

(b) Under the condition (1.6), the expression $\sigma_{m,n}^{\alpha,\beta}(x,y;f)$ tends almost everywhere to $f(x,y)$, where $\sigma_{m,n}^{\alpha,\beta}$ denotes the (C,α,β) means of the Fourier series of the function f , and the numbers α,β are positive. The proof follows the same line as that of Theorem 1. We must only observe that the (C,α) kernel $K_m^\alpha(u)$ satisfies for $0 < \alpha < 1$ the inequalities:

$$|K_m^\alpha(u)| \leq A(\alpha)m, \quad |K_m^\alpha(u)| \leq A(\alpha)/m^\alpha u^{\alpha+1} \quad (m=1,2,\dots; 0 < u \leq \pi)$$

analogous to (3.2). ($A(\alpha)$ depends on α only).

Similarly we prove that, if $P(r,u)$ denotes Poisson's kernel, then

$$(4.1) \quad \frac{1}{\pi^2} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} f(x+u, y+v) P(r,u) P(\varrho,v) du dv \rightarrow f(x,y)$$

at almost every point (x,y) , provided that

$$(4.2) \quad r \rightarrow 1, \quad \varrho \rightarrow 1, \quad (1-\varrho)/(1-r) < \lambda, \quad (1-r)/(1-\varrho) < \lambda.$$

More generally, we have the following

Theorem 3. At almost every point (x,y) ,

$$\frac{1}{\pi^2} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} f(\xi+u, \eta+v) P(r,u) P(\varrho,v) du dv \rightarrow f(x,y),$$

provided that the conditions (4.2) are satisfied, and that the points with polar coordinates (r,ξ) , (ϱ,η) tend respectively to the points $(1,x)$, $(1,y)$ along non tangential paths.

Similarly we may generalize Theorem 2⁹⁾.

(c) Theorems 1 and 2 are true for the Fourier series of functions of n variables. The proofs undergo no essential changes.

⁹⁾ If the function $|f| \log^+ |f|$ is integrable over Q , we have (3.11), for m and n tending to $+\infty$ independently of each other. The function

$$\sigma^*(x,y;f) = \max_{m,n} |\sigma_{m,n}(x,y;f)|$$

satisfies the inequality

$$\left\{ \int_Q (\sigma^*(x,y;f))^{1-\epsilon} dx dy \right\}^{1/(1-\epsilon)} \leq \frac{A}{\epsilon} \int_Q |f| \log^+ |f| dx dy + \frac{A}{\epsilon}.$$

These results are implicitly contained in the paper quoted in footnote 2).

On the isomorphism and the equivalence of classes and sequences of sets¹⁾.

By

Edward Szpilrajn (Warszawa).

Introduction. The notions which are the subject of this paper belong to the General Theory of Sets and particularly to its part which deals with classes and sequences of sets. It seems that one of the chief problems in this field is the investigation into such relations between classes (or sequences) of sets which are analogous to as important a relation between sets as the equality of powers.

The relationships in the domain of *classes of sets* are obviously more complicated than in the domain of *sets*; and so it is possible to define in a natural way many relations between classes of sets which are reflexive, symmetrical and transitive and which may be considered as analogous to the equality of powers. In this paper we examine four notions of this type: weak isomorphism, isomorphism ("isomorphie algébro-logique" in terms of Kuratowski-Posament²⁾), total isomorphism, and equivalence ("double similarité" in terms of Whitehead-Russell³⁾).

Let us denote by K and L two classes of sets. If K and L , considered as sets partially ordered by the relation of proper inclusion, are similar, then they are called *weakly isomorphic*. Further, we say that K and L are *isomorphic* when they have the same properties from the point of view of all finite operations upon sets (addition of two sets and complementation). Analogically, K and L

¹⁾ Presented to the Polish Mathematical Society, Warsaw section, on May 6, 1938. Cf. the preliminary report Szpilrajn [6].

²⁾ Kuratowski-Posament [1], p. 282.

³⁾ Whitehead-Russell [1], p. 84. Cf. also Sierpiński [1], p. 80, Stone [1], p. 91, Szpilrajn [1], p. 306, [2] and [3].

are *totally isomorphic* when they have the same properties from the point of view of all operations upon sets (addition of an arbitrary finite or transfinite number of sets and complementation). Finally they are *equivalent* if, from the point of view of the General Theory of Sets, all their properties are identical¹⁾.

In §§ 1 and 2 I state some general theorems on these relations and I give a few of their applications to the study of point sets. In § 3 I deal especially with enumerable sequences of sets. Before all I express the condition that two sequences of sets are isomorphic [or totally isomorphic] with the help of the characteristic function of a sequence of sets (3.2). Applying this condition and the properties of the characteristic function, I consider some questions concerning point sets, chiefly the problem of finding for a given sequence of sets an isomorphic [or totally isomorphic, or equivalent] sequence of sets of a special type e.g. Borel sets, projective sets, etc.²⁾.

My paper on the characteristic function: Szpilrajn [4] will be referred to as CF.

Terminology and notation. By the term *space* we understand a wholly arbitrary abstract set. The letters X , Y and Z , when no additional explications are given, will always denote arbitrary spaces. If a metrical space X is a Borel subset of a complete space, then X is said to be *Borel space*. The interval $0 \leq t \leq 1$ is denoted by I ; the space of irrational numbers by N , and the space which is the sum of NI and of the set of all integers by N^* .

The Cantor set, i. e. the set of all numbers:

$$(*) \quad t = 2 \cdot (0, i_1, i_2, i_3, \dots)_3, \quad \text{where } i_n = 0 \text{ or } i_n = 1,$$

is denoted by C . For $i = 0, 1$ and $n = 1, 2, 3, \dots$ we denote by C_n^i the set of all numbers $(*)$ such that $i_n = i$. Further, for each finite sequence j_1, j_2, \dots, j_n consisting of the numbers 0 and 1, we denote by $C_{j_1 j_2 \dots j_n}$ the set of all numbers $(*)$ such that $i_k = j_k$ for $k = 1, 2, \dots, n$, and we call this set *interval* of C . A subset of C is open-and-closed in C if and only if it is the sum of a finite number of intervals of C .

For each set $E \subset X$ we put $E^0 = X - E$ and $E^1 = E$. Consequently, we have $X - E^i = E^{1-i}$ for $i = 0, 1$.

For each sequence $e = \{E_n\}$ of subsets of a space X , we denote by $c_e(x)$ the characteristic function of e , i. e. the function which associates with each $x \in X$ a number $(*)$, where $i_n = 0$ or $i_n = 1$ according as $x \in X - E_n$ or $x \in E_n$ (see CF 2.2).

¹⁾ Precise definitions will be given under 1.1. Let us remark that it is possible to define, for each cardinal number n , "the n -isomorphism" analogous to "the n -additivity" in the sense of Tarski. See Tarski [2], p. 152.

²⁾ A series of analogous problems concerning especially the equivalence was raised by Ulam and treated in the paper: Szpilrajn [2].

We call *system of sets* each class $E = \{E_\xi\}_{\xi \in E}$ in which with each element ("index") ξ belonging to a given set E there is associated a set E_ξ . (Similarly we may speak of a system $\{i_\xi\}_{\xi \in E}$ of numbers). In particular, when E is the set of positive integers, one obtains a *sequence of sets*; more generally, if E consists of all ordinal numbers $\xi < \alpha$ (where α is a given ordinal number), we obtain a *transfinite sequence*.

F being a biunivocal function, F^{-1} denotes the inverse function. ρ being a relation, the set A of all elements a for which there exists an element b such that $a \rho b$ [such that $b \rho a$] is called *domain* [counterdomain] of ρ .

A class K of subsets of X is called 1° *complementative*, 2° *additive*, 3° *totally additive*, 4° *multiplicative*, 5° *totally multiplicative*, when for every transfinite sequence K_ξ of sets belonging to K we have 1° $X - K_1 \in K$, 2° $K_1 + K_2 \in K$, 3° $K_1 + K_2 + \dots + K_\xi + \dots \in K$, 4° $K_1 \cdot K_2 \in K$, 5° $K_1 \cdot K_2 \cdot \dots \cdot K_\xi \cdot \dots \in K$. Each complementative and additive [totally additive] class of sets is called a *ring* [a *total ring*]. The smallest ring [total ring] including a given class K of sets is denoted by K_0 [by K_t]. Clearly, each ring [total ring] is multiplicative [totally multiplicative].

A function which associates with each set E belonging to a complementative class K (of subsets of X) a set $F(E)$ (contained in X) is said to be *complementative* if we have $F(X - K) = Y - F(K)$ for $K \in K$. Similarly we define the *additivity*, the *total additivity*, the *multiplicativity* and the *total multiplicativity* of F . Obviously each complementative and additive [totally additive] function of a set is multiplicative [totally multiplicative].

For each complementative function F we always have: $F(E^i) = [F(E)]^i$ (for $i = 0, 1$).

§ 1. Isomorphisms and equivalence.

1.1. Definitions and fundamental properties. A biunivocal transformation $F(K)$ of a class K of sets into a class L of sets is called *weak isomorphism* between K and L if given $K_1, K_2 \in K$, we have $K_1 \subset K_2$ if and only if $F(K_1) \subset F(K_2)$.

The condition of biunivocity may be omitted:

(i) A relation ρ is a *weak isomorphism* between K and L if and only if 1° the domain and the counterdomain of ρ are K and L respectively, 2° given $K_1 \rho L_1$ and $K_2 \rho L_2$, we have $K_1 \subset K_2$ if and only if $L_1 \subset L_2$.

In fact, it is easy to see that the condition 2° implies the biunivocity of ρ .

(ii) If $F(K)$ is a *weak isomorphism* between two rings [total rings] K and L of subsets of X and Y , then: 1° $K = 0$ if and only if $F(K) = 0$, 2° $K = X$ if and only if $F(K) = Y$, 3° the function F is *complementative and additive* [totally additive]¹⁾.

¹⁾ This theorem is due to A. Tarski.

This theorem may be easily derived from the following remark: if a class \mathbf{R} of sets is a ring [a total ring], then the notions of 1^o empty set, 2^o whole space, 3^o sum of two sets [of an arbitrary finite or transfinite number of sets] belonging to \mathbf{R} can be defined by using only the relation of inclusion between sets belonging to \mathbf{R} . For instance, the sum of two sets A_1 and A_2 belonging to \mathbf{R} is an element $B \in \mathbf{R}$ such that we have $R \supset B$ for $R \in \mathbf{R}$ if and only if $R \supset A_1$ and $R \supset A_2$.

Theorem (ii) justifies the following definition: A transformation $F(K)$ of a class \mathbf{K} of sets into a class \mathbf{L} is called *isomorphism*¹⁾ [total isomorphism] if and only if there exists a weak isomorphism $G(K)$ between classes \mathbf{K}_0 and \mathbf{L}_0 [classes \mathbf{K}_i and \mathbf{L}_i] such that $G(K) = F(K)$ for $K \in \mathbf{K}$.

I shall give one more definition: A transformation $F(K)$ of a class \mathbf{K} of subsets of X into a class \mathbf{L} of subsets of Y is called *equivalence* of \mathbf{K} and \mathbf{L} if there exists a biunivocal transformation $\varphi(p)$ of X into Y such that $\varphi(K) = F(K)$ for each $K \in \mathbf{K}$.

We say that two classes \mathbf{K} and \mathbf{L} of sets are *weakly isomorphic* [isomorphic, totally isomorphic, equivalent] when there exists a weak isomorphism [isomorphism, total isomorphism, equivalence] of \mathbf{K} and \mathbf{L} .

It is obvious that

(iii) *Each equivalence is a total isomorphism, each total isomorphism is an isomorphism, each isomorphism is a weak isomorphism.*

The isomorphisms and the equivalence may be defined also for systems of sets: two systems (with the same set E of indexes) $\{A_\xi\}_{\xi \in E}$ and $\{B_\xi\}_{\xi \in E}$ are *weakly isomorphic* [isomorphic, totally isomorphic, equivalent]²⁾ if there exists a weak isomorphism [isomorphism, total isomorphism, equivalence] F of the class of all sets A_ξ and the class of all sets B_ξ such that $F(A_\xi) = B_\xi$ for each $\xi \in E$.

Obviously:

(iv) *Two classes of sets \mathbf{K} and \mathbf{L} are weakly isomorphic [isomorphic, totally isomorphic, equivalent] if and only if they can be well ordered in such a way that the obtained transfinite sequences of sets are weakly isomorphic [isomorphic, totally isomorphic, equivalent].*

¹⁾ Cf. Kuratowski-Posament [1], p. 282.

²⁾ The notion of equivalence of sequences of sets is due to Ulam. Cf. Szpilrajn [2].

1.2. Classes containing all one-element sets.

(i) *Let \mathbf{K} and \mathbf{L} be classes of subsets of non-void spaces X and Y . If \mathbf{K} and \mathbf{L} contain all the one-element subsets of X and Y respectively, then each weak isomorphism between \mathbf{K} and \mathbf{L} is an equivalence between these classes.*

The case $\overline{X}=1$ being trivial, we may suppose $\overline{X}>1$. Let F be a weak isomorphism between \mathbf{K} and \mathbf{L} . First let us prove that

$$(*) \quad F[(p)] \neq \emptyset \quad \text{for each } p \in X.$$

The relation $F[(p')] \supset F[(p)]$ for $p, p' \in X$ implies the relation $(p') \supset (p)$ and consequently $p' = p$. Hence we have the relation $(*)$ with the help of which we shall obtain the relation

$$(**) \quad \overline{F[(p)]} = 1 \quad \text{for each } p \in X.$$

For this purpose suppose $(q) \subset F[(p)]$. Then, F^{-1} being also a weak isomorphism, we have: $F^{-1}[(q)] \subset (p)$ and, by $(*)$, $F^{-1}[(q)] \neq \emptyset$. Hence $F^{-1}[(q)] = (p)$ or, otherwise, $F[(p)] = (q)$.

It follows from the equality $(*)$ applied for the functions F and F^{-1} that for each $x \in X$ there exists exactly one $y \in Y$ such that

$$(***) \quad F[(x)] = y$$

and vice versa: for each $y \in Y$ there exists exactly one $x \in X$ for which we have $(***)$. Now let us put $\varphi(x) = y$ whenever the equality $(***)$ holds; in that way we obtain a biunivocal transformation φ of X into Y . Consequently, it remains to prove that

$$(***) \quad F(K) = \varphi(K) \quad \text{for each } K \in \mathbf{K}.$$

First we have

$$\varphi(K) = \sum_{p \in K} [\varphi(p)] = \sum_{p \in K} F[(p)] \subset F(K),$$

where the last relation follows directly from the fact that F is a weak isomorphism.

On the other hand, suppose $q \in F(K)$. There exists an element $p \in X$ such that $q = \varphi(p)$ or, otherwise, $(q) = F[(p)]$. The function F being a weak isomorphism, $(p) \subset K$; hence $q \in \varphi(K)$ and finally $\varphi(K) \supset F(K)$. Thus the relation $(***)$ holds, and hence the theorem is proved.

Theorem (i) has different consequences:

(ii) *Two topological spaces are homeomorphic if and only if the classes of their closed subsets are weakly isomorphic.*

(In other words: the type of partial order established by the relation of proper inclusion in the class of all closed subsets of a topological space determines its topology.)

(iii) *There exists a generalized homeomorphism between X and Y [in the sense of Kuratowski¹⁾] if and only if the classes of Borel sets in X and in Y are weakly isomorphic.*

In order to prove Theorem (ii) [Theorem (iii)] it suffices to apply (i) and to remark that each equivalence between the classes of all closed [Borel] sets determines a homeomorphism [a generalized homeomorphism].

Applying the theorem on the non-equivalence of the class of sets measurable (L) in the interval I and of that of sets which possess the property of Baire (in I)²⁾, we obtain, in virtue of (i):

(iv) *The class of measurable sets in I and that of sets possessing the property of Baire in I (in the large sense) are not weakly isomorphic.*

§ 2. Constituents and atoms.

2.1. Definitions and fundamental properties. Let $\mathbf{K} = [K_\xi]_{\xi \in \Xi}$ be a system of sets. Each set of the form $K_{\xi_1}^{i_1} \cdot K_{\xi_2}^{i_2} \cdot \dots \cdot K_{\xi_n}^{i_n}$, where i_1, i_2, \dots, i_n is a finite sequence of numbers 0 and 1³⁾ and $\xi_1, \xi_2, \dots, \xi_n$ is a finite sequence of distinct elements of Ξ , is called a *constituent* of \mathbf{K} . Each set of the form

$$(*) \quad \prod_{\xi \in \Xi} K_\xi^{i_\xi},$$

where $\{i_\xi\}_{\xi \in \Xi}$ is a system consisting only of the numbers 0 and 1, is termed an *atom* of \mathbf{K} ⁴⁾.

¹⁾ For the notion of generalized homeomorphism (homeomorphism of class (α, β)) see Kuratowski [1], p. 221 and [2].

²⁾ Szpilrajn [1], p. 306.

³⁾ For the notation Z^0 and Z^1 see p. 134.

⁴⁾ For the notions of atom and constituent see e.g. Tarski [1], p. 236 and Kuratowski-Posament [1], p. 283.

Let $\mathbf{L} = \{L_\xi\}_{\xi \in \Xi}$ be another system of sets (with the same set Ξ of indexes). Constituents of \mathbf{K} and \mathbf{L} with the same indexes:

$$K_{\xi_1}^{i_1} \cdot K_{\xi_2}^{i_2} \cdot \dots \cdot K_{\xi_n}^{i_n} \quad \text{and} \quad L_{\xi_1}^{i_1} \cdot L_{\xi_2}^{i_2} \cdot \dots \cdot L_{\xi_n}^{i_n}$$

and respectively atoms

$$\prod_{\xi \in \Xi} K_\xi^{i_\xi} \quad \text{and} \quad \prod_{\xi \in \Xi} L_\xi^{i_\xi}$$

are called *mutually corresponding*.

The notions of atom and constituent of a transfinite sequence of sets (but not of corresponding atoms and constituents) do not depend on the order of elements in the considered sequence and consequently they can be also applied for classes of sets.

The following theorems are easily derived from the above given definitions:

(i) Each set belonging to \mathbf{K} is a constituent of \mathbf{K} . Each two sets K_ξ and L_ξ (with the same index ξ) are corresponding constituents of \mathbf{K} and \mathbf{L} .

(ii) The class consisting of the empty set and of all finite sums of constituents of \mathbf{K} is equal to \mathbf{K}_0 .

(iii) Let T_1 and U_1 , T_2 and U_2 be sums of a finite number of corresponding constituents of \mathbf{K} and \mathbf{L} . Then $T_1 - T_2$ and $U_1 - U_2$ can be also represented as sums of corresponding constituents of \mathbf{K} and \mathbf{L} .

(iv) Each two sets K_ξ and L_ξ can be represented as sums of a (finite or transfinite) number of corresponding atoms of \mathbf{K} and \mathbf{L} .

(v) For each two different systems $\{i_\xi\}_{\xi \in \Xi}$ consisting of the numbers 0 and 1, the atoms (*) are disjoint; the union of all atoms is equal to the whole space.

(vi) The class consisting of the empty set and of all sums of atoms of \mathbf{K} is equal to \mathbf{K}_0 . Each non-void element of the class \mathbf{K}_0 may be represented as a sum of non-void atoms of \mathbf{K} in one way only.

2.2. Constituents and atoms; isomorphisms and equivalence. Let us denote as above by $K = \{K_{\xi}^i\}_{\xi \in \Xi}$ and $L = \{L_{\xi}^i\}_{\xi \in \Xi}$ two systems of sets (the indexes of which form the same set).

(i) *Two systems of sets are isomorphic if and only if any two corresponding constituents are both void or both non-void¹⁾.*

Necessity. K and L being isomorphic, there exists an isomorphism F between K_0 and L_0 such that $F(K_{\xi}^i) = L_{\xi}^i$. For each two corresponding constituents $K_{\xi_1}^{i_1}, K_{\xi_2}^{i_2}, \dots, K_{\xi_n}^{i_n}$ and $L_{\xi_1}^{i_1}, L_{\xi_2}^{i_2}, \dots, L_{\xi_n}^{i_n}$ we have by 1.1 (ii, 3⁰):

$$F(K_{\xi_1}^{i_1} \dots K_{\xi_n}^{i_n}) = F(K_{\xi_1}^{i_1}) \dots F(K_{\xi_n}^{i_n}) = [F(K_{\xi_1}^{i_1})]^{i_1} \dots [F(K_{\xi_n}^{i_n})]^{i_n} = L_{\xi_1}^{i_1} \dots L_{\xi_n}^{i_n}.$$

Thus, it follows from 1.1 (ii, 1⁰) that

$$K_{\xi_1}^{i_1} \dots K_{\xi_n}^{i_n} = 0 \quad \text{if and only if} \quad L_{\xi_1}^{i_1} \dots L_{\xi_n}^{i_n} = 0.$$

Sufficiency. Suppose that any two corresponding constituents of K and L are both void or both non-void.

We establish a relation ϱ between each two sets $V_1 + V_2 + \dots + V_n$ and $W_1 + W_2 + \dots + W_n$, where V_j and W_j are two corresponding constituents of K and L .

By 2.1 (ii), the domain of ϱ is the ring K_0 , and the counterdomain of ϱ is L_0 . In particular, by virtue of 2.1 (ii), we have $K_{\xi}^i \varrho L_{\xi}^i$ for every ξ .

On account of 1.1 (i), in order to show K and L isomorphic, it remains to prove that for every two finite sequences $V_1, \dots, V_k; V'_1, \dots, V'_l$ and $W_1, \dots, W_k; W'_1, \dots, W'_l$ of corresponding constituents of K and L , we have

$$\sum_{j=1}^k V_j \subset \sum_{j=1}^l V'_j \quad \text{if and only if} \quad \sum_{j=1}^k W_j \subset \sum_{j=1}^l W'_j$$

or in other words:

$$(*) \quad \sum_{j=1}^k V_j - \sum_{j=1}^l V'_j = 0 \quad \text{if and only if} \quad \sum_{j=1}^k W_j - \sum_{j=1}^l W'_j = 0.$$

The proposition (*) is an immediate consequence of the hypothesis and of 2.1 (iii).

(ii) *Two systems of sets are totally isomorphic if and only if any two corresponding atoms are both void or both non-void.*

Necessity. K and L being totally isomorphic systems of sets, there exists a total isomorphism F between K_t and L_t such that $F(K_{\xi}^i) = L_{\xi}^i$. For each two corresponding atoms of K and L :

$$\prod_{\xi \in \Xi} K_{\xi}^{i_{\xi}} \quad \text{and} \quad \prod_{\xi \in \Xi} L_{\xi}^{i_{\xi}}$$

we have by 1.1 (ii, 3⁰):

$$F\left(\prod_{\xi \in \Xi} K_{\xi}^{i_{\xi}}\right) = \prod_{\xi \in \Xi} [F(K_{\xi}^{i_{\xi}})]^{i_{\xi}} = \prod_{\xi \in \Xi} L_{\xi}^{i_{\xi}},$$

and by 1.1 (ii, 1⁰):

$$\prod_{\xi \in \Xi} K_{\xi}^{i_{\xi}} = 0 \quad \text{if and only if} \quad \prod_{\xi \in \Xi} L_{\xi}^{i_{\xi}} = 0.$$

Sufficiency. Let us suppose that every two corresponding atoms of K and L are both void or both non-void. We establish a relation ϱ between each two sums $\sum_{\eta} A_{\eta}$ and $\sum_{\eta} B_{\eta}$ of corresponding non-void atoms of K and L .

By 2.1 (vi) the domain and the counterdomain of the relation ϱ are the total rings K_t and L_t respectively. In particular, by 2.1 (iv), we have $K_{\xi}^i \varrho L_{\xi}^i$ for each $\xi \in \Xi$.

It follows easily from 2.1 (v) and (vi) that the relation ϱ is a weak isomorphism between K_t and L_t and that, consequently, the classes K and L are totally isomorphic.

(iii) *Two systems of sets are equivalent if and only if any two corresponding atoms are of the same power¹⁾.*

Necessity. By hypothesis: $K = \{K_{\xi}^i\}$, $L = \{L_{\xi}^i\}$, $K_{\xi}^i \subset X$, $L_{\xi}^i \subset Y$, and $L_{\xi}^i = \varphi(K_{\xi}^i)$, where φ is a biunivocal transformation of X into Y . It is obvious that φ carries each atom of K into the corresponding atom of L and, consequently, these atoms are of the same power.

Sufficiency. It is a consequence of 2.2 (v) and of the following remark easy to prove:

Let $X = \sum_{\eta} X_{\eta}$ and $Y = \sum_{\eta} Y_{\eta}$ be two decompositions of two spaces X and Y into disjoint sets such that $\overline{X_{\eta}} = \overline{Y_{\eta}}$. Then there exists a biunivocal transformation φ of X into Y such that $\varphi(X_{\eta}) = Y_{\eta}$ for each η .

¹⁾ It is a simple generalization of a theorem proved in the paper Szpilrajn [2], 2.5 (ii), p. 311.

¹⁾ Theorem due to Kuratowski-Posament [1], p. 283.

An immediate corollary of Theorem (ii) and (iii) is:

(iv) If two totally isomorphic systems of sets \mathbf{K} and \mathbf{L} possess only atoms every of which contains at most a single point, then \mathbf{K} and \mathbf{L} are equivalent.

§ 3. Enumerable sequences and classes of sets.

3.1. Regular constituents. For each sequence $e = \{E_n\}$ every constituent of the form $E_1^{i_1} \cdot E_2^{i_2} \cdot \dots \cdot E_n^{i_n}$ (where $i_k = 0$ or 1) will be termed *regular*. Let us consider an arbitrary constituent $J = E_{k_1}^{j_1} \cdot E_{k_2}^{j_2} \cdot \dots \cdot E_{k_m}^{j_m}$ of e ; obviously, we may suppose $k_1 < k_2 < \dots < k_m$. Denote by \mathbf{J} the class of regular constituents $E_1^{i_1} \cdot E_2^{i_2} \cdot \dots \cdot E_n^{i_n}$ such that $n = k_m$ and $i_{k_l} = j_l$ for $l = 1, 2, \dots, m$. All sets belonging to \mathbf{J} are disjoint and their sum is equal to J . Thus we obtain the following statements:

(i) Every constituent of a sequence e of sets is the sum of a finite number of disjoint regular constituents of e .

(ii) Each two corresponding constituents of two sequences a and b can be represented as the sums of a finite number of disjoint corresponding regular constituents of a and b respectively.

With the help of (ii) and 2.2 (i) we obtain:

(iii) Two sequences of sets are isomorphic if and only if any two corresponding regular constituents are both void or both non-void.

3.2. The characteristic function of isomorphic or equivalent sequences of sets. With the help of theorems on the characteristic function (CF 1.4 (v), 2.3 (iv), 2.3 (iii)) and the above proved theorems on isomorphisms and equivalence (3.1 (iii), 2.2 (ii) and 2.2 (iii)) we may state that

A sequence $a = \{A_n\}$ of subsets of X and a sequence $b = \{B_n\}$ of subsets of Y are (i) isomorphic, (ii) totally isomorphic, (iii) equivalent if and only if (i) $\overline{c_a(X)} = \overline{c_b(Y)}$, (ii) $c_a(X) = c_b(Y)$, (iii) $\overline{c_a^{-1}(t)} = \overline{c_b^{-1}(t)}$ for each $t \in C$.

3.3. Universal classes. An enumerable class \mathbf{U} of sets is said to be *universal* in the sense of isomorphism [total isomorphism] when for each sequence e of sets there exists a certain sequence of sets belonging to \mathbf{U} which is isomorphic [totally isomorphic] to e .

(i) The class \mathbf{U} of sets both open and closed in C^1 is universal in the sense of isomorphism (Mostowski²)-Kuratowski).

Let e be a sequence of subsets of a space X and let us put $T = \overline{c_e(X)}$. Since the set T is closed in C , there exists a continuous function f such that $f(C) = T^3$. By Theorem CF 2.4 there exists a sequence $v = \{V_n\}$ of subsets of C such that $c_v = f$. The function c_v being continuous, all the sets V_n are open-and-closed in C (in virtue of CF 3.7 (iv, 1⁰)), i. e. they belong to \mathbf{U} . By 3.2(i) the relation $c_v(C) = \overline{c_e(X)}$ implies the existence of an isomorphism between the sequences e and v .

C. Kuratowski proves this theorem directly, as follows:

Let $e = \{E_n\}$ be a given sequence of sets. For each interval J of the Cantor set C we denote by J_0 and J_1 its left and right half. Further we put $J_0 = J_1 = 0$ for $J = 0$. We shall define by complete induction a function which associates with each set of the form $E_1^{i_1} \cdot E_2^{i_2} \cdot \dots \cdot E_n^{i_n}$ an interval of C (or the empty set, or else the set C):

$$\left. \begin{aligned} F(E_1^{i_1}) &= 0, & F(E_1^{1-i_1}) &= C & \text{if } E_1^{i_1} &= 0 \\ F(E_1^{i_1}) &= C_l & & & \text{if } E_1^{i_1} &\neq 0 \neq E_1^{1-i_1} \end{aligned} \right\} (i=0,1).$$

Denoting $F(E_1^{i_1} \cdot \dots \cdot E_n^{i_n})$ by J we further put:

$$\left. \begin{aligned} F(E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{i_{n+1}}) &= 0 & F(E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{1-i_{n+1}}) &= J & \text{if } E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{i_{n+1}} &= 0 \\ F(E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{i_{n+1}}) &= J_l & \text{if } E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{i_{n+1}} &\neq 0 \neq E_1^{i_1} \cdot \dots \cdot E_n^{i_n} \cdot E_{n+1}^{1-i_{n+1}} \end{aligned} \right\} (i=0,1)$$

Finally we put

$$G(E_1) = F(E_1), \quad G(E_n) = \sum_{(i_1, \dots, i_{n-1})} F(E_1^{i_1} \cdot \dots \cdot E_{n-1}^{i_{n-1}} \cdot E_n) \quad (n=2, 3, \dots),$$

where the system $(i_1, i_2, \dots, i_{n-1})$ runs through all sequences consisting of $n-1$ numbers equal to 0 or 1.

Consequently, the function G attaches to each set E_n an open-and-closed subset of C . It follows easily from 3.2 (iii) that the sequences $\{E_n\}$ and $\{G(E_n)\}$ are isomorphic.

(ii) In any space of the power c there exists 2^c sequences no two of which are totally isomorphic.

¹) This class is enumerable; see p. 134.

²) Mostowski [1], Korollar 5, p. 46.

³) because every compact space is a continuous image of C ; cf. e. g. Hausdorff [1], p. 197.

Let be $\overline{\overline{X}}=c$. For each non-void set $T \subset C$ there exists a function f such that $f(X)=T$ and, consequently (by CF 2.4), a sequence e of sets $E_n \subset X$ such that $c_e(X)=T$. Since the power of the class of subsets of C is equal to 2^c , we obtain our theorem by applying Theorem 3.2 (ii).

(iii) *There exists no enumerable class universal in the sense of total isomorphism¹⁾.*

It is a consequence of (ii) and of the fact that for each enumerable class E of sets there exists only c sequences of sets belonging to E .

3.4. Measurability (B).

(i) *For each sequence $e=\{E_n\}$ of subsets of X there exists a set $T \subset C$ such that e is totally isomorphic to a sequence of sets both open and closed in T . More precisely: The operation c_e^{-1} is a total isomorphism between the sequences $\{c_e(X) \cdot C_n^I\}$ and $\{E_n\}$.*

It is an immediate consequence of the properties of the characteristic function and those of the operation of the counterimage (CF 2.5).

(ii) *A sequence $e=\{E_n\}$ of subsets of X is totally isomorphic to a sequence of Borel subsets of a Borel space (or: of sets which are both G_δ and F_σ in I , or: of sets which are both open and closed in N) if and only if the set $c_e(X)$ is analytic.*

Necessity. Let $e=\{E_n\}$ be a sequence of subsets of X which is totally isomorphic to a sequence $b=\{B_n\}$ of Borel subsets of a Borel space Y . Thus the function c_b is measurable (B) (CF 3.7 (iv, 3^o)) and consequently the set $c_b(Y)$ is analytic. By Theorem 3.2 (ii), the set $c_e(X)$ is analytic as well.

Sufficiency. Suppose that $e=\{E_n\}$, $E_n \subset X$ and that the set $c_e(X)$ is analytic. Then there exists 1) a function f of the first class such that $f(I)=c_e(X)$ and 2) a continuous function g such that $g(N)=c_e(X)$. By CF 2.4 there exists 1) a sequence $a=\{A_n\}$ of subsets of I such that $c_a=f$ and 2) a sequence $b=\{B_n\}$ of subsets of N such that $c_b=g$. By CF 3.7 (iv, 1^o, 2^o) 1) all the sets A_n are both F_σ and G_δ in I and 2) all the sets B_n are both open and closed in N . Finally, by Theorem 3.2 (ii), the sequences a and b are totally isomorphic to e .

¹⁾ and a fortiori in the sense of equivalence; cf. Szpilrajn [2], 2.6 (v), p. 313.

The problem of characterizing in a similar way the sequences of sets which are equivalent to sequences of Borel sets is still open. We shall give only certain partial answers:

(iii) *If a sequence $e=\{E_n\}$ is equivalent to a sequence of Borel subsets of I , then the set*

$$Z_e(n) = \bigcup_t \overline{c_e^{-1}(t) = n}$$

is analytic for $n=c$ and its complement $C - Z_e(n)$ is analytic for $n=0, 1, 2, \dots, \aleph_0$.

It is a simple consequence of the statements 3.2 (iii), CF 3.7 (iv, 3^o) and of the following theorem: For each function f measurable (B) the set $\bigcup_t \overline{f^{-1}(t) = n}$ is analytic for $n=c$ and its complement is analytic for $n=0, 1, 2, \dots, \aleph_0$.

This last theorem for $n=0$ follows immediately from the analyticity of the set $f(I)$ and from the equality

$$f(I) = C \bigcup_t \overline{f^{-1}(t) = 0}.$$

The same theorem with $n=1$ was stated by Lusin¹⁾ and the cases with $n=2, 3, \dots$ may be easily deduced from his result.

The case of $n=\aleph_0$ is due to Miss Braun²⁾ and that of $n=c$ to Mazurkiewicz and Sierpiński³⁾.

We do not know whether the condition of Theorem (iii) is sufficient. This problem is reducible to the question of inversion of the theorem just quoted: given a decomposition into disjoint sets: $I = A + B + E_0 + E_1 + \dots$; when does there exist a real function f measurable (B) such that:

$$\begin{aligned} E_n &= \bigcup_y \overline{f^{-1}(y) = n} \quad (n=0, 1, 2, \dots), \\ A &= \bigcup_y \overline{f^{-1}(y) = c}, \quad B = \bigcup_y \overline{f^{-1}(y) = \aleph_0} \quad ? \end{aligned}$$

In particular: is the necessary condition of analyticity of the sets: A , $I - B$ and $I - E_n$ ($n=0, 1, 2, \dots$) also sufficient?

(iv) *Let $e=\{E_n\}$ be a sequence of subsets of a space X . Let us suppose that $\overline{\overline{X}}=c$ and that each atom of e contains at most a single point. Then the sequence e is equivalent to a sequence of Borel subsets of I [or: of open-and-closed subsets of N^*] if and only if the set $c_e(X)$ is a Borel set.*

¹⁾ Cf. e.g. Lusin [1], p. 259 and Kuratowski [1], p. 259.

²⁾ Braun [1], Théorème 7, p. 171.

³⁾ Cf. e.g. Kuratowski [1], p. 262.

In order to prove this theorem it suffices to apply Theorems CF 3.6, CF 3.7 (iv), and the following: a linear set E of the power c is a Borel set if and only if there exists a biunivocal function f measurable (B) such that $f(I)=E^{-1}$ (or: a continuous biunivocal function g such that $g(N^*)=E^{-2}$).

(v) *There exists a sequence e of sets contained in I which is totally isomorphic to a sequence of Borel subsets of I , but equivalent to no such a sequence.*

In order to build such a sequence it suffices to take a function f such that $f(I)=C$ and for which the set $E[f^{-1}(t)=c]$ is not analytic. In virtue of CF 2.4, there exists a sequence e of subsets of I such that $c_e=f$. By Theorem (ii), it is totally isomorphic to a sequence of Borel subsets of I , and, by (iii), it is equivalent to no such a sequence.

3.5. Projectivity. It is possible to consider problems analogous to those considered above concerning sequences of projective sets. Denote by P_0 the class of analytic sets, by C_n the class of complements of all sets belonging to P_n , by P_{n+1} the class of all continuous images of sets belonging to C_n , and finally put $B_n=C_n \cdot P_n$ ($n=0,1,2,\dots$). We shall formulate a few theorems easy to prove:

(i) *A sequence e of subsets of a space X is totally isomorphic to a sequence of subsets of I belonging to the class B_n if and only if the set $c_e(X)$ belongs to the class P_n .*

(It is a generalization of Theorem 3.4(ii)).

(ii) *A sequence e of subsets of a space X is totally isomorphic to a sequence of projective subsets of I if and only if the set $c_e(X)$ is projective.*

(iii) *There exists a sequence of subsets of I belonging to B_{n+1} which is totally isomorphic to no sequence belonging to B_n ($n=0,1,2,\dots$).*

(iv) *There exists a sequence of sets which is totally isomorphic to a sequence of Borel subsets of I and which is equivalent to no sequence of projective sets.*

(v) *There exists a sequence of sets which is totally isomorphic to no sequence of projective sets.*

¹⁾ Cf. e.g. Kuratowski [1], p. 231.

²⁾ Cf. e.g. Szpilrajn [2], p. 313.

3.6. Convergence. Now we shall consider problems analogous to the preceding but only for sequences converging in the sense of the General Theory of Sets. We know that a sequence e of sets converges if and only if the function c_e assumes only values of the form $n/3^m$ (CF 3.4).

(i) *Each convergent sequence of sets is totally isomorphic to a sequence of sets both F_σ and G_δ in I and to a sequence of sets both open and closed in N .*

It is a simple consequence of the theorem just quoted and Theorem 3.4 (ii).

Moreover, let us remark that every sequence totally isomorphic to a convergent sequence is itself convergent.

(ii) *In order that every convergent sequence of sets contained in I be equivalent to a sequence of Borel sets [or: of sets which are both F_σ and G_δ], it is necessary and sufficient that the hypothesis of the continuum be true¹⁾.*

Necessity. Let E be any subset of I . The sequence E, E, \dots is convergent and therefore it is equivalent to a sequence B, B, \dots , where B is a Borel subset of I . Hence $\overline{E}=\overline{B}$ and consequently $\overline{E} \leq s_0$ or $\overline{E}=c$.

Sufficiency. It is a consequence of the above cited theorem, Theorem 3.2 (iii), and the following remark:

Let f be a real function carrying I into an at most enumerable set. If $f^{-1}(t)=c$ or $f^{-1}(t) \leq s_0$ for each real t ; then there exists a real function g of the first class defined on I and such that $\overline{f^{-1}(t)}=\overline{g^{-1}(t)}$ for each real number t .

To prove this, denote by $\{t_n\}$ the (finite or infinite) sequence of all values of the function f and by F_n a closed set contained in the open interval $(1/n+1, 1/n)$ such that $\overline{F_n}=f^{-1}(t_n)$. Obviously there exists a positive integer n_0 such that $\overline{f^{-1}(t_{n_0})}=c$. Putting $g(x)=t_n$ for $x \in F_n$ and $g(x)=t_{n_0}$ for $x \in I-(F_1+F_2+\dots)$ we obtain the required function g .

¹⁾ Cf. the preliminary report Szpilrajn [5].

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¹⁾ This paper is referred to as CF.

On the asphericity of regions in a 3-sphere.

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1. This note arises out of an attempt to answer two questions proposed by S. Eilenberg¹⁾, namely:

1. *pour quelles courbes simples fermées $\Omega_0 \subset S^3$ l'ensemble $S^3 - \Omega_0$ est asphérique?*
2. *pour quels couples $\Omega_1, \Omega_2 \subset S^3$ de courbes simples fermées disjointes l'ensemble $S^3 - (\Omega_1 + \Omega_2)$ est asphérique?*

I first show how Reidemeister's theory²⁾ of "Homotopiekettenringe" can be applied to the study of the first question in case Ω_0 is a polygonal knot, which I will call k , and in **4** I show how the methods of **2** and **3** can be applied to the study of similar questions. Some examples are given in **5**, and **6** contains an "addition theorem" with an application to the study of knots and linkages. Taking $M^3 = S^3$ it follows from theorem 6, in **7**, that the hypothesis " $S^3 - k$ is aspherical", k being any polygonal knot in a 3-sphere S^3 , implies the algebraic analogue of Dehn's lemma³⁾ for circuits in S^3 (i.e. it implies that, if k bounds a singular 3-cell without singularities on the boundary, then $\pi_1(S^3 - k)$ is cyclic.) The final section is an appendix on the group ring of an "indexed" group⁴⁾.

¹⁾ Fund. Math., **28** (1937), p. 241. We recall that a space X , is called aspherical (W. Hurewicz, Proc. Akad. Amsterdam, **39** (1936), p. 215) if all the (additive) higher homotopy groups $\pi_n(X)$, $n > 1$, reduce to zero. $\pi_1(X)$ is the (multiplicative) fundamental group, which need not reduce to 1.

²⁾ See: Abh. Math. Sem. Hamburg, **10** (1934), p. 211; Journal für die r.u.a. Math., **173** (1935), p. 164, and other papers.

³⁾ Math. Annalen, **69** (1910), p. 147. There is a gap in Dehn's argument (at the top of p. 151) which has not yet been filled. See also E. Pannwitz, Math. Annalen, **108** (1933), p. 629 (§3), and I. Johansson, Math. Annalen, **110** (1934), p. 312 and **115** (1938), p. 658.

⁴⁾ J. W. Alexander, Trans. American Math. Soc., **30** (1928), 290.