

## The $\sigma$ -ideal of closed smooth sets does not have the covering property

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**Abstract.** We prove that the  $\sigma$ -ideal  $I(E)$  (of closed smooth sets with respect to a non-smooth Borel equivalence relation  $E$ ) does not have the covering property. In fact, the same holds for any  $\sigma$ -ideal containing the closed transversals with respect to an equivalence relation generated by a countable group of homeomorphisms. As a consequence we show that  $I(E)$  does not have a Borel basis.

**1. Introduction.** A  $\sigma$ -ideal  $I$  of compact subsets of a Polish space  $X$  is said to have the *covering property* (see [4, 11], and the notion of  $I$ -regularity of [8]) if for every analytic set  $A \subseteq X$  such that every closed subset of  $A$  is in  $I$  there are countably many closed sets  $F_n$  in  $I$  such that  $A \subseteq \bigcup_n F_n$ . The covering property for  $\sigma$ -ideals of compact sets is an abstraction of the classical perfect set theorem for analytic sets. In fact, when  $I$  is the collection of closed countable subsets of  $2^\omega$  (or any compact metric space), the classical perfect set theorem says that  $I$  has the covering property. Besides this example, we only know one more non-trivial  $\sigma$ -ideal that has the covering property, namely, the  $\sigma$ -ideal of closed sets of extended uniqueness in the unit circle ([6]). In this article we will be concerned with the  $\sigma$ -ideal of closed smooth sets with respect to a Borel equivalence relation (the definition appears in §3). We will show that it does not have the covering property. Smooth sets appear in the study of Borel equivalence relations ([3]) and are a generalization of the wandering sets studied in ergodic theory ([13]).

It follows from the results in [10] that it suffices to have the covering property for  $G_\delta$  sets in order to have it for analytic sets. Thus, by a standard argument with the Baire category theorem, the covering property is

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equivalent to the following: Let  $H$  be a  $G_\delta$  set; if for every open set  $V$  with  $V \cap H \neq \emptyset$  the closure  $\overline{V \cap H}$  is not in  $I$ , then there is  $K \subseteq H$  with  $K$  not in  $I$ . So, in order to violate the covering property one needs to show that there is a “small”  $G_\delta$  set (i.e., with every closed subset belonging to  $I$ ) with “large” closure (i.e., locally not in  $I$ ). Given an equivalence relation  $E$  on  $X$ , a subset  $A$  of  $X$  is called an  $E$ -transversal (or just a transversal) if for all  $x, y \in A$  if  $x \neq y$  then  $x \not E y$ . Borel transversals are typical smooth sets. We will construct  $G_\delta$  transversals with locally non-smooth closure. In §2 we present the basic construction which is similar to the non-Borel basis lemma of [7]. Using this result, in §3 we show that if  $J$  is a  $\sigma$ -ideal containing the closed transversals with respect to the equivalence relation generated by a countable group of homeomorphisms of  $X$  then  $J$  does not have the covering property.

The notion of calibration was introduced in [7] and used there to characterize when a  $\sigma$ -ideal of compact sets can be extended to a  $\sigma$ -ideal of  $G_\delta$  sets. In fact, given a  $\sigma$ -ideal  $I$  of compact sets let  $I_{\text{int}}$  be the collection of subsets  $A$  of  $X$  such that every closed subset of  $A$  belongs to  $I$ . Then  $I$  is calibrated iff the collection of  $G_\delta$  sets in  $I_{\text{int}}$  forms a  $\sigma$ -ideal ([7]). The  $\sigma$ -ideals defined by measures or capacities are calibrated, but the  $\sigma$ -ideal of meager closed sets is not calibrated. There are not many examples of not calibrated  $\sigma$ -ideals. In fact, calibration is considered a very mild requirement. It is easy to see that calibration follows from the covering property. In §3 we also present some results about  $I_t(E)$ , the  $\sigma$ -ideal of closed sets generated by the collection of closed transversals (where  $E$  is a Borel equivalence relation on  $X$ ). In particular, we show that  $I_t(E)$  is a  $\Pi_1^1$ , locally non-Borel and not calibrated  $\sigma$ -ideal. In other words, for  $I_t(E)$  the failure of the covering property is as strong as it can be.

Our notation is standard as in [9, 5] and concerning  $\sigma$ -ideals we refer the readers to [7] and the references therein.  $X$  will always be a compact metric space. We now recall some basic definitions and facts. Analytic sets, denoted by  $\Sigma_1^1$ , are continuous images of Borel sets. Co-analytic sets, denoted by  $\Pi_1^1$ , are the complements of analytic sets. The collection of closed subsets of  $X$ , which is denoted by  $\mathcal{K}(X)$ , equipped with the Hausdorff distance is a Polish space. All the notions such as open sets, Borel sets, analytic sets, etc., in  $\mathcal{K}(X)$  will refer to the Hausdorff metric (for more details about the topology and descriptive set theory of  $\mathcal{K}(X)$  see [5]). A collection  $I$  of closed sets is a  $\sigma$ -ideal if the following two properties hold: (1) If  $K_n \in I$  for all  $n \in \omega$  and  $K = \bigcup_n K_n$  is closed then  $K \in I$ . (2)  $I$  is *hereditary*, i.e., if  $K \in I$  and  $F \subseteq K$  is closed then  $F \in I$ . A  $\Pi_1^1$   $\sigma$ -ideal  $I$  satisfies the so-called *dichotomy theorem* ([7]), namely either  $I$  is a true  $\Pi_1^1$  subset of  $\mathcal{K}(X)$  or a  $G_\delta$  subset. Even more, every  $\Sigma_1^1$   $\sigma$ -ideal is in fact  $G_\delta$  ([7]). By  $I_{\text{int}}$  we denote the collection of all subsets  $A$  of  $X$  such that every closed subset of

$A$  is in  $I$ . A  $\sigma$ -ideal  $I$  is *calibrated* if whenever for a closed set  $F \subseteq X$  there is a sequence  $(F_n)$  of closed sets in  $I$  with  $F - \bigcup_n F_n \in I_{\text{int}}$  then  $F \in I$ . We say that  $B \subset I$  is a *basis* for  $I$  if  $B$  is hereditary and  $I = B_\sigma$ , i.e., every  $K \in I$  is a countable union of sets in  $B$ . We say that  $I$  has a *Borel basis* if there is a Borel subset of  $\mathcal{K}(X)$  which is a basis for  $I$ .  $I$  is called *locally non-Borel* if for every closed set  $F \notin I$ ,  $I \cap \mathcal{K}(F)$  is not Borel. We say that  $I$  is *thin* if every collection of disjoint closed sets not in  $I$  is at most countable. These notions were introduced in [7]. A very important criterion known to imply the covering property is the following theorem due to Debs and Saint Raymond ([2]): If  $I$  is a calibrated, locally non-Borel  $\Pi_1^1$   $\sigma$ -ideal with a Borel basis then  $I$  has the covering property. A proof of this result can be found in [6], p. 208.  $\omega^{<\omega}$  denotes the collection of finite sequences of natural numbers. If  $s \in \omega^{<\omega}$  and  $n \in \mathbb{N}$  then  $s^\wedge(n)$  is the concatenation of  $s$  with  $n$ .  $2^\omega$  is the Cantor space with the usual product topology.

**2. Basic construction.** We say that an equivalence relation  $E$  on  $X$  is generated by a countable collection  $(g_n)$  of homeomorphisms of  $X$  if for every  $x$  and  $y$  in  $X$ ,  $xEy$  if and only if there is  $n$  with  $g_n(x) = y$ . For such equivalence relations we will construct  $G_\delta$   $E$ -transversals with locally non-smooth closure. The construction given here (Lemma 2.6) is similar to that in §2, Lemma 7 of [7], but we will follow the proof given in [6] (Lemma 7, p. 203); some familiarity with the latter will be helpful.

The following definitions capture what is needed from the construction.

**DEFINITION 2.1.** Let  $O$  be a subset of  $X \times X$  and  $K \subseteq X$ . Put  $[K]_O = \{y \in X : \exists z \in K, (y, z) \notin O\}$ . We will say that a collection  $(O_n)$  of subsets of  $X \times X$  satisfies  $(*)$  if the following conditions hold.

- (1) For every  $n$ ,  $O_n$  is symmetric, and  $(x, x) \notin O_n$  for all  $x \in X$ .
- (2) For every  $n$ , every closed nowhere dense subset  $K \subseteq X$  and every non-empty open  $V$  in  $X$ , there is  $y \in V$  such that for all  $x \in K$  we have  $(y, x) \in O_n$ . This is equivalent to saying that for every  $n$ ,  $[K]_{O_n}$  is a meager set.

**Remark.** The motivation behind the previous definition is the following: Suppose  $g$  is a homeomorphism of  $X$  and let  $O = \{(y, x) : g(x) \neq y \ \& \ g(y) \neq x \ \& \ x \neq y\}$ . Then  $[K]_O = g[K] \cup g^{-1}[K] \cup K$ , which is obviously meager if  $K$  is meager. For the case where  $E$  is an equivalence relation generated by a collection  $(g_n)$  of homeomorphisms of  $X$  and  $O_n$  is defined as before,  $A$  is an  $E$ -transversal if and only if for every  $x, y \in A$  with  $x \neq y$  we have  $(x, y) \in \bigcap_n O_n$ .

**DEFINITION 2.2.** Let  $\mathcal{F}$  be a collection of closed subsets of  $X$ . We will say that a set  $A$  is *locally not in  $\mathcal{F}$*  (or  *$\mathcal{F}$ -perfect*) if for every open set  $V$  with  $V \cap A \neq \emptyset$  there is a non-empty  $K \notin \mathcal{F}$  such that  $K \subseteq V \cap A$ .

Remark. When  $I$  is a  $\sigma$ -ideal of closed sets, a subset  $A$  of  $X$  is said to be  $I$ -perfect if for all  $V$  open with  $V \cap A \neq \emptyset$  we have  $\overline{V \cap A} \notin I$ . For  $\mathcal{F} = I$ ,  $A$  is  $I$ -perfect if and only if  $A$  is locally not in  $\mathcal{F}$ . When  $\mathcal{F}$  is just a hereditary family of closed sets then the usual argument with the Baire category theorem shows that if  $F$  is a closed (or even  $G_\delta$ ) set locally not in  $\mathcal{F}$  then  $F$  cannot be covered by a countable collection of closed sets in  $\mathcal{F}$ .

We will need the following lemma [6].

LEMMA 2.3. *Let  $K$  be a closed nowhere dense set,  $D \subseteq X$  a dense set and  $V$  an open set with  $K \subseteq V$ . Then there is a countable discrete set  $D_K \subseteq D \cap V$  with  $D_K \cap K = \emptyset$  such that  $\overline{D}_K = D_K \cup K$ .*

Proof. See [6], Lemma 5, p. 202. ■

LEMMA 2.4. *Let  $O$  be an open subset of  $X \times X$  such that  $(x, x) \notin O$  for every  $x \in X$  and  $L$  be a closed subset of  $X$  such that  $[L]_O$  is meager. Let  $U'$  and  $W$  be open subsets of  $X$  with  $L \subseteq U'$ . Then there are non-empty open subsets  $V$  and  $U$  such that  $\overline{V} \subseteq W$ ,  $\overline{U} \subseteq U'$ ,  $L \subset U$ ,  $\overline{V} \cap \overline{U} = \emptyset$  and  $V \times U \subseteq O$ .*

Proof. Let  $y \in W - [L]_O$ , i.e.,  $\{y\} \times L \subset O$ . For every  $x \in L$  there are open sets  $U_x$  and  $V_x$  such that  $x \in U_x$ ,  $y \in V_x$ ,  $U_x \cap V_x = \emptyset$  and  $V_x \times U_x \subseteq O$ . By a standard compactness argument we can find  $x_1, \dots, x_n$  in  $L$  such that  $U = U_{x_1} \cup \dots \cup U_{x_n}$  and  $V = V_{x_1} \cap \dots \cap V_{x_n}$  satisfy the conclusion of the lemma. ■

LEMMA 2.5. *Let  $O$  be a symmetric open subset of  $X \times X$  such that for every  $x \in X$  we have  $(x, x) \notin O$  and  $K$  be a nowhere dense set such that  $[K]_O$  is meager. Let  $D = \{x_m\}_{m \geq 0}$  be a countable discrete set with  $K \cap D = \emptyset$  such that  $\overline{D} = K \cup D$ . Let  $\{W_m\}$  be a collection of open sets such that  $\{x_m\} = D \cap W_m$  and  $\overline{W}_m \cap \overline{W}_l = \emptyset$  for  $m \neq l$ . Then for every  $m$  there is an open set  $V_m$  such that:*

- (a)  $V_m \times V_l \subseteq O$  for  $m \neq l$ .
- (b)  $\overline{V}_m \subseteq W_m$  and  $\overline{V}_m \cap K = \emptyset$ .

Proof. Fix  $K$ ,  $D$ ,  $\{x_m\}$ ,  $\{W_m\}$  and  $O$  as in the hypothesis. Let  $D_m = \{x_l : l \geq m\}$  for  $m \geq 0$ . Notice that  $\overline{D}_m = K \cup D_m$ . We will define, by induction on  $m$ , sequences of open sets  $V_m$  and  $U_m$  such that:

- (1)  $V_m \subseteq \overline{V}_m \subseteq W_m$ .
- (2)  $\overline{V}_m \cap \overline{U}_m = \emptyset$ .
- (3)  $V_m \times U_m \subseteq O$ .
- (4)  $\overline{D}_m \subseteq U_m$ .
- (5)  $U_{m+1} \subseteq U_m$  and  $V_{m+1} \subseteq U_m$ .
- (6)  $\overline{V}_m \cap K = \emptyset$ .

For  $m = 0$  apply Lemma 2.4 to  $L = \overline{D}_0$ ,  $U' = X$  and  $W = W_0$  to obtain open sets  $V_0$  and  $U_0$  such that  $V_0 \subseteq \overline{V}_0 \subseteq W_0$ ,  $\overline{D}_0 \subseteq U_0 \subseteq X$ ,  $\overline{V}_0 \cap \overline{U}_0 = \emptyset$  and  $V_0 \times U_0 \subset O$ . Notice that  $\overline{V}_0 \cap \overline{K} = \emptyset$ .

Suppose we have defined  $V_m$  and  $U_m$  for  $m \leq M$  satisfying (1)–(6). Notice first that  $K \cup D_{M+1} = \overline{D}_{M+1} \subseteq \overline{D}_M \subseteq U_M$  and  $x_M \in U_M \cap W_{M+1}$ . Apply then Lemma 2.4 to  $L = \overline{D}_{M+1}$ ,  $U' = U_M$  and  $W = W_{M+1} \cap U_M$  to get  $V_{M+1}$  and  $U_{M+1}$  satisfying (1)–(5). Notice that since  $K \subseteq U_{M+1}$ , we have  $\overline{V}_{M+1} \cap K = \emptyset$ . The collection  $\{V_m\}$  satisfies (a) (here we need the fact that  $O$  is symmetric) and (b). ■

Now we are ready to give the proof of the basic construction.

LEMMA 2.6. *Let  $\mathcal{F}$  be a collection of non-empty meager closed sets such that the collection of meager closed sets not in  $\mathcal{F}$  is dense in  $\mathcal{K}(X)$ . Let  $(O_n)$  be a collection of open subsets of  $X \times X$  with property (\*) (as stated in 2.1). Then there is a closed set  $K$  locally not in  $\mathcal{F}$  and a  $G_\delta$  set  $H \subseteq K$  such that:*

- (a)  $\overline{H} = K$ .
- (b) For every  $x, y \in H$  with  $x \neq y$  we have  $(x, y) \in \bigcap_n O_n$ .

PROOF. We will define for each  $s \in \omega^{<\omega}$  an open set  $V_s$  and a closed set  $K_s$  such that:

- (1)  $V_s \neq \emptyset$ ,  $K_s \subseteq V_s$  and  $K_s \notin \mathcal{F}$ .
- (2) If  $n \neq m$  then  $\overline{V}_{s^\wedge(n)} \cap \overline{V}_{s^\wedge(m)} = \emptyset$ .
- (3)  $\overline{V}_{s^\wedge(n)} \subseteq V_s$  and  $\overline{V}_{s^\wedge(n)} \cap K_s = \emptyset$ .
- (4)  $\text{diam}(\overline{V}_{s^\wedge(n)}) \leq 2^{-\text{lh}(s)}$ .
- (5)  $\overline{\bigcup_n V_{s^\wedge(n)}} = \bigcup_n \overline{V}_{s^\wedge(n)} \cup K_s$ .
- (6)  $K_s \subset \bigcup_n K_{s^\wedge(n)}$ .
- (7) If  $n \neq m$  then  $V_{s^\wedge(n)} \times V_{s^\wedge(m)} \subseteq O_{\text{lh}(s)+1}$ .

The construction is by induction on  $\text{lh}(s)$ . We start with  $V_\emptyset = X$  and  $K_\emptyset$  a meager set not in  $\mathcal{F}$ . Suppose we have defined  $K_s$  and  $V_s$  for  $s$  with  $\text{lh}(s) \leq k$  and satisfying (1)–(7). For each  $s$  with  $\text{lh}(s) = k$  let  $D_s$  be a countable discrete set such that  $D_s \cap K_s = \emptyset$ ,  $D_s \subseteq V_s$  and  $\overline{D}_s = K_s \cup D_s$  as in Lemma 2.3. Let  $\{x_m^s\}_{m \geq 0}$  be an enumeration of  $D_s$  and pick an open set  $W_n^s$  such that  $x_n^s \in W_n^s$ ,  $\overline{W}_n^s \cap \overline{W}_m^s = \emptyset$  for  $n \neq m$ ,  $\overline{W}_n^s \subseteq V_s$  and  $\text{diam}(W_n^s) \leq 2^{-n \cdot \text{lh}(s)}$ .

Let  $D = \bigcup_{\text{lh}(s)=k} D_s$ . From (2) we see that  $D$  is discrete,  $\overline{D}$  is meager and  $\overline{D} - D$  is closed. Apply Lemma 2.5 to  $O = O_{k+1}$ ,  $K = \overline{D} - D$ ,  $D$ ,  $\{W_n^s\}$  (for  $\text{lh}(s) = k$  and  $n \geq 0$ ) and get open sets  $V_n^s \subseteq W_n^s$  satisfying (1)–(6) of Lemma 2.5. Put  $V_{s^\wedge(n)} = V_n^s$  and pick  $K_{s^\wedge(n)} \subseteq V_{s^\wedge(n)}$  with  $K_{s^\wedge(n)} \notin \mathcal{F}$ . From the construction it follows that (1), (2), (4) and (7) hold.

To check (3) notice that  $\overline{V_n^s} \subseteq W_n^s \subseteq V_s$  by construction and also  $\overline{V_n^s} \cap (\overline{D} - D) = \emptyset$ . So if  $\overline{V_n^s} \cap K_s \neq \emptyset$ , then  $\overline{V_n^s} \cap (\overline{D_s} - D_s) \neq \emptyset$ . Since  $\overline{D_s} - D_s \subseteq \overline{D} - D$ , we have  $\overline{V_n^s} \cap (\overline{D} - D) \neq \emptyset$ , which is a contradiction.

For (5), let  $x = \text{Lim}_i x_i$  with  $x_i \in V_{s \wedge (n_i)}$ . There are two cases to consider: (i) If  $n_i$  is eventually equal to  $k$  then  $x \in \overline{V_{s \wedge (k)}}$  and we are done. (ii) If  $n_i$  is not eventually constant, then  $\text{dist}(x_{n_i}^s, x_i) \leq \text{diam}(W_{n_i}^s) \rightarrow 0$  as  $i \rightarrow \infty$ . Then from (2) and the fact that  $\overline{D_s} = K_s \cup D_s$  we see that  $x \in K_s$ . The other part is proved analogously.

For (6), let  $x \in K_s$ . Then  $x = \text{Lim}_i x_{n_i}^s$  for some subsequence  $(n_i)$ . Let  $y_i \in K_{s \wedge (n_i)}$ . Then  $\text{dist}(x_{n_i}^s, y_i) \rightarrow 0$  as  $i \rightarrow \infty$ , therefore  $x = \text{Lim}_i y_i$ .

Let  $H = \bigcap_n \bigcup_{\text{lh}(s)=n} V_s$  and  $K = H \cup \bigcup_s K_s$ . As in the proof of Lemma 7 in [6] (p. 203) it can be shown that  $K = \bigcap_n \overline{\bigcup_{\text{lh}(s)=n} V_s} = \overline{H}$ . As  $\text{diam}(K_s) \rightarrow 0$ , we deduce that  $K$  is locally not in  $\mathcal{F}$  and from (2) and (7),  $H$  satisfies (b). This finishes the proof. ■

**3. Main results.** A Borel equivalence relation  $E$  on  $X$  (Borel as a subset of  $X \times X$ ) is said to be *smooth* if it admits a countable Borel separating family, i.e., a collection  $(A_n)$  of  $E$ -invariant Borel subsets of  $X$  such that for all  $x, y \in X$ ,

$$xEy \text{ if and only if } \forall n (x \in A_n \leftrightarrow y \in A_n).$$

A fundamental characterization of smooth Borel equivalence relations was proved by Harrington, Kechris and Louveau in [3]:  $E$  is smooth if and only if there is no continuous one-to-one function  $f : 2^\omega \rightarrow X$  such that for all  $\alpha, \beta \in 2^\omega$ ,

$$\alpha E_0 \beta \text{ if and only if } f(\alpha) E f(\beta),$$

where  $E_0$  is the equivalence relation defined in  $2^\omega$  as follows:

$$\alpha E_0 \beta \text{ if and only if } \exists n \forall m > n \alpha(m) = \beta(m).$$

It is not difficult to see that  $E_0$  is generated by a countable collection of homeomorphisms of  $2^\omega$ .

A set  $A \subseteq X$  is called *E-smooth* (or just smooth when there is no confusion about  $E$ ) if there is a Borel set  $B \supseteq A$  such that the restriction of  $E$  to  $B$  is a smooth equivalence relation. A subset of a smooth set is also smooth and a countable union of smooth sets is smooth, i.e., they form a  $\sigma$ -ideal. So, we regard smooth sets as small sets. Every countable set is smooth and in fact every Borel transversal is smooth. A characterization of the analytic smooth sets in terms of measures is as follows: A finite, positive Borel measure  $\mu$  on  $X$  is called *E-ergodic* if for every  $\mu$ -measurable invariant set  $A$ , either  $\mu(A) = 0$  or  $\mu(X - A) = 0$ . It is called *E-non-atomic* if for every  $x \in X$ ,  $\mu([x]_E) = 0$ . An analytic set  $A \subseteq X$  is smooth if and only if  $\mu(A) = 0$  for every  $E$ -ergodic non-atomic measure  $\mu$  ([12]).

The following  $\sigma$ -ideal was introduced in [12]:

$$I(E) = \{K \in \mathcal{K}(X) : K \text{ is smooth with respect to } E\}.$$

It was proved there that

**THEOREM 3.1.** *Let  $E$  be a non-smooth Borel equivalence relation on a compact Polish space  $X$ . Then  $I(E)$  is a calibrated, locally non-Borel,  $\mathbf{\Pi}_1^1$  non-thin  $\sigma$ -ideal. ■*

We will use the result of the previous section to show that  $I(E)$  does not have the covering property (for  $E$  non-smooth). We will also present some results about the  $\sigma$ -ideal generated by the collection of closed transversals.

Let  $B = \{F \in \mathcal{K}(X) : F \text{ is a transversal}\}$ . Then

$$F \in B \text{ if and only if } \forall x, y [(x, y \in F \ \& \ xEy) \rightarrow x = y].$$

Consider the following relation:

$$R(x, y, F) \text{ if and only if } (x, y \in F \ \& \ xEy) \rightarrow x = y.$$

It is clear that  $R$  is Borel and  $B$  is  $\mathbf{\Pi}_1^1$ . Denote by  $I_t(E) = (B)_\sigma$  the  $\sigma$ -ideal generated by  $B$ , that is to say,  $K \in I_t(E)$  if there are closed transversals  $K_n \in B$  such that  $K = \bigcup_n K_n$ . Then  $I_t(E)$  is  $\mathbf{\Pi}_1^1$  (see [7], p. 271), it contains all singletons and therefore it is not Borel (Corollary 5.4 of [12]). If, in particular,  $E$  is  $F_\sigma$  (for example  $E_0$ ) then  $R$  is  $G_\delta$  and therefore  $B$  is also  $G_\delta$ , thus in this case  $I_t(E)$  has a Borel basis.

**THEOREM 3.2.** *Let  $E$  be an equivalence relation generated by a countable collection of homeomorphisms of  $X$ . Let  $\mathcal{F}$  be a collection of non-empty meager closed sets such that the collection of meager closed sets not in  $\mathcal{F}$  is dense in  $\mathcal{K}(X)$ . Then there is a  $G_\delta$   $E$ -transversal  $H$  such that  $\bar{H}$  is  $\mathcal{F}$ -perfect. In particular, if  $J$  is a  $\sigma$ -ideal of compact subsets of  $X$  such that the collection of meager  $J$ -perfect sets is dense in  $\mathcal{K}(X)$  and  $I_t(E) \subseteq J$ , then  $J$  does not have the covering property.*

**PROOF.** Let  $(g_n)$  be a countable collection of homeomorphisms of  $X$  such that  $xEy$  if and only if there is  $n$  with  $g_n(x) = y$ . Let  $O_n = \{(x, y) \in X \times X : x \neq y \ \& \ g_n(x) \neq y \ \& \ g_n(y) \neq x\}$ . Then  $[K]_{O_n} = g_n[K] \cup g_n^{-1}[K] \cup K$ , which is meager if  $K$  is meager. Let  $\mathcal{F}$  be a collection of closed sets as in the hypothesis. Then by 2.6 there is an  $\mathcal{F}$ -perfect closed set  $K$  and a  $G_\delta$  dense subset  $H$  of  $K$  with  $H$  an  $E$ -transversal. If  $\mathcal{F}$  is hereditary then the Baire category theorem implies that  $H$  cannot be covered by countably many sets in  $\mathcal{F}$ . From this the last claim follows. ■

**COROLLARY 3.3.** *Let  $E$  be a non-smooth Borel equivalence relation on  $X$ . Then  $I(E)$  does not have the covering property and does not have a Borel basis.*

*Proof.* First, suppose we have shown that  $I(E)$  does not have the covering property. Toward a contradiction, suppose that  $I(E)$  has a Borel basis. Then from 3.1 we know that all the hypotheses of the Debs–Saint Raymond theorem (see the introduction) are satisfied and hence  $I(E)$  would have the covering property, which contradicts our assumption.

To see that  $I(E)$  does not have the covering property, we first observe that if  $I(E_0)$  does not have the covering property then neither does  $I(E)$  (if  $f$  is the function witnessing that  $E$  is not smooth and  $A$  is a counterexample to the covering property for  $I(E_0)$  then  $f(A)$  is a counterexample for  $I(E)$ ). Finally, for  $I(E_0)$  the required assertion follows from 3.2. ■

*Remark.* The fact that  $I(E)$  does not have the covering property means that there is a  $G_\delta$  set  $H$  that has measure zero with respect to every  $E$ -ergodic, non-atomic measure, but it cannot be covered by a countable collection of closed smooth sets. Notice that this is much stronger than just saying there is one such measure  $\mu$  with  $\mu(\overline{H}) > 0$ .

Now, we will examine more closely the  $\sigma$ -ideal  $I_t(E)$ , since it seems to be the cause for the failure of the covering property. In fact, we will show that  $I_t(E)$  is not calibrated, i.e., for this  $\sigma$ -ideal the failure of the covering property is as strong as it can be. We will need the following lemma.

**LEMMA 3.4.** *Let  $E$  be an equivalence relation on  $X$  and  $F$  be a closed set which is locally not a transversal (i.e. locally not in the collection  $B$  defined above). There is a continuous function  $f : 2^\omega \rightarrow \mathcal{K}(F)$  such that:*

- (i) *If  $\gamma$  is eventually zero, then  $f(\gamma)$  is finite.*
- (ii) *If  $\gamma$  is not eventually zero, then  $f(\gamma)$  is locally not a transversal.*

*Proof.* Let  $F$  be a closed set locally not a transversal. We will define a sequence  $F_s, s \in 2^{<\omega}$ , such that:

- (i)  $F_s$  is a finite subset of  $F$ .
- (ii) If  $s \prec t$  (i.e.,  $s$  is an initial segment of  $t$ ), then  $F_s \subseteq F_t$ .
- (iii) For every  $s \in 2^{<\omega}$ ,  $\text{dist}(F_s, F_{s^\wedge(i)}) \leq 2^{-\text{lh}(s)}$ .
- (iv) For every  $s \in 2^{<\omega}$ ,  $F_{s^\wedge(0)} = F_s$ .
- (v) For every  $x \in F_s$ ,  $\{y \in F_{s^\wedge(1)} : \text{dist}(x, y) \leq 1/2^{\text{lh}(s)+1}\}$  is not a transversal.

We define  $F_s$  by induction on the length of  $s$ . Pick  $x_0 \in F$  and let  $F_\emptyset = \{x_0\}$ . Suppose for all  $s \in 2^n$  we have defined  $F_s$  satisfying (i)–(v). Put  $F_{s^\wedge(0)} = F_s$ . Since  $F$  is locally not a transversal, for every  $x \in F_s$  we can pick  $y, z \in F$  with  $yEz$  and such that  $\text{dist}(x, y) \leq 1/2^{\text{lh}(s)+1}$ . Put  $y, z \in F_{s^\wedge(1)}$ .

Now define  $f$  by  $f(\gamma) = \overline{\bigcup_n F_{\gamma \upharpoonright n}}$ . It is not difficult to check that (ii) and (iii) imply that  $f$  is continuous. If  $\gamma$  is eventually zero, then from (i) and (iv) it is clear that  $f(\gamma)$  is finite. On the other hand, assume that  $\gamma$  has



infinitely many 1's. We will show that  $f(\gamma)$  is locally not a transversal. Let  $V$  be an open set such that  $V \cap f(\gamma) \neq \emptyset$  and let  $x \in V \cap f(\gamma)$  and choose  $n_0$  such that  $\{y \in Y : \text{dist}(x, y) < 1/2^{n_0}\} \subseteq V$ . Let  $n > n_0$  be such that  $\gamma(n) = 1$  and put  $s = \gamma \upharpoonright n$ . Then from (v) we see that  $V \cap f(\gamma)$  is not a transversal. ■

Next, we show that  $I_t(E)$  is not calibrated, for  $E$  non-smooth.

**THEOREM 3.5.** *Let  $E$  be a non-smooth Borel equivalence relation on  $X$ . Then  $I_t(E)$  is a  $\Pi_1^1$ , locally non-Borel and not calibrated  $\sigma$ -ideal.*

**PROOF.** We have already shown that  $I_t(E)$  is  $\Pi_1^1$  and from 3.4 we see that it is locally not  $G_\delta$ ; hence from the dichotomy theorem ([7]),  $I_t(E)$  is locally not Borel.

We show first that  $I_t(E_0)$  is not calibrated. Let  $x \in 2^\omega$  and  $\mathcal{F}$  be the collection of closed meager subsets  $F$  of  $2^\omega$  such that  $[x]_{E_0} \cap F$  is infinite. As  $E_0$  is generated by a countable collection of homeomorphisms of  $2^\omega$ , let  $(O_n)$  be open subsets of  $2^\omega \times 2^\omega$  as in the remark after 2.1. Since the equivalence class of  $x$  is dense, so is  $\mathcal{K}(X) - \mathcal{F}$ . Hence by 2.6 there is a closed set  $K$  locally not in  $\mathcal{F}$  and a  $G_\delta$  dense  $H \subset K$  such that  $H$  is a transversal. Then  $H$  and  $K$  do not satisfy the condition in the definition of calibration: In fact, notice that  $K = H \cup \bigcup_s K_s$  and the sets  $K_s$  in the proof of 2.6 can be chosen to be finite subsets of  $[x]_{E_0}$ , hence they belong to  $I_t(E_0)$ .

For the general case we argue as follows. Let  $f$  be a 1-1 continuous function witnessing that  $E$  is not smooth. The following facts are easy to verify: (i) a  $G_\delta$  subset  $H$  of  $2^\omega$  is in  $I_t(E_0)_{\text{int}}$  iff  $f[H]$  is in  $I_t(E)_{\text{int}}$ . (ii)  $K$  is a  $E_0$ -transversal iff  $f[K]$  is a  $E$ -transversal. Using these facts it is easy to check that the counterexample to the calibration of  $I_t(E_0)$  is transformed by  $f$  into a counterexample to the calibration of  $I_t(E)$ . ■

**REMARKS.** The Debs–Saint Raymond theorem (see the introduction) was used to show that the  $\sigma$ -ideal of closed sets of uniqueness does not have a Borel basis ([6], in contrast to what happens with extended uniqueness) in the same way we did for  $I(E)$ . We can also use it here to give a proof of the previous result. In fact, suppose toward a contradiction that  $I_t(E_0)$  is calibrated. Then all hypotheses of the Debs–Saint Raymond theorem are satisfied (recall that in this case the collection of closed transversals is Borel and hence  $I_t(E_0)$  has a Borel basis) and thus  $I_t(E_0)$  would have the covering property. We will show that under this assumption  $I(E_0)$  would also have the covering property, which contradicts 3.3. For countable Borel equivalence relations we know that every smooth set admits a Borel transversal (see [1]). Let  $B \subseteq X$  be an analytic smooth set and  $T$  be a Borel transversal for  $B$ . Clearly  $T \in I_t(E_0)_{\text{int}}$ , hence there are closed transversals  $F_n$  such that  $T \subseteq \bigcup_n F_n$ . It is easy to see then that  $B$  is covered by the sets  $[F_n]_E$  which

are  $F_\sigma$  smooth sets (recall that  $X$  is compact and  $x \in [F]_E$  if and only if there is  $y \in F$  such that  $xEy$ , thus  $[F]_E$  is an  $F_\sigma$  set).

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