

## Knots in $S^2 \times S^1$ derived from $\text{Sym}(2, \mathbb{R})$

by

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**Abstract.** We realize closed geodesics on the real conformal compactification of the space  $V = \text{Sym}(2, \mathbb{R})$  of all  $2 \times 2$  real symmetric matrices as knots or 2-component links in  $S^2 \times S^1$  and show that these knots or links have certain types of symmetry of period 2.

**1. Introduction.** In [6], to complete a semisimple Jordan algebra  $V$  of classical type to a symmetric space, B. Makarevich used the notion of geodesics in  $V$  that originate at zero. In the case of the Euclidean (or formally real) Jordan algebra  $V = \text{Sym}(n, \mathbb{R})$  of all  $n \times n$  real symmetric matrices, these geodesics are eventually of the form  $\alpha(t, A) := \exp tX_A \cdot \mathbf{0}$ ,  $A \in V$ , where  $X_A = \begin{pmatrix} 0 & A \\ -A & 0 \end{pmatrix} \in \mathfrak{sp}(2n, \mathbb{R})$ , the Lie algebra of the symplectic group  $\text{Sp}(2n, \mathbb{R})$ . In [5], the authors classified closed geodesics and symmetric geodesics of these types on the real conformal compactification  $\mathcal{M}$  of  $V = \text{Sym}(n, \mathbb{R})$ . In Section 2, for convenience we give a brief review of these results and show some elementary facts.

It is known [1] that the conformal compactification  $\mathcal{M}$  of  $V = \text{Sym}(n, \mathbb{R})$  is diffeomorphic to the Shilov boundary  $\Sigma_n$  of the symmetric tube domain  $T_\Omega = V + i\Omega$ , where  $\Omega$  is the open convex cone of all positive definite  $n \times n$  symmetric matrices. The main interest of this paper is to give a realization of these closed geodesics in the Shilov boundary  $\Sigma_2$  as knots in  $S^2 \times S^1$  and to characterize their symmetry properties.

Throughout this paper, all maps and spaces will be assumed to be in the piecewise-linear (PL) category. A *link*  $L$  of  $\mu$  components in a connected 3-manifold  $M$  is (the image of) an embedding of  $\mu$  disjoint 1-spheres into  $M$ . If  $\mu = 1$ , then  $L$  is called a *knot* in  $M$ . Two links  $L$  and  $L'$  are said to be *equivalent* if there exists an ambient isotopy  $H : M \times [0, 1] \rightarrow$

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$M \times [0, 1]$ ,  $H(x, t) = h_t(x)$  ( $t \in [0, 1]$ ), such that  $h_0$  is the identity on  $M$  and  $h_1(L) = L'$ .

A knot (or link)  $K$  in a connected 3-manifold  $M$  is said to have *period  $n$  of type  $(X, Y)$*  (or to be an  *$n$ -periodic knot of type  $(X, Y)$* ) if there is an  $n$ -periodic homeomorphism  $h : (M, K) \rightarrow (M, K)$  such that the fixed point set,  $\text{Fix}(h)$ , of  $h$  is homeomorphic to  $X$  and  $\text{Fix}(h) \cap K$  is homeomorphic to  $Y$ . If  $M$  is a homology 3-sphere, then P. A. Smith [9] proved that the set of fixed points of a periodic homeomorphism of  $M$  is  $\emptyset, S^0, S^1$ , or  $S^2$ . By the positive solution of the Smith conjecture [7], the possible types of non-trivial knots in  $S^3$  are  $(\emptyset, \emptyset), (S^0, \emptyset), (S^0, S^0), (S^1, \emptyset), (S^1, S^0)$ , and  $(S^2, S^0)$  (cf. [3]).

In Section 3, we show that the closed geodesics on the conformal compactification  $\mathcal{M}$  of  $\text{Sym}(2, \mathbb{R})$  are knots in the Shilov boundary  $\Sigma_2$  which have period 2 of type both  $(\emptyset, \emptyset)$  and  $(S^1 \cup S^0, S^0)$ .

In [4], [10], and [11], it was shown that  $S^2 \times S^1$  admits exactly thirteen distinct involutions (up to conjugation) and the possible types of their fixed point sets are  $\emptyset, S^0 \dot{\cup} S^0, S^1, S^1 \dot{\cup} S^1, S^1 \times S^1$ , Klein bottle,  $S^0 \dot{\cup} S^2$ , or  $S^2 \dot{\cup} S^2$ , where  $X \dot{\cup} Y$  denotes the disjoint union of  $X$  and  $Y$ .

In Section 4, we give an explicit description of an orientable double cover  $S^2 \times S^1$  of the Shilov boundary  $\Sigma_2$  and show that the knots in  $\Sigma_2$  corresponding to the closed geodesics lift to knots or links of 2-components in  $S^2 \times S^1$ ; we show that these knots or links in  $S^2 \times S^1$  also have period 2 of types  $(S^1 \times S^1, T(m, n)), (S^1 \times S^1, S^0 \dot{\cup} \dots \dot{\cup} S^0)$ , or  $(S^1 \dot{\cup} S^1, \emptyset)$ , where  $T(m, n)$  denotes the torus knot of type  $(m, n)$  [8].

**2. Geodesics on the conformal compactification of  $\text{Sym}(n, \mathbb{R})$ .**

Let  $M_n(\mathbb{R})$  denote the space of all  $n \times n$  real matrices. A *symmetric* (respectively, *skew-symmetric*) matrix  $A \in M_n(\mathbb{R})$  is one satisfying  $A^t = A$  (respectively,  $A^t = -A$ ), where  $A^t$  denotes the transpose of a matrix  $A$ . Let  $\text{Sym}(n, \mathbb{R})$  (respectively,  $\text{Skew}(n, \mathbb{R})$ ) be the space of all symmetric (respectively, skew-symmetric)  $n \times n$  matrices. Let  $A \in \text{Sym}(n, \mathbb{R})$  have the spectral decomposition  $A = \sum_{k=1}^n \lambda_k C_k$ , where  $\{C_k\}$  is a complete system of orthogonal projections. Then the *spectral norm*  $|A|$  of  $A$  is defined by  $|A| = \max\{|\lambda_1|, \dots, |\lambda_n|\}$ .

Let  $(\cdot|\cdot)$  be the skew-symmetric form on  $\mathbb{R}^{2n}$  defined by  $(u|v) = \langle Ju | v \rangle$  for  $u, v \in \mathbb{R}^{2n}$ , where  $J = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$ . Here,  $I$  stands for the  $n \times n$  identity matrix. The *symplectic group*  $\text{Sp}(2n, \mathbb{R})$  on  $\mathbb{R}^{2n}$  is the Lie group of all invertible transformations  $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  satisfying one of the following equivalent conditions:

- (1)  $g$  preserves  $(\cdot|\cdot)$ .
- (2)  $g^t J g = J$ .
- (3)  $A^t C, B^t D$  are symmetric and  $A^t D - C^t B = I$ .

The Lie algebra of  $\mathrm{Sp}(2n, \mathbb{R})$  is given by

$$\mathfrak{sp}(2n, \mathbb{R}) = \left\{ \begin{pmatrix} X & Y \\ Z & -X^t \end{pmatrix} \mid X \in M_n(\mathbb{R}), Y, Z \in \mathrm{Sym}(n, \mathbb{R}) \right\}.$$

It has a Cartan decomposition  $\mathfrak{sp}(2n, \mathbb{R}) = \mathfrak{p} \oplus \mathfrak{k}$ , where

$$\begin{aligned} \mathfrak{p} &= \left\{ \begin{pmatrix} X & Y \\ Y & -X \end{pmatrix} \mid X, Y \in \mathrm{Sym}(n, \mathbb{R}) \right\}, \\ \mathfrak{k} &= \left\{ \begin{pmatrix} X & Y \\ -Y & X \end{pmatrix} \mid X \in \mathrm{Skew}(n, \mathbb{R}), Y \in \mathrm{Sym}(n, \mathbb{R}) \right\}. \end{aligned}$$

Let  $\tau = \begin{pmatrix} -I & 0 \\ 0 & I \end{pmatrix} \in \mathrm{GL}(2n, \mathbb{R})$  and let  $\tau(g) = \tau \cdot g \cdot \tau$  for  $g \in \mathrm{Sp}(2n, \mathbb{R})$ . Then  $\tau$  is an involution on  $\mathrm{Sp}(2n, \mathbb{R})$ . The differential  $d\tau$  of  $\tau$  at the identity is given by

$$d\tau \begin{pmatrix} X & Y \\ Z & -X^t \end{pmatrix} = \begin{pmatrix} X & -Y \\ -Z & -X^t \end{pmatrix}.$$

The Lie algebra  $\mathfrak{sp}(2n, \mathbb{R})$  can be decomposed into the (+1)-eigenspace  $\mathfrak{h}$  and the (-1)-eigenspace  $\mathfrak{q}$  of  $d\tau$ :

$$\mathfrak{sp}(2n, \mathbb{R}) = \mathfrak{h} \oplus \mathfrak{q} = \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{n}^-, \quad \mathfrak{q} = \mathfrak{n}^+ \oplus \mathfrak{n}^-,$$

where

$$\begin{aligned} \mathfrak{n}^+ &= \left\{ \begin{pmatrix} 0 & Y \\ 0 & 0 \end{pmatrix} \mid Y \in \mathrm{Sym}(n, \mathbb{R}) \right\}, \\ \mathfrak{n}^- &= \left\{ \begin{pmatrix} 0 & 0 \\ Z & 0 \end{pmatrix} \mid Z \in \mathrm{Sym}(n, \mathbb{R}) \right\}, \\ \mathfrak{h} &= \left\{ \begin{pmatrix} X & 0 \\ 0 & -X^t \end{pmatrix} \mid X \in M_n(\mathbb{R}) \right\}. \end{aligned}$$

Let  $N^\pm$  be the Lie subgroups of  $\mathrm{Sp}(2n, \mathbb{R})$  corresponding to  $\mathfrak{n}^\pm$  respectively. Then

$$\begin{aligned} N^+ &= \left\{ \begin{pmatrix} I & A \\ 0 & I \end{pmatrix} \mid A \in \mathrm{Sym}(n, \mathbb{R}) \right\} = \exp \mathfrak{n}^+, \\ N^- &= \left\{ \begin{pmatrix} I & 0 \\ A & I \end{pmatrix} \mid A \in \mathrm{Sym}(n, \mathbb{R}) \right\} = \exp \mathfrak{n}^-. \end{aligned}$$

Let  $H = \{g \in \mathrm{Sp}(2n, \mathbb{R}) \mid \tau(g) = g\}$ . We observe that

$$H = \left\{ \begin{pmatrix} A & 0 \\ 0 & (A^{-1})^t \end{pmatrix} \mid A \in \mathrm{GL}(n, \mathbb{R}) \right\}.$$

**THEOREM 2.1** (see [1]). *Let  $P = HN^-$ . Then  $P$  is a closed subgroup of  $G := \mathrm{Sp}(2n, \mathbb{R})$  and the homogeneous space  $\mathcal{M} := G/P$  is a compact real manifold with  $V := \mathrm{Sym}(n, \mathbb{R})$  as an open dense subset. The embedding of*

$V$  into  $\mathcal{M}$  is given by

$$X \in V \mapsto \begin{pmatrix} I & X \\ 0 & I \end{pmatrix} \cdot P \in \mathcal{M}.$$

Furthermore, for  $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}(2n, \mathbb{R})$  and  $X \in V$  with  $g \cdot X \in V$ , we have

$$g \cdot X = (AX + B)(CX + D)^{-1}.$$

Let  $A \in V = \text{Sym}(n, \mathbb{R})$  and let  $X_A := \begin{pmatrix} 0 & A \\ -A & 0 \end{pmatrix} \in \mathfrak{k}$ . Then it is known [6] that the geodesic in  $\mathcal{M}$  originating at the origin  $\mathbf{0}$  with direction  $A$  is of the form

$$\alpha(t, A) := \exp tX_A \cdot \mathbf{0} = \begin{pmatrix} \cos tA & \sin tA \\ -\sin tA & \cos tA \end{pmatrix} \cdot \mathbf{0}.$$

The *period* of a non-constant closed geodesic  $\alpha(t, A)$  is the smallest positive number  $t_0$  satisfying  $\alpha(t_0, A) = \mathbf{0}$ .

Set  $j = \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} \in \text{Sp}(2n, \mathbb{R})$ . Then  $j$  is an involution on  $\mathcal{M}$  and for an invertible element  $A \in V$  we have  $j \cdot A = -A^{-1}$ . A closed geodesic  $\alpha(t, A)$  is said to be *symmetric* if it is invariant under the involution  $j$  on  $\mathcal{M}$ .

Let

$$E_c = \{r(p_1, \dots, p_n) \in \mathbb{R}^n \mid r \geq 0, p_i \text{ integers}\},$$

$$E_s = \{r(p_1, \dots, p_n) \in E_c \mid r > 0, p_i \text{ odd integers}\}$$

(in this setting, we always assume that the integers  $p_i$  have no common divisors), and let  $A = \sum_{k=1}^n \lambda_k C_k$  be the spectral decomposition of  $A$ . Then  $\alpha(t, A)$  is a closed geodesic if and only if  $(\lambda_1, \dots, \lambda_n) \in E_c$ . If  $A \neq 0$  and  $(\lambda_1, \dots, \lambda_n) = r(p_1, \dots, p_n) \in E_c$ , then  $\pi/r$  is the period of  $\alpha(t, A)$  ([5], Theorem 4.2). Also,  $\alpha(t, A)$  is a symmetric geodesic if and only if  $(\lambda_1, \dots, \lambda_n) \in E_s$  ([5], Theorem 4.4).

Now let  $\Omega$  be the symmetric cone of positive definite  $n \times n$  symmetric real matrices. Then the tube domain  $T_\Omega := V + i\Omega$  can be realized as a bounded symmetric domain  $\mathcal{D}$  in the complex plane  $V^\mathbb{C} := V + iV$  as follows: Let

$$D(p) = \{Z \in V^\mathbb{C} \mid Z + iI \in \text{GL}(n, \mathbb{C})\},$$

$$D(c) = \{W \in V^\mathbb{C} \mid I - W \in \text{GL}(n, \mathbb{C})\},$$

and define for all  $Z \in D(p)$  and  $W \in D(c)$ ,

$$p(Z) = (Z - iI)(Z + iI)^{-1}, \quad c(W) = i(I + W)(I - W)^{-1}.$$

Then  $p : D(p) \rightarrow D(c)$  is a holomorphic bijection from  $D(p)$  onto  $D(c)$ , and  $c : D(c) \rightarrow D(p)$ , called the *Cayley transform*, is its inverse. The closure of  $T_\Omega$  in  $V^\mathbb{C}$  is contained in  $D(p)$ . The image  $\mathcal{D} := p(T_\Omega)$  of  $p$  is known as a bounded symmetric domain which is the open unit ball with respect to the spectral norm. We define  $\Sigma_n$  as the set of all invertible elements  $Z$  in  $V^\mathbb{C}$

such that  $Z^{-1} = \bar{Z}$ . It is known that  $\Sigma_n$  is the Shilov boundary of  $\mathcal{D}$ , which is a compact connected  $\frac{n(n+1)}{2}$ -dimensional manifold, and is exactly equal to  $\overline{p(V)}$  (for details, see [2]).

Let  $\mathbf{c} = \{C_k\}_{k=1}^n$  be a complete system of orthogonal projections and let  $V(\mathbf{c})$  be the subspace of  $V$  generated by  $C_k$ 's. Then for  $A = \sum_{k=1}^n \lambda_k C_k$ ,

$$(2.1) \quad p(A) = \sum_{k=1}^n \frac{\lambda_k - i}{\lambda_k + i} C_k.$$

Since  $(\lambda_k - i)/(\lambda_k + i) \in S^1$  (the unit circle in  $\mathbb{C}$ ) for  $k = 1, \dots, n$ , we conclude that  $p(V(\mathbf{c}))$  is diffeomorphic to the  $n$ -torus  $T^n = S^1 \times \dots \times S^1$ .

For a geodesic curve  $\alpha(t, A)$  on  $\mathcal{M}$ , we let  $\hat{\alpha}(t, A) := p(\alpha(t, A))$  be the corresponding geodesic on  $\Sigma_n$ . From (2.1), we have the following

PROPOSITION 2.2. *Let  $A = \sum_{k=1}^n \lambda_k C_k$  be the spectral decomposition of  $A$ . Then*

$$\hat{\alpha}(t, A) = \sum_{k=1}^n e^{i(\pi+2\lambda_k t)} C_k.$$

Proof. For  $t > 0$  with  $\alpha(t, A) \in V$ ,

$$p(\alpha(t, A)) = \sum_{k=1}^n \frac{\tan \lambda_k t - i}{\tan \lambda_k t + i} C_k$$

and

$$\frac{\tan \lambda_k t - i}{\tan \lambda_k t + i} = \sin^2 \lambda_k t \cos^2 \lambda_k t - 2i \sin \lambda_k t \cos \lambda_k t = e^{i(\pi+2\lambda_k t)}. \blacksquare$$

The symmetry  $\hat{j} := p \circ j \circ c$  on  $\Sigma_n$  corresponding to the symmetry  $j$  on  $\mathcal{M}$  is the symmetry about the origin, i.e.,  $\hat{j}(Z) = -Z$ . Let  $J$  be the involution on  $\Sigma_n$  defined by  $J(Z) = -\bar{Z}$ . Then since  $\bar{Z} = Z^{-1}$  for any  $Z \in \Sigma_n$ , this involution is just  $J(Z) = -Z^{-1}$  and  $J = j$  on  $V = \text{Sym}(n, \mathbb{R})$ . By Proposition 2.2, we have

COROLLARY 2.3. *If  $\alpha(t, A)$  is a symmetric geodesic on  $\mathcal{M}$  with  $A = \sum_{k=1}^n r p_k C_k$  then*

$$\begin{aligned} \hat{j}\hat{\alpha}(t, A) &= \hat{\alpha}\left(t + \frac{\pi}{2r}, A\right), \\ J\hat{\alpha}(t, A) &= \begin{cases} \hat{\alpha}\left(\frac{\pi}{2r} - t, A\right) & \text{if } 0 \leq t \leq \frac{\pi}{2r}, \\ \hat{\alpha}\left(\frac{3}{2r}\pi - t, A\right) & \text{if } \frac{\pi}{2r} \leq t \leq \frac{\pi}{r}. \end{cases} \end{aligned}$$

In particular,  $\hat{\alpha}(t, A)$  is invariant under both involutions  $\hat{j}$  and  $J$  on  $\Sigma_n$ .

Let  $\text{Fix}(J)$  denote the set of all fixed points of the involution  $J$  on  $\Sigma_n$ . The following lemma will be useful in what follows.

LEMMA 2.4. *Let  $P \in \text{GL}(n, \mathbb{R})$  be an orthogonal transformation and let  $A \in \text{Sym}(n, \mathbb{R})$ . Then:*

- (1)  $\widehat{\alpha}(t, PAP^t) = P\widehat{\alpha}(t, A)P^t$ .
- (2)  $P\text{Fix}(J)P^t = \text{Fix}(J)$ .
- (3)  $\widehat{\alpha}(t, PAP^t) \cap \text{Fix}(J) = P(\widehat{\alpha}(t, A) \cap \text{Fix}(J))P^t$ .

PROOF. Let  $A = \sum_{k=1}^n \lambda_k C_k$  be the spectral decomposition of  $A$  and let  $P$  be an orthogonal transformation. Then  $\{PC_kP^t\}_{k=1}^n$  is a complete system of orthogonal projections. Hence (1) follows from Proposition 2.2, (2) follows from the fact that for any  $Z \in \Sigma_n$ ,  $J(PZP^t) = -(PZP^t)^{-1} = PJ(Z)P^t$ , and (3) follows from (1) and (2). ■

**3. Closed geodesics in the Shilov boundary  $\Sigma_2$ .** From now on, we shall restrict our attention to the space  $V = \text{Sym}(2, \mathbb{R})$ . Recall that the Shilov boundary  $\Sigma_2$  of  $V$  is given by

$$\Sigma_2 = \left\{ Z \in \text{Sym}(2, \mathbb{C}) \mid Z = \begin{pmatrix} z_1 & z_2 \\ z_2 & z_3 \end{pmatrix} \right. \\ \left. \text{is an invertible matrix with } \bar{Z} = Z^{-1} \right\}.$$

We identify  $Z = \begin{pmatrix} z_1 & z_2 \\ z_2 & z_3 \end{pmatrix} \in \text{Sym}(2, \mathbb{C})$  with  $(z_1, z_2, z_3) \in \mathbb{C}^3$ . Under this identification, the Shilov boundary  $\Sigma_2$  of  $\text{Sym}(2, \mathbb{R})$  can be written as

$$\Sigma_2 = \{(z_1, z_2, z_3) \in \mathbb{C}^3 \mid z_1\bar{z}_2 + z_2\bar{z}_3 = 0, |z_1|^2 + |z_2|^2 = |z_2|^2 + |z_3|^2 = 1\}.$$

Let  $A (\neq 0) \in \text{Sym}(2, \mathbb{R})$  and let  $\alpha(t, A)$  be the closed geodesic in  $\mathcal{M}$  originating at the origin  $\mathbf{0}$  with direction  $A$ . Let  $A = \lambda_1 C_1 + \lambda_2 C_2$  be the spectral decomposition of  $A$ . Then, from Theorem 4.2 of [5], we know that  $\lambda_1 = rp$  and  $\lambda_2 = rq$  for some real  $r > 0$  and coprime integers  $p$  and  $q$ . Set  $E_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $E_2 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ . Note that  $\mathbf{e} = \{E_1, E_2\}$  is a complete system of orthogonal projections. It is well known that the orthogonal group  $\text{SO}(2)$  acts transitively on the set of complete systems of orthogonal projections. Thus there exists a unique orthogonal matrix

$$P_\theta = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \in \text{SO}(2) \quad (\theta \in [0, \pi])$$

such that  $C_i = P_\theta E_i P_\theta^t$  ( $i = 1, 2$ ), i.e.,  $A = P_\theta A_0 P_\theta^t$ , where  $A_0 := (rp)E_1 + (rq)E_2$ . By Proposition 2.2 and Lemma 2.4, we obtain

$$\widehat{\alpha}(t, A) \\ = \begin{pmatrix} \cos^2 \theta e^{i(\pi+2rpt)} + \sin^2 \theta e^{i(\pi+2rqt)} & \sin \theta \cos \theta (e^{i(\pi+2rqt)} - e^{i(\pi+2rpt)}) \\ \sin \theta \cos \theta (e^{i(\pi+2rqt)} - e^{i(\pi+2rpt)}) & \sin^2 \theta e^{i(\pi+2rpt)} + \cos^2 \theta e^{i(\pi+2rqt)} \end{pmatrix}.$$

DEFINITION 3.1. Let  $A (\neq 0) \in \text{Sym}(2, \mathbb{R})$  be a  $2 \times 2$  symmetric matrix which has the spectral decomposition  $A = (rp)C_1 + (rq)C_2$ , where  $r > 0$  is a real number and  $p$  and  $q$  are coprime integers, and let  $\alpha(t, A)$  be the closed geodesic in the conformal compactification  $\mathcal{M}$  of  $\text{Sym}(2, \mathbb{R})$  originating at  $\mathbf{0}$  with direction  $A$ .

(1)  $\kappa(A_0)$  is the knot in  $\Sigma_2$  defined by  $\kappa(A_0) = \{\widehat{\alpha}(t, A_0) \in \Sigma_2 \mid 0 \leq t \leq \pi/r\}$ , i.e.,  $\kappa(A_0) = \{(e^{i(\pi+2ps)}, 0, e^{i(\pi+2qs)}) \in \Sigma_2 \mid 0 \leq s \leq \pi\}$ .

(2)  $\kappa(A)$  is the knot in  $\Sigma_2$  defined by  $\kappa(A) = \{\widehat{\alpha}(t, A) \in \Sigma_2 \mid 0 \leq t \leq \pi/r\}$ , i.e.,  $\kappa(A) = \{(z_1(s), z_2(s), z_3(s)) \in \Sigma_2 \mid 0 \leq s \leq \pi\}$ , where

$$\begin{aligned} z_1(s) &= -\cos^2 \theta e^{i(2ps)} - \sin^2 \theta e^{i(2qs)}, \\ z_2(s) &= \sin \theta \cos \theta (e^{i(2ps)} - e^{i(2qs)}), \\ z_3(s) &= -\sin^2 \theta e^{i(2ps)} - \cos^2 \theta e^{i(2qs)}, \end{aligned}$$

and  $\theta \in (0, \pi)$  satisfies  $C_i = P_\theta E_i P_\theta^t$  ( $i = 1, 2$ ).

PROPOSITION 3.2. Let  $A (\neq 0) \in \text{Sym}(2, \mathbb{R})$ . Then the knots  $\kappa(A_0)$  and  $\kappa(A)$  in  $\Sigma_2$  are equivalent.

PROOF. Let  $A = \lambda_1 C_1 + \lambda_2 C_2$  be the spectral decomposition of  $A$  and let  $\theta \in [0, \pi]$  be such that  $C_i = P_\theta E_i P_\theta^t$  ( $i = 1, 2$ ). If  $\theta = 0$ , then the assertion is obvious. Suppose that  $\theta \neq 0$ . For  $s \in [0, \theta]$ , let  $h_s : \Sigma_2 \rightarrow \Sigma_2$  be a homeomorphism of  $\Sigma_2$  defined by  $h_s(Z) = P_s Z P_s^t$  for  $Z \in \Sigma_2$ , with  $\Sigma_2$  viewed as a subspace of  $\text{Sym}(2, \mathbb{C})$ . Then it is clear that  $h_0$  is the identity on  $\Sigma_2$  and, by Lemma 2.4,  $h_\theta(\kappa(A_0)) = \kappa(A)$ . Furthermore, the map  $H : \Sigma_2 \times [0, \theta] \rightarrow \Sigma_2 \times [0, \theta]$  defined by  $H(Z, s) = (h_s(Z), s)$  is obviously an ambient isotopy between  $\kappa(A_0)$  and  $\kappa(A)$ . This completes the proof. ■

THEOREM 3.3. Let  $A \in \text{Sym}(2, \mathbb{R})$  be a  $2 \times 2$  symmetric matrix such that  $\alpha(t, A)$  is a symmetric geodesic in  $\mathcal{M}$ . Then the corresponding knot  $\kappa(A)$  in  $\Sigma_2$  has period 2 of type both  $(\emptyset, \emptyset)$  and  $(S^1 \cup S^0, S^0)$ .

PROOF. Let  $\widehat{\alpha}(t, A)$  be the symmetric geodesic corresponding to  $\alpha(t, A)$ . By Corollary 2.3, it is invariant under both  $\widehat{j}$  and  $J$ . Since  $\widehat{j}$  has no fixed points, it is obvious that  $\kappa(A)$  has period 2 of type  $(\emptyset, \emptyset)$  in  $\Sigma_2$ . Observe that the set of fixed points of the involution  $J$  on  $\Sigma_2$  is

$$\text{Fix}(J) = \{(i \cos \theta, i \sin \theta, -i \cos \theta) \mid \theta \in \mathbb{R}\} \cup \{\pm(i, 0, i)\} \cong S^1 \cup S^0,$$

where  $S^k$  ( $k = 0, 1$ ) denotes the  $k$ -sphere.

To prove that  $\kappa(A)$  is of type  $(S^1 \cup S^0, S^0)$  it suffices from Lemma 2.4 and Proposition 3.2 to show that  $\kappa(A_0)$  meets  $\text{Fix}(J)$  in exactly two points. Let  $A = \lambda_1 C_1 + \lambda_2 C_2$  be the spectral decomposition. Then  $A_0 = \lambda_1 E_1 + \lambda_2 E_2$  and, by Theorem 4.4 of [5] and time reparametrization, we may assume that  $\lambda_1$  and  $\lambda_2$  are relatively prime odd integers. Then the possible points in  $\kappa(A_0) \cap \text{Fix}(J)$  are of the form  $\pm(i, 0, -i), \pm(i, 0, i)$  since

$\kappa(A_0) = (e^{i(\pi+2\lambda_1 t)}, 0, e^{i(\pi+2\lambda_2 t)})$  ( $0 \leq t \leq \pi$ ). In several steps, we prove that  $e^{i(\pi+2\lambda_1 t)} = e^{i(\pi+2\lambda_2 t)} = i$  for some  $0 < t < \pi$  if and only if  $(\lambda_1, \lambda_2) = (4m - 1, 4n - 1)$  or  $(4m - 3, 4n - 3)$  for some  $m, n \in \mathbb{Z}$ .

Suppose that  $e^{i(\pi+2\lambda_1 t)} = e^{i(\pi+2\lambda_2 t)} = i$  for some  $0 < t < \pi$ . Then

STEP 1.  $t = \frac{4m-1}{4\lambda_1}\pi = \frac{4n-1}{4\lambda_2}\pi$  for some  $m, n \in \mathbb{Z}$ .

STEP 2.  $4m - 1 = \lambda_1 k, 4n - 1 = \lambda_2 k$  for some  $k \in \mathbb{Z}$  because  $\lambda_1$  and  $\lambda_2$  are relatively prime.

STEP 3.  $k = 1$  or  $k = 3$ . Since  $4m - 1$  and  $\lambda_1$  are odd integers,  $k$  must be an odd integer. Note that  $t \in (0, \pi)$ . By Step 1,  $k$  is 1 or 3.

If  $k = 1$ , then  $\lambda_1 = 4m - 1$  and  $\lambda_2 = 4n - 1$ . If  $k = 3$ , then  $\lambda_1 = (4m - 1)/3$  and  $\lambda_2 = (4n - 1)/3$ . In this case we may write  $((4m - 1)/3, (4n - 1)/3)$  as  $(4m' - 3, 4n' - 3)$ .

The converse argument is easily followed by taking  $t = \pi/4$  (respectively,  $t = 3\pi/4$ ) for  $\lambda_1 = (4m - 1, 4n - 1)$  (respectively,  $\lambda_2 = (4m - 3, 4n - 3)$ ).

Similarly, we have  $e^{i(\pi+2\lambda_1 t)} = i$  and  $e^{i(\pi+2\lambda_2 t)} = -i$  for some  $0 < t < \pi$  if and only if  $(\lambda_1, \lambda_2) = (4m - 1, 4n - 3)$  or  $(4m - 3, 4n - 1)$  for some  $m, n \in \mathbb{Z}$ .

Furthermore, note that if  $e^{i(\pi+2\lambda_1 t)} = e^{i(\pi+2\lambda_2 t)} = i$  for some  $0 < t < \pi$ , then  $e^{i(\pi+2\lambda_1 t')} = e^{i(\pi+2\lambda_2 t')} = -i$  for some  $0 < t' < \pi$  (in this case,  $t' = \pi/4$  or  $t' = 3\pi/4$ ). Similarly, if  $e^{i(\pi+2\lambda_1 t)} = i$  and  $e^{i(\pi+2\lambda_2 t)} = -i$  for some  $0 < t < \pi$ , then  $e^{i(\pi+2\lambda_1 t')} = -i, e^{i(\pi+2\lambda_2 t')} = i$  for some  $0 < t' < \pi$ .

Finally, by observing that the sets

$$\begin{aligned} X &:= \{(4m - 1, 4n - 1) \in E_s \mid m, n \in \mathbb{Z}\} \\ &\quad \cup \{(4m - 3, 4n - 3) \in E_s \mid m, n \in \mathbb{Z}\}, \\ Y &:= \{(4m - 1, 4n - 3) \in E_s \mid m, n \in \mathbb{Z}\} \\ &\quad \cup \{(4m - 3, 4n - 1) \in E_s \mid m, n \in \mathbb{Z}\} \end{aligned}$$

are disjoint and cover all pairs of coprime odd integers, we complete the proof. ■

REMARK 3.4. Each symmetric geodesic  $\widehat{\alpha}(t, A)$  meets  $\text{Fix}(J)$  at  $t = \pi/(4r)$  and  $t = 3\pi/(4r)$ .

**4. Covering links of the closed geodesics.** Let  $N = S^1 \times [0, 1]$  be an annulus in  $\mathbb{R}^3$  and let  $\Phi$  be the map from  $N \times S^1$  to  $\Sigma_2$  defined by

$$\Phi(e^{i\phi}, r, e^{i\psi}) = (\sqrt{1 - r^2}e^{i\phi}, re^{i\psi}, -\sqrt{1 - r^2}e^{i(2\psi - \phi)})$$

for  $(e^{i\phi}, r, e^{i\psi}) \in S^1 \times [0, 1] \times S^1$ . Note that  $\Phi(e^{i\phi}, 0, e^{i\psi}) = (e^{i\phi}, 0, -e^{i(2\psi - \phi)})$  and  $\Phi(e^{i\phi}, 1, e^{i\psi}) = (0, e^{i\psi}, 0)$ . Now let  $(z_1, z_2, z_3) \in \Sigma_2$  with  $z_1 = re^{i\phi} \in \mathbb{C}$ . Then  $0 \leq r \leq 1$  and for some  $\psi \in [0, 2\pi]$ , we have the following.

(4-1) If  $r = 0$ , then  $(z_1, z_2, z_3) = (0, e^{i\psi}, 0)$  and

$$\Phi^{-1}(z_1, z_2, z_3) = \{(e^{i\phi}, 1, e^{i\psi}) \mid 0 \leq \phi \leq 2\pi\}.$$



(4-2) If  $r = 1$ , then  $(z_1, z_2, z_3) = (e^{i\phi}, 0, e^{i\psi})$  and

$$\Phi^{-1}(z_1, z_2, z_3) = (e^{i\phi}, 0, \pm e^{i(\pi+\phi+\psi)/2}).$$

(4-3) If  $0 < r < 1$ , then  $(z_1, z_2, z_3) = (re^{i\phi}, \sqrt{1-r^2}e^{i\psi}, -re^{i(2\psi-\phi)})$  and

$$\Phi^{-1}(z_1, z_2, z_3) = \left( \frac{1}{|z_1|}z_1, \sqrt{1-|z_1|^2}, \frac{1}{\sqrt{1-|z_1|^2}}z_2 \right) = (e^{i\phi}, \sqrt{1-r^2}, e^{i\psi}).$$

This shows that  $\Sigma_2$  is an identification space of  $N \times S^1 = S^1 \times [0, 1] \times S^1$ . In fact, this observation gives us the following

**THEOREM 4.1.** *The Shilov boundary  $\Sigma_2$  of  $\text{Sym}(2, \mathbb{R})$  is homeomorphic to the non-orientable closed 3-manifold obtained from the solid torus  $D^2 \times S^1$  by identifying  $(w, z)$  with  $(w, -z)$  for each  $(w, z)$  in the boundary  $\partial D^2 \times S^1$  of the solid torus.*

Now let  $S^2 = \{(\sqrt{1-r^2}e^{i\phi}, r) \in \mathbb{C} \times \mathbb{R} \mid 0 \leq \phi \leq 2\pi, -1 \leq r \leq 1\}$  be the unit sphere in  $\mathbb{R}^3$  and let  $\widehat{N} = S^1 \times [-1, 1]$  be an annulus in  $\mathbb{R}^3$ . Let  $f : \widehat{N} \rightarrow S^2$  be the map defined by  $f(e^{i\phi}, r) = (\sqrt{1-r^2}e^{i\phi}, r)$  for  $(e^{i\phi}, r) \in \widehat{N}$  and let  $g : \widehat{N} \rightarrow N$  be defined by  $g(e^{i\phi}, r) = (e^{i\phi}, |r|)$  for  $(e^{i\phi}, r) \in \widehat{N}$ . It is easy to see that  $S^2$  is an identification space of  $\widehat{N}$  and  $g$  is a 2-fold branched covering projection with branch set  $S^1 \times \{0\} \subset N$ . The preimage of this branch set by  $g$  is  $S^1 \times \{0\} \subset \widehat{N}$ . Let  $\Psi : S^2 \times S^1 \rightarrow \Sigma_2$  be the map defined by  $\Psi = \Phi \circ (g \times \text{Id}_{S^1}) \circ (f \times \text{Id}_{S^1})^{-1}$ , where  $\text{Id}_{S^1}$  denotes the identity map of  $S^1$ :

$$(4.1) \quad \begin{array}{ccc} \widehat{N} \times S^1 & \xrightarrow{f \times \text{Id}_{S^1}} & S^2 \times S^1 \\ g \times \text{Id}_{S^1} \downarrow & & \downarrow \Psi \\ N \times S^1 & \xrightarrow{\Phi} & \Sigma_2 \end{array}$$

We observe that for  $(\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi}) \in S^2 \times S^1$ ,

$$\Psi(\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi}) = (\sqrt{1-r^2}e^{i\phi}, |r|e^{i\psi}, -\sqrt{1-r^2}e^{i(2\psi-\phi)}).$$

Then it is not difficult to see that the map  $\Psi$  is a 2-fold covering projection and hence  $S^2 \times S^1$  is an orientable double cover of the Shilov boundary  $\Sigma_2$  of  $\text{Sym}(2, \mathbb{R})$ .

Let  $\widehat{\kappa}(A_0) := \Psi^{-1}(\kappa(A_0))$  and  $\widehat{\kappa}(A) := \Psi^{-1}(\kappa(A))$ . From (4-1), (4-2), (4-3), and (4.1) we obtain a certain class of knots and links in  $S^2 \times S^1$  as follows.

**DEFINITION 4.2.** Let  $A (\neq 0) \in \text{Sym}(2, \mathbb{R})$  be a  $2 \times 2$  symmetric matrix which has the spectral decomposition  $A = (rp)C_1 + (rq)C_2$ , where  $r > 0$  is a real number and  $p$  and  $q$  are coprime integers.

- (1)  $\widehat{\kappa}(A_0) = \{(e^{i(\pi+2ps)}, 0, \pm e^{i(3\pi/2+(p+q)s)}) \in S^2 \times S^1 \mid 0 \leq s \leq \pi\}$ .
- (2)  $\widehat{\kappa}(A) = \{a(s) \in S^2 \times S^1 \mid 0 \leq |z_1(s)| < 1, 0 \leq s \leq \pi\} \cup \left\{ \Psi^{-1}(z_1(s), 0, z_3(s)) \in S^2 \times S^1 \mid s = \frac{k\pi}{p-q} \text{ for } k \in \mathbb{Z} \text{ with } 0 \leq \frac{k}{p-q} \leq 1 \right\}$ ,

where

$$a(s) = \left( z_1(s), \pm \sqrt{1 - |z_1(s)|^2}, \frac{1}{\sqrt{1 - |z_1(s)|^2}} z_2(s) \right)$$

with

$$\begin{aligned} z_1(s) &= -\cos^2 \theta e^{i(2ps)} - \sin^2 \theta e^{i(2qs)}, \\ z_2(s) &= \sin \theta \cos \theta (e^{i(2ps)} - e^{i(2qs)}), \\ z_3(s) &= -\sin^2 \theta e^{i(2ps)} - \cos^2 \theta e^{i(2qs)}, \end{aligned}$$

and  $\theta \in (0, \pi) - \{\pi/2\}$  satisfying  $C_i = P_\theta E_i P_\theta^t$  ( $i = 1, 2$ ).

**THEOREM 4.3.** *Let  $A \in \text{Sym}(2, \mathbb{R})$  be a  $2 \times 2$  symmetric matrix which has the spectral decomposition  $A = (rp)C_1 + (rq)C_2$ , where  $r > 0$  is a real number and  $p, q$  are coprime integers. Let  $\theta \in [0, \pi]$  be such that  $A = P_\theta A_0 P_\theta^t$ .*

(1) *If both  $p$  and  $q$  are odd integers, or equivalently, the geodesic  $\alpha(t, A)$  in  $\mathcal{M}$  is symmetric, then  $\widehat{\kappa}(A_0)$  is a link of 2-components in  $S^2 \times S^1$  which has period 2 of type  $(S^1 \times S^1, T(|p|, |p+q|/2) \dot{\cup} T(|p|, |p+q|/2))$ .*

(2) *If one of  $p$  and  $q$  is an even integer, or equivalently, the geodesic  $\alpha(t, A)$  in  $\mathcal{M}$  is not symmetric, then  $\widehat{\kappa}(A_0)$  is a knot in  $S^2 \times S^1$  which has period 2 of type  $(S^1 \times S^1, T(|p|, |p+q|))$ .*

(3) *If  $\theta \neq 0, \pi/2, \pi$ , then  $\widehat{\kappa}(A)$  is a link in  $S^2 \times S^1$  which has period 2 of type  $(S^1 \times S^1, 2|p-q| \text{ points})$ .*

**Proof.** Let  $h : S^2 \times S^1 \rightarrow S^2 \times S^1$  be an involution of  $S^2 \times S^1$  defined by  $h(\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi}) = (\sqrt{1-r^2}e^{i\phi}, -r, e^{i\psi})$ . Then  $\text{Fix}(h) = \{(e^{i\phi}, 0, e^{i\psi}) \in S^2 \times S^1 \mid 0 \leq \phi, \psi \leq 2\pi\} \cong S^1 \times S^1$ . Now recall that

$$\begin{aligned} \widehat{\kappa}(A_0) &= \{(e^{i(\pi+2ps)}, 0, e^{i(\pi/2+(p+q)s)}) \\ &\quad \cup (e^{i(\pi+2ps)}, 0, e^{i(\pi+\pi/2+(p+q)s)}) \mid 0 \leq s \leq \pi\}. \end{aligned}$$

It is clear that  $h(\widehat{\kappa}(A_0)) = \widehat{\kappa}(A_0)$  and  $\text{Fix}(h) \cap \widehat{\kappa}(A_0) = \widehat{\kappa}(A_0)$ .

(1) If both  $p$  and  $q$  are odd integers, then  $p+q$  must be an even integer. Hence

$$\begin{aligned} \widehat{\kappa}(A_0) &= \{(e^{i(\pi+p)t}, 0, e^{i(\pi/2+(p+q)t/2}) \\ &\quad \dot{\cup} (e^{i(\pi+p)t}, 0, e^{i(\pi+\pi/2+(p+q)t/2})) \mid 0 \leq t \leq 2\pi\}. \end{aligned}$$

This implies that  $\widehat{\kappa}(A_0)$  is the disjoint union of two torus knots of type  $(|p|, |p+q|/2)$ .

(2) If one of  $p$  and  $q$  is an even integer, then  $p+q$  must be an odd integer because  $(p, q) = 1$ . So

$$\begin{aligned} \widehat{\kappa}(A_0) &= \{(e^{i(\pi+2ps)}, 0, e^{i(\pi/2+(p+q)s})} \\ &\quad \cup (e^{i(\pi+2ps)}, 0, e^{i(\pi+\pi/2+(p+q)s})} \mid 0 \leq s \leq \pi\} \\ &= \{(e^{i(\pi+p)t}, 0, e^{i(\pi+\pi/2+(p+q)t})} \mid 0 \leq t \leq 2\pi\}. \end{aligned}$$

Hence  $\widehat{\kappa}(A_0)$  is the torus knot of type  $(|p|, |p+q|)$ .

(3) Obviously,  $h(\widehat{\kappa}(A)) = \widehat{\kappa}(A)$ . Let  $a(s) := (z_1(s), z_2(s), z_3(s)) \in \widehat{\kappa}(A)$ . Then

$$\begin{aligned} a(s) \in \text{Fix}(h) \cap \widehat{\kappa}(A) &\Leftrightarrow |z_1(s)| = 1 \\ &\Leftrightarrow s = \frac{k\pi}{p-q} \text{ for } k \in \mathbb{Z} \text{ with } 0 \leq \frac{k}{p-q} \leq 1. \end{aligned}$$

Hence the set  $\text{Fix}(h) \cap \widehat{\kappa}(A)$  consists of  $2|p-q|$  points. This completes the proof. ■

**THEOREM 4.4.** *Let  $A \in \text{Sym}(2, \mathbb{R})$  be a  $2 \times 2$  symmetric matrix which has the spectral decomposition  $A = (rp)C_1 + (rq)C_2$ , where  $r > 0$  is a real number and both  $p$  and  $q$  are coprime odd integers. Then  $\widehat{\kappa}(A_0)$  is a link in  $S^2 \times S^1$  which has period 2 of type  $(S^1 \dot{\cup} S^1, \emptyset)$ .*

**Proof.** Let  $h : S^2 \times S^1 \rightarrow S^2 \times S^1$  be an involution of  $S^2 \times S^1$  defined by  $h(\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi}) = (-\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi})$  for any  $(\sqrt{1-r^2}e^{i\phi}, r, e^{i\psi}) \in S^2 \times S^1$ . Then  $\text{Fix}(h) = \{(0, 1, e^{i\psi}), (0, -1, e^{i\psi}) \in S^2 \times S^1 \mid 0 \leq \psi \leq 2\pi\} \cong S^1 \dot{\cup} S^1$  and  $\text{Fix}(h) \cap \widehat{\kappa}(A_0) = \emptyset$ . Now let  $b(s) := (e^{i(\pi+2ps)}, 0, e^{i(\pi+2qs)}) \in \widehat{\kappa}(A_0)$ . It is easy to check that

$$h(b(s)) = \begin{cases} b(\pi/2 + s) & \text{for } 0 \leq s \leq \pi/2, \\ b(s - \pi/2) & \text{for } \pi \leq s \leq \pi. \end{cases}$$

This completes the proof. ■

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