

Vitali sets and Hamel bases that are Marczewski measurable

by

Arnold W. Miller (Madison, WI) and
Strashimir G. Popvassilev (Sofia and Auburn, AL)

Abstract. We give examples of a Vitali set and a Hamel basis which are Marczewski measurable and perfectly dense. The Vitali set example answers a question posed by Jack Brown. We also show there is a Marczewski null Hamel basis for the reals, although a Vitali set cannot be Marczewski null. The proof of the existence of a Marczewski null Hamel basis for the plane is easier than for the reals and we give it first. We show that there is no easy way to get a Marczewski null Hamel basis for the reals from one for the plane by showing that there is no one-to-one additive Borel map from the plane to the reals.

Basic definitions. A subset A of a complete separable metric space X is called *Marczewski measurable* if for every perfect set $P \subseteq X$ either $P \cap A$ or $P \setminus A$ contains a perfect set. Recall that a *perfect set* is a non-empty closed set without isolated points, and a *Cantor set* is a homeomorphic copy of the Cantor middle-third set. If every perfect set P contains a perfect subset which misses A , then A is called *Marczewski null*. The class of Marczewski measurable sets, denoted by (s) , and the class of Marczewski null sets, denoted by (s^0) , were defined by Marczewski [10], where it was shown that (s) is a σ -algebra, i.e. $X \in (s)$ and (s) is closed under complements and countable unions, and (s^0) is a σ -ideal in (s) , i.e. (s^0) is closed under countable unions and subsets. Several equivalent definitions and important properties of (s) and (s^0) were proved in [10], for example every analytic set is Marczewski measurable, the properties (s) and (s^0) are preserved under “generalized homeomorphisms” (also called Borel bijections), i.e. one-to-one onto functions f such that both f and f^{-1} are Borel measurable (i.e. pre-images of open sets are Borel), a countable product is in

2000 *Mathematics Subject Classification*: 03A15, 28A05, 54H05.

The second author was supported by the Bulgarian Foundation EVRIKA (I.3 IB-85/22.07.1997, 02.09.1997) and by a GTA Fellowship from Auburn University.

(s) if and only if each factor is in (s), and a finite product is in (s^0) if and only if each factor is in (s^0).

The *perfect kernel* of a closed set F is the set of all $a \in F$ such that $U \cap F$ is uncountable for every neighborhood U of a .

A set is *totally imperfect* if it contains no perfect subset. A totally imperfect set of reals cannot contain uncountable closed set, so it must have inner Lebesgue measure zero. A set B is called *Bernstein set* if every perfect set intersects both B and the complement of B , or, equivalently, both B and its complement are totally imperfect. Clearly, no Bernstein set can be Marczewski measurable.

A set A is *perfectly dense* if its intersection with every non-empty open set contains a perfect set.

Let \mathbb{R} denote the set of all *real numbers* and \mathbb{Q} denote the set of all *rational numbers*. We use \mathfrak{c} to denote the cardinality of the continuum.

The *linear closure* (or *span*) over \mathbb{Q} of a non-empty set $A \subseteq \mathbb{R}$ is the set

$$\text{span}(A) = \{q_1 a_1 + \dots + q_n a_n : n < \omega, q_j \in \mathbb{Q}, a_j \in A\}$$

and $\text{span}(\emptyset) = \{0\}$. A is called *linearly independent* over \mathbb{Q} if $q_1 a_1 + \dots + q_n a_n \neq 0$ whenever $n < \omega$, $q_j \in \mathbb{Q}$ for $1 \leq j \leq n$ with $q_j \neq 0$ for at least one j , and a_1, \dots, a_n are different points from A . A linearly independent set H such that $\mathbb{R} = \text{span}(H)$ is called a *Hamel basis*. Note a Hamel basis must have cardinality \mathfrak{c} . The inner Lebesgue measure of any Hamel basis H is zero (Sierpiński [8], see also Erdős [2]). A Hamel basis can have Lebesgue measure 0 (see Jones [4], or Kuczma [6], Chapter 11).

A Hamel basis H which intersects every perfect set is called a *Burstin set* [1]. Every Burstin set H is also a Bernstein set, otherwise if $P \subseteq H$ for some perfect set P , by the linear independence of H it follows that $H \cap 2P = \emptyset$ (where $2P = \{2p : p \in P\}$), a contradiction since $2P$ is a perfect set.

A Burstin set can be constructed as follows. List all perfect subsets of \mathbb{R} as $\{P_\alpha : \alpha < \mathfrak{c}\}$, pick a non-zero $p_0 \in P_0$ and, using the facts that

$$|\text{span}(A)| \leq |A| + \omega < \mathfrak{c} \quad \text{if } |A| < \mathfrak{c}$$

and $|P_\alpha| = \mathfrak{c}$ for each α , pick by induction

$$p_\alpha \in P_\alpha \setminus \text{span}(\{p_\beta : \beta < \alpha\})$$

and let $H_\mathfrak{c} = \{p_\alpha : \alpha < \mathfrak{c}\}$. If H is a maximal linearly independent set with $H_\mathfrak{c} \subseteq H$, then H is a Burstin set.

A set $V \subseteq \mathbb{R}$ is called a *Vitali set* if V is a complete set of representatives (or a transversal) for the equivalence relation defined by $x \sim y$ iff $x - y \in \mathbb{Q}$, i.e. for each $x \in \mathbb{R}$ there exists a unique $v \in V$ such that $x - v \in \mathbb{Q}$. No Vitali set is Lebesgue measurable or has the Baire property. One may construct a Vitali set which is a Bernstein set.

Perfectly dense Marczewski measurable Vitali set. Recall that an equivalence relation on a space X is called *Borel* if it is a Borel subset of $X \times X$. The Vitali equivalence \sim as defined above is Borel. We first show that a Vitali set cannot be Marczewski null.

THEOREM 1. *Suppose X is an uncountable separable completely metrizable space with a Borel equivalence relation, \equiv , on it with every equivalence class countable. Then, if $V \subseteq X$ meets each equivalence class in exactly one element, V cannot be Marczewski null.*

Proof. By a theorem of Feldman and Moore [3] every such Borel equivalence relation is induced by a Borel action of a countable group. This implies that there are countably many Borel bijections $f_n : X \rightarrow X$ for $n \in \omega$ such that $x \equiv y$ iff $f_n(x) = y$ for some n . If V were Marczewski null, then so would $X = \bigcup_{n < \omega} f_n(V)$. ■

To obtain a Marczewski measurable Vitali set we will use the following theorem:

THEOREM 2 (Silver [9]). *If E is a coanalytic equivalence relation on the space of all real numbers and E has uncountably many equivalence classes, then there is a perfect set of mutually E -inequivalent reals (in other words, an E -independent perfect set). In the case of a Borel equivalence relation E , one can drop the requirement that the field of the equivalence be the whole set of reals.*

If $E \subseteq X \times X$ is a Borel equivalence relation, where X is an uncountable separable completely metrizable space, and B is a Borel subset of X , then the saturation of B , $[B]_E = \bigcup_{x \in B} [x]_E$, is analytic since it is the projection onto the second coordinate of the Borel set $(B \times X) \cap E$. The saturation need not be Borel, for example let B be a Borel subset of $X = \mathbb{R}^2$ whose projection $\pi_1(B)$ into the first coordinate is not Borel. Define $(x, y)E(u, v)$ iff $x = u$ (i.e. two points are equivalent if they lie on the same vertical line). Then $[B]_E = \pi_1(B) \times \mathbb{R}$ is not Borel. On the other hand, if E is a Borel equivalence with each equivalence class countable, and f_n are as in the proof of Theorem 1, then the saturation $[B]_E = \bigcup_{n < \omega} f_n(B)$ of every Borel set B is Borel.

THEOREM 3. *Suppose X is an uncountable separable completely metrizable space with a Borel equivalence relation E . Then there exists Marczewski measurable $V \subseteq X$ which meets each equivalence class in exactly one element.*

Proof. Let $\{P_\alpha : \alpha < \mathfrak{c}\}$ list all perfect subsets of X . We will describe how to construct disjoint C_α , each C_α either countable (possibly finite or

empty) or a Cantor set such that the set $V_\alpha = \bigcup_{\beta < \alpha} C_\beta$ is E -independent. Then extend the set $V_\epsilon = \bigcup_{\alpha < \epsilon} C_\alpha$ to a maximal E -independent set V .

CASE (a). If $P_\alpha \cap [C_\beta]_E$ is uncountable for some $\beta < \alpha$, then let $C_\alpha = \emptyset$.

SUBCASE (a1): $|P_\alpha \cap C_\beta| > \omega$. Then the perfect kernel of $P_\alpha \cap C_\beta$ is contained in both P_α and V_α (and hence in V).

SUBCASE (a2): $|P_\alpha \cap C_\beta| = \omega$. Then, since $P_\alpha \cap [C_\beta]_E \setminus C_\beta$ is uncountable analytic, it contains a perfect set Q which misses V .

CASE (b): Not Case (a). Then

$$|P_\alpha \cap [V_\alpha]_E| = \left| P_\alpha \cap \bigcup_{\beta < \alpha} [C_\beta]_E \right| \leq |\alpha| \omega < \mathfrak{c},$$

and hence $P_\alpha \setminus [V_\alpha]_E$ contains a Cantor set P .

SUBCASE (b1): The restriction of E to P has only countably many classes. Let C_α be a countable E -independent subset of P with $P \subseteq [C_\alpha]_E$. Then $P \setminus C_\alpha$ contains a perfect set which misses V .

SUBCASE (b2): Case (b) but not case (b1). Then, by the above theorem of Silver, there is a perfect E -independent set $C_\alpha \subseteq P$ (and $C_\alpha \subseteq V$). ■

REMARK 4. The Vitali equivalence shows that a Borel equivalence need not have a transversal that is Lebesgue measurable or has the Baire property. See Kechris [5], 18.D, for more on transversals of Borel equivalences.

THEOREM 5. *There exists a Vitali set which is Marczewski measurable and its intersection with each non-empty open set contains a perfect set.*

PROOF. By Theorem 3 there is a Marczewski measurable Vitali set V , and by Theorem 1, V contains a perfect set C . Split C into countably many Cantor sets C_0, C_1, \dots , fix a basis $\{B_n : n < \omega\}$ for the topology of \mathbb{R} and pick rational numbers q_n so that the set $q_n + C_n = \{q_n + c : c \in C_n\}$ intersects B_n for each n . Then

$$V' = (V \setminus C) \cup \bigcup \{(q_n + C_n) : n < \omega\}$$

is a perfectly dense Marczewski measurable Vitali set. ■

REMARK 6. A Vitali set V cannot have the stronger property that its intersection with every perfect set contains a perfect set. This is because if V contains a perfect set P , then the perfect set

$$P' = P + 1 = \{p + 1 : p \in P\}$$

does not intersect V . Similarly, if H is a Hamel basis that contains a perfect set P , then

$$2P = \{2p : p \in P\}$$

is a perfect set which misses H .

Marczewski null Hamel bases

REMARK 7 (Erdős [2]). Under CH there is a Hamel basis H which is a Lusin set (and hence Marczewski null). To see this, note that by a result of Sierpiński there is a Lusin set X such that $X + X = \{x + y : x, y \in X\} = \mathbb{R}$ (see e.g. [7]). Let H be any maximal linearly independent subset of X ; then clearly $\text{span}(H) = \text{span}(X) = \mathbb{R}$.

Our construction (without CH) of a Marczewski null Hamel basis is slightly simpler for the plane, so we do it first.

THEOREM 8. *There exists a Hamel basis, H , for $\mathbb{R} \times \mathbb{R}$, i.e. a basis for the plane as a vector space over \mathbb{Q} , which is a Marczewski null set, i.e., every perfect set contains a perfect subset disjoint from H .*

LEMMA 9. *Suppose V with $|V| < \mathfrak{c}$ is a subspace of $\mathbb{R} \times \mathbb{R}$ as a vector space over \mathbb{Q} (not necessarily closed), $p \in \mathbb{R} \times \mathbb{R}$, $y \in \mathbb{R}$, and*

$$U \subseteq U_y = (\{y\} \times \mathbb{R}) \cup (\mathbb{R} \times \{y\})$$

with $|U| < \mathfrak{c}$. Then there exists a finite $F \subseteq (U_y \setminus U)$ with $p \in \text{span}(F \cup V)$ and such that F is linearly independent over \mathbb{Q} and independent over V , i.e., $\text{span}(F)$ meets V only in the zero vector.

PROOF. CASE 1: $p = (u, 0)$. Let y_1 and y_2 be so that

$$y_2 - y_1 = u, \quad (y_1, y) \notin U \quad \text{and} \quad (y_2, y) \notin U.$$

Clearly, $p \in \text{span}(\{(y_1, y), (y_2, y)\})$. Let

$$F \subseteq \{(y_1, y), (y_2, y)\} \subseteq U_y \setminus U$$

be minimal such that $p \in \text{span}(V \cup F)$. Then F works.

CASE 2: $p = (0, v)$. Obviously, this case is symmetric.

CASE 3: $p = (u, v)$. Apply Case 1 to $(u, 0)$ obtaining F_1 . Let

$$V' = \text{span}(V \cup F_1)$$

and apply Case 2 to V' obtaining F_2 (and let $F = F_1 \cup F_2$) so that

$$(u, 0), (0, v) \in \text{span}(V \cup F_1 \cup F_2). \quad \blacksquare$$

Proof of Theorem 8. The theorem is proved from the lemma as follows. Let $\{B_\alpha : \alpha < \mathfrak{c}\}$ list all uncountable Borel subsets of $\mathbb{R} \times \mathbb{R}$ which have the property that for every y the set $B_\alpha \cap U_y$ is countable. Let also $\{p_\alpha : \alpha < \mathfrak{c}\} = \mathbb{R} \times \mathbb{R}$ and $\{y_\alpha : \alpha < \mathfrak{c}\} = \mathbb{R}$. Construct an increasing sequence $H_\alpha \subseteq \mathbb{R} \times \mathbb{R}$ for $\alpha < \mathfrak{c}$ so that

1. H_α are linearly independent over the rationals,
2. $\beta < \alpha$ implies $H_\beta \subseteq H_\alpha$,
3. $H_\lambda = \bigcup_{\alpha < \lambda} H_\alpha$ at limit ordinals λ ,
4. $H_{\alpha+1} \setminus H_\alpha \subseteq U_{y_\alpha}$ is finite,

5. $p_\alpha \in \text{span}(H_{\alpha+1})$,
6. $H_\alpha \cap B_\beta \subseteq H_{\beta+1}$ whenever $\beta < \alpha$,
7. $H_\alpha \cap U_{y_\beta} \subseteq H_{\beta+1}$ whenever $\beta < \alpha$.

At successor ordinals $\alpha + 1$ apply the lemma with $p = p_\alpha$, $V = \text{span}(H_\alpha)$, and

$$U = \{p \in U_{y_\alpha} : \exists \beta < \alpha (p \in B_\beta \text{ or } p \in U_{y_\beta})\}.$$

Then let $H_{\alpha+1} = H_\alpha \cup U$.

The set $H = \bigcup_{\alpha < \mathfrak{c}} H_\alpha$ is a Hamel basis; note that for every $y_\alpha \in \mathbb{R}$ we have $H \cap U_{y_\alpha} \subseteq H_{\alpha+1}$ and so

$$|H \cap U_{y_\alpha}| < \mathfrak{c}$$

and similarly for every α we have

$$|H \cap B_\alpha| < \mathfrak{c}.$$

To see that H is Marczewski null, suppose that P is any perfect subset of the plane. If $P \cap U_y$ is uncountable and closed for some $y \in \mathbb{R}$, then since $|H \cap U_y| < \mathfrak{c}$ and every perfect set can be split into continuum many perfect subsets, there exists a perfect set $P' \subseteq P \cap U_y$ disjoint from H .

On the other hand, if there is no such y then $P = B_\alpha$ for some α and therefore $|P \cap H| < \mathfrak{c}$. Thus again by splitting P into continuum many pairwise disjoint perfect subsets, there must be a perfect subset of P disjoint from H . ■

THEOREM 10. *There exists a Hamel basis, H , for the reals which is a Marczewski null set.*

Obviously, this implies Theorem 8, since $(H \times \{0\}) \cup (\{0\} \times H)$ is a Marczewski null Hamel basis for the plane. But the proof is a little messier so we chose to do the one for the plane first.

For $p, q \in {}^\omega 2$ define

$$\sigma(p, q) = \sum_{n=0}^{\infty} \frac{p(n)}{2^{2n+1}} + \sum_{n=0}^{\infty} \frac{q(n)}{2^{2n+2}}.$$

So we are basically looking at the even and odd digits in the binary expansion. The function $\sigma(p, q)$ maps ${}^\omega 2 \times {}^\omega 2$ onto the unit interval $[0, 1]$. For any $p \in {}^\omega 2$ define

$$U_p = \{\sigma(p, q) : q \in {}^\omega 2\}$$

The following is the analogue of Lemma 9.

LEMMA 11. *Suppose we have a subspace, $V \subseteq \mathbb{R}$, with $|V| < \mathfrak{c}$ and $1 \in V$, $p \in {}^\omega 2$, $U \subseteq U_p$ with $|U| < \mathfrak{c}$, and $z \in \mathbb{R}$. Then there exists a finite $F \subseteq U_p \setminus U$ such that*

$$z \in \text{span}(V \cup F) \quad \text{and} \quad \text{span}(F) \cap V \text{ is trivial.}$$

Proof. CASE 1: $z = \sigma(\underline{0}, q)$ (where $\underline{0} \in {}^\omega 2$ is the constantly zero function).

We may assume that there are infinitely many n such that $q(n) = 0$, because otherwise $z \in \mathbb{Q}$ and so we may take F to be empty. Let

$$A = \{n : q(n) = 0\}.$$

For any $B \subseteq A$ define the pair $q_B, q'_B \in {}^\omega 2$ as follows:

$$q_B(n) = \begin{cases} q(n) & \text{if } n \notin B, \\ 1 & \text{if } n \in B, \end{cases} \quad q'_B(n) = \begin{cases} 0 & \text{if } n \notin B, \\ 1 & \text{if } n \in B. \end{cases}$$

Since $q(n) = 0$ for each $n \in B$, it follows that $q(n) = q_B(n) - q'_B(n)$ for every n . Since we never do any “borrowing” we have

$$z = \sigma(\underline{0}, q) = \sigma(p, q_B) - \sigma(p, q'_B).$$

Since $|U| < \mathfrak{c}$ there are continuum many $B \subseteq A$ such that neither $\sigma(p, q_B)$ nor $\sigma(p, q'_B)$ are in U . Fix one of these B 's and let

$$F \subseteq \{\sigma(p, q_B), \sigma(p, q'_B)\} \subseteq U_p \setminus U$$

be minimal such that $z \in \text{span}(V \cup F)$.

CASE 2: $z = \sigma(q, \underline{0})$. Since

$$\frac{1}{2}z = \frac{1}{2}\sigma(q, \underline{0}) = \sigma(\underline{0}, q)$$

this follows easily from Case 1.

To prove the result for general $z \in \mathbb{R} \setminus \mathbb{Q}$ first we may assume that $z = \sigma(q_1, q_2)$ for some $q_1, q_2 \in {}^\omega 2$ since a rational multiple of z is in $[0, 1]$. Next we may apply Case 1 to $\sigma(\underline{0}, q_2)$ and then iteratively (as in the proof of Lemma 9) to $\sigma(q_1, \underline{0})$. Then since $z = \sigma(q_1, \underline{0}) + \sigma(\underline{0}, q_2)$ the lemma is proved. ■

Proof of Theorem 10. For any distinct $p_1, p_2 \in {}^\omega 2$ if neither is eventually one, then U_{p_1} and U_{p_2} are disjoint. The proof is now similar to that of Theorem 8, using the family of U_p for $p \in {}^\omega 2$ which are not eventually one. ■

REMARK 12. Similar proofs can be given to produce Marczewski null Hamel bases for \mathbb{R}^n , \mathbb{Q}^ω , and \mathbb{R}^ω . For \mathbb{R}^n one can either modify the proofs of Theorem 8 and Lemma 9, or else observe (for example when $n = 3$) that if H is a Marczewski null Hamel basis for \mathbb{R} , then

$$(H \times \{0\} \times \{0\}) \cup (\{0\} \times H \times \{0\}) \cup (\{0\} \times \{0\} \times H)$$

is a Marczewski null Hamel basis for \mathbb{R}^3 . If $X = \mathbb{Q}^\omega$ or $X = \mathbb{R}^\omega$ then X is isomorphic to $X \times X$ and the proofs are similar to the proof for the plane.

CONJECTURE 13. *Suppose X is an uncountable completely metrizable separable metric space which is also a vector space over a field \mathbb{F} and scalar*

multiplication and vector sum are Borel maps. Then there exists a basis H for X over \mathbb{F} such that H is Marczewski null.

Note that our conjecture reduces to the case where the field \mathbb{F} is either \mathbb{Q} or \mathbb{Z}_p for some prime p . This is because if \mathbb{K} is a subfield of \mathbb{F} and H is a Marczewski null basis for X over \mathbb{K} , then some maximal linearly independent (over \mathbb{F}) subset of H is a Marczewski null basis for X over \mathbb{F} .

F. B. Jones [4] constructed a Hamel basis containing a perfect set and attributed the construction of what might be called Vitali-independent perfect set to R. L. Swain.

THEOREM 14. *There is a Hamel basis for \mathbb{R} which is Marczewski measurable and perfectly dense.*

Proof. Let C be a linearly independent Cantor set and H_0 a Marczewski null Hamel basis. Split C into countably many Cantor sets C_0, C_1, \dots , fix a basis $\{B_n : n < \omega\}$ for the topology of the real line and for each n pick a non-zero rational q_n such that $q_n C_n$ intersects B_n . Note that

$$C' = \bigcup \{q_n C_n : n < \omega\}$$

is still linearly independent (though not a Cantor set) and for all open sets U there exists a perfect $P \subseteq C' \cap U$. Let $H_1 \subseteq H_0$ be maximal such that

$$H = C' \cup H_1$$

is linearly independent. It is easy to see that H works. ■

Borel additive mappings. We might hope to obtain Theorem 10 as a corollary to Theorem 8 getting a Borel linear isomorphism between $\mathbb{R} \times \mathbb{R}$ and \mathbb{R} . Since a Borel bijection preserves the Marczewski null sets, we would be able to obtain a Marczewski null Hamel basis for the reals from one for the plane.

This will not work because of the following result. A mapping is called *additive* iff $f(x+y) = f(x) + f(y)$ for any x and y . Note that if f is additive, then $f(rx) = rf(x)$ for any rational r .

THEOREM 15. *Any additive Borel map $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ fails to be one-to-one.*

LEMMA 16. *Suppose $g : \mathbb{R} \rightarrow \mathbb{R}$ is an additive Borel map. Then there exists a comeager $G \subseteq \mathbb{R}$ and a real a such that $g(x) = ax$ for every $x \in G$.*

Proof. This is due to F. Burton Jones [4]. Since g is additive it is not hard to prove that $g(ax) = ag(x)$ for every rational $a \in \mathbb{Q}$ and real x . Also, since g is Borel there exists a comeager G such that the restriction of g to G is continuous. Since aG is comeager for any non-zero a we may without loss assume that $aG \subseteq G$ for every non-zero rational a . Let x_0 be

any fixed non-zero element of G . For any $a \in \mathbb{Q}$ we have $g(ax_0) = ag(x_0)$ and $ax_0 \in G$. So by the continuity of g we get $g(yx_0) = yg(x_0)$ for any y with $yx_0 \in G$. Now for any $x \in G$,

$$g(x) = g\left(\frac{x}{x_0}x_0\right) = \frac{x}{x_0}g(x_0) = x\frac{g(x_0)}{x_0}$$

and so $a = g(x_0)/x_0$ works. ■

Proof of Theorem 15. Assume that f is an additive map. By the lemma there exist comeager G_i and reals a_i , $i = 0, 1$, such that for every $x \in G_0$ we have $f(x, 0) = a_0x$ and for every $y \in G_1$ we have $f(0, y) = a_1y$. Since f is additive it follows that for every $x, y \in G = G_0 \cap G_1$,

$$f(x, y) = a_0x + a_1y.$$

If either a_i is zero, then of course f is not one-to-one. So assume both are non-zero. Let x and x' be arbitrary distinct elements of G and define

$$z = -\frac{a_0}{a_1}(x - x')$$

Since G is comeager, so is $G + z$ and hence we can choose y in both G and $G + z$. If we let y' be so that $y = y' + z$, then $y' = y - z \in G$ and

$$f(x, y) = a_0x + a_1y = a_0x + a_1y' - a_0(x - x') = a_0x' + a_1y' = f(x', y')$$

and f is not one-to-one. ■

We use similar Baire category arguments to prove the following theorem:

THEOREM 17. *There is no Borel (or even Baire) 1-1 additive function f of the following form for any $n = 1, 2, \dots$:*

- (1) $f : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^n$,
- (2) $f : \mathbb{R}^n \rightarrow \mathbb{Q}^\omega$, or $f : \mathbb{R}^n \rightarrow \mathbb{Z}^\omega$ (even for any 1-1 additive f),
- (3) $f : \mathbb{Q}^\omega \rightarrow \mathbb{R}^n$, or $f : \mathbb{Z}^\omega \rightarrow \mathbb{R}^n$.

Proof. (1) This argument is a generalization of Theorem 15. There exists a comeager $G \subseteq \mathbb{R}$ and a linear transformation $L : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^n$ with the property that

$$f(x_1, \dots, x_{n+1}) = L(x_1, \dots, x_{n+1}) \quad \text{for any } x_1, \dots, x_{n+1} \in G.$$

Since L cannot be 1-1 there must be distinct vectors u and v with $L(u) = L(v)$. Since G is comeager there exists a vector w such that $u_i + w_i, v_i + w_i \in G$ for all coordinates $i = 1, \dots, n + 1$ (choose $w_i \in (G - u_i) \cap (G - v_i)$). But then

$$f(u + w) = L(u + w) = L(u) + L(w) = L(v) + L(w) = L(v + w) = f(v + w)$$

implies that f is not 1-1.

(2) It is enough to prove this for the case $f : \mathbb{R}^1 \rightarrow \mathbb{Q}^\omega$, since there are such maps from \mathbb{R}^1 into \mathbb{R}^n and from \mathbb{Z}^ω into \mathbb{Q}^ω . Let $f(x)(m) \in \mathbb{Q}$ refer to

the m th coordinate of $f(x)$. If f is 1-1 and additive, then for each non-zero $x \in \mathbb{R}$ there is some m such that $f(x)(m) \neq 0$. By Baire category there must exist some $q_0 \in \mathbb{Q}$ with $q_0 \neq 0$, coordinate m , open interval I and $H \subseteq I$ comeager in I such that

$$f(x)(m) = q_0 \quad \text{for every } x \in H.$$

But this is impossible because we can find $\varepsilon \in \mathbb{Q}$ with ε close to 1 but different from 1 and some x such that $x, \varepsilon x \in H$ but

$$f(x) + f(\varepsilon x) = f(x + \varepsilon x) = f((1 + \varepsilon)x) = (1 + \varepsilon)f(x).$$

Since both x and εx are in H we have $f(x)(m) = f(\varepsilon x)(m) = q_0$, contradicting $2q_0 \neq (1 + \varepsilon)q_0$.

(3) We show there is no such map $f : \mathbb{Z}^\omega \rightarrow \mathbb{R}^n$. Since there is a 1-1 additive Borel map (inclusion) from \mathbb{Z}^ω into \mathbb{Q}^ω , this suffices. We start by giving the proof for $n = 1$. Assume for contradiction that $G \subseteq \mathbb{Z}^\omega$ is a comeager G_δ -set and $f \upharpoonright G$ is continuous on G .

The topology on \mathbb{Z}^ω is determined by the basic open sets

$$[s] = \{x \in \mathbb{Z}^\omega : s \subseteq x\}$$

where $s \in \mathbb{Z}^{<\omega}$ is the set of finite sequences from \mathbb{Z} .

CLAIM. For any $N \in \omega$ and any $s \in \mathbb{Z}^{<\omega}$ there exists $t \in \mathbb{Z}^{<\omega}$ with $s \subseteq t$ and for every $x \in G \cap [t]$ we have $f(x) > N$.

Proof. Let $m = |s|$ be the length of s (so $s = \langle s(0), \dots, s(m-1) \rangle$). For each $k \in \mathbb{Z}$ let $x_k \in \mathbb{Z}^\omega$ be the sequence which is all zeros except on the m th coordinate where it is k . Since f is additive and 1-1 we must have either $\lim_{k \rightarrow \infty} f(x_k) = \infty$ or $\lim_{k \rightarrow -\infty} f(x_k) = \infty$. Since G is comeager there exists $u \in [s]$ such that $u + x_k \in G$ for every $k \in \mathbb{Z}$ (i.e., choose $u \in \bigcap_{k \in \mathbb{Z}} (-x_k + G)$). Note that $u + x_k \in [s]$ for every k and $f(u + x_k) = f(u) + f(x_k)$, hence for some $k \in \mathbb{Z}$ we have $f(u + x_k) > N$. Since f is continuous on G we can find the t as required. This proves the Claim.

According to the Claim for each N there exists a dense open set D_N such that for every $x \in D_N \cap G$ we have $f(x) > N$. But this is a contradiction since it implies

$$G \cap \bigcap_{N \in \omega} D_N = \emptyset.$$

For the case of $f : \mathbb{Z}^\omega \rightarrow \mathbb{R}^n$ the argument is similar, we just prove a claim that says: For any $N \in \omega$ and any $s \in \mathbb{Z}^{<\omega}$ there exists $t \in \mathbb{Z}^{<\omega}$ with $s \subseteq t$ and for every $x \in G \cap [t]$ we have $f(x)(i) > N$ for some coordinate $i < n$. ■

References

- [1] C. Burstin, *Die Spaltung des Kontinuums in \mathfrak{c} in Lebesgueschem Sinne nichtmessbare Mengen*, Sitzungsber. Akad. Wiss. Wien Math. Nat. Klasse Abt. IIa 125 (1916), 209–217.
- [2] P. Erdős, *On some properties of Hamel bases*, Colloq. Math. 10 (1963), 267–269.
- [3] J. Feldman and C. C. Moore, *Ergodic equivalence relations, cohomology, and von Neumann algebras I*, Trans. Amer. Math. Soc. 234 (1977), 289–324.
- [4] F. B. Jones, *Measure and other properties of a Hamel basis*, Bull. Amer. Math. Soc. 48 (1942), 472–481.
- [5] A. S. Kechris, *Classical Descriptive Set Theory*, Grad. Texts in Math. 156, Springer, 1995.
- [6] M. Kuczma, *An introduction to the theory of functional equations and inequalities. Cauchy's equation and Jensen's inequality*, Prace Nauk. Uniw. Śląsk. 489, Uniw. Śląski, Katowice, and PWN, Warszawa, 1985.
- [7] A. W. Miller, *Special subsets of the real line*, in: Handbook of Set-Theoretic Topology, K. Kunen and J. E. Vaughan (eds.), Elsevier, 1984, 201–233.
- [8] W. Sierpiński, *Sur la question de la mesurabilité de la base de Hamel*, Fund. Math. 1 (1920), 105–111.
- [9] J. H. Silver, *Counting the number of equivalence classes of Borel and coanalytic equivalence relations*, Ann. Math. Logic 18 (1980), 1–28.
- [10] E. Szpilrajn (Marczewski), *Sur une classe de fonctions de M. Sierpiński et la classe correspondante d'ensembles*, Fund. Math. 24 (1935), 17–34.

Department of Mathematics
 University of Wisconsin-Madison
 Van Vleck Hall
 480 Lincoln Drive
 Madison, WI 53706-1388, U.S.A.
 E-mail: miller@math.wisc.edu

Web: <http://www.math.wisc.edu/~miller/>

Institute of Mathematics
 Bulgarian Academy of Sciences
 Acad. G. Bontchev street, bl. 8
 1113 Sofia, Bulgaria
 E-mail: sgpopv@bgcict.acad.bg

Department of Mathematics
 Auburn University
 218 Parker Hall
 Auburn, AL 36849-5310, U.S.A.
 E-mail: popvast@mail.auburn.edu
 Web: <http://www.auburn.edu/~popvast/>

*Received 6 December 1999;
 in revised form 24 August 2000*