

## Undetermined sets of point-open games

by

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**Abstract.** We show that a set of reals is undetermined in Galvin's point-open game iff it is uncountable and has property  $C''$ , which answers a question of Gruenhage.

Let  $X$  be a topological space. The *point-open game*  $G(X)$  of Galvin [G] is played as follows. **Black** chooses a point  $x_0 \in X$ , then **White** chooses an open set  $U_0 \ni x_0$ , then **B** chooses a point  $x_1 \in X$ , then **W** chooses an open set  $U_1 \ni x_1$ , etc. **B** wins the play  $(x_0, U_0, x_1, U_1, \dots)$  iff  $X = \bigcup_n U_n$ .

Galvin [G] showed that the Continuum Hypothesis yields a Lusin set  $X$  which is undetermined (i.e. for which the game  $G(X)$  is undetermined). (A *Lusin set* is an uncountable set of reals which has countable intersection with every meager set.)

Recently Reclaw [R] showed that every Lusin set is undetermined. Motivated by Reclaw's result we prove the following.

**THEOREM.** *Let  $X$  be a topological space in which every point is  $\mathbf{G}_\delta$ . Then  $G(X)$  is undetermined iff  $X$  is uncountable and has property  $C''$ .*

Property  $C''$  was introduced by Rothberger (see [M]). A topological space  $X$  has *property  $C''$*  if for every sequence  $\mathcal{U}_n$  ( $n \in \omega$ ) of open covers of  $X$  there exist  $U_n \in \mathcal{U}_n$  such that  $X = \bigcup_n U_n$ . It is known (see [M] or [FM]) that every Lusin set has property  $C''$ .

Clearly, a space with property  $C''$  must be Lindelöf. Martin's Axiom implies that every Lindelöf space of size less than  $2^{\aleph_0}$  has property  $C''$  and that there are sets of reals of size  $2^{\aleph_0}$  with property  $C''$  (see [M]). Thus, Martin's Axiom yields undetermined sets of reals of size  $2^{\aleph_0}$  (Theorem 4 of [G]).

On the other hand, in Laver's [L] model for Borel's conjecture all metric spaces with property  $C''$  are countable (see Note 1). Thus, consistently, all metric spaces are determined.

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The connection between property  $C''$  and point-open games is made transparent by the following dual game  $G^*(X)$ , due also to Galvin [G]. Now  $\mathbf{W}$  chooses an open cover  $\mathcal{U}_0$  of  $X$ , then  $\mathbf{B}$  chooses a set  $U_0 \in \mathcal{U}_0$ , then  $\mathbf{W}$  chooses an open cover  $\mathcal{U}_1$  of  $X$ , then  $\mathbf{B}$  chooses a set  $U_1 \in \mathcal{U}_1$ , etc. As before,  $\mathbf{B}$  wins if  $X = \bigcup_n U_n$ .

Galvin [G] showed that the games  $G(X)$  and  $G^*(X)$  are equivalent in the sense that  $\mathbf{W} \uparrow G(X)$  (has a winning strategy) iff  $\mathbf{W} \uparrow G^*(X)$ ; similarly for  $\mathbf{B}$ . In particular,  $G(X)$  is determined iff  $G^*(X)$  is.

Let  $G^\sigma(X)$  and  $G^{*\sigma}(X)$  be games that are played as  $G(X)$  and  $G^*(X)$  are, but in which  $\mathbf{B}$  wins if  $X = \bigcap_n \bigcup_{m>n} U_m$ . These games are again equivalent (see [G], Theorem 1). Clearly,  $|X| \leq \aleph_0 \Rightarrow \mathbf{B} \uparrow G^{*\sigma}(X) \Rightarrow \mathbf{B} \uparrow G^*(X)$ , and it is not hard to see that if each point of  $X$  is  $\mathbf{G}_\delta$ , then  $\mathbf{B} \uparrow G^*(X) \Rightarrow |X| \leq \aleph_0$  (see [G], Theorem 2). Also,  $X \notin C'' \Rightarrow \mathbf{W} \uparrow G^*(X) \Rightarrow \mathbf{W} \uparrow G^{*\sigma}(X)$  (for the first implication  $\mathbf{W}$  plays covers that witness  $X \notin C''$ ). We shall prove that  $\mathbf{W} \uparrow G^{*\sigma}(X) \Rightarrow X \notin C''$ .

First let us play one more game. The game  $M^*(X)$  is defined as  $G^*(X)$  is but  $\mathbf{B}$  chooses finite subsets  $\mathcal{V}_n \subseteq \mathcal{U}_n$ . He wins if  $\bigcup_n \bigcup \mathcal{V}_n = X$ . The  $\sigma$  is introduced as before.

The game is motivated by property  $M$  of Menger (see [FM]). A topological space has *property M* if for every sequence  $\mathcal{U}_n$  ( $n \in \omega$ ) of open covers of  $X$  there exist finite  $\mathcal{V}_n \subseteq \mathcal{U}_n$  such that  $\bigcup_n \bigcup \mathcal{V}_n = X$ . Clearly, property  $C''$  implies property  $M$ .

LEMMA 1. *Suppose that  $X$  has property  $M$ . Then  $\mathbf{W}$  has no winning strategy in  $M^{*\sigma}(X)$ .*

PROOF.  $X$  is clearly Lindelöf. Without loss of generality, we can assume that  $\mathbf{W}$  plays increasing sequences from which  $\mathbf{B}$  chooses single sets. Then a strategy for  $\mathbf{W}$  can be identified with a family  $\{U_\sigma : \sigma \in {}^{<\omega}\omega\}$  such that for every  $\sigma$ ,  $\{U_{\sigma \frown i} : i < \omega\}$  is an increasing open cover of  $X$ . We seek  $s \in {}^\omega\omega$  such that  $\forall x \in X \exists^\infty n x \in U_{s|n}$ .

For integers  $j > 0$  and  $k, m \geq 0$  let

$$V_k(m, j) = \bigcap_{\tau \in {}^m j} U_{\tau \frown k}.$$

Note that  $\{V_k(m, j) : k \in \omega\}$  is an increasing open cover of  $X$ : given  $x \in X$ , find  $k_\tau$  ( $\tau \in {}^m j$ ) with  $x \in U_{\tau \frown k_\tau}$  and let  $k = \max_\tau k_\tau$ ; then  $x \in V_k(m, j)$ .

For integers  $j > 0$ ,  $k \geq j$  and  $m, n \geq 0$  let

$$W_k^n(m, j) = \bigcup_{j=k_0 \leq k_1 \leq \dots \leq k_{n+1}=k} \bigcap_{i=0}^n V_{k_{i+1}}(m+i, k_i).$$

Again  $\{W_k^n(m, j) : k \geq j\}$  is an increasing open cover of  $X$ : given  $x \in X$ , find  $k_1 \geq k_0$  with  $x \in V_{k_1}(m, k_0)$ , next  $k_2 \geq k_1$  with  $x \in V_{k_2}(m+1, k_1)$ ,

etc. So,  $\{W_k^n(m, j) : k \geq j\}$  ( $n \in \omega$ ) is a sequence of open covers, and, since  $X \in M$ , there is  $t_{m,j} \in {}^\omega\omega$  such that

$$\forall x \in X \exists^\infty n x \in W_{t_{m,j}(n)}^n(m, j).$$

Let  $s \in {}^\omega\omega$  be strictly increasing such that  $\forall m, j \forall^\infty n s(m+n) \geq t_{m,j}(n)$ . Then

$$\forall x \in X \forall m, j \exists n x \in W_{s(m+n)}^n(m, j).$$

CLAIM.  $\forall x \in X \exists^\infty n x \in U_{s|n}$ .

PROOF. Suppose not. Fix  $x$  and  $m$  with  $\forall n x \notin U_{s|(m+n)}$ . By the choice of  $s$  there is  $n$  with  $x \in W_{s(m+n)}^n(m, s(m))$ . So, there are integers

$$s(m) = k_0 \leq k_1 \leq \dots \leq k_{n+1} = s(m+n)$$

such that

$$x \in \bigcap_{i=0}^n V_{k_{i+1}}(m+i, k_i).$$

Now,  $s|m \in {}^m k_0$ ,  $x \in V_{k_1}(m, k_0)$  and  $x \notin U_{s|m \frown s(m)}$  yield  $k_1 > s(m)$ . Next,  $s|(m+1) \in {}^{(m+1)} k_1$ ,  $x \in V_{k_2}(m+1, k_1)$  and  $x \notin U_{s|(m+1) \frown s(m+1)}$  yield  $k_2 > s(m+1)$ . Proceeding in this way we get  $k_{n+1} > s(m+n)$ , which is a contradiction. ■

It follows that if **W** plays according to  $\{U_\sigma : \sigma \in <^\omega\omega\}$  and **B** according to  $s$ , then **B** wins. ■

Now we prove that if  $X \in C''$  then **B** can spoil each strategy of **W** in  $G^{*\sigma}(X)$ . The idea of diagonalization used in the proof is taken from [FM], Lemma 5.1.

LEMMA 2. *Suppose that  $X$  has property  $C''$ . Then **W** has no winning strategy in  $G^{*\sigma}(X)$ .*

PROOF. Again  $X$  is Lindelöf and we can identify a strategy for **W** with a family  $\{U_\sigma : \sigma \in <^\omega\omega\}$  of open sets such that  $\forall \sigma X = \bigcup_i U_{\sigma \frown i}$ . We seek  $s \in {}^\omega\omega$  such that  $\forall x \in X \exists^\infty n x \in U_{s|n}$ .

For integers  $j > 0$ ,  $m \geq 0$  and for  $\sigma : j^m \mapsto \omega$  let

$$U_\sigma(m, j) = \bigcap_{\tau \in {}^m j} \bigcup \{U_{\tau \frown \sigma|_i} : 0 < i \leq j^m\}.$$

**B** is sure to cover this set if from round  $m$  on he plays according to  $\sigma$ , provided so far he has played numbers  $< j$ .

CLAIM 1.  $\forall m, j U_\sigma(m, j)$ 's form an open cover of  $X$ .

PROOF. Fix  $m$  and  $j$ . Let  $x \in X$  be given. Let  $\langle \tau_k : k < j^m \rangle$  be an enumeration of  ${}^m j$ . Define  $\sigma$  by induction: choose  $\sigma(0)$  so that  $x \in U_{\tau_0 \frown \sigma(0)}$ , next choose  $\sigma(1)$  so that  $x \in U_{\tau_1 \frown \sigma(0) \frown \sigma(1)}$ , etc. ■

CLAIM 2. *There are increasing sequences  $\langle j_n : n < \omega \rangle$ ,  $\langle m_n : n < \omega \rangle$  of integers such that*

$$\forall x \in X \exists^\infty n \exists \sigma : (m_{n+1} - m_n) \mapsto j_{n+1} \ x \in U_\sigma(m_n, j_n).$$

PROOF. Let  $j_0 = 1$ ,  $m_0 = 0$ . We start a game. At the  $n$ th round,  $j_n$  and  $m_n$  are given and **W** plays an open cover

$$U_\sigma(m_n, j_n) \quad (\sigma : j_n^{m_n} \mapsto \omega).$$

**B** responds with an integer  $j_{n+1} \geq j_n$ , but really thinks about  $\bigcup \{U_\sigma(m_n, j_n) : \max_i \sigma(i) < j_{n+1}\}$ . Then he declares  $m_{n+1} = m_n + j_n^{m_n}$ .

We view this as the  $M^{*\sigma}(X)$  game played by **W** according to a fixed strategy. Since  $C'' \Rightarrow M$ , by Lemma 1, **B** can spoil this strategy. ■

For  $k_1 < \dots < k_n < \omega$  and  $\sigma_i : (m_{k_i+1} - m_{k_i}) \mapsto j_{k_i+1}$  define

$$W(k_1, \dots, k_n; \sigma_1, \dots, \sigma_n) = \bigcap_{i=1}^n U_{\sigma_i}(m_{k_i}, j_{k_i}).$$

By Claim 2 we see that for every  $n$ ,  $W(k_1, \dots, k_n; \sigma_1, \dots, \sigma_n)$ 's form an open cover of  $X$ . Since  $X \in C''$ , there are  $\sigma_i^n$ ,  $k_i^n$  ( $n = 1, 2, \dots; i = 1, \dots, n$ ) such that

$$\forall x \in X \exists^\infty n \ x \in W(k_1^n, \dots, k_n^n; \sigma_1^n, \dots, \sigma_n^n).$$

Let  $l_n \in \{k_1^n, \dots, k_n^n\} \setminus \{k_1^{n-1}, \dots, k_{n-1}^{n-1}\}$  and let  $\tau_n$  be the  $\sigma_i^n$  corresponding to  $l_n$ . Then  $l_n$ 's are distinct and, by the definition of  $W$ 's, we get

$$\forall x \in X \exists^\infty n \ x \in U_{\tau_n}(m_{l_n}, j_{l_n}).$$

Now define  $s \in {}^\omega\omega$  by

$$s(m_{l_n} + i) = \tau_n(i),$$

for  $n \in \omega$  and  $i \in \text{dom}(\tau_n)$ , and put 0 elsewhere.

CLAIM 3.  $\forall x \in X \exists^\infty n \ x \in U_{s|n}$ .

PROOF. If  $x \in U_{\tau_n}(m_{l_n}, j_{l_n})$  then, since  $s|_{m_{l_n}} : m_{l_n} \mapsto j_{l_n}$ , we get

$$x \in \bigcup \{U_{s|_{m_{l_n} \cap \tau_n|_i}} : 0 < i \leq m_{l_n+1} - m_{l_n}\}.$$

But  $s|_{m_{l_n} \cap \tau_n|_i} = s|(m_{l_n} + i)$ . ■

It follows that if **W** plays according to  $\{U_\sigma : \sigma \in {}^{<\omega}\omega\}$  and **B** plays according to  $s$ , then **B** wins. ■

Call an open cover  $\mathcal{U}$  of  $X$  *strong* if for each  $U \in \mathcal{U}$ , the family  $\{V \in \mathcal{U} : U \subseteq V\}$  covers  $X$ . Galvin showed that for a regular space  $X$ ,  $\mathbf{W} \uparrow G(X)$  iff no strong open cover contains an increasing subcover  $\{U_n : n \in \omega\}$  ([GT], Theorem 4). Combining Galvin's theorem with ours we can give a characterization of regular  $C'''$  spaces. By a *covering tree* we mean a family

$T$  of finite sequences  $\sigma = \langle U_0, \dots, U_{n-1} \rangle$  ( $n \in \omega$ ) of open subsets of  $X$  such that  $\forall \sigma \in T \forall k < |\sigma| \sigma \upharpoonright k \in T$  and  $\forall \sigma \in T \{U : \sigma \frown U \in T\}$  covers  $X$ .

PROPOSITION 1. *Let  $X$  be a regular topological space. Then the following are equivalent.*

- (a)  $X$  has property  $C''$ .
- (b) In every covering tree there exists a branch  $\langle U_n : n \in \omega \rangle$  with  $\bigcup_n U_n = X$  (equivalently, with  $\bigcap_m \bigcup_{n>m} U_n = X$ ).
- (c) In every strong open cover there exists an increasing subcover  $\{U_n : n \in \omega\}$ .

PROOF (cf. [GT], Theorem 4). (a) $\Rightarrow$ (b) follows by Lemma 2. (b) $\Rightarrow$ (c) is easy: given a strong open cover, use increasing finite sequences of its members as a covering tree.

We shall show (c) $\Rightarrow$ (a). Assume (c). First,  $X$  is Lindelöf. Otherwise any finitely additive open cover with no countable subcover violates (c). Also,  $X$  is zerodimensional (has a clopen base). Indeed, there is no continuous function  $f$  from  $X$  onto  $[0, 1]$  (otherwise  $\{f^{-1}[V] : V \subseteq [0, 1] \text{ open with } [0, 1] \setminus V \text{ uncountable}\}$  violates (c)). For completely regular spaces this means having a clopen base, and  $X$ , being Lindelöf regular, is completely regular.

Now, let  $\mathcal{U}_n$  ( $n \in \omega$ ) be a sequence of open covers of  $X$ . Since  $X$  is Lindelöf and zerodimensional, we can assume that each  $\mathcal{U}_n$  is countable and consists of pairwise disjoint clopens (see [K], §26).

Let  $\mathcal{U}_n = \{U_i^n : i < \omega\}$  (some  $U_i^n$ 's may be empty). Define  $f : X \mapsto {}^\omega\omega$  by

$$f(x)(n) = i \quad \text{iff} \quad x \in U_i^n.$$

Let  $V = \bigcup \{U_i^n : |f[U_i^n]| \leq \aleph_0\}$ . Then  $|f[V]| \leq \aleph_0$  and since  $\forall x \in X \ x \in \bigcap_n U_{f(x)(n)}^n$ , it is not hard to see that there exist  $t_{2n+1} \in \omega$  ( $n \in \omega$ ) with  $V \subseteq \bigcap_n U_{t_{2n+1}}^{2n+1}$ . Suppose that  $X \setminus V \neq \emptyset$  (otherwise we are done). We can easily refine the covers  $\mathcal{U}_{2n}$  (with the help of  $\mathcal{U}_{2m}$ 's,  $m \geq n$ ) to covers  $\mathcal{W}_n$  so that  $\mathcal{W}_{n+1}$  is a refinement of  $\mathcal{W}_n$  and each  $W \in \mathcal{W}_n$  which meets  $X \setminus V$  contains at least two sets from  $\mathcal{W}_{n+1}$  which meet  $X \setminus V$ .

Let  $V_\sigma = V \cup \bigcup_{n < |\sigma|} W_{\sigma(n)}^n$  for  $\sigma \in {}^{<\omega}\omega$  such that each  $W_{\sigma(n)}^n$  meets  $X \setminus V$ . Then  $V_\sigma$ 's constitute a strong open cover of  $X$ . Also, if  $V_\sigma \subseteq V_\tau$  then  $\sigma \subseteq \tau$  (because no  $W_k^n$  which meets  $X \setminus V$  can be covered by finitely many sets taken from different  $\mathcal{W}_m$  ( $m > n$ )).

It follows that from an increasing sequence of  $V_\sigma$ 's covering  $X$ , which exists by (c), we get  $s \in {}^\omega\omega$  with  $X \setminus V \subseteq \bigcup_n W_{s(n)}^n$ . Since there are  $t_{2n}$  ( $n \in \omega$ ) with  $W_{s(n)}^n \subseteq U_{t_{2n}}^{2n}$ , we get  $X \subseteq \bigcup_n U_{t_n}^n$ . ■

Rothberger also considered property  $C'$ , which is defined as  $C''$  is but the covers  $\mathcal{U}_n$  are finite. We can define a game corresponding to  $C'$  by

introducing to  $G^*$  the requirement that the covers played by  $\mathbf{W}$  are finite. Then an analogue of Lemma 2 is true (see the proof of (a) $\Rightarrow$ (b) below). We also have the following. (A tree is *finitely branching* if the set of immediate successors of any node is finite.)

PROPOSITION 2. *Let  $X$  be a regular topological space. Then the following are equivalent.*

- (a)  $X$  has property  $C'$ .
- (b) In every finitely branching covering tree there exists a branch  $\langle U_n : n \in \omega \rangle$  with  $\bigcup_n U_n = X$  (equivalently, with  $\bigcap_m \bigcup_{n>m} U_n = X$ ).
- (c) In every strong open cover  $\mathcal{U}$  such that for each  $U \in \mathcal{U}$ , a finite subfamily of  $\{V \in \mathcal{U} : U \subseteq V\}$  covers  $X$ , there exists an increasing subcover  $\{U_n : n \in \omega\}$ .

PROOF. We sketch (a) $\Rightarrow$ (b); (b) $\Rightarrow$ (c) $\Rightarrow$ (a) are proved as in Proposition 1. Suppose that  $X \in C'$ . Let  $T$  be a finitely branching covering tree. For each  $n \in \omega$ , let  $\mathcal{V}_n$  be a common finite refinement of all covers  $\mathcal{U}_\sigma =_{\text{df}} \{U : \sigma \cap U \in T\}$  ( $\sigma \in T$  and  $|\sigma| = n$ ). Such a refinement exists because there are only finitely many covers to refine. Since  $X \in C'$  there is a sequence  $V_n \in \mathcal{V}_n$  such that  $X = \bigcap_m \bigcup_{n>m} V_n$ . Define a branch  $\langle U_n : n \in \omega \rangle$  of  $T$  by  $U_n = \text{any } U \supseteq V_n \text{ such that } \langle U_0, \dots, U_{n-1}, U \rangle \in T$ . ■

NOTE 1. It is folklore that every metric space  $X \in C''$  is homeomorphic to a subspace of  $\mathbb{R}$  (the reals). Such an  $X$  is zero-dimensional (it cannot be continuously mapped onto  $[0, 1]$  as  $C''$  is preserved by continuous images and  $[0, 1] \notin C''$ ; [K], §40). Being Lindelöf,  $X$  is separable, and so homeomorphic to a subset of  ${}^\omega\omega$ . Since every  $C''$  set of reals has strong measure zero, Borel's conjecture implies that every  $C''$  metric space is countable.

2. In the spirit of [R],  $X \subseteq \mathbb{R}$  with property  $C''$  can be characterized by any of the following (see [P]):

- (a) for any  $\mathbf{F}_\sigma$  (equivalently, closed)  $A \subseteq \mathbb{R}^2$  with all vertical sections  $A_x$  ( $x \in X$ ) meager,  $\bigcup_{x \in X} A_x \neq \mathbb{R}$ ;
- (b) for any closed  $A \subseteq \mathbb{R}^2$  with all vertical sections  $A_x$  ( $x \in X$ ) null,  $\bigcup_{x \in X} A_x$  is null.

Also,  $X \subseteq \mathbb{R}$  has strong measure zero iff any of the following holds:

- (a) for any  $\mathbf{F}_\sigma$  (equivalently, closed)  $A \subseteq \mathbb{R}^2$  with all vertical sections  $A_x$  ( $x \in \mathbb{R}$ ) meager,  $\bigcup_{x \in X} A_x \neq \mathbb{R}$  ([AR]);
- (b) for any closed  $A \subseteq \mathbb{R}^2$  with all vertical sections  $A_x$  ( $x \in \mathbb{R}$ ) null,  $\bigcup_{x \in X} A_x$  is null ([P]);
- (c) for any closed null  $D \subseteq \mathbb{R}$ ,  $X + D$  is null ([P]).

3. There exists (in ZFC) an uncountable  $C''$  space in which every point is  $G_\delta$ . Todorčević [T] has an example of a zerodimensional first countable Hausdorff space of size  $\aleph_1$  whose every continuous image into any second countable space (in particular, into  $\omega^\omega$ ) is countable.

QUESTION. In Propositions 1 and 2, can one remove the assumption that  $X$  is regular (it is used in (c) $\Rightarrow$ (a))?

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