A Ramsey theorem for polyadic spaces

by

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Abstract. A polyadic space is a Hausdorff continuous image of some power of the onepoint compactification of a discrete space. We prove a Ramsey-like property for polyadic spaces which for Boolean spaces can be stated as follows: every uncountable clopen collection contains an uncountable subcollection which is either linked or disjoint. One corollary is that $(\alpha \kappa)^{\omega}$ is not a universal preimage for uniform Eberlein compact spaces of weight at most κ , thus answering a question of Y. Benyamini, M. Rudin and M. Wage. Another consequence is that the property of being polyadic is not a regular closed hereditary property.

1. Introduction. For an infinite cardinal κ , let $\alpha \kappa$ be the Aleksandrov one-point compactification of the discrete space κ and let $\alpha \kappa^{\tau}$ be the Tikhonov product of τ copies of $\alpha \kappa$. A Hausdorff space X is *polyadic* (Mrówka [Mr70]) if there exist cardinals κ , τ such that X is a continuous image of $\alpha \kappa^{\tau}$. The much-studied dyadic spaces are precisely the images of $\alpha \omega^{\tau}$.

Our interest in polyadic spaces began with the problem of whether this property was regular closed hereditary. Theorem 2.1 gives a new Ramsey-like property that all polyadic spaces satisfy. We also use this theorem to solve a problem in function space theory on uniform Eberlein compact spaces; see Corollary 3.3. Corollary 3.5 shows that for hyperspaces H(X), polyadic is equivalent to dyadic.

For $n < \omega$, a collection \mathcal{O} of sets is *n*-linked if for each $\mathcal{O}' \subset \mathcal{O}$ with $|\mathcal{O}'| = n, \bigcap \mathcal{O}' \neq \emptyset$. We abbreviate 2-linked by linked. \mathcal{O} is centered if for each finite $\mathcal{O}' \subset \mathcal{O}, \bigcap \mathcal{O}' \neq \emptyset$. A Δ -system is a collection \mathcal{O} of sets for which there exists a set R (called the *root* of the Δ -system) such that if A

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and B are two distinct elements of \mathcal{O} , then $A \cap B = R$. A standard fact is the following: if λ is an uncountable regular cardinal and $\langle F_{\alpha} : \alpha < \lambda \rangle$ is a λ -sequence of finite sets, then there exists $A \subset \lambda$ with $|A| = \lambda$ such that $\{F_{\alpha} : \alpha \in A\}$ is a Δ -system.

All our spaces are assumed to be Hausdorff. We say that a space Y is an *image* of a space X if there exists a continuous surjection $f: X \to Y$. A topological property P is *imaging* if P is transferred from a space to all of its images, and P is *hyper-extendible* if P is transferred from a space to its Vietoris hyperspace of all non-empty closed subsets.

2. The polyadic Ramsey theorem. Let us set our notation for a standard Sierpiński graph which we will use a couple of times in this paper. Let \mathbb{R} be the set of real numbers, let $A \subset \mathbb{R}$ be of cardinality ω_1 , let < denote the usual ordering on A and let \prec denote a well-ordering on A. We say < and \prec agree on $\{x, y\}$ if $x < y \Leftrightarrow x \prec y$. Otherwise, we say that they disagree on $\{x, y\}$. Define $G \subset [A]^2$ by $\{x, y\} \in G$ iff < and \prec agree on $\{x, y\}$. For $x \in A$, let $J_x = \{y \in A : \{x, y\} \in G\}$. The key property of the Sierpiński graph G is that there exists no uncountable $A' \subset A$ on which either < and \prec agree for all of $[A']^2$ or on which < and \prec disagree for all of $[A']^2$. In addition, we also assume that for each $x \in A$, J_x and $A \setminus J_x$ are both uncountable.

We will also use 2 instances of the partition calculus arrow notation. For an infinite regular cardinal λ , $\lambda \to (\lambda, \omega)$ means that whenever the doubletons of λ , i.e. $[\lambda]^2$, are partitioned into sets A and B, then either there is a subset C of λ with cardinality λ which is homogeneous for A, i.e., $[C]^2 \subset A$, or there is a subset D of λ with cardinality ω which is homogeneous for A, i.e., $[C]^2 \subset A$, or there is a subset D of λ with cardinality ω which is homogeneous for B. For $n < \omega, \omega \to (\omega)_n^2$ means that whenever the doubletons of ω are partitioned into sets A_1, \ldots, A_n , then there is an i < n and an infinite $C \subset \omega$ such that C is homogeneous for A_i .

Let λ be an infinite cardinal. We say that a space has Property Q_{λ} if whenever $\langle U_{\alpha}, V_{\alpha} \rangle_{\alpha < \lambda}$ is a sequence of pairs of open sets with $\overline{U}_{\alpha} \subset V_{\alpha}$ for each $\alpha < \lambda$, then there exists an $A \subset \lambda$ with cardinality λ such that either $\{V_{\alpha} : \alpha \in A\}$ is linked or $\{U_{\alpha} : \alpha \in A\}$ is disjoint. We say that a space has Property R_{λ} if every collection of cardinality λ of clopen sets contains a subcollection of cardinality λ which is either linked or disjoint. Since, in a Boolean space, a clopen set B_{α} can be placed between \overline{U}_{α} and V_{α} , these 2 properties are equivalent in Boolean spaces. Property Q and Property R abbreviate Property Q_{ω_1} and R_{ω_1} respectively.

THEOREM 2.1. Every polyadic space satisfies Property Q_{λ} for each regular cardinal $\lambda > \omega$.

Proof. Let λ be a regular cardinal $> \omega$ and let P be a continuous image of $\alpha \kappa^{\tau}$ for some κ , τ . Since Property Q_{λ} is an imaging property, it suffices to show that $\alpha \kappa^{\tau}$ has Property Q_{λ} . Since $\alpha \kappa^{\tau}$ is a Boolean space, it suffices to show that $\alpha \kappa^{\tau}$ has Property R_{λ} . In exactly the same way that one proves the Noble–Ulmer theorem that a product is ccc iff every finite subproduct is ccc (by using a Δ -system of finite supports) one can prove that a product of Boolean spaces satisfies Property R_{λ} iff every finite subproduct satisfies Property R_{λ} . So, it suffices to show that for all $n < \omega$ and all κ , $\alpha \kappa^n$ satisfies Property R_{λ} . This is Lemma 2.5.

For a brief moment only let us consider *Property* S: every uncountable collection of *open* sets contains an uncountable subcollection which is either linked or disjoint. We mention this only to show why in Properties Q and R we do not just deal with a single family of open sets but with pairs of open sets or clopen sets. A space has *Property* K (the property of Knaster) if every uncountable open collection contains an uncountable linked subcollection. We have the following:

PROPOSITION 2.2. Property S is equivalent to Property K.

Proof. Assume X has Property S. Let \mathcal{O} be an open family with $|\mathcal{O}| = \omega_1$. We show that \mathcal{O} does not contain an uncountable disjoint subfamily. If so, let \mathcal{O}' be an uncountable disjoint subfamily of \mathcal{O} . Let G be a standard Sierpiński graph on $A \subset \mathbb{R}$. Let φ be a bijection $\varphi : [A]^2 \to \mathcal{O}'$. For each $x \in A$, put $U_x = \bigcup_{\{x,y\} \in G} \varphi(\{x,y\})$. Then, for each $x \in A$, U_x is open and, furthermore, $U_x \cap U_y \neq \emptyset$ iff $\{x,y\} \in G$. So, the collection $\{U_x : x \in A\}$ violates Property S. Hence, \mathcal{O} must contain an uncountable linked subfamily and therefore we have shown that X has Property K.

Thus, the polyadic space $\alpha \omega_1$ has Property Q but does not have Property S.

EXAMPLE 2.3. In the definition of Property Q_{λ} we cannot replace linked by centered (or even 3-linked) and still have Theorem 2.1.

Indeed, in $\alpha \omega_1^2$, for each $\alpha < \omega_1$, put $B_{\alpha} = (\{\alpha\} \times \alpha \omega_1) \cup (\alpha \omega_1 \times \{\alpha\})$. The collection $\{B_{\alpha} : \alpha < \omega_1\}$ is linked but contains no uncountable 3-linked subcollections.

 $\alpha\omega_1$ satisfies the stronger *Property* T: every uncountable clopen collection contains an uncountable subcollection which is either centered or disjoint. The above B_{α} 's show that Property T is not productive (also that the property gotten from T by replacing centered with 3-linked is not productive). We use special knowledge of $\alpha\kappa$ to get Lemma 2.5 but this leaves open

QUESTION 2.4. Among Boolean spaces, is Property R productive? Or even more strongly, if a Boolean space X has Property T, does X^2 have Property R?

LEMMA 2.5. For every $n < \omega$, for every cardinal κ , and for every regular cardinal $\lambda > \omega$, $\alpha \kappa^n$ has Property R_{λ} .

Proof. Let $\mathcal{B} = \{b_{\alpha} : \alpha < \lambda\}$ be a clopen family in $\alpha \kappa^n$ of cardinality λ . Let us assume that \mathcal{B} does not contain a linked subfamily of cardinality λ . We now work towards producing a disjoint subfamily of cardinality λ . By thinning to a subfamily of cardinality λ we can assume that there exists $m < \omega$ such that for each $\alpha < \lambda$,

$$b_{\alpha} = \bigcup_{i < m} r_i^{\alpha} = \bigcup_{i < m} \prod_{k < n} r_i^{\alpha}(k),$$

where for all i < m and all k < n either $r_i^{\alpha}(k)$ is a finite subset of κ of a constant size for every $\alpha < \lambda$ or $r_i^{\alpha}(k) \cap \kappa$ is a co-finite subset of κ for every $\alpha < \lambda$. Define an indicator function $I : m \times n \to 2$ by I(i,k) = 0 iff for all $\alpha < \lambda$, $r_i^{\alpha}(k)$ is a finite subset of κ . By applying a Δ -system argument for each i < m and each k < n, we also assume that R_{ik} is a root for $\{r_i^{\alpha}(k) : \alpha < \lambda\}$ if I(i,k) = 0 and R_{ik} is a root for $\{\kappa \setminus r_i^{\alpha}(k) : \alpha < \lambda\}$ if I(i,k) = 1.

We will show that the following holds:

(*) for all i, j < m there exists $H \subset \lambda$ with cardinality λ such that $\alpha < \beta$ in H implies $r_i^{\alpha} \cap r_j^{\beta} = \emptyset$.

Then, after m^2 successive applications of (*) we get a $K \subset \lambda$ with cardinality λ such that $\alpha < \beta$ in K implies $b_{\alpha} \cap b_{\beta} = \emptyset$. This would complete the proof of the lemma.

Proof of (*). Fix i, j < m. Define a case function ψ with domain n by $\psi(k) = 2^{I(i,k)} 3^{I(j,k)}$. We may assume that

(S1) for all k < n with $\psi(k) = 3$,

$$\neg [R_{ik} \subset R_{jk} \& (\forall \alpha < \lambda) R_{ik} = r_i^{\alpha}(k)]$$

and that

(S2) for all k < n with $\psi(k) = 2$,

$$\neg [R_{jk} \subset R_{ik} \& (\forall \alpha < \lambda) R_{jk} = r_j^{\alpha}(k)]$$

(otherwise we would get (*) for i, j immediately with $H = \lambda$).

Define a subset P of $[\lambda]^2$ by $\{\alpha < \beta\} \in P$ iff $r_i^{\alpha} \cap r_j^{\beta} \neq \emptyset$. Since $\lambda \to (\lambda, \omega)$ and our main overall assumption implies that there is no subset of λ of cardinality λ that is homogeneous for P, we get a countably infinite $A \subset \lambda$ such that $[A]^2 \cap P = \emptyset$. Since $\omega \to (\omega)_n^2$, we get a k < n and an infinite $B \subset A$ such that $\alpha < \beta$ in B implies $r_i^{\alpha}(k) \cap r_j^{\beta}(k) = \emptyset$. Clearly, $\psi(k) \neq 6$. If $\psi(k) = 2$, then $r_j^{\beta}(k) \subset \kappa \setminus r_i^{\alpha}(k)$ implies $R_{jk} \subset R_{ik}$. Put $r = |R_{ik}|$. Choose a 2-element subset $C \subset B$ and an (r+1)-element $D \subset B$ such that $\alpha \in C$ and $\beta \in D \Rightarrow \alpha < \beta$. Since we have two Δ -systems, our inclusion gives us $\bigcup_{\beta \in D} r_j^{\beta}(k) \subset R_{ik}$. Now (S2) implies $|R_{ik}| \geq r+1$; a contradiction. In an analogous fashion, using (S1), we get $\psi(k) \neq 3$.

Hence, $\psi(k) = 1$, and thus we get $R_{ik} \cap R_{jk} = \emptyset$. We now apply thinning to complete the proof of (*). There are only finitely many α 's in λ such that $r_i^{\alpha}(k) \cap R_{jk} \neq \emptyset$. Remove these α 's. The remaining α 's are such that $r_i^{\alpha}(k) \cap R_{jk} = \emptyset$. For each remaining α there exist only finitely many $\beta > \alpha$ with $r_i^{\alpha}(k) \cap r_j^{\beta}(k) \neq \emptyset$. Now we can inductively construct an $H \subset \lambda$ of cardinality λ such that $\alpha < \beta$ in H implies $r_i^{\alpha}(k) \cap r_j^{\beta}(k) = \emptyset$. So, we have proved (*) and hence completed the proof of Lemma 2.5.

3. Applications

EXAMPLE 3.1: An open $U \subset \alpha \omega_1^2$ such that \overline{U} is not polyadic.

Let G be a standard Sierpiński graph on the set ω_1 . Put $U = \{(\alpha, \beta) : \{\alpha, \beta\} \in G\}$. For each $\alpha < \omega_1$, put $B_{\alpha} = (\{\alpha\} \times \alpha \omega_1) \cup (\alpha \omega_1 \times \{\alpha\})$ and put $U_{\alpha} = B_{\alpha} \cap \overline{U}$. Then $U_{\alpha} \cap U_{\beta} \neq \emptyset \Leftrightarrow \{\alpha, \beta\} \in G$. The collection $\{U_{\alpha} : \alpha < \omega_1\}$ violates Property R, so \overline{U} is not polyadic.

Our first application relates to the structure of polyadic spaces. The dyadic property is known to be both zeroset and regular closed hereditary. Gerlits [Ge78] has shown that the polyadic property is zeroset hereditary. Thus, we have

COROLLARY 3.2. The polyadic property is not regular closed hereditary.

Problem 4 in Benyamini, Rudin and Wage [BRW77] asks whether $\alpha \kappa^{\omega}$ is a universal preimage for uniform Eberlein compact spaces of weight at most κ . They prove in this paper that uniform Eberlein compact spaces of weight at most κ are precisely the images of closed subspaces of $\alpha \kappa^{\omega}$. So, the above example \overline{U} gives us

COROLLARY 3.3. $\alpha \kappa^{\omega}$ is not a universal preimage for uniform Eberlein compact spaces of weight at most κ .

Problem 3 of [BRW77] of whether there is some closed subspace of $\alpha \kappa^{\omega}$ which is a universal preimage for uniform Eberlein compact spaces of weight at most κ is still open. We can phrase the negation of this problem as

QUESTION 3.4. Is it true that for every closed $H \subset \alpha \kappa^{\omega}$, there exists a closed $K \subset \alpha \kappa^{\omega}$ such that K is not an image of H?

Our final application will deal with the hyperspace H(X) of all nonempty closed subsets of a compact space X. We endow H(X) with the Vietoris topology. Mrówka [Mr70] has shown that if X is a compact orderable space with H(X) polyadic, then X must be first countable. From the theorem of Gerlits [Ge78] that character = weight for polyadic spaces, it follows that X must be metrizable. Mrówka uses a property called (K₁) in order to prove his theorem:

(K₁) the closure of a G_{δ} open set U coincides with the sequential closure of U.

Mrówka proves that every polyadic space satisfies the property (K_1) . We will need this result to improve Mrówka's theorem by reducing polyadicity of H(X) to dyadicity of H(X) for any compact space. Our hyperspace notation is as follows: If \mathcal{O} is a collection of subsets of X, then $\langle \mathcal{O} \rangle = \{F \in H(X) :$ $F \subset \bigcup \mathcal{O}$ and $F \cap \mathcal{O} \neq \emptyset$ for every $\mathcal{O} \in \mathcal{O}\}$. The family $\{\langle \mathcal{O} \rangle : \mathcal{O} \text{ is a finite} \}$ family of open subsets of $X\}$ is an open base for H(X).

COROLLARY 3.5. If H(X) is polyadic, then H(X) is dyadic.

Proof. Assume that H(X) is polyadic. We will show that H(X) is ccc and then invoke a theorem of R. Engelking (cf. [Mr70]) that says that a ccc polyadic space is dyadic. We first show that X is ccc. If not, let \mathcal{M} be an uncountable maximal disjoint open family in X. Then X is in the H(X) closure of $\bigcup \{ \langle \mathcal{M}' \rangle : \mathcal{M}' \text{ is a finite subset of } \mathcal{M} \}$. By Mrówka's result, H(X) has the property (K₁) and so we can choose, for $n < \omega$, finite subsets $\mathcal{M}_n \subset \mathcal{M}$ such that $X \in \bigcup_{n < \omega} \langle \mathcal{M}_n \rangle$. Pick $M \in \mathcal{M} \setminus \bigcup_{n < \omega} \mathcal{M}_n$. Then $X \in \langle \{M, X\} \rangle$ and $\langle \{M, X\} \rangle \cap \bigcup_{n < \omega} \langle \mathcal{M}_n \rangle = \emptyset$. This contradiction proves that X is ccc.

Theorem 2.1 implies that H(X) has Property Q. It is easily seen that therefore X has Property Q. But a regular ccc space with Property Q has Property K. Property K is hyper-extendible (note that ccc is not hyper-extendible; think of a Souslin continuum), so H(X) has Property K and the proof is complete.

We remark that Shapiro [Sh76] has shown that if H(X) is dyadic, then the weight of X is at most ω_1 . Thus it follows that if H(X) is polyadic, then $w(X) \leq \omega_1$.

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