

But then  $\{\beta < \lambda_0: L(f, \kappa, \beta, \lambda_0)\}$  is cofinal below  $\lambda_0$ . By closure considerations yet again,  $\{\beta < \lambda_0: M_\beta \models L(f, \kappa, \beta, \lambda_0)\}$  is cofinal below  $\lambda_0$ . Then  $\{\beta < \lambda_0: \{ \gamma < \lambda_0: L(f, \kappa, \beta, \gamma)\}$  is cofinal below  $\lambda_0\}$  is cofinal below  $\lambda_0$ . It is then easy to find a set  $A$  such that  $A$  is cofinal below  $\lambda_0$ ,  $A$  contains none of its limit points, and, if  $\{\alpha_\delta: \delta < \lambda_0\}$  is an increasing enumeration of the elements of  $A$ , then, for any  $\delta < \lambda_0$ ,  $L(f, \kappa, \alpha_\delta, \alpha_{\delta+1})$  holds. This  $A$  satisfies the premises of the theorem, and hence its conclusion. ■

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## On the $l$ -equivalence of metric spaces

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**Abstract.** In this paper we present topological properties of metric spaces which are preserved by  $l$ -equivalence. Furthermore, we present an isomorphic classification of the function spaces  $C_p(X)$  where  $X$  is any countable metric space with scattered height less than or equal to  $\omega$ .

**0. Introduction.** By a space we mean a Tikhonov space. For a space  $X$  we define  $C(X)$  ( $C^*(X)$ ) to be the set of all continuous (bounded continuous) real valued functions on  $X$ . We can topologize these function spaces in several natural ways. Whenever we endow  $C(X)$  ( $C^*(X)$ ) with the compact-open topology we denote it by  $C_0(X)$  ( $C_0^*(X)$ ), and if we endow  $C(X)$  ( $C^*(X)$ ) with the topology of pointwise convergence we denote it by  $C_p(X)$  ( $C_p^*(X)$ ).

In [10] van Mill proved that for a countable metric space  $X$  which is not locally compact we have  $C_p^*(X) \approx \sigma_\omega$ , where  $\sigma_\omega = (l_i^\mathbb{R})^\omega$  and  $l_i^\mathbb{R} = \{x \in l^\mathbb{R} \mid x_i = 0 \text{ for all but finitely many } i\}$  ( $l^\mathbb{R}$  denotes separable Hilbert space). Furthermore in [5] it was proved that under the same conditions  $C_p(X) \approx \sigma_\omega$ . It is easily seen that for an infinite countable discrete space  $X$ ,  $C_p(X) \approx \mathbb{R}^\omega$ . The gap between “discrete” and “not locally compact” was filled in by Dobrowolski, Gul’ko and Mogilski in [7]. They proved that for every countable metric nondiscrete space  $X$ ,  $C_p^*(X) \approx C_p(X) \approx \sigma_\omega$ . After these results it is interesting to study linear homeomorphism between the function spaces  $C_p(X)$  ( $C_p^*(X)$ ), for countable metric spaces  $X$ .

In [12] Pelant gives an example of two countable metric spaces  $X$  and  $Y$ , which are both not locally compact, such that  $C_p^*(X)$  and  $C_p^*(Y)$  are not linearly homeomorphic. In [5], Baars, de Groot, van Mill and Pelant gave an example of two countable metric spaces  $X$  and  $Y$ , which are both not locally compact, such that  $C_p(X)$  and  $C_p(Y)$  are not linearly homeomorphic. In [3] Baars and de Groot presented an isomorphic classification of the function spaces  $C_p(X)$ , for zero-dimensional locally compact separable metric spaces  $X$ . These classification results depend strongly on results in [1] of Arkhangel’skiĭ and on the isomorphic classification of the function spaces  $C_0(X)$ , where

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$X$  is any countable compact metric space, which was proved by Bessaga and Pełczyński in [6].

In this paper we present topological properties of metric spaces which are preserved by  $l$ -equivalence. We show that these properties are sufficient to give an isomorphic classification for the function spaces  $C_p(X)$ , where  $X$  is any countable metric space with scattered height less than or equal to  $\omega$ . In addition we give an example which shows that these properties are not sufficient outside this class of spaces.

**1. Preliminaries.** In this section we present some definitions and results (some old and some new) which we use in the next sections. First we state some definitions and a proposition from [1].

Let  $\varphi: C(X) \rightarrow C(Y)$  be a linear function, where  $X$  and  $Y$  are Tikhonov spaces. For every  $y \in Y$ , the *support* of  $y$  in  $X$  with respect to  $\varphi$  is defined to be the set  $\text{supp}(y)$  of all  $x \in X$  satisfying the condition that for every neighborhood  $U$  of  $x$ , there is an  $f \in C(X)$  such that  $f(X \setminus U) \subset \{0\}$  and  $\varphi(f)(y) \neq 0$ . For a subset  $A$  of  $Y$ , we denote  $\bigcup \{\text{supp}(y) \mid y \in A\}$  by  $\text{supp } A$ . Whenever  $\varphi$  is a linear bijection, we can consider the support of a point in  $Y$  with respect to  $\varphi$  and the support of a point in  $X$  with respect to  $\varphi^{-1}$ . It will always be clear which support we mean. Finally, a subset  $A$  of  $X$  is said to be *bounded* if for every  $f \in C(X)$ ,  $f(A)$  is bounded in  $\mathbf{R}$ .

**1.1. PROPOSITION** ([1, Arkhangel'skii]). *Let  $X$  and  $Y$  be spaces, and let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a continuous linear mapping. If  $A$  is a bounded subset of  $Y$ , then  $\text{supp } A$  is bounded in  $X$  (in particular, if  $X$  is metric, then  $\text{supp } A$  is compact).*

Another result in [1] is that if  $X$  and  $Y$  are metric and  $\varphi: C_p(X) \rightarrow C_0(Y)$  is a continuous linear mapping, then  $\varphi$  considered as a map from  $C_0(X)$  to  $C_0(Y)$  is also a continuous linear mapping. Hence every linear homeomorphism between  $C_p(X)$  and  $C_p(Y)$  can also be considered as a linear homeomorphism between  $C_0(X)$  and  $C_0(Y)$ . In the sequel we shall use this result without explicit reference.

Whenever spaces  $X$  and  $Y$  are homeomorphic, we denote that  $X \approx Y$ , and whenever linear spaces  $E$  and  $F$  are linearly homeomorphic, we denote that by  $E \sim F$ .

When dealing with function spaces endowed with the topology of pointwise convergence, it is possible to give a precise description of supports (cf. Lemma 1.2). We would like to thank J. Pelant for providing us with this description of supports.

Let  $X$  and  $Y$  be Tikhonov spaces,  $\varphi: C_p(X) \rightarrow C_p(Y)$  a continuous linear map and  $y \in Y$  fixed. Notice that the map  $\psi_y: C_p(X) \rightarrow \mathbf{R}$  defined by  $\psi_y(f) = \varphi(f)(y)$  is continuous and linear. So  $\psi_y \in L(X)$ , the dual of  $C_p(X)$ . Since the evaluation mappings  $\xi_x (x \in X)$  defined by  $\xi_x(f) = f(x)$  for  $f \in C_p(X)$  form a Hamel basis for  $L(X)$  (cf. [11]), for  $\psi_y \neq 0$  there are  $x_1, \dots, x_n \in X$  and  $\lambda_1, \dots, \lambda_n \in \mathbf{R} \setminus \{0\}$  such that  $\psi_y = \sum_{i=1}^n \lambda_i \xi_{x_i}$  (notice that whenever  $\varphi$  is a bijection,  $\psi_y \neq 0$  for every  $y \in Y$ ). This means that for every  $f \in C_p(X)$ ,  $\varphi(f)(y) = \sum_{i=1}^n \lambda_i f(x_i)$ . Then

**1.2. LEMMA.**  $\text{supp}(y) = \{x_1, \dots, x_n\}$ .

**Proof.** Let  $x \in \text{supp}(y)$  and suppose that  $x \notin \{x_1, \dots, x_n\}$ . Since  $X \setminus \{x_1, \dots, x_n\}$  is

open, there is  $f \in C_p(X)$  such that  $f(x_i) = 0$  for every  $i \leq n$  and  $\varphi(f)(y) \neq 0$ . But  $\varphi(f)(y) = \sum_{i=1}^n \lambda_i f(x_i) = 0$ . Contradiction.

Now let  $i \leq n$  be fixed and  $U$  an open neighborhood of  $x_i$ . Let  $V = U \setminus \{x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n\}$ . Notice that  $V$  is an open neighborhood of  $x_i$ . Let  $f \in C_p(X)$  be a Urysohn function with  $f(X \setminus V) = 0$  and  $f(x_i) = 1$ . Then  $\varphi(f)(y) = \sum_{i=1}^n \lambda_i f(x_i) = \lambda_i \neq 0$ . Since  $X \setminus U \subset X \setminus V$  we also have  $f(X \setminus U) = 0$  and we are done. ■

**1.3. COROLLARY.** *Let  $X$  and  $Y$  be Tikhonov spaces,  $y \in Y$ ,  $\varphi: C_p(X) \rightarrow C_p(Y)$  a continuous linear map and  $f, g \in C_p(X)$ . If  $f$  and  $g$  are equal on  $\text{supp}(y)$ , then  $\varphi(f)(y) = \varphi(g)(y)$ . ■*

Another useful property of supports with respect to the topology of pointwise convergence is given in the following

**1.4. PROPOSITION.** *Let  $X$  and  $Y$  be Tikhonov spaces and  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear homeomorphism. Then for every  $x \in X$  we have  $x \in \text{supp } \text{supp}(x)$ . (In other words, for every  $x \in X$  there is  $y \in \text{supp}(x)$  such that  $x \in \text{supp}(y)$ .)*

**Proof.** Let  $x \in X$  and suppose  $x \notin \text{supp } \text{supp}(x)$ . Since  $\text{supp } \text{supp}(x)$  is finite (Lemma 1.2), there is a Urysohn function  $f \in C_p(X)$  such that  $f(x) = 1$  and  $f(\text{supp } \text{supp}(x)) = 0$ . By Corollary 1.3 it follows that  $\varphi(f) = 0$  on  $\text{supp}(x)$  and again by Corollary 1.3 it then follows that  $f(x) = \varphi^{-1}(\varphi(f))(x) = 0$ . Contradiction. ■

We now come to another subject. Let  $X$  be a space. For every ordinal  $\alpha$  we define  $X^{(\alpha)}$ , the  $\alpha$ th derivative, by transfinite induction as follows:

- (a)  $X^{(0)} = X$ .
- (b) If  $\alpha$  is a successor, say  $\alpha = \beta + 1$ , then  $x \in X^{(\alpha)}$  iff  $x$  is an accumulation point of  $X^{(\beta)}$ .
- (c) If  $\alpha$  is a limit ordinal, then  $X^{(\alpha)} = \bigcap_{\beta < \alpha} X^{(\beta)}$ .

We say that  $X$  is *scattered* if there is an ordinal  $\alpha$  with  $X^{(\alpha)} = \emptyset$  and we define the *scattered height*  $\kappa(X)$  to be the smallest ordinal  $\alpha$  such that  $X^{(\alpha)} = \emptyset$ .

For every pair of ordinals  $\alpha \leq \beta$  let  $[\alpha, \beta] = \{\gamma \mid \alpha \leq \gamma \leq \beta\}$  with the order-topology.

We have the well-known

**1.5. THEOREM** (Sierpiński–Mazurkiewicz [9]). *Let  $X$  be a countable compact metric space and  $m$  finite. Then  $X \approx [1, \omega^m \cdot m]$  if and only if  $\kappa(X) = \alpha + 1$  and  $X^{(\alpha)}$  contains  $m$  points.*

In the following theorem we state classification results from Bessaga and Pełczyński (see Theorem 1 of [6]) and from [3].

**1.6. THEOREM.** *Let  $\omega \leq \alpha \leq \beta < \omega_1$ . Then the following statements are equivalent:*

- (i)  $C_0([1, \alpha]) \sim C_0([1, \beta])$ .
- (ii)  $C_p([1, \alpha]) \sim C_p([1, \beta])$ .
- (iii)  $\beta < \alpha^\omega$ .

An ordinal  $\alpha$  is a *prime component* if the following holds: whenever  $\alpha = \beta + \delta$  for ordinals  $\beta$  and  $\delta$ , then  $\delta = 0$  or  $\delta = \alpha$ .

Another useful lemma which can easily be obtained from results in [6] is (cf. Lemma 3.7 in [3]):

1.7. LEMMA. Let  $\psi: C_0([1, \omega^\mu]) \rightarrow C_0([1, \omega^\nu])$  be a linear embedding with  $\mu, \nu \geq 1$  and  $\mu$  a prime component. Then  $\mu \leq \nu$ .

Finally, whenever  $(X, d)$  is a metric space,  $x \in X$  and  $\varepsilon > 0$ , we put  $B(x, \varepsilon) = \{y \in X \mid d(x, y) < \varepsilon\}$ .

**2. Some topological properties preserved by  $l$ -equivalence.** Two spaces  $X$  and  $Y$  are said to be  $l$ -equivalent whenever  $C_p(X)$  and  $C_p(Y)$  are linearly homeomorphic (cf. Arkhangel'skii [2]). In this section we present topological properties of metric spaces which are preserved by  $l$ -equivalence, i.e. properties such that if  $X$  and  $Y$  are  $l$ -equivalent and  $X$  has this property, then  $Y$  has this property.

Let  $X$  be a space and  $X_0 \subset X$ . For every ordinal we define the set  $X^{(\alpha)}$  with respect to the pair  $(X, X_0)$  by transfinite induction as follows:

- (1)  $X^{(0)} = X_0$ .
- (2) If  $\alpha$  is a successor, say  $\alpha = \beta + 1$ , then  $x \in X^{(\alpha)}$  iff for every neighborhood  $U$  of  $x$ ,  $U \cap X^{(\beta)}$  is not compact.
- (3) If  $\alpha$  is a limit ordinal, then  $X^{(\alpha)} = \bigcap_{\beta < \alpha} X^{(\beta)}$ .

The following lemma will be used frequently, but will not always be mentioned.

2.1. LEMMA. Let  $X$  be a space and  $X_0$  a closed subspace of  $X$ . Then for every ordinal  $\alpha$ ,  $X^{(\alpha)}$  is closed.

Proof. We prove this by transfinite induction on  $\alpha$ . The case  $\alpha = 0$  is a triviality. First suppose that  $\alpha$  is a successor, say  $\alpha = \beta + 1$ . Let  $x \in X \setminus X^{(\alpha)}$ . Then there is an open neighborhood  $U$  of  $x$  such that  $\bar{U} \cap X^{(\beta)}$  is compact. Then  $U \cap X^{(\alpha)} = \emptyset$ . Therefore  $X^{(\alpha)}$  is closed. Secondly, if  $\alpha$  is a limit ordinal, then  $X^{(\alpha)} = \bigcap_{\beta < \alpha} X^{(\beta)}$ , so by our inductive hypothesis,  $X^{(\alpha)}$  is closed. ■

2.2. LEMMA. Let  $X$  be a paracompact space,  $X_0$  closed in  $X$  and  $\alpha \geq 1$  an ordinal. Let  $V \subset X$  be open such that  $\bar{V} \cap X^{(\alpha)} = \emptyset$ . Then there is a locally finite family  $\{V_s \mid s \in S\}$  consisting of open sets such that  $V = \bigcup_{s \in S} V_s$  and for every  $s \in S$ , there is  $\beta < \alpha$  with  $\bar{V}_s \cap X^{(\beta)}$  compact.

Proof. Case 1:  $\alpha$  is a successor, say  $\alpha = \beta + 1$ .

Since  $\bar{V} \cap X^{(\alpha)} = \emptyset$ , for every  $x \in \bar{V}$ , there is a neighborhood  $U_x$  of  $x$  such that  $U_x \cap X^{(\beta)}$  is compact. For every  $x \in \bar{V}$  find  $W_x$  open with  $x \in W_x \subset \bar{W}_x \subset U_x$ . Since  $\{W_x \mid x \in \bar{V}\} \cup \{X \setminus \bar{V}\}$  is an open cover of  $X$ , there is a locally finite open refinement  $\{O_s \mid s \in S\}$ . For every  $s \in S$ , let  $V_s = O_s \cap V$ . Then  $\{V_s \mid s \in S\}$  is a locally finite family consisting of open sets such that  $V = \bigcup_{s \in S} V_s$ . In addition, if  $s \in S$  and  $V_s \neq \emptyset$  there is  $x \in \bar{V}$  with  $V_s \subset W_x$ . Then  $\bar{V}_s \cap X^{(\beta)} \subset U_x \cap X^{(\beta)}$ . So  $\bar{V}_s \cap X^{(\beta)}$  is compact.

Case 2:  $\alpha$  is a limit ordinal.

Then  $\mathcal{U} = \{X \setminus X^{(\beta)} \mid \beta < \alpha\} \cup \{X \setminus \bar{V}\}$  is an open cover of  $X$ . So there is a locally finite open family  $\{O_s \mid s \in S\}$  such that  $\{\bar{O}_s \mid s \in S\}$  refines  $\mathcal{U}$ . For every  $s \in S$  let  $V_s = V \cap O_s$ . Then  $\{V_s \mid s \in S\}$  is a locally finite family of open sets such that  $V = \bigcup_{s \in S} V_s$ . Now fix  $s \in S$  and suppose  $V_s \neq \emptyset$ . Then there is  $\beta < \alpha$  such that  $\bar{V}_s \subset X \setminus X^{(\beta)}$ , which implies  $\bar{V}_s \cap X^{(\beta)} = \emptyset$ . ■

We now define the following notions. Let  $X$  and  $Y$  be spaces. Let  $X_0$  be closed in  $X$  and  $Y_0$  be closed in  $Y$ . Let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear bijection and  $\alpha$  an ordinal. We define the pair  $(X, X_0)$  to be  $(\varphi, \alpha)$ -relative to the pair  $(Y, Y_0)$  if the following holds:

If  $U$  and  $V$  are open in  $X$  and  $W$  is open in  $Y$  such that  $(\text{supp } U) \cap W = \emptyset$  and  $\text{supp } W \subset U \cup V$ , then  $W \cap Y^{(\alpha)} \neq \emptyset$  implies  $\bar{V} \cap X^{(\alpha)} \neq \emptyset$ .

We define  $(X, X_0)$  and  $(Y, Y_0)$  to be  $l$ -equivalent pairs if there is a linear homeomorphism  $\varphi: C_p(X) \rightarrow C_p(Y)$  such that  $(X, X_0)$  is  $(\varphi, 0)$ -relative to  $(Y, Y_0)$  and  $(Y, Y_0)$  is  $(\varphi^{-1}, 0)$ -relative to  $(X, X_0)$ . Note that two spaces  $X$  and  $Y$  are  $l$ -equivalent spaces if and only if  $(X, \emptyset)$  and  $(Y, \emptyset)$  are  $l$ -equivalent pairs.

Before we prove an important lemma which uses the notion of  $\varphi$ -relativeness, we first prove the following

2.3. LEMMA. Let  $X$  and  $Y$  be normal spaces. Let  $K$  be compact and nonempty in  $Y$  and suppose  $\{V_n \mid n \in \mathbb{N}\}$  is a decreasing base for  $K$  in  $Y$ . Let  $\{A_s \mid s \in S\}$  be a locally finite family in  $X$ . Furthermore, let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a continuous linear mapping. Then there are  $m \in \mathbb{N}$  and  $s_1, \dots, s_m \in S$  such that  $(\text{supp } V_m) \cap \bigcup_{s \in \{s_1, \dots, s_m\}} A_s = \emptyset$ .

Proof. Suppose the contrary. Then there are distinct  $s_i \in S$  ( $i \in \mathbb{N}$ ) and points  $x_i \in \text{supp } V_i \cap A_{s_i}$ . Suppose  $x_i \in \text{supp } y_i$  with  $y_i \in V_i$ . Notice that  $L = \{y_i \mid i \in \mathbb{N}\} \cup K$  is compact, so by Proposition 1.1,  $\text{supp } L$  is bounded. It follows that  $\{x_i \mid i \in \mathbb{N}\}$  is also bounded. However, since  $\{A_{s_i} \mid i \in \mathbb{N}\}$  is locally finite,  $\{x_i \mid i \in \mathbb{N}\}$  is a closed and discrete set. Contradiction. ■

Remark. In a metric space, every nonempty compact subset has a countable decreasing open base.

2.4. LEMMA. Let  $X$  and  $Y$  be metric spaces,  $X_0$  closed in  $X$  and  $Y_0$  closed in  $Y$ . Let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a continuous linear bijection such that  $(X, X_0)$  is  $(\varphi, 0)$ -relative to  $(Y, Y_0)$ . Then for every ordinal  $\alpha$ ,  $(X, X_0)$  is  $(\varphi, \alpha)$ -relative to  $(Y, Y_0)$ .

Proof. We prove the lemma by transfinite induction on  $\alpha$ . Since  $(X, X_0)$  is  $(\varphi, 0)$ -relative to  $(Y, Y_0)$ , the case  $\alpha = 0$  is established. So assume the lemma is true for every ordinal  $\beta < \alpha$  with  $\alpha \geq 1$ . Suppose the lemma is false for  $\alpha$ . Then there are  $U$  and  $V$  open in  $X$  and  $W$  open in  $Y$  such that  $(\text{supp } U) \cap W = \emptyset$ ,  $\text{supp } W \subset U \cup V$ ,  $W \cap Y^{(\alpha)} \neq \emptyset$  and  $\bar{V} \cap X^{(\alpha)} = \emptyset$ . By Lemma 2.2, there is a locally finite family  $\{V_s \mid s \in S\}$  consisting of open sets such that  $V = \bigcup_{s \in S} V_s$  and for every  $s \in S$  there is  $\beta < \alpha$  such that  $\bar{V}_s \cap X^{(\beta)}$  is compact. Let  $y \in W \cap Y^{(\alpha)}$  and  $\{W_m \mid m \in \mathbb{N}\}$  be a base for  $y$  in  $W$  such that for every  $m \in \mathbb{N}$ ,  $\bar{W}_{m+1} \subset W_m$ . By Lemma 2.3, there are  $m \in \mathbb{N}$  and  $s_1, \dots, s_m \in S$  with

$$(1) \quad \text{supp } W_m \cap \bigcup_{s \in \{s_1, \dots, s_m\}} V_s = \emptyset.$$

Now let  $A = \bigcup_{i=1}^m V_{s_i}$ . There is  $\beta < \alpha$  such that  $\bar{A} \cap X^{(\beta)}$  is compact. Also, notice the following:  $A$  and  $U$  are open in  $X$ ,  $W_m$  is open in  $Y$ ,  $(\text{supp } U) \cap W_m = \emptyset$  (because  $W_m \subset W$  and  $(\text{supp } U) \cap W = \emptyset$ ) and  $\text{supp } W_m \subset U \cup A$  (by (1) and the fact that  $\text{supp } W \subset U \cup V$ ). Since  $y \in W_m \cap Y^{(\beta)}$ , our inductive hypothesis implies that  $\bar{A} \cap X^{(\beta)} \neq \emptyset$ . By the remark following Lemma 2.3, there is an open base  $\{A_s \mid s \in N\}$  for  $\bar{A} \cap X^{(\beta)}$  such that  $\bar{A}_{s+1} \subset A_s$ . Since  $y \in Y^{(\alpha)}$  and  $\bar{W}_{m+1}$  is a neighborhood of  $y$ ,  $\bar{W}_{m+1} \cap Y^{(\beta)}$  is not compact, so in  $Y$  there is a closed discrete subset  $\{y_s \mid s \in N\}$  contained in  $\bar{W}_{m+1} \cap Y^{(\beta)}$ . Let  $\{O_s \mid s \in N\}$  be an open discrete family in  $W_m$  such

that  $y_s \in O_s$ . Then by Lemma 2.3, there is  $s \in N$  with

$$(2) \quad \text{supp } A_s \cap \bigcup_{i \geq s} O_i = \emptyset.$$

Now let  $U' = U \cup A_s$ ,  $V' = A \setminus \bar{A}_{s+1}$  and  $W' = O_s$ . Then  $U'$  and  $V'$  are open in  $X$  and  $W'$  is open in  $Y$ . We also have

$$(\text{supp } U') \cap W' = (\text{supp } U \cup \text{supp } A_s) \cap O_s = \emptyset \quad (\text{by } 2)$$

and

$$\text{supp } W' \subset \text{supp } W_m \subset U \cup A \subset U' \cup V'.$$

Furthermore,  $y_s \in W' \cap Y^{(\beta)}$  and

$$\overline{V'} \cap X^{(\beta)} = \overline{(A \setminus \bar{A}_{s+1})} \cap X^{(\beta)} \subset (\bar{A} \setminus A_{s+1}) \cap X^{(\beta)} = \emptyset.$$

This contradicts our inductive assumption. ■

**2.5. THEOREM.** *Let  $X$  and  $Y$  be metric spaces,  $X_0$  closed in  $X$  and  $Y_0$  closed in  $Y$ . Suppose that  $(X, X_0)$  and  $(Y, Y_0)$  are  $l$ -equivalent pairs. Then for every ordinal  $\alpha$  we have*

- (a)  $X^{(\alpha)} = \emptyset$  if and only if  $Y^{(\alpha)} = \emptyset$ ,
- (b)  $X^{(\alpha)}$  is compact if and only if  $Y^{(\alpha)}$  is compact.

*Proof.* Let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear homeomorphism such that  $(X, X_0)$  is  $(\varphi, 0)$ -relative to  $(Y, Y_0)$  and  $(Y, Y_0)$  is  $(\varphi^{-1}, 0)$ -relative to  $(X, X_0)$ . For (a), by applying Lemma 2.4 and the definition of  $(\varphi, \alpha)$ -relativeness to  $U = \emptyset$ ,  $V = X$  and  $W = Y$ , we get  $X^{(\alpha)} = \emptyset$  if  $Y^{(\alpha)} = \emptyset$ .

For (b) suppose that  $Y^{(\alpha)}$  is compact and  $X^{(\alpha)}$  is not. Since  $X^{(\alpha)} \neq \emptyset$ , by (a) we have  $Y^{(\alpha)} \neq \emptyset$ . Let  $\{W_m \mid m \in N\}$  be an open decreasing base in  $Y$  for  $Y^{(\alpha)}$  such that for every  $m \in N$ ,  $\bar{W}_{m+1} \subset W_m$ . Furthermore, let  $\{x_m \mid m \in N\}$  be closed and discrete in  $X^{(\alpha)}$ . Let  $\{O_m \mid m \in N\}$  be an open discrete family in  $X$  such that  $x_m \in O_m$ . Then by Lemma 2.3 there is  $m \in N$  such that  $(\text{supp } W_m) \cap \bigcap_{i \geq m} O_i = \emptyset$ .

Now let  $U = W_m$ ,  $V = Y \setminus \bar{W}_{m+1}$  and  $W = O_m$ . Then  $U$  and  $V$  are open,  $W$  is open,  $(\text{supp } U) \cap W = \emptyset$  and  $\text{supp } W \subset Y = U \cup V$ . In addition

$$\overline{V} \cap Y^{(\alpha)} = \overline{Y \setminus \bar{W}_{m+1}} \cap Y^{(\alpha)} = \emptyset \quad \text{and} \quad W \cap X^{(\alpha)} = O_m \cap X^{(\alpha)} \neq \emptyset.$$

Contradiction with Lemma 2.4. ■

**2.6. COROLLARY.** *Let  $X$  and  $Y$  be metric spaces,  $X_0$  closed in  $X$  and  $Y_0$  closed in  $Y$ . Suppose  $(X, X_0)$  and  $(Y, Y_0)$  are  $l$ -equivalent pairs. Let  $\alpha$  be an ordinal. Then  $X^{(\alpha)}$  is locally compact if and only if  $Y^{(\alpha)}$  is locally compact.*

*Proof.* Notice that  $X^{(\alpha)}$  is locally compact if and only if  $X^{(\alpha+1)} = \emptyset$ . So the Corollary is a direct consequence of Theorem 2.5 (a). ■

We now give examples of  $l$ -equivalent pairs. Therefore let  $\alpha$  be an ordinal, and  $X$  and  $Y$   $l$ -equivalent metric spaces. We prove that if  $\alpha$  is a prime component, then  $(X, X^{(\alpha)})$  and  $(Y, Y^{(\alpha)})$  are  $l$ -equivalent pairs. For that we first need the following result which undoubtedly is known.

**2.7. LEMMA.** *Let  $X$  be a first countable space and  $\alpha < \omega_1$  an ordinal such that  $X^{(\alpha)} \neq \emptyset$ . Then there is  $K \subset X$  such that  $K \approx [1, \omega^\alpha]$ .*

*Proof.* We prove the Lemma by transfinite induction on  $\alpha$ . For  $\alpha = 0$ , it is a triviality. Now suppose the lemma is true for every ordinal  $\beta < \alpha$ , with  $\alpha \geq 1$ . Let  $x \in X^{(\alpha)}$ .

*Case 1:*  $\alpha$  is a successor, say  $\alpha = \beta + 1$ .

Then there is a sequence  $(x_n)_n$  in  $X^{(\beta)}$  such that  $x_n \rightarrow x$ . Let  $\{U_n \mid n \in N\}$  be a decreasing open base at  $x$  such that  $x_n \in V_n = U_n \setminus \bar{U}_{n+1}$ . Notice that  $V_n$  is open, so  $V_n^{(\beta)} \supset V_n \cap X^{(\beta)}$ . Therefore,  $x_n \in V_n^{(\beta)}$ . So by the induction hypothesis, there is  $K_n \subset V_n$  such that  $K_n \approx [1, \omega^\beta]$ . Notice that for every  $n \neq m$ ,  $K_n \cap K_m = \emptyset$ . Let  $K = \bigcup_{n=1}^{\infty} K_n \cup \{x\}$ . Then by Theorem 1.5,  $K \approx [1, \omega^\alpha]$ .

*Case 2:*  $\alpha$  is a limit ordinal.

Let  $(\beta_n)_n$  be an increasing sequence converging to  $\alpha$ . Since  $x \in X^{(\alpha)}$ , there is a decreasing open base  $\{U_n \mid n \in N\}$  for  $x$  such that if  $V_n = U_n \setminus \bar{U}_{n+1}$ , then  $V_n^{(\beta_n)} \neq \emptyset$ . By the induction hypothesis there is  $K_n \subset V_n$  such that  $K_n \approx [1, \omega^{\beta_n}]$ . Then by Theorem 1.5,  $K = \bigcup_{n=1}^{\infty} K_n \cup \{x\}$  is as required. ■

**2.8. PROPOSITION.** *Let  $\alpha \in \{0, 1\}$  and let  $X$  and  $Y$  be  $l$ -equivalent metric spaces. Then  $(X, X^{(\alpha)})$  and  $(Y, Y^{(\alpha)})$  are  $l$ -equivalent pairs.*

*Proof.* Notice that  $X^{(\alpha)}$  is closed in  $X$ . Let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear homeomorphism. It suffices to prove that  $(X, X^{(\alpha)})$  is  $(\varphi, 0)$ -relative to  $(Y, Y^{(\alpha)})$ . Therefore let  $U$  and  $V$  be open disjoint in  $X$  and  $W$  open in  $Y$  such that  $(\text{supp } U) \cap W = \emptyset$  and  $\text{supp } W \subset U \cup V$ . Suppose that  $W \cap Y^{(\alpha)} = \emptyset$  and  $\overline{V} \cap X^{(\alpha)} = \emptyset$ .

*Case 1:*  $\alpha = 0$ .

Since  $\overline{V} = \emptyset$ , we have  $\text{supp } W \subset U$ . Therefore by Proposition 1.4,

$$W \subset \text{supp } \text{supp } W \subset \text{supp } U.$$

Since  $(\text{supp } U) \cap W = \emptyset$  this gives  $W = \emptyset$ . Contradiction.

*Case 2:*  $\alpha = 1$ .

Since  $\overline{V} \cap X^{(1)} = \emptyset$ ,  $V = \overline{V}$  consists of isolated points, say  $V = \{x_s \mid s \in S\}$ . Let  $y \in W \cap Y^{(1)}$  and  $\{W_m \mid m \in N\}$  a decreasing open base for  $y$  in  $W$ . By Lemma 2.3, there is  $m \in N$  and  $s_1, \dots, s_m \in S$  such that  $\text{supp } W_m \cap \{x_s \mid s \notin \{s_1, \dots, s_m\}\} = \emptyset$ .

Now let  $V' = \{x_{s_1}, \dots, x_{s_m}\}$ . Since  $\text{supp } W_m \subset U \cup V'$ , it follows that

$$W_m \subset \text{supp } \text{supp } W_m \subset \text{supp } (U \cup V') = \text{supp } U \cup \text{supp } V'.$$

Since  $W_m \cap \text{supp } U = \emptyset$ , we have  $W_m \subset \text{supp } V'$ . Because  $V'$  is finite,  $W_m$  is finite. Contradiction. ■

**2.9. PROPOSITION.** *Let  $\alpha < \omega_1$  be a prime component and let  $X$  and  $Y$  be  $l$ -equivalent separable metric zero-dimensional spaces. Then  $(X, X^{(\alpha)})$  and  $(Y, Y^{(\alpha)})$  are  $l$ -equivalent pairs.*

*Proof.* Notice that  $X^{(\alpha)}$  is closed in  $X$  and that for every  $U$  clopen in  $X$ ,  $U^{(\alpha)} = U \cap X^{(\alpha)}$ . Let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear homeomorphism. It suffices to prove that  $(X, X^{(\alpha)})$  is  $(\varphi, 0)$ -relative to  $(Y, Y^{(\alpha)})$ . Therefore let  $U$  and  $V$  be open in  $X$  and  $W$  open in  $Y$  such that  $(\text{supp } U) \cap W = \emptyset$  and  $\text{supp } W \subset U \cup V$ . Suppose that  $W \cap Y^{(\alpha)} \neq \emptyset$  and  $\overline{V} \cap X^{(\alpha)} = \emptyset$ . By Proposition 2.8 we assume  $\alpha \geq \omega$ . Let  $y \in W \cap X^{(\alpha)}$  and let  $\{W_m \mid m \in N\}$  be a decreasing clopen base for  $y$  in  $W$ .

*CLAIM.* *There is a discrete clopen family  $\{V_m \mid m \in N\}$  such that  $V \subset \bigcup_{m \in N} V_m$  and for every  $m \in N$  there is  $\beta < \alpha$  with  $(V_m)^{(\beta)} = \emptyset$ .*



Indeed, since  $\bar{V} \cap X^{(\alpha)} = \emptyset$ ,  $\{X \setminus X^{(\beta)} \mid \beta < \alpha\} \cup \{X \setminus \bar{V}\}$  is an open cover of  $X$ . Since  $X$  is separable metric zero-dimensional, this cover has a clopen disjoint refinement  $\{V_i \mid i \in \mathbb{N}\}$ . Let  $\mathcal{U} = \{V_i \mid V_i \cap V \neq \emptyset\}$ . Notice that  $\mathcal{U}$  is discrete and that  $V \subset \bigcup \mathcal{U}$ . Now let  $V_i \in \mathcal{U}$ . Since  $V_i \cap V \neq \emptyset$ ,  $V_i \not\subset X \setminus \bar{V}$ , so there is  $\beta < \alpha$  such that  $V_i \subset X \setminus X^{(\beta)}$ . Now  $V_i^{(\beta)} = V_i \cap X^{(\beta)} \subset X \setminus X^{(\beta)} \cap X^{(\beta)} = \emptyset$  and the claim is proved.

Again by Lemma 2.3, there is  $m \in \mathbb{N}$  such that

$$\text{supp } W_m \cap \bigcup_{i > m} V_m = \emptyset.$$

Let  $V' = \bigcup_{i=1}^m V_i$ . Notice that  $V'$  is clopen,  $V' \cap X^{(\beta)} = \emptyset$  for some  $\beta < \alpha$  and  $\text{supp } W_m \subset U \cup V'$ . Since  $W_m^{(\alpha)} = \overline{W_m} \cap Y^{(\alpha)} \neq \emptyset$ , by Lemma 2.7 there is a set  $K \subset W_m$  such that  $K \approx [1, \omega^\alpha]$ . Let  $L = \text{supp } \bar{K} \cap V'$ . By Proposition 1.1,  $L$  is compact. Also,  $L$  is nonempty. Indeed, if  $(\text{supp } K) \cap V' = \emptyset$ , then  $\text{supp } K \subset U$ , and therefore by Proposition 1.4,  $K \subset \text{supp } \text{supp } K \subset \text{supp } U$ . Since  $(\text{supp } U) \cap K = \emptyset$ ,  $K = \emptyset$ . Contradiction.

For every  $f \in C_0(V')$ , define  $f^+ \in C_0(X)$  by  $f^+ \upharpoonright V' = f$  and  $f^+ \upharpoonright X \setminus V' \equiv 0$ , and for every  $f \in C_0(W_m)$ , define  $f^* \in C_0(Y)$  by  $f^* \upharpoonright W_m = f$  and  $f^* \upharpoonright Y \setminus W_m \equiv 0$ . Furthermore, let  $r: V' \rightarrow L$  and  $s: W_m \rightarrow K$  be retractions (see [8]).

Define  $\psi: C_0(K) \rightarrow C_0(L)$  by  $\psi(f) = \varphi^{-1}((f \circ s)^*) \upharpoonright L$  and  $\theta: C_0(L) \rightarrow C_0(K)$  by  $\theta(f) = \varphi((f \circ r)^+) \upharpoonright K$ . Observe that  $\psi$  and  $\theta$  are linear.

CLAIM.  $\theta(\psi(f)) = f$  for every  $f \in C_0(K)$ .

Indeed, suppose not, say  $\varphi((\psi(f) \circ r)^+) \upharpoonright K \neq f = (f \circ s)^* \upharpoonright K$ . Then by Proposition 1.3,  $(\psi(f) \circ r)^+ \upharpoonright \text{supp } K \neq \varphi^{-1}((f \circ s)^*) \upharpoonright \text{supp } K$ . Since  $(f \circ s)^* \upharpoonright Y \setminus W_m \equiv 0$  and  $\text{supp } U \subset Y \setminus W_m$ , it follows that  $\varphi^{-1}((f \circ s)^*) \upharpoonright U \equiv 0$ . Furthermore, since  $U \setminus V' \subset X \setminus V'$ , we have  $((\psi(f) \circ r)^+) \upharpoonright U \setminus V' \equiv 0$ . So it follows that

$$\psi(f) = ((\psi(f) \circ r)^+) \upharpoonright L \neq \varphi^{-1}((f \circ s)^*) \upharpoonright L = \psi(f).$$

Contradiction and the claim is proved.

From the claim we conclude that  $\psi$  is a linear embedding. Since  $L \subset V'$ , we have  $L^{(\beta)} = \emptyset$ , so by the Cantor-Bendixson theorem  $L$  is countable metric and therefore by Theorem 1.5, there is  $\gamma < \beta$  and  $n \in \mathbb{N}$  such that  $L \approx [1, \omega^\gamma \circ n]$ . Since by Theorem 1.6  $C_0([1, \omega^\gamma \circ n]) \sim C_0([1, \omega^\gamma])$ , we have a linear embedding  $\psi: C_0([1, \omega^\alpha]) \rightarrow C_0([1, \omega^\gamma])$ . By Lemma 1.7 and the fact that  $\alpha$  is a prime component it follows that  $\alpha \leq \gamma$ . But this gives a contradiction because  $\gamma < \beta < \alpha$ . ■

Remark. (a) For  $\alpha < \omega_1$  not a prime component, there are  $l$ -equivalent countable metric spaces  $X$  and  $Y$  such that  $X^{(\alpha)} = \emptyset$ ,  $Y^{(\alpha)} \neq \emptyset$  (see Example 2.4 of [4]). So  $(X, X^{(\alpha)})$  and  $(Y, Y^{(\alpha)})$  are not  $l$ -equivalent pairs.

(b) From the proof of Proposition 2.9 it follows that any linear homeomorphism between  $C_p(X)$  and  $C_p(Y)$  gives that  $(X, X^{(\alpha)})$  and  $(Y, Y^{(\alpha)})$  are  $l$ -equivalent pairs. The question arises whether "being  $l$ -equivalent pairs" is independent of the choice of linear homeomorphism.

Let  $\alpha, \beta < \omega_1$  be ordinals with  $\alpha$  a prime component. By  $X^{(\alpha, \beta)}$  we denote the set  $X^{(\beta)}$  with respect to the pair  $(X, X^{(\alpha)})$ . Notice that if  $\beta$  is a successor, say  $\beta = \gamma + 1$ , then we have  $X^{(\alpha, \beta)} = (X^{(\alpha, \gamma)})^{(0, 1)}$ .

2.10. COROLLARY. Let  $X$  and  $Y$  be  $l$ -equivalent separable metric zero-dimensional spaces and let  $\alpha, \beta < \omega_1$  be ordinals with  $\alpha$  a prime component. Then

- (a)  $X^{(\alpha, \beta)} = \emptyset$  if and only if  $Y^{(\alpha, \beta)} = \emptyset$ ,
- (b)  $X^{(\alpha, \beta)}$  is compact if and only if  $Y^{(\alpha, \beta)}$  is compact.

If  $\alpha \in \{0, 1\}$ , then the above is also true for  $X$  and  $Y$  metric.

Proof. This follows directly from Propositions 2.8, 2.9 and Theorem 2.5. ■

2.11. COROLLARY. Let  $X$  and  $Y$  be  $l$ -equivalent separable metric zero-dimensional spaces and let  $\alpha < \omega_1$  be a prime component. Then

- (a)  $X^{(\alpha)} = \emptyset$  if and only if  $Y^{(\alpha)} = \emptyset$ ,
- (b)  $X^{(\alpha)}$  is compact if and only if  $Y^{(\alpha)}$  is compact.

If  $\alpha \in \{0, 1\}$ , then the above is also true for  $X$  and  $Y$  metric.

Proof. This is an application of Corollary 2.10; take  $\beta = 0$ . ■

Remark. Corollary 2.11 partially answers a question in [4].

3. An isomorphic classification. In this section we give an isomorphic classification of function spaces of countable metric spaces which have scattered height less than or equal to  $\omega$ . Since the case of finite spaces is easy, we deal with infinite spaces only.

Let  $X$  be a space. For ordinals,  $\alpha, \beta < \omega_1$ , we define the following:

- $X(\alpha, \beta) = 0$  iff  $X^{(\alpha, \beta)} = \emptyset$ ,
- $X(\alpha, \beta) = 1$  iff  $X^{(\alpha, \beta)}$  is nonempty and compact, and
- $X(\alpha, \beta) = 2$  iff  $X^{(\alpha, \beta)}$  is not compact.

3.1. LEMMA. For every  $n \in \mathbb{N}$ ,  $X^{(0, n)} \subset X^{(1, n-1)} \subset X^{(0, n-1)}$ .

Proof. We prove the lemma by induction on  $n$ . For  $n = 1$  we have

$$X^{(0, 1)} \subset X^{(1)} = X^{(1, 0)} \subset X = X^{(0, 0)}.$$

Suppose the lemma is true for every  $n < m$  with  $m > 1$ . Then

$$\begin{aligned} X^{(0, m)} &= (X^{(0, m-1)})^{(0, 1)} \subset (X^{(1, m-2)})^{(0, 1)} = X^{(1, m-1)}, \\ X^{(1, m-1)} &= (X^{(1, m-2)})^{(0, 1)} \subset (X^{(0, m-2)})^{(0, 1)} = X^{(0, m-1)}. \quad \blacksquare \end{aligned}$$

Before we are going to deal with function spaces of countable metric spaces, we first deal with the countable metric spaces itself.

3.2. COROLLARY. Let  $X$  be a countable metric space such that there is  $n \in \mathbb{N}$  with  $X(0, n) = 0$ . Let  $n_0 = \min\{n \mid X(0, n) = 0\}$ . Then  $n_1 = \min\{n \mid X(1, n) = 0\}$  is well defined and  $n_0 = n_1$  or  $n_0 = n_1 + 1$ . ■

Proof. By Lemma 3.1,  $X^{(1, n_0)} \subset X^{(0, n_0)}$ , so that  $n_1 \leq n_0$ . Again by Lemma 3.1,  $X^{(0, n_1+1)} \subset X^{(1, n_1)}$ , so that  $n_0 \leq n_1 + 1$ . ■

3.3. LEMMA. Let  $A$  and  $B$  be closed in  $X$  with  $A \subset B$  and suppose that  $A(0, 1) = B(0, 1) = 1$ . Then there is a decreasing clopen base  $\{U_n \mid n \in \mathbb{N}\}$  for  $B^{(0, 1)}$  in  $X$  such that  $U_1 = X$  and  $(U_n \setminus U_{n+1}) \cap A$  is not compact for every  $n \in \mathbb{N}$ .

Proof. Since  $B^{(0, 1)}$  is compact, there is a decreasing clopen base  $\{V_n \mid n \in \mathbb{N}\}$  for  $B^{(0, 1)}$  in  $X$ . We now inductively find the  $U_n$ . Let  $U_1 = X$  and suppose we have found

$U_1, \dots, U_n$  for some  $n \in \mathbb{N}$ . Since  $A^{(0,1)} \subset B^{(0,1)}$ ,  $U_n$  is a neighborhood of  $A^{(0,1)}$ . But then  $U_n \cap A$  is not compact, from which it follows that there is an infinite closed discrete set  $E$  in  $U_n \cap A$ . Since  $B^{(0,1)}$  is compact, without loss of generality we may assume that  $E \cap B^{(0,1)} = \emptyset$ , so there is  $i > n$  such that  $V_i \subset X \setminus E$ . If we now let  $U_{n+1} = V_i$ , then  $E \subset (U_n \setminus U_{n+1}) \cap A$ . ■

3.4. COROLLARY. Let  $m \in \mathbb{N}$ .

(a) If  $X(0, m) = X(1, m) = 1$ , there is a clopen decreasing base  $\{U_n \mid n \in \mathbb{N}\}$  for  $X^{(0,m)}$  in  $X$ , such that  $U_1 = X$  and  $(U_n \setminus U_{n+1})(1, m-1) = 2$  for every  $n \in \mathbb{N}$ .

(b) If  $X(1, m) = X(0, m+1) = 1$ , there is a clopen decreasing base  $\{U_n \mid n \in \mathbb{N}\}$  for  $X^{(1,m)}$  in  $X$  such that  $U_1 = X$  and  $(U_n \setminus U_{n+1})(0, m) = 2$  for every  $n \in \mathbb{N}$ .

Proof. This is a direct consequence of Lemmas 3.1 and 3.3. ■

3.5. LEMMA. Let  $A$  and  $B$  be closed in  $X$  with  $A \subset B$ . If  $A$  and  $B$  are locally compact but not compact, then  $X$  can be written as  $X = \bigoplus_{i=1}^{\infty} X_i$  such that for each  $i$ ,  $X_i \cap A$  and  $X_i \cap B$  are compact and nonempty.

Proof. Since  $B$  is locally compact but not compact and  $X$  is zero-dimensional, we can write  $X = \bigoplus_{i=1}^{\infty} K_i$  with  $K_i \cap B$  compact for every  $i \in \mathbb{N}$ . Since  $A \subset B$ ,  $A \cap K_i$  is compact for every  $i$ . Since  $A$  is not compact, there are infinitely many  $i$ 's such that  $A \cap K_i$  is not empty. By taking finite unions of the  $K_i$ 's in the right order, we obtain the desired decomposition of  $X$ . ■

3.6. COROLLARY. Let  $m \in \mathbb{N}$ .

(a) If  $X(0, m) = 0$  and  $X(1, m-1) = 2$ , then  $X = \bigoplus_{i=1}^{\infty} A_i$  with  $A_i(0, m-1) = A_i(1, m-1) = 1$  for every  $i \in \mathbb{N}$ .

(b) If  $X(1, m) = 0$  and  $X(0, m) = 2$ , then  $X = \bigoplus_{i=1}^{\infty} A_i$  with  $A_i(0, m) = A_i(1, m-1) = 1$  for every  $i \in \mathbb{N}$ .

Proof. This is a direct consequence of Lemmas 3.1 and 3.5. ■

3.7. LEMMA. Let  $A$  and  $B$  be closed in  $X$  with  $A \subset B$ . If  $A$  is compact and nonempty and  $B$  is locally compact but not compact, then  $X = X_1 \oplus X_2$  with

(1)  $X_1 \cap B$  compact and nonempty and

(2)  $X_2 \cap A = \emptyset$ .

Proof. As in the proof of Lemma 3.5,  $X = \bigoplus_{i=1}^{\infty} K_i$  with  $K_i \cap B$  compact and nonempty for each  $i$ . Since  $A$  is compact, there is  $i_0$  such that  $A \cap \bigoplus_{i>i_0} K_i = \emptyset$ . Now let  $X_1 = K_1 \oplus \dots \oplus K_{i_0}$  and  $X_2 = \bigoplus_{i>i_0} K_i$ . ■

3.8. COROLLARY. Let  $m \in \mathbb{N} \cup \{0\}$ .

(a) If  $X(0, m) = 2$ ,  $X(0, m+1) = 0$  and  $X(1, m) = 1$ , then  $X = A \oplus B$  with  $A(0, m) = 1$  and  $B(1, m) = 0$ .

(b) If  $X(1, m) = 2$ ,  $X(1, m+1) = 0$  and  $X(0, m+1) = 1$ , then  $X = A \oplus B$  with  $A(1, m) = 1$  and  $B(0, m+1) = 0$ .

Proof. This is a direct consequence of Lemmas 3.1 and 3.7. ■

Remark. Notice that in Corollary 3.8(a) we also have  $A(1, m) = 1$ ,  $B(0, m+1) = 0$ ,  $B(0, m) = 2$  and if  $m \neq 0$ ,  $B(1, m-1) = 2$ , cf. Lemma 3.1.

In addition, in Corollary 3.8(b) we have  $A(0, m+1) = 1$ ,  $B(1, m+1) = 0$ ,  $B(1, m) = 2$  and  $B(0, m) = 2$ , cf. Lemma 3.1.

We now come to the subject function spaces. Let  $X_i$  ( $i = 1, \dots, 4$ ) be spaces and let  $E_i$  be a linear subspace of  $C_p(X_i)$  ( $i = 1, \dots, 4$ ). Let  $\varphi = (\varphi_3, \varphi_4): E_1 \times E_2 \rightarrow E_3 \times E_4$  be a linear mapping. We define  $\varphi$  to be a linear  $k$ -mapping whenever the following holds:

For all  $f_i \in E_i$  such that  $f_i(X_i) \subset (-1/k, 1/k)$  ( $i = 1, 2$ ) we have

$$\varphi_i(f_1, f_2)(X_i) \subset (-1, 1) \quad (i = 3, 4).$$

We define  $\varphi$  to be a linear  $k$ -homeomorphism whenever  $\varphi$  is a linear homeomorphism such that both  $\varphi$  and  $\varphi^{-1}$  are linear  $k$ -mappings. Whenever there is a linear  $k$ -homeomorphism between  $E_1 \times E_2$  and  $E_3 \times E_4$  we write  $E_1 \times E_2 \stackrel{k}{\sim} E_3 \times E_4$ . By this definition we have also defined linear  $k$ -homeomorphism between  $E_1$  and  $E_2$  and between  $E_3$  and  $E_4$ , by identifying  $E_i$  with  $E_i \times \{0\}$  ( $i = 1, 2$ ). It is easily seen that the composition of a linear  $k$ -homeomorphism and a linear  $l$ -homeomorphism is a linear  $kl$ -homeomorphism.

For a space  $X$  and a subspace  $A$  of  $X$  we define  $C_{p,A}(X)$  to be the linear subspace of  $C_p(X)$  consisting of those functions which vanish on  $A$ . Whenever  $A = \{a\}$  for some point  $a \in X$ , we write  $C_{p,a}(X)$  instead of  $C_{p,\{a\}}(X)$ .

3.9. LEMMA. Let  $X$  be a countable metric space and  $A$  a closed subspace of  $X$ . Then

$$C_p(X) \stackrel{2}{\sim} C_{p,A}(X) \times C_p(A).$$

Proof. Define  $\varrho: C_p(X) \rightarrow C_p(A)$  by  $\varrho(f) = f|_A$ . Let  $r: X \rightarrow A$  be a retraction (see [8]) and define  $\xi: C_p(A) \rightarrow C_p(X)$  by  $\xi(f) = f \circ r$ . Define  $\varphi: C_p(X) \rightarrow C_{p,A}(X) \times C_p(A)$  by  $\varphi(f) = (f - \xi\varrho(f), \varrho(f))$  and  $\psi: C_{p,A}(X) \times C_p(A) \rightarrow C_p(X)$  by  $\psi(f, g) = f + \xi(g)$ .

Then  $\varphi$  is a linear homeomorphism with  $\varphi^{-1} = \psi$  (see [3]). It is easily seen that both  $\varphi$  and  $\psi$  are linear 2-mappings. ■

For a space  $X$  and a compact subspace  $A$  of  $X$ , let  $Z_{X,A}$  be the space obtained from  $X$  by identifying  $A$  to a single point  $a$ .

3.10. LEMMA. Let  $X$  be a countable space and  $A$  a compact subspace of  $X$ . Then

$$C_{p,A}(X) \stackrel{1}{\sim} C_{p,a}(Z_{X,A}).$$

Proof. For every  $f \in C_{p,A}(X)$  there is a unique  $\tilde{f}$  such that  $\tilde{f} \circ p = f$ , where  $p$  is the quotient mapping between  $X$  and  $Z_{X,A}$ . Then  $\varphi: C_{p,A}(X) \rightarrow C_{p,a}(Z_{X,A})$  defined by  $\varphi(f) = \tilde{f}$  is a linear homeomorphism. (cf. [3]). It is easily seen that  $\varphi$  is a linear 1-homeomorphism. ■

The next three lemmas are useful in the sequel. The proofs are left to the reader.

3.11. LEMMA. If  $X$  and  $Y$  are homeomorphic spaces, then  $C_p(X) \stackrel{1}{\sim} C_p(Y)$ . ■

3.12. LEMMA. If  $X$  and  $Y$  are spaces and  $A$  is a subspace of  $X$ , then

$$C_{p,A}(X) \times C_p(Y) \stackrel{1}{\sim} C_{p,A}(X \oplus Y). \quad \blacksquare$$

3.13. LEMMA. If  $X = \bigoplus_{i=1}^{\infty} X_i$  and  $Y = \bigoplus_{i=1}^{\infty} Y_i$  such that  $C_p(X_i) \stackrel{k}{\sim} C_p(Y_i)$  for every  $i \in \mathbb{N}$ , then  $C_p(X) \stackrel{k}{\sim} C_p(Y)$ . ■

3.14. LEMMA. Let  $X$  be a metric space and let  $A$  be a nonempty compact subspace of  $X$ . Let  $\{U_n \mid n \in \mathbb{N}\}$  be a clopen decreasing base for  $A$  in  $X$  such that  $U_1 = X$ . Let  $Y$  be a metric space and let  $B$  be a nonempty compact subspace of  $Y$ . Let  $\{V_n \mid n \in \mathbb{N}\}$  be a clopen

decreasing base for  $B$  in  $Y$  such that  $V_1 = X$ . Suppose that  $C_p(U_n \setminus U_{n+1}) \stackrel{k}{\sim} C_p(V_n \setminus V_{n+1})$  for every  $n \in \mathbb{N}$ . Then

$$C_{p,A}(X) \stackrel{k}{\sim} C_{p,B}(Y).$$

**Proof.** For every  $n \in \mathbb{N}$ , let  $\varphi_n: C_p(U_n \setminus U_{n+1}) \rightarrow C_p(V_n \setminus V_{n+1})$  be a linear  $k$ -homeomorphism. Define  $\varphi: C_{p,A}(X) \rightarrow C_{p,B}(Y)$  by

$$\varphi(f)|_{V_n \setminus V_{n+1}} = \varphi_n(f|_{U_n \setminus U_{n+1}}) \quad \text{and} \quad \varphi(f)|_B = 0.$$

To prove that  $\varphi$  is well defined it suffices to prove that  $\varphi(f)$  is continuous at points of  $B$ . Therefore let  $\varepsilon > 0$ . Since  $f(A) = 0$ , there is an open neighborhood  $W$  of  $A$  with  $f(W) \subset (-\varepsilon/k, \varepsilon/k)$ . There is  $n_0 \in \mathbb{N}$  such that  $A \subset U_{n_0} \subset W$ , so

$$f(U_{n_0}) \subset (-\varepsilon/k, \varepsilon/k).$$

Then it easily follows by  $k$ -linearity of  $\varphi_n$  for every  $n$  that  $\varphi(f)(V_{n_0}) \subset (-\varepsilon, \varepsilon)$ , so that  $\varphi(f)$  is continuous at points of  $B$ . That  $\varphi$  is continuous is easily seen.

Define  $\psi: C_{p,B}(Y) \rightarrow C_{p,A}(X)$  by

$$\psi(f)|_{U_n \setminus U_{n+1}} = \varphi_n^{-1}(f|_{V_n \setminus V_{n+1}}) \quad \text{and} \quad \psi(f)|_A = 0.$$

As above we can show that  $\psi$  is a well-defined linear continuous mapping. In addition, it is easily seen that  $\psi = \varphi^{-1}$  and that  $\varphi$  is a linear  $k$ -homeomorphism. ■

We are now in a position to prove an isomorphic classification of function spaces of countable spaces which have scattered height less than or equal to  $\omega$ . First we consider the case of countable spaces which have scattered height less than  $\omega$ . Therefore we first have to deal with some special cases in the following two lemmas.

**3.15. LEMMA.** Let  $p \in \mathbb{N}$ . There is  $k_p \in \mathbb{N}$  such that if  $X$  and  $Y$  are infinite countable compact spaces with  $\kappa(X), \kappa(Y) \leq p$ , then  $C_p(X) \stackrel{k_p}{\sim} C_p(Y)$ .

**Proof.** Let  $X$  be an infinite countable compact metric space with  $\kappa(X) \leq p$ . By Theorem 1.5, there are  $1 \leq m \leq p$  and  $n \in \mathbb{N}$  such that  $X \approx [1, \omega^m \cdot n]$ . Let  $a = \omega^m$  and  $A = X^{(m)}$ . Notice that  $A$  is finite. Then

$$\begin{aligned} C_p(X) &\stackrel{k}{\sim} C_{p,a}([1, \omega^m]) \times C_p(A) && \text{(Lemmas 3.9, 3.10 and 3.11)} \\ &\stackrel{k}{\sim} C_{p,a}(A \oplus [1, \omega^m]) && \text{(Lemma 3.12)} \\ &\stackrel{k}{\sim} C_{p,a}([1, \omega^m]) && \text{(Lemma 3.11)} \\ &\stackrel{k}{\sim} C_p([1, \omega^m]) && \text{(Lemmas 3.9, 3.10 and 3.11)}. \end{aligned}$$

So that  $C_p(X) \stackrel{k}{\sim} C_p([1, \omega^m])$ .

To finish the lemma it suffices to prove the following

**CLAIM.** There is  $l \in \mathbb{N}$  such that for every  $1 \leq r \leq p$  we have

$$C_p([1, \omega^r]) \stackrel{k}{\sim} C_p([1, \omega]).$$

Let  $1 \leq r \leq p$ . By Lemma 1.8, there is a linear homeomorphism  $\varphi: C_p([1, \omega^r]) \rightarrow C_p([1, \omega])$ . Then by the remark following Proposition 1.1, it follows that  $\varphi: C_0([1, \omega^r]) \rightarrow C_0([1, \omega])$  is also a linear homeomorphism. Since these two function

spaces are Banach spaces, there is  $l(r) \in \mathbb{N}$  such that for every  $f \in C_0([1, \omega^r])$  we have

$$\frac{1}{l(r)} \|f\| < \|\varphi(f)\| < l(r) \|f\|.$$

Then  $l = \max\{l(r) \mid r \leq p\}$  suffices. ■

Let  $T = \mathbb{N}^2 \cup \{\infty\}$ , with the following base for its topology: every point in  $\mathbb{N}^2$  is isolated and a basic neighborhood for  $\infty$  is  $(\{n, n+1, \dots\} \times \mathbb{N}) \cup \{\infty\}$  ( $n \in \mathbb{N}$ ).

**3.16. LEMMA.** Let  $p \in \mathbb{N}$ . There is  $l_p \geq k_p$  such that if  $X$  and  $Y$  are countable metric spaces with  $\kappa(X), \kappa(Y) \leq p$ ,  $X(0, 1) = Y(0, 1) = 1$  and  $X(1, 0) = Y(1, 0) = 1$ , then

$$C_p(X) \stackrel{k}{\sim} C_p(Y).$$

**Proof.** Let  $X$  be a countable metric space with  $\kappa(X) \leq p$  and  $X(0, 1) = X(1, 0) = 1$ . Let  $A = X^{(1)}$ . Then by assumption  $A$  is compact. It is easily seen that  $Z_{X,A} \approx T$ , so that by Lemmas 3.9, 3.10 and 3.11,  $C_p(X) \stackrel{k}{\sim} C_{p,\infty}(T) \times C_p(A)$ .

If  $A$  is finite, then  $T \oplus A \approx T$ , so  $C_p(X) \stackrel{k}{\sim} C_{p,\infty}(T)$ . If  $A$  is infinite, we have by Lemma 3.15,  $C_p(A) \stackrel{k}{\sim} C_p([1, \omega])$ . Note that by the above argument  $C_p(T) \stackrel{k}{\sim} C_{p,\infty}(T)$ , so that  $C_p(X) \stackrel{k_p}{\sim} C_p(T \oplus [1, \omega])$ . Since  $(T \oplus [1, \omega])^{(1)}$  is finite, the same argument gives  $C_p(T \oplus [1, \omega]) \stackrel{k}{\sim} C_{p,\infty}(T)$ . We conclude that  $C_p(X) \stackrel{k_p}{\sim} C_p(T)$ . ■

**3.17. LEMMA.** Let  $p \in \mathbb{N}$ . Then there are  $r_1, \dots, r_p \in \mathbb{N}$  such that for  $1 \leq n \leq p$  the following holds:

If  $X$  and  $Y$  are infinite countable metric spaces with  $\kappa(X) \leq p$  and  $\kappa(Y) \leq p$  satisfying

$$(1)_n \quad \begin{aligned} X(0, n) = Y(0, n) = 0, \quad X(0, n-1) = Y(0, n-1) \neq 0, \quad \text{and} \\ X(1, n-1) = Y(1, n-1) \neq 0, \quad \text{or} \end{aligned}$$

$$(2)_n \quad \begin{aligned} X(1, n) = Y(1, n) = 0, \quad X(0, n) = Y(0, n) \neq 0, \quad \text{and} \\ X(1, n-1) = Y(1, n-1) \neq 0, \end{aligned}$$

then  $C_p(X) \stackrel{r_n}{\sim} C_p(Y)$ .

**Proof.** Let  $r_1 = l_p$  and for  $1 < n \leq p$ ,  $r_n = 4r_{n-1}$ . We prove by induction on  $n$  that  $r_1, \dots, r_p$  are sufficient. For that, suppose we have proved the lemma for every  $n < m$  with  $m \geq 1$ .

We prove (1)<sub>m</sub>:  $X(0, m) = Y(0, m) = 0$ ,

$$X(0, m-1) = Y(0, m-1) \neq 0 \quad \text{and} \quad X(1, m-1) = Y(1, m-1) \neq 0.$$

(Notice that then also  $X(1, m) = Y(1, m) = 0$ .)

Case 1:  $X(0, m-1) = Y(0, m-1) = 1$ .

Notice that in this case we also have  $X(1, m-1) = Y(1, m-1) = 1$ .

For  $m = 1$  we have by Lemma 3.15,  $C_p(X) \stackrel{k}{\sim} C_p(Y)$ . Since  $k_p \leq l_p = r_1$ , this case is done. For  $m > 1$ , let  $A = X^{(0, m-1)}$  and  $B = Y^{(0, m-1)}$ . By Lemma 3.9,

$$(1) \quad C_p(X) \stackrel{k}{\sim} C_{p,A}(X) \times C_p(A) \quad \text{and} \quad C_p(Y) \stackrel{k}{\sim} C_{p,B}(Y) \times C_p(B).$$

Let  $Z_1 = X \oplus A$  and  $Z_2 = Y \oplus B$ . Notice that since  $m > 1$ ,  $Z_1^{(0, m-1)} = X^{(0, m-1)}$ ,

$Z_2^{(0,m-1)} = Y^{(0,m-1)}$ ,  $Z_1^{(1,m-1)} = X^{(1,m-1)}$  and  $Z_2^{(1,m-1)} = Y^{(1,m-1)}$ . Let  $C = Z_1^{(0,m-1)}$  and  $D = Z_2^{(0,m-1)}$ . Then by (1) and by Lemma 3.12,

$$C_p(X) \approx C_{p,C}(Z_1) \quad \text{and} \quad C_p(Y) \approx C_{p,D}(Z_2).$$

By Corollary 3.4 (a), there are clopen decreasing bases  $\{U_n \mid n \in \mathbb{N}\}$  and  $\{V_n \mid n \in \mathbb{N}\}$  for  $C$  resp.  $D$  such that  $U_1 = Z_1$ ,  $V_1 = Z_2$ ,

$$(U_n \setminus U_{n+1})(1, m-2) = 2 \quad \text{and} \quad (V_n \setminus V_{n+1})(1, m-2) = 2.$$

Notice that then also  $(U_n \setminus U_{n+1})(0, m-2) = (V_n \setminus V_{n+1})(0, m-2) = 2$ . It is easily seen that

$$(U_n \setminus U_{n+1})(0, m-1) = 0 \quad \text{and} \quad (V_n \setminus V_{n+1})(0, m-1) = 0.$$

Then  $(1)_{m-1}$  gives  $C_p(U_n \setminus U_{n+1}) \approx^{m-1} C_p(V_n \setminus V_{n+1})$  for every  $n \in \mathbb{N}$ . So that by Lemma 3.14,  $C_{p,C}(Z_1) \approx^{m-1} C_{p,D}(Z_2)$ . In conclusion we have  $C_p(X) \approx^m C_p(Y)$ . This completes the proof in case 1.

*Case 2:*  $X(0, m-1) = Y(0, m-1) = 2$ .

First assume that  $X(1, m-1) = Y(1, m-1) = 1$ . Then by Corollary 3.8 (a),  $X = A \oplus B$  and  $Y = C \oplus D$  with  $A(0, m-1) = C(0, m-1) = 1$  and  $B(1, m-1) = D(1, m-1) = 0$ . By the remark following Corollary 3.8 we now have by case 1,  $C_p(A) \approx^{4+m-1} C_p(C)$  and for  $m > 1$ , by  $(2)_{m-1}$ ,  $C_p(B) \approx^{m-1} C_p(D)$ . If  $m = 1$  then  $B$  and  $D$  are infinite discrete and so  $C_p(B) \approx C_p(D)$ . With Lemma 3.13 it now follows that  $C_p(X) \approx^m C_p(Y)$ .

Secondly, if  $X(1, m-1) = Y(1, m-1) = 2$ , we have by Corollary 3.6 (a),  $X = \bigoplus_{i=1}^{\infty} A_i$  and  $Y = \bigoplus_{i=1}^{\infty} B_i$  with  $A_i(0, m-1) = B_i(0, m-1) = A_i(1, m-1) = B_i(1, m-1) = 1$ . By case 1, we then have  $C_p(A_i) \approx^{4+m-1} C_p(B_i)$ , so that by Lemma 3.13,  $C_p(X) \approx^m C_p(Y)$ . This completes the proof of case 2.

The proof for the situation that  $X$  and  $Y$  satisfy the conditions of  $(2)_m$  is almost the same as the one given above. Instead of Lemma 3.15 we use Lemma 3.16 and instead of the (a)-parts of Corollaries 3.4, 3.6 and 3.8 we use their (b)-parts. ■

**3.18. THEOREM.** *Let  $X$  and  $Y$  be infinite countable metric spaces with  $\kappa(X), \kappa(Y) < \omega$  such that for every  $n \in \mathbb{N}$ ,  $X(0, n) = Y(0, n)$  and  $X(1, n) = Y(1, n)$ . Then  $C_p(X) \sim C_p(Y)$ .*

*Proof.* Let  $p = \max(\kappa(X), \kappa(Y))$ . Notice that there is  $n \in \mathbb{N}$  such that  $X(0, n) = 0$ , so let  $n_0$  and  $n_1$  be as in Corollary 3.2. Notice that  $n_0 > 0$  and that the respective values for  $X$  and  $Y$  are the same. If  $n_1 = 0$  then  $X$  and  $Y$  are infinite discrete and therefore  $C_p(X) \sim C_p(Y)$ . If  $n_1 > 0$  then  $X$  and  $Y$  satisfy  $(1)_{n_1}$  or  $(2)_{n_1}$  of Lemma 3.17 and so  $C_p(X) \sim C_p(Y)$ . ■

We have completed the case of countable metric spaces with scattered height less than  $\omega$ , so from now on we have to consider spaces with scattered height equal to  $\omega$ . Therefore let  $X$  be a countable metric space with  $\kappa(X) = \omega$ . There are two cases to consider:

- (a) there is  $n \in \mathbb{N}$  such that  $X(0, n) = 0$ ,
- (b) for every  $n \in \mathbb{N}$ ,  $X(0, n) = 2$ .

We will first deal with the first case.

**3.19. LEMMA.** *Let  $X$  and  $Y$  be countable metric spaces such that  $\kappa(X) = \omega$ ,  $\kappa(Y) \leq \omega$ ,  $X(0, n) = Y(0, n)$  and  $X(1, n) = Y(1, n)$  for every  $n \in \mathbb{N} \cup \{0\}$  and such that case (a) holds for  $X$ . Then  $X = \bigoplus_{i=1}^{\infty} X_i$  and  $Y = \bigoplus_{i=1}^{\infty} Y_i$  such that  $\kappa(X_i), \kappa(Y_i) < \omega$  and for every  $i, n \in \mathbb{N}$ ,  $X_i(0, n) = Y_i(0, n)$  and  $X_i(1, n) = Y_i(1, n)$ .*

*Proof.* Since  $X$  satisfies (a), there is  $k \in \mathbb{N}$  such that

$$(1)_k \quad X(0, k) = Y(0, k) = 0, \quad X(0, k-1) = Y(0, k-1) \neq 0, \quad \text{and}$$

$$X(1, k-1) = Y(1, k-1) \neq 0, \quad \text{or}$$

$$(2)_k \quad X(1, k) = Y(1, k) = 0, \quad X(0, k) = Y(0, k) \neq 0,$$

$$X(1, k-1) = Y(1, k-1) \neq 0.$$

We prove the lemma by induction on  $k$ . Suppose we have proved the lemma for every  $k < m$  with  $m \geq 1$ . First let  $X$  and  $Y$  satisfy  $(1)_m$ .

*Case 1:*  $X(0, m-1) = Y(0, m-1) = 1$ .

Since  $\kappa(X) = \omega$ ,  $X$  is not compact. This implies  $m > 1$ . By Corollary 3.4 (a), there are clopen decreasing bases  $\{U_n \mid n \in \mathbb{N}\}$  and  $\{U^n \mid n \in \mathbb{N}\}$  for  $X^{(0,m-1)}$  and  $Y^{(0,m-1)}$  respectively, such that  $U_1 = X$  and  $V_1 = Y$ ,

$$(U_n \setminus U_{n+1})(1, m-2) = 2 \quad \text{and} \quad (V_n \setminus V_{n+1})(1, m-2) = 2.$$

**CLAIM.** *There is  $l \in \mathbb{N}$  such that  $\kappa(U_l) < \omega$ .*

Since  $\kappa(X) = \omega$ ,  $\mathcal{U} = \{X \setminus X^{(n)} \mid n \in \mathbb{N}\}$  is an open cover of  $X$  without finite subcover. Since  $X$  is zero-dimensional, there is a disjoint clopen refinement  $\{A_i \mid i \in \mathbb{N}\}$  of  $\mathcal{U}$ . Since  $X^{(0,m-1)}$  is compact, there is  $n$  such that  $X^{(0,m-1)} \subset A_1 \oplus \dots \oplus A_n$ . There is  $l \in \mathbb{N}$  such that  $U_l \subset A_1 \oplus \dots \oplus A_n$ , and this  $l$  satisfies the claim.

Without loss of generality we may assume that  $\kappa(V_l) < \omega$ . Now let  $X_l = U_l$  and  $Y_l = V_l$ . Notice that

$$X_l(0, n) = Y_l(0, n) \quad \text{and} \quad X_l(1, n) = Y_l(1, n) \quad \text{for every } n \in \mathbb{N},$$

$$(X \setminus U_k)(1, m-2) = (Y \setminus V_k)(1, m-2) = 2, \quad (X \setminus U_k)(0, m-1) = (Y \setminus V_k)(0, m-1) = 0.$$

So by  $(1)_{m-1}$  we have  $X \setminus U_k = \bigoplus_{i=2}^{\infty} X_i$  and  $Y \setminus V_k = \bigoplus_{i=2}^{\infty} Y_i$  with  $X_i(0, n) = Y_i(0, n)$  and  $X_i(1, n) = Y_i(1, n)$  for every  $i \geq 2$  and  $n \in \mathbb{N}$ , and the lemma is proved in this case.

*Case 2:*  $X(0, m-1) = Y(0, m-1) = 2$ .

First, assume that  $X(1, m-1) = Y(1, m-1) = 1$ . Then by Corollary 3.8 (a),  $X = A \oplus B$  and  $Y = C \oplus D$  with  $A(0, m-1) = C(0, m-1) = 1$  and  $B(1, m-1) = D(1, m-1) = 0$ . By the remark following Corollary 3.8 we now have in cases of scattered height  $\omega$ , by case 1 or by  $(2)_{m-1}$ , the desired decomposition of  $X$  and  $Y$ .

Second, if  $X(1, m-1) = Y(1, m-1) = 2$ , we have by Corollary 3.6 (a),  $X = \bigoplus_{i=1}^{\infty} A_i$  and  $Y = \bigoplus_{i=1}^{\infty} B_i$  with  $A_i(0, m-1) = B_i(0, m-1) = A_i(1, m-1) = B_i(1, m-1) = 1$ . By case 1 (applied in cases where  $A_i$  or  $B_i$  has scattered height  $\omega$ ), we have the desired decomposition of  $X$  and  $Y$ . This completes  $(1)_m$ .

Whenever  $X$  and  $Y$  satisfy  $(2)_m$ , the proof is similar to the proof of  $(1)_m$ .



Instead of Corollaries 3.4 (a), 3.6 (a) and 3.8 (a) we now use their (b)-parts and instead of  $(2)_{m-1}$  we use  $(1)_m$ . ■

3.20. THEOREM. Let  $X$  and  $Y$  be countable metric spaces such that  $\kappa(X) = \omega$ ,  $\kappa(Y) \leq \omega$  and for every  $n \in \mathbb{N} \cup \{0\}$ ,  $X(0, n) = Y(0, n)$  and  $X(1, n) = Y(1, n)$ . If  $X$  is a space satisfying (a), then  $X$  and  $Y$  are  $l$ -equivalent.

Proof. This follows directly from Theorem 3.18 and Lemmas 3.13 and 3.19. ■

3.21. THEOREM. Let  $X$  and  $Y$  be countable metric spaces such that  $\kappa(X) = \kappa(Y) = \omega$  and both satisfying (b). Then  $X$  and  $Y$  are  $l$ -equivalent.

Proof. We begin with the following.

CLAIM. We can write  $X = \bigoplus_{i=1}^{\infty} X_i$  and  $Y = \bigoplus_{i=1}^{\infty} Y_i$  so that there are sequences  $(n_i)_{i \in \mathbb{N}}$  and  $(m_i)_{i \in \mathbb{N}}$  such that  $n_{i+1} < m_i$ ,  $m_{i+1} < n_{i+1}$ ,  $X_i(1, n_i) \neq \emptyset$ ,  $X_i(1, n_{i+1}) = \emptyset$ ,  $Y_i(1, m_i) \neq \emptyset$  and  $Y_i(1, m_{i+1}) = \emptyset$ .

It is easily seen that  $\{X \setminus X^{(1,n)} \mid n \in \mathbb{N}\}$  is an open cover of  $X$  without finite subcover. Since  $X$  is countable, there is a clopen disjoint refinement  $\{A_i \mid i \in \mathbb{N}\}$  of this cover. This means that for every  $i \in \mathbb{N}$ , there is  $k_i \in \mathbb{N}$  such that  $A_i(1, k_i) \neq \emptyset$  and  $A_i(1, k_i + 1) = \emptyset$ . The set  $\{k_i \mid i \in \mathbb{N}\}$  is not bounded (!), so we may assume  $k_1 < k_2 \dots$  (take unions of the  $A_i$ 's). In the same way  $Y = \bigoplus_{i=1}^{\infty} B_i$ , and there are  $l_1 < l_2 \dots$  such that  $B_i(1, l_i) \neq \emptyset$  and  $B_i(1, l_i + 1) = \emptyset$ . (Notice that  $Y$  satisfies (c) as well and therefore  $\kappa(Y) = \omega$ .) Now let  $(n_i)_{i \in \mathbb{N}}$  and  $(m_i)_{i \in \mathbb{N}}$  be subsequences of  $(k_i)_{i \in \mathbb{N}}$  and  $(l_i)_{i \in \mathbb{N}}$ , respectively, such that  $n_{i+1} < m_i$ ,  $m_{i+1} < n_{i+1}$ . By letting  $X_i$  be a finite union of  $A_j$ 's in the right order and the same for the  $Y_i$ 's, we are done.

Let  $Z = X_1 \oplus Y_1 \oplus X_2 \oplus Y_2 \oplus \dots$

Because  $n_{i+1} < m_i$ ,  $(X_i \oplus Y_i)(0, n) = Y_i(0, n)$  and  $(X_i \oplus Y_i)(1, n) = Y_i(1, n)$  for every  $n \in \mathbb{N} \cup \{0\}$ . Both  $X_i \oplus Y_i$  and  $Y_i$  satisfy (a), so by Theorem 3.18 or Theorem 3.20,  $C_p(X_i \oplus Y_i) \sim C_p(Y_i)$ , so that  $C_p(Z) \sim C_p(Y)$ . By interchanging the role of  $X$  and  $Y$  we also have  $C_p(Z) \sim C_p(X)$ . We conclude that  $C_p(X) \sim C_p(Y)$ . ■

3.22. THEOREM. Let  $X$  and  $Y$  be infinite countable metric spaces such that  $\kappa(X)$ ,  $\kappa(Y) \leq \omega$ . Then  $X$  and  $Y$  are  $l$ -equivalent iff for every  $n \in \mathbb{N}$ ,  $X(0, n) = Y(0, n)$  and  $X(1, n) = Y(1, n)$ .

Proof. This follows immediately from Theorems 3.18, 3.20, 3.21 and Corollary 2.10. ■

4. Remarks. The question naturally arises whether Theorem 3.22 can be generalized to all countable metric spaces. One is tempted to conjecture the following:

Let  $X$  and  $Y$  be countable metric spaces. Then  $X$  and  $Y$  are  $l$ -equivalent iff for every prime component  $\alpha$  and ordinal  $\beta$  we have  $X(\alpha, \beta) = Y(\alpha, \beta)$ .

We will show that this conjecture is false. To this end, let  $X$  be a space and put  $X^* = \bigcap_{n \in \mathbb{N}} (X^{(n)})^{(0,1)}$ .

4.1. LEMMA. Let  $X$  and  $Y$  be separable metric zero-dimensional  $l$ -equivalent spaces. Then  $(X, X^*)$  and  $(Y, Y^*)$  are  $l$ -equivalent pairs.

Proof. It is easily seen that  $X^*$  is closed in  $X$ . Now let  $\varphi: C_p(X) \rightarrow C_p(Y)$  be a linear homeomorphism. Notice that also  $\varphi: C_0(X) \rightarrow C_0(Y)$  is a linear homeomorphism.

By Lemma 2.4 it suffices to prove that  $(X, X^*)$  is  $(\varphi, 0)$ -relative to  $(Y, Y^*)$ . Let  $U$  and  $V$  be open subsets of  $X$  and  $W$  an open subset of  $Y$  such that

$$(\text{supp } U) \cap W = \emptyset \quad \text{and} \quad \text{supp } W \subset U \cup V,$$

and suppose  $W \cap Y^* \neq \emptyset$  and  $\bar{V} \cap X^* = \emptyset$ .

Let  $y \in W \cap Y^*$  and let  $\{W_n \mid n \in \mathbb{N}\}$  be a clopen decreasing base at  $y$  in  $W$ . It is easily seen that  $V \subset \bigcup_{i=1}^{\infty} A_i$ , where  $\{A_i \mid i \in \mathbb{N}\}$  is a clopen discrete family such that for every  $i \in \mathbb{N}$ , there is  $n_i \in \mathbb{N}$  with  $(A_i^{(n_i)})^{(0,1)} = \emptyset$ . By Lemma 2.3 there is  $n \in \mathbb{N}$  such that

$$(1) \quad \text{supp } W_n \cap \bigcup_{i > n} A_i = \emptyset.$$

Let  $A = A_1 \cup \dots \cup A_n$ . Then there is  $m \in \mathbb{N}$  such that  $(A^{(m)})^{(0,1)} = \emptyset$ . This means  $A = \bigcup_{i=1}^{\infty} B_i$ , where for every  $i \in \mathbb{N}$ ,  $B_i$  is clopen,  $B_i^{(m)}$  is compact, and for  $i \neq j$  we have  $B_i \cap B_j = \emptyset$ . Again by Lemma 2.3 there is  $k > n$  such that

$$(2) \quad \text{supp } W_k \cap \bigcup_{i > k} B_i = \emptyset.$$

Let  $B = B_1 \cup \dots \cup B_k$ . Then  $B^{(m)}$  is compact. Since  $\text{supp } W_k \subset U \cup B$ ,  $(\text{supp } U) \cap W_k = \emptyset$  and  $W_k^{(m)} \neq \emptyset$ , we see by Proposition 2.9 that  $B^{(m)} \neq \emptyset$ , so that  $B^{(m)} \neq \emptyset$ . This implies that  $\text{supp } B^{(m)}$  is compact. Since for every  $n > k$ ,  $y \in (W^{(n)})^{(0,1)}$ , we can find a topological copy  $L_n$  of  $[1, \omega^n]$  in  $W_n \setminus \text{supp } B^{(m)}$  (cf. Lemma 2.7). Let  $M = \overline{(\text{supp } B^{(m)} \cap W_k) \cup \{y\}}$ ,  $L_w = \bigcup_{n > k} L_n \cup \{y\}$  and  $L = L_w \cup M$ . Let  $K = (\text{supp } L \cap B) \cup B^{(m)}$ . Since  $K$  and  $L$  are compact, there are retractions  $r: W_k \rightarrow L$  and  $s: B \rightarrow K$  (cf. [8]). For every  $f \in C_0(W_k)$  let  $f^+ \in C_0(Y)$  be the extension of  $f$  which is 0 outside  $W_k$ . Similarly we define for every  $g \in C_0(B)$ ,  $g^* \in C_0(X)$ .

Define  $\theta: C_{0,M}(L) \rightarrow C_{0,B^{(m)}}(K)$  by

$$\theta(f) = \varphi^{-1}((f \circ r)^+) | K$$

and  $\psi: C_0(K) \rightarrow C_0(L)$  by

$$\psi(g) = \varphi((g \circ s)^*) | L$$

(we let  $C_{0,M}(L)$  be the linear subspace of  $C_0(L)$  consisting of functions vanishing on  $M$ ). It is easily seen that both  $\theta$  and  $\psi$  are well-defined continuous linear mappings (use Proposition 1.4).

CLAIM 3. For every  $f \in C_{0,M}(L)$ ,  $\psi(\theta(f)) = f$ .

Suppose to the contrary that  $\psi(\theta(f)) \neq f$ . This means  $\varphi((\theta(f) \circ s)^*) | L \neq (f \circ r)^+ | L$ . Then we have  $(\theta(f) \circ s)^* | \text{supp } L \neq \varphi^{-1}((f \circ r)^+) | \text{supp } L$ . Now  $(\theta(f) \circ s)^* = 0$  outside  $B$ ,  $(f \circ r)^+ = 0$  outside  $W_k$  and  $\text{supp } U \subset Y \setminus W_k$ , so that  $\varphi^{-1}((f \circ r)^+) = 0$  on  $U$ . Since by Claims 1 and 2,  $\text{supp } L \subset U \cup B$ , we have

$$(\theta(f) \circ s)^* | (\text{supp } L \cap B) \neq \varphi^{-1}((f \circ r)^+) | (\text{supp } L \cap B),$$

so that

$$(\theta(f) \circ s)^* | K \neq \varphi^{-1}((f \circ r)^+) | K = \theta(f).$$

Contradiction.

From the last claim we conclude that  $\theta$  is a linear embedding. Since  $L_\omega \approx [1, \omega^\omega]$ ,  $C_{0,M}(L) \sim C_{0,y}(L_\omega)$  (since  $L_\omega \cap M = \{y\}$ ) and  $C_{0,y}(L_\omega) \sim C_0(L_\omega)$ , we have  $C_{0,M}(L) \sim C_0([1, \omega^\omega])$ . However,

$$C_{0,B^{(m)}}(K) \sim C_{0,a}(Z_{K,B^{(m)}}) \sim C_0(Z_{K,B^{(m)}}),$$

where  $Z_{K,B^{(m)}}$  is the space obtained from  $K$  by identifying  $B^{(m)}$  to one point  $a$  (here we need that Lemma 3.10 is also valid for the compact-open topology). By Theorem 1.5, we have  $Z_{K,B^{(m)}} \approx [1, \omega^m]$ , so that we finally have a linear embedding of  $C_0([1, \omega^\omega])$  into  $C_0([1, \omega^m])$ . This is a contradiction with Lemma 1.7. ■

Let  $T$  be the space described in Section 3. Let  $Z$  be the space obtained from  $T$  by replacing every isolated point by  $[1, \omega]$ , and let  $X \approx [1, \omega^\omega] \oplus Z$ . Let  $Y$  be the space obtained from  $T$  by replacing  $(n, m)$  by  $[1, \omega^n]$ . Then it is easily seen that for every prime component  $\alpha$  and ordinal  $\beta$ ,  $X(\alpha, \beta) = Y(\alpha, \beta)$ . However,  $X^* = \emptyset$  and  $Y^* \neq \emptyset$ . So by Lemma 4.1 and Corollary 2.11,  $X$  is not  $l$ -equivalent with  $Y$ . This shows that the above conjecture is false.

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