .

Next let \mathfrak{R} be any homeomorphism of the class Γ such that

$$\mathfrak{R}(P_j) = A_j, \quad \mathfrak{R}(Q_j) = B_j.$$

It is clear that such a homeomorphism exists. If z is a point of S then it belongs to a domain bounded by four arcs of which two are of the form E_{ij} and two are of the form F_{rs} . z may belong to more than one such domain. (We take these domains to be closed.) Suppose that z belongs to the domain bounded by $E_{ij}, F_{i,j+1}, E_{i+1,j}, F_{ij}$. If $2 \leq i, j \leq n-1$, then z also belongs to the domain bounded by the polygonal lines $P'_{i-1,j-1}, P'_{i-1,j}, P'_{i-1,j+1}, Q'_{i-1,j+1}, Q'_{i,j+1}, Q'_{i+1,j+1}, P'_{i+1,j+1}, P'_{i+1,j}, P'_{i+1,j-1}, Q'_{i+1,j-1}, Q'_{i,j-1}, Q'_{i-1,j-1}$. A similar statement is true when one or both of i or j is one of 1 or n . Thus we have

$$(18) \quad \rho(\mathfrak{R}(z), \mathfrak{G}(z)) \leq 2 \cdot 2^{1/2} (l/n) < \frac{1}{2} \varepsilon.$$

Hence it is sufficient to show that there is a member \mathfrak{S} of \mathcal{E}' such that for every point z of S

$$(19) \quad \rho(\mathfrak{R}(z), \mathfrak{S}(z)) \leq \frac{1}{2} \varepsilon.$$

By theorem 2 there is a member \mathfrak{J} of \mathcal{E}' such that for any point p of any of the polygonal lines P_j $j=1, 2, \dots, n+1$, $\mathfrak{J}(p) = \mathfrak{R}(p)$. Consider the homeomorphism $\mathfrak{R}\mathfrak{J}^{-1}$. This mapping leaves fixed each point of the frontier of S and of each of the segments A_j . Denote by T_j that rectangle which has A_j and A_{j+1} as two opposite sides. T_j is contained in S and is mapped onto itself by $\mathfrak{R}\mathfrak{J}^{-1}$. Thus for any point p of S the ordinate of $\mathfrak{R}(p)$ differs from that of $\mathfrak{J}(p)$ by at most the width of one of the rectangles T_j , i. e. by at most l/n .

Now the homeomorphism $\mathfrak{R}\mathfrak{J}^{-1}$ not only maps T_j onto itself but also leaves each point of the frontier of T_j fixed, and (even though T_j is a rectangle and not a square) all the preceding argument is valid with T in place of S . Thus by an argument similar to that used in theorem 2 with x and y interchanged we can show that there is a homeomorphism \mathfrak{F}_j which belongs to \mathcal{E} , maps each point of the frontier of T_j onto itself and is such that for any point p of T_j the abscissae of $\mathfrak{F}_j(p)$ and $\mathfrak{R}\mathfrak{J}^{-1}(p)$ differ by at most l/n .

Define \mathfrak{F} to be the homeomorphism which coincides with \mathfrak{F}_j in T_j $j=1, 2, \dots, n+1$, and leaves every point of E exterior to S fixed. Then \mathfrak{F} belongs to \mathcal{E}' and for any point p of S we have:

- (i) The ordinates of $\mathfrak{F}(p)$ and $\mathfrak{R}\mathfrak{J}^{-1}(p)$ differ by at most l/n because these points both belong to the same rectangle T .
- (ii) The abscissae of $\mathfrak{F}(p)$ and $\mathfrak{R}\mathfrak{J}^{-1}(p)$ differ by at most l/n .

Hence

$$\rho(\mathfrak{F}(p), \mathfrak{R}\mathfrak{J}^{-1}(p)) \leq 2^{1/2} (l/n) < \frac{1}{2} \varepsilon.$$

Now for any point q of S there is a point p such that $p = \mathfrak{J}(q)$, and thus for any point q of S

$$\rho(\mathfrak{F}\mathfrak{J}(q), \mathfrak{R}(q)) = \rho(\mathfrak{F}(p), \mathfrak{R}\mathfrak{J}^{-1}(p)) < \frac{1}{2} \varepsilon$$

and this is the inequality (19) as required with $\mathfrak{F}\mathfrak{J}$ as the homeomorphism \mathfrak{S} .

Theorem 3 is proved.

§ 4. Non-uniform approximations

In this paragraph we use theorem 3 to establish the result stated in the introduction.

THEOREM 4. *If \mathfrak{G} is any given homeomorphism of the plane onto itself, then there exists a sequence of members of \mathcal{E} say $\{\mathfrak{S}_n\}$ such that for every point q of the plane, $\mathfrak{S}_n(q)$ tends to $\mathfrak{G}(q)$ as n tends to infinity.*

Let $C = C(p, R)$ denote the set of points of the plane whose distance from the fixed point p is not more than R , and let $\mathfrak{C}(C)$ be D . It is sufficient to show that given two positive numbers R and ε , there is a member of \mathcal{E} say \mathfrak{H} , such that the distance apart of the points $\mathfrak{H}(z)$ and $\mathfrak{C}(z)$ for all points z of $C(p, R)$ is less than ε .

Let S be a square so large that it contains both C and D in its interior. We show first that there is a homeomorphism \mathfrak{J} of E onto itself that coincides with \mathfrak{C} on C and leaves each point of the frontier of S fixed. Let S_1 be the set $S^0 - C$ and let S_2 be the set $S^0 - D$. Both S_1 and S_2 are open, connected, and doubly connected sets. Thus there are conformal mappings say \mathfrak{M}_1 and \mathfrak{M}_2 such that $\mathfrak{M}_1(S_1)$ is an annulus A_1 and $\mathfrak{M}_2(S_2)$ is an annulus A_2 . Since $\text{Fr} S$, $\text{Fr} C$, and $\text{Fr} D$ are all Jordan curves we can extend \mathfrak{M}_1 and \mathfrak{M}_2 to be homeomorphic over the closures of S_1 and S_2 . We use the same notation \mathfrak{M}_1 and \mathfrak{M}_2 for these two homeomorphisms of the closed sets. Let \mathfrak{C} be a homeomorphism of the closure of A_2 onto the closure of A_1 such that for any point p of $\text{Fr} S$ $\mathfrak{C}\mathfrak{M}_2(p) = \mathfrak{M}_1(p)$. Let the circles bounding A_1 be K and L and suppose that L is the image under \mathfrak{M}_1 of $\text{Fr} S$.

We next define a homeomorphism of K onto itself say \mathfrak{R} , as follows. For q belonging to K write

$$\mathfrak{R}(q) = \mathfrak{C}\mathfrak{M}_2\mathfrak{C}\mathfrak{M}_1^{-1}(q).$$



Now we can extend \mathfrak{R} so that we obtain a homeomorphism of the closure of A_1 onto itself say \mathfrak{J} with the properties,

$$\text{if } p \in K \quad \mathfrak{J}(p) = \mathfrak{R}(p), \quad \text{if } p \in L \quad \mathfrak{J}(p) = p.$$

Now define \mathfrak{J} as follows. For $p \in C$, $\mathfrak{J}(p) = \mathfrak{G}(p)$; for $p \in \text{Fr } S$ $\mathfrak{J}(p) = p$; for $p \in S^0 - C$ $\mathfrak{J}(p) = \mathfrak{M}_2^{-1} \mathfrak{C}^{-1} \mathfrak{J} \mathfrak{M}_1(p)$; for $p \notin S$ $\mathfrak{J}(p) = p$. Then \mathfrak{J} is a homeomorphism of the required form.

By § 3 we can find a member \mathfrak{S} of \mathcal{E} such that for every point z of S , \mathfrak{S} and \mathfrak{J} differ by at most ε . The homeomorphism \mathfrak{S} has the required property and the theorem 4 is proved.

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Über eine Abschwächung des Auswahlpostulates

von

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Es sei M eine Menge. Wir bezeichnen die Elemente von M mit a, b, \dots , hingegen die Teilmengen von M mit A, B, \dots . Die Potenzmenge von M (d. h. die Menge sämtlicher Teilmengen von M , einschließlich der leeren Menge 0) bezeichnen wir mit M^* .

Definition. Wir sagen, eine Menge M habe die Eigenschaft (E), wenn es eine eindeutige Abbildung φ von M^* in sich gibt, so daß für jedes aus mindestens zwei Elementen bestehende $A \subset M$ gilt:

$$0 \subset \varphi(A) \subset A^1).$$

Unsere Bedingung (E) steht in einem engen Zusammenhang mit dem bekannten ²⁾ Auswahlpostulat. Wie man ohne weiteres sieht, ist (E) formal schwächer als das Auswahlpostulat. Man kann aber außerdem leicht zeigen, daß das Kontinuum C noch (E) erfüllt. Denn mittels der unendlichen Folge der rationalen Zahlen gelingt es, da diese relativ zu C dicht liegen, jedes aus mindestens zwei Zahlen bestehende $A \subset C$ in ein echtes Anfangsstück und ein echtes Endstück von A zu zerlegen. Versteht man dann unter $\varphi(A)$ dieses echte, nicht leere Anfangsstück von A , so folgt unmittelbar unsere Behauptung. Wir sehen, unser (E) ist nichts weiter als eine gewisse Abschwächung des Auswahlpostulates.

Satz 1. Jede Menge M mit der Eigenschaft (E) läßt sich ordnen.

Beweis. Wir setzen ³⁾ $\bar{\varphi}(A) = A - \varphi(A)$ für jedes $A \subset M$. Dann folgt auch

$$0 \subset \bar{\varphi}(A) \subset A$$

für jedes aus mindestens zwei Elementen bestehende $A \subset M$. Ferner folgt

¹⁾ D. h. also, $\varphi(A)$ ist eine echte, nicht leere Teilmenge von A . Die vorliegende Arbeit enthält die wesentlichen Ergebnisse der (unveröffentlichten) Dissertation (Köln 1952) des erstgenannten Verfassers, der durch diese vom letztgenannten Verfasser stammende Definition sowie durch den Satz 1 angeregt wurde.

²⁾ Siehe [7], Abschnitt 2, S. 514.

³⁾ Es ist im folgenden bequem, für die aus nur einem Element bestehenden A , also (kurz geschrieben) für die $a \in M$, einfach $\varphi(a) = a$ vorauszusetzen. Ferner setzen wir im folgenden für die Nullmenge $\varphi(0) = 0$ voraus.