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Received 12 November 1985;
in revised form 17 September 1986 and 15 January 1987

The space $(\omega^*)^{n+1}$ is not always a continuous image of $(\omega^*)^n$

by

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Abstract. It is shown that the following statement is relatively consistent with ZFC: “For all $n \in \omega$, the space $(\omega^*)^{n+1}$ is not a continuous image of the space $(\omega^*)^n$ ”.

§ 1. Introduction. By ω^* we denote the remainder of the Čech–Stone compactification of ω , the countable discrete space, and by $(\omega^*)^n$ the product of n copies of ω^* . It was shown in [vD] that the spaces $(\omega^*)^n$ and $(\omega^*)^m$ are not homeomorphic whenever $n \neq m$. Clearly, if $n < m$, then $(\omega^*)^n$ is a continuous image of $(\omega^*)^m$. Moreover, if the Continuum Hypothesis holds, then $(\omega^*)^n$ is a continuous image of ω^* for every n (see [P]), and hence it is relatively consistent with ZFC that $(\omega^*)^n$ is a continuous image of $(\omega^*)^m$ for arbitrary $m, n \geq 1$.

Naturally, the question arises whether one can prove in ZFC alone that $(\omega^*)^{n+1}$ is a continuous image of $(\omega^*)^n$ for some $n \geq 1$.

In order to answer the above question we first translate it into the language of Boolean algebras.

Let $n, k \in \omega$. By $I_{k,n}$ we denote the subset of ω^n defined as

$$I_{k,n} = \{ \langle x_0, \dots, x_n \rangle : \exists i < n (x_i < k) \}$$

and let

$$J_n = \{ X \in \mathcal{P}(\omega^n) : \exists k \in \omega (X \subset I_{k,n}) \} = \bigcup_{k \in \omega} \mathcal{P}(I_{k,n}).$$

Then J_n is a proper non-principal ideal in the Boolean algebra $\mathcal{P}(\omega^n)$ of all subsets of the set ω^n .

By \mathcal{B}_n we denote the subalgebra of $\mathcal{P}(\omega^n)$ generated by the family

$$\{ X_0 \times X_1 \times \dots \times X_{n-1} : \forall i < n (X_i \subseteq \omega) \}.$$

Obviously, the set J_n^- defined as $J_n^- = J_n \cap \mathcal{B}_n$ is an ideal in \mathcal{B}_n and it is not hard to see that the Stone space of the Boolean algebra \mathcal{B}_n/J_n^- is homeomorphic to $(\omega^*)^n$.

Therefore the question stated above dualizes as follows: “Is it provable in ZFC that for some $n \geq 1$ the Boolean algebra $\mathcal{B}_{n+1}/J_{n+1}^-$ is isomorphic to a subalgebra of \mathcal{B}_n/J_n^- ?”

Identifying subsets of ω with their characteristic functions, we consider $\mathcal{P}(\omega)$ as a topological space, the topology being induced by the product topology in 2^ω . In a similar way, $\mathcal{P}(\omega^n)$ is identified with 2^{ω^n} , and $\mathcal{P}(X)$ with 2^X for $X \subseteq \omega$. Consequently, we shall speak of meager subsets of $\mathcal{P}(\omega)$, analytic ideals in $\mathcal{P}(\omega)$, continuous functions from $\mathcal{P}(X)$ into $\mathcal{P}(\omega)$, etc.

Let $F, F^*: \mathcal{P}(A) \rightarrow \mathcal{P}(\omega)$ be functions, where A is an infinite subset of ω , and let $J \subset \mathcal{P}(\omega)$ be an ideal. We say that F preserves intersections mod J if

$$F(X) \cap F(Y) \Delta F(X \cap Y) \in J \quad \text{for all } X, Y \subset A.$$

The functions F and F^* are said to be equal mod J if $F^*(X) \Delta F(X) \in J$ for every $X \subset A$.

Now, let $J \subset \mathcal{P}(\omega)$ be an ideal, $K \subset \mathcal{P}(\omega)$ a subfamily. Let $\text{CSP}(J, K)$ abbreviate the following sentence: "For every function $F: \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ preserving intersections mod J there exist an $A \in K$ and a continuous function $F^*: \mathcal{P}(A) \rightarrow \mathcal{P}(\omega)$ such that F^* is equal mod J to $F \upharpoonright \mathcal{P}(A)$ ". Here CSP stands for "continuous selection property".

It was shown in [J] that the sentence: "For every analytic ideal J and every comeager subset $K \subset \mathcal{P}(\omega)$ the statement $\text{CSP}(J, K)$ holds" is relatively consistent with ZFC (see also [J1]).

Notice that if $n \geq 1$ and $\sigma: \omega \rightarrow \omega^n$ is a bijection, then the function $G_\sigma: \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega^n)$ defined as $G_\sigma(X) = \{\sigma(j) : j \in X\}$ is simultaneously an isomorphism of Boolean algebras and a homeomorphism of topological spaces. So we may identify $\mathcal{P}(\omega^n)$ with $\mathcal{P}(\omega)$ and write e.g. $\text{CSP}(J_n, K)$, where J_n is the ideal in $\mathcal{P}(\omega^n)$ defined above. Notice that J_n is an F_σ -subset of $\mathcal{P}(\omega^n)$, hence an analytic one.

By Fin we denote the ideal of finite subsets of ω and by $\text{Fin}^+ = \mathcal{P}(\omega) \setminus \text{Fin}$ the family of infinite subsets of ω . Notice that Fin^+ is a comeager subfamily of $\mathcal{P}(\omega)$.

By these remarks and by the consistency result mentioned above the negative answer to our initial question follows from.

THEOREM 1. *Suppose that $\omega > n \geq 1$ and that $\text{CSP}(J_n, \text{Fin}^+)$ holds. Then the Boolean algebra \mathcal{B}_n/J_n^- does not contain a subalgebra isomorphic to $\mathcal{B}_{n+1}/J_{n+1}^-$, and hence the topological space $(\omega^*)^{n+1}$ is not a continuous image of the space $(\omega^*)^n$.*

Theorem 1 will be proved in § 2 of this paper.

We conclude this introductory section with the following open question: Is it relatively consistent with ZFC that there are $\omega > n > m \geq 1$ such that $(\omega^*)^{n+1}$ is a continuous image of $(\omega^*)^n$, but $(\omega^*)^{m+1}$ is not a continuous image of $(\omega^*)^m$?

Obviously, if $(\omega^*)^{n+1}$ is a continuous image of $(\omega^*)^n$ and $n \leq m$, then $(\omega^*)^{m+1}$ is a continuous image of $(\omega^*)^m$.

§ 2. Proof of Theorem 1. Throughout this section we fix $n \in \omega \setminus \{0\}$ and assume that $\text{CSP}(J_n, \text{Fin}^+)$ holds. Moreover, contradicting Theorem 1, we assume that there exists a function $H: \mathcal{B}_{n+1}/J_{n+1}^- \rightarrow \mathcal{B}_n/J_n^-$ which is an isomorphic embedding of Boolean algebras, and fix such a function H throughout the proof.

Let H be any lifting of H , i.e. a function $H: \mathcal{B}_{n+1} \rightarrow \mathcal{B}_n$ such that the following diagram commutes:

$$\begin{array}{ccc} H: \mathcal{B}_{n+1} & \longrightarrow & \mathcal{B}_n \\ \pi_{J_{n+1}^-} \downarrow & & \downarrow \pi_{J_n^-} \\ \underline{H}: \mathcal{B}_{n+1}/J_{n+1}^- & \longrightarrow & \mathcal{B}_n/J_n^- \end{array}$$

where $\pi_{J_n^-}$ and $\pi_{J_{n+1}^-}$ denote the canonical projections.

We define a function $F: \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega^n)$ by the formula $F(X) = H(X^{n+1})$ for every $X \subset \omega$. Clearly, the function F preserves intersection mod J_n , hence by $\text{CSP}(J_n, \text{Fin}^+)$ there exist an infinite subset $A \subset \omega$ and a continuous function $F^*: \mathcal{P}(A) \rightarrow \mathcal{P}(\omega^n)$ such that F^* and $F \upharpoonright \mathcal{P}(A)$ are equal mod J_n .

We fix such a set A and such a function F^* for the remainder of this proof. In order to derive the desired contradiction we show that the function F^* has too nice properties.

PROPOSITION 2. *There exist an increasing sequence of non-negative integers $\langle I_k : k \in \omega \rangle$ and a sequence of functions $\langle G_k : k \in \omega \rangle$ such that $G_k: \mathcal{P}(I_k \cap A) \rightarrow \mathcal{P}(k^n)$ and $F^*(X) \cap k^n = G_k(I_k \cap X)$ for all $k \in \omega$ and $X \subset A$.*

Proof. Observe that $\mathcal{P}(\omega)$ and $\mathcal{P}(\omega^n)$ are compact metrizable spaces; it will be convenient for our purposes to consider $\mathcal{P}(\omega^n)$ equipped with the metric ϱ_n defined by the formula

$$\varrho_n(Y_1, Y_2) = 2^{-\min\{\max\{y_1, \dots, y_n\} : \langle y_1, \dots, y_n \rangle \in Y_1 \Delta Y_2\}}$$

It is easily seen that Proposition 2 just asserts that F^* is a uniformly continuous function from $(\mathcal{P}(A), \varrho_1)$ into $(\mathcal{P}(\omega^n), \varrho_n)$. ■

For the remainder of this section we fix sequences $\langle I_k : k \in \omega \rangle$ and $\langle G_k : k \in \omega \rangle$ satisfying the statement of Proposition 2. Moreover, we assume without loss of generality that $I_0 = 0$. We recall that $I_{k,n}$ was defined as

$$I_{k,n} = \{\langle x_0, \dots, x_{n-1} \rangle : \exists i < n (x_i < k)\}.$$

Since n is fixed, we shall write I_k instead of $I_{k,n}$ in the sequel.

Now we define a concept which will be useful in several places of the proof.

DEFINITION 3. Let $k^+ > k$. We let $[k, k^+) = k^+ \setminus k = \{j \in \omega : k \leq j < k^+\}$. A subset $c \subset A \cap I_{k^+} \setminus I_k$ is called a $[k, k^+)$ -stabilizer if

$$F^*(a \cup c \cup d) \Delta F^*(b \cup c \cup d) \in I_{k^+} \quad \text{for all } d \subset A \setminus I_{k^+} \text{ and } a, b \subset I_k \cap A.$$

PROPOSITION 4. *For every $k \in \omega$ there exist $k^+ > k$ and a $[k, k^+)$ -stabilizer $c \subset A \cap I_{k^+} \setminus I_k$.*

Proof. Assume the contrary and let k be such that for every $j > k$ there is no $[k, j]$ -stabilizer. Then we may construct inductively:

- an increasing sequence $\langle k(p): p \in \omega \rangle$ of natural numbers,
- a sequence of pairs $\langle \langle a_p, b_p \rangle: p \in \omega \rangle$,
- a sequence of finite sets $\langle c_p: p \in \omega \rangle$

such that for all $p \in \omega$ the following conditions hold:

- (1) $k(0) = k$,
- (2) $a_p, b_p \subset I_k \cap A$,
- (3) $c_p \subset A \cap [I_k, I_{k(p+1)})$,
- (4) $c_{p+1} \cap I_{k(p+1)} = c_p$,
- (5) $G_{k(p+1)}(a_p \cup c_p) \Delta G_{k(p+1)}(b_p \cup c_p) \notin I_{k(p)}$.

Since $\mathcal{P}(I_k \cap A)$ is finite, there exist $a, b \subset I_k \cap A$ such that $\langle a, b \rangle = \langle a_p, b_p \rangle$ for infinitely many p . Let $C = \bigcup \{c_p: p \in \omega\}$. It follows from (4) that

$$C = \bigcup \{c_p: p \in \omega \ \& \ \langle a, b \rangle = \langle a_p, b_p \rangle\}.$$

From (5) and the choice of the functions G_k we infer that

$$F^*(a \cup C) \Delta F^*(b \cup C) \notin J_n.$$

On the other hand, we have

$$F^*(a \cup C) \Delta H((a \cup C)^{n+1}) \in J_n \quad \text{and} \quad F^*(b \cup C) \Delta H((b \cup C)^{n+1}) \in J_n$$

by the definition of F^* , hence

$$H((a \cup C)^{n+1}) \Delta H((b \cup C)^{n+1}) \notin J_n.$$

But H was chosen to be a lifting of H , so we must have

$$H((a \cup C)^{n+1}) \Delta H((b \cup C)^{n+1}) \in J_n,$$

since obviously

$$(a \cup C)^{n+1} \Delta (b \cup C)^{n+1} \in I_{k, n+1} \subset J_{n+1}.$$

This contradiction concludes the proof of Proposition 4. ■

DEFINITION 5. Let $\bar{k} = \langle k(p): p \in \omega \rangle$ be an increasing sequence of natural numbers, and let $\bar{b} = \langle b_p: p \in \omega \rangle$ and $\bar{c} = \langle c_p: p \in \omega \rangle$ be sequences of finite subsets of ω . We say that the triple $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ is *n-productive* if the following conditions hold:

- (1) $b_p \subseteq c_p \subseteq A \cap [I_{k(p)}, I_{k(p+1)})$ for $p > 0$ and $b_0 \subseteq c_0 \subseteq A \cap I_{k(1)}$.
- (2) Assume X_1, X_2 are such that for arbitrary $p \in \omega$ and $j \in \{1, 2\}$ either $X_j \cap [I_{k(p)}, I_{k(p+1)}) = b_p$ or $X_j \cap [I_{k(p)}, I_{k(p+1)}) = c_p$, where

$$I'_{k(p)} = \begin{cases} I_{k(p)} & \text{else,} \\ 0 & \text{if } p = 0, \end{cases}$$

and let $q_0, \dots, q_{n-1} \in \omega$.

In this situation, if

$$X_1 \Delta X_2 \cap [I_{k(q_i)}, I_{k(q_i+1)}) = \emptyset \quad \text{for all } i < n,$$

then

$$F^*(X_1) \Delta F^*(X_2) \cap \left(\bigcup_{i < n} [k(q_i), k(q_i+1)) \right)^n = \emptyset.$$

The following lemma will be crucial in the proof.

LEMMA 6. There exist sequences \bar{k}, \bar{b} and \bar{c} such that the triple $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ is *n-productive*, and moreover

- (3) $c_p \setminus b_p \neq \emptyset$ for every $p \in \omega$.

In order to make it more digestible, the remainder of this paper is organized as follows: First we prove Lemma 6 for the special case $n = 1$, which is considerably easier than the general one, next we show how Theorem 1 follows from Lemma 6, and finally we prove the lemma for all n .

DEFINITION 7. Let $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ be a triple of sequences as in Definition 5 such that (1) is satisfied. A subset $X \subset A$ will be called $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ -*amenable* (or *amenable*), if it is clear from the context which sequences we have in mind) if for arbitrary $p \in \omega$ either $X \cap [I_{k(p)}, I_{k(p+1)}) = b_p$ or $X \cap [I_{k(p)}, I_{k(p+1)}) = c_p$.

Proof of the lemma for $n = 1$. Since A is infinite, it follows from Proposition 4 that there is an increasing sequence $\langle m(p): p \in \omega \rangle$ and a sequence $\langle a_p: p \in \omega \rangle$ such that $m(0) = 0$ and for every $p \in \omega$ the set a_p is contained in $[I_{m(p)}, I_{m(p+1)}) \cap A$, where a_{2p} is nonempty and a_{2p+1} is an $[m(2p+1), m(2p+2))$ -stabilizer.

Now we define for $p \in \omega$:

$$k(p) = m(2p), \quad b_p = a_{2p+1}, \quad c_p = a_{2p} \cup a_{2p+1}.$$

The triple of sequences thus defined obviously satisfies (1) and (3). In order to see that it satisfies (2), let $X_1, X_2 \subset A$ be amenable set, and fix $q = q_0 \in \omega$.

If $q = 0$ and $X_1 \Delta X_2 \cap [I_{k(0)}, I_{k(1)}) = \emptyset$, then

$$G_{k(1)}(X_1 \cap I_{k(1)}) = G_{k(1)}(X_2 \cap I_{k(1)}),$$

since by our assumption $I_{k(0)} = I_0 = 0$.

If $q > 0$, then

$$X_1 \cap [I_{m(2q-1)}, I_{m(2q)}) = X_2 \cap [I_{m(2q-1)}, I_{m(2q)}) = a_{2q-1}.$$

Since a_{2q-1} is an $[m(2q-1), m(2q))$ -stabilizer, it follows that whenever

$$X_1 \cap [I_{k(q)}, I_{k(q+1)}) = X_2 \cap [I_{k(q)}, I_{k(q+1)}),$$

then

$$G_{k(q+1)}(X_1 \cap I_{k(q+1)}) \cap [k(q), k(q+1)] = G_{k(q+1)}(X_2 \cap I_{k(q+1)}) \cap [k(q), k(q+1)],$$

which implies (2) by the choice of the functions $G_{k(q+1)}$.

This concludes the proof of Lemma 6 for the special case $n = 1$. ■

Derivation of Theorem 1 from Lemma 6. Suppose the triple of sequences $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ is n -productive and satisfies (3). We define $X_0, X_1, \dots, X_n \subset A$ by putting for $i \leq n$ and $p \in \omega$

$$X_i \cap [I_{k(p)}, I_{k(p+1)}] = \begin{cases} b_p & \text{if } p \equiv i \pmod{n+1}, \\ c_p & \text{else.} \end{cases}$$

We denote $\bigcup_{i \leq n} X_i$ by X .

CLAIM 8. $X^{n+1} \setminus \bigcup_{i \leq n} X_i^{n+1} \notin J_{n+1}$.

Proof of the claim. For every $p \in \omega$ we choose $z_p \in c_p \setminus b_p$ and let

$$Z = \{ \langle z_{(n+1)p}, z_{(n+1)p+1}, \dots, z_{(n+1)p+n} \rangle : p \in \omega \}.$$

Obviously, $Z \subset X^{n+1} \setminus \bigcup_{i \leq n} X_i^{n+1}$, and $Z \notin J_{n+1}$. ■

Since H is an isomorphic embedding of $\mathcal{B}_{n+1}/J_{n+1}$ into \mathcal{B}_n/J_n and H is a lifting of H , it follows from claim 8 that $H(X^{n+1}) \setminus H(\bigcup_{i \leq n} X_i^{n+1}) \notin J_n$.

On the other hand, H preserves finite sums mod J_n , hence

$$H(X^{n+1}) \setminus \left(\bigcup_{i \leq n} H(X_i^{n+1}) \right) \notin J_n,$$

and consequently

$$F(X) \setminus \bigcup_{i \leq n} F(X_i) \notin J_n.$$

But this contradicts the following:

CLAIM 9. $F^*(X) \setminus \bigcup_{i \leq n} F^*(X_i) \in J_n$.

Proof of the claim. Let $\bar{z} = \langle z_0, \dots, z_{n-1} \rangle \in \omega^n$ and suppose $\bar{z} \notin I_{k(0)}$. Then there exist $q_0, \dots, q_{n-1} \in \omega$ such that

$$\bar{z} \in [k(q_0), k(q_0+1)] \times [k(q_1), k(q_1+1)] \times \dots \times [k(q_{n-1}), k(q_{n-1}+1)].$$

Moreover, there exists an $s \leq n$ such that $q(i) \equiv s \pmod{n+1}$ for all $i < n$. We fix such an s and notice that

$$X_s \cap [I_{k(q_i)}, I_{k(q_i+1)}] = c_{q_i} = X \cap [I_{k(q_i)}, I_{k(q_i+1)}]$$

for all $i < n$. Notice that both X and X_s are $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ -amenable. Hence it follows from (2) that

$$F^*(X) \Delta F^*(X_s) \cap \left(\bigcup_{i < n} [k(q_i), k(q_i+1)] \right)^n = \emptyset,$$

and therefore $\bar{z} \in F^*(X)$ if and only if $\bar{z} \in F^*(X_s)$.

What we have shown is that for every $z \in F^*(X) \setminus I_{k(0)}$, there exists an $s \leq n$ such that $\bar{z} \in F^*(X_s)$; hence

$$F^*(X) \setminus \bigcup_{i \leq n} F^*(X_i) \subset I_{k(0)}.$$

This concludes the proof of the claim by the definition of J_n . ■

So our task reduces to the

Proof of Lemma 6. For any $k, t \in \omega$ we define

$$\mathcal{X}(k, t) = \{ X \subset A : \exists \{ Y_{i,j} \subset \omega : i < n \& j < t \} (F^*(X) \setminus I_k = \bigcup_{j < t} \prod_{i < n} Y_{i,j}) \}.$$

Since F^* is equal to $H \text{ mod } J_n$, and the range of H is contained in \mathcal{B}_n , it follows that $\bigcup_{k \in \omega} \bigcup_{t \in \omega} \mathcal{X}(k, t) = \mathcal{P}(A)$.

For given $k, t \in \omega$ and $\mathcal{Y} = \{ Y_{i,j} : i < n \& j < t \}$ the set

$$\{ X \subset A : F^*(X) \setminus I_k = \bigcup_{j < t} \prod_{i < n} Y_{i,j} \}$$

is a closed subset of $\mathcal{P}(A)$, hence $\mathcal{X}(k, t)$ is an analytic subset of $\mathcal{P}(A)$, and therefore $\mathcal{X}(k, t)$ has the Baire property. Obviously, the sets $\mathcal{X}(k, t)$ increase with increasing parameters k and t . Hence by Baire's theorem, $\mathcal{X}(k, t)$ is of second Baire category for k, t sufficiently large.

For the remainder of this proof we fix numbers k' and t and a set $u \subset I_{k'} \cap A$ such that if we put $[u] = \{ X \subset A : X \cap I_{k'} = u \}$, then $[u] \cap \mathcal{X}(k', t)$ is of first Baire category. We let $L = [u] \cap \mathcal{X}(k', t)$.

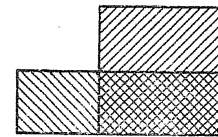
If we could define a continuous function

$$E: L \rightarrow (\mathcal{P}(\omega))^{t \cdot n} \text{ such that}$$

$$E(X) = \langle h_{i,j}(X) : i < n \& j < t \rangle \text{ and}$$

$$F^*(X) \setminus I_{k'} = \bigcup_{j < t} \prod_{i < n} Y_{i,j}(X) \text{ for every } X \in L,$$

when we could prove the lemma by a straightforward generalization of the proof for the case $n = 1$. The problem is that the sets $Y_{i,j}(X)$ may not be uniquely determined by $F^*(X) \setminus I_{k'}$. Consider the following trivial example for $n = t = 2$:



The idea of what follows is, roughly speaking, that we shall find sequences \bar{k}, \bar{b} and \bar{c} such that, for $X \langle \bar{k}, \bar{b}, \bar{c} \rangle$ -amenable, although we may not be able to reconstruct the sets $Y_{i,j}$ witnessing that $F^*(X) \setminus I_{k'}$ is an element of \mathcal{B}_n , we do however

possess enough information to reconstruct some finite parts of $F^*(X)$ from certain information about X as required in (2).

DEFINITION 10. Let $W \subset \omega^n$ and $i < n$. We define a relation $=_{W,i} \subset \omega \times \omega$ as follows: $z =_{W,i} z'$ iff

$$\begin{aligned} & \forall \langle z_0, \dots, z_{i-1}, z_{i+1}, \dots, z_{n-1} \rangle \in \omega^{n-1} \\ & \langle \langle z_0, \dots, z_{i-1}, z, z_{i+1}, \dots, z_{n-1} \rangle \in W \Leftrightarrow \\ & \langle z_0, \dots, z_{i-1}, z', z_{i+1}, \dots, z_{n-1} \rangle \in W \rangle. \end{aligned}$$

We write $z =_W z'$ iff $z =_{W,i} z'$ for all $i < n$.

CLAIM 11. (a) The relations $=_{W,i}$ and $=_W$ are equivalence relations for arbitrary $W \subset \omega^n$ and $i < n$.

(b) If $W \in \mathcal{B}_n$, then the relation $=_W$ splits ω into finitely many equivalence classes.

Proof of the claim. Part (a) is obvious.

For the proof of (b) notice that if $W \in \mathcal{B}_n$, then there exist $s \in \omega$ and a family $\{Y_{i,j} : i < n, j < s\}$ such that $W = \bigcup_{j < s} \prod_{i < n} Y_{i,j}$. It suffices to show that for every $i < n$ the relation $=_{W,i}$ splits ω into finitely many equivalence classes. So we fix $i < n$ and set $P_i(z) = \{j < s : z \in Y_{i,j}\}$ for $z \in \omega$. Observe that $\langle z_0, \dots, z_{i-1}, z, z_{i+1}, \dots, z_{n-1} \rangle \in W$ iff $\langle z_0, \dots, z_{i-1}, z_{i+1}, \dots, z_{n-1} \rangle \in \bigcup_{j \in P_i(z)} \prod_{r \in n \setminus \{i\}} Y_{r,j}$. It follows that $z =_{W,i} z'$ whenever $P_i(z) = P_i(z')$, hence the relation $=_{W,i}$ splits ω into at most 2^s equivalence classes. ■

DEFINITION 12. (a) Let $r \in \omega$. A subset $W \subset \omega^n$ is called r -semisimple if the relation $=_W$ partitions ω into exactly r nonempty equivalence classes. It is called r -simple if it is r -semisimple and every equivalence class of the relation $=_W$ is infinite.

(b) Let W be r -semisimple and let $E \subset \omega$. We say that E is a witness for W if the relation $=_{W \cap E^r}$ splits E into r nonempty equivalence classes.

CLAIM 13. Let $r \in \omega$ and suppose $W \subset \omega^n$ is r -semisimple.

(a) If $E \subset \omega$ is a witness for W and $z, z' \in E$, then $z =_W z'$ iff $z =_{W \cap E^r} z'$.

(b) If $E \subset D \subset \omega$ and E is a witness for W , then D is also a witness for W , and moreover E is a witness for $W \cap D^n$.

(c) Suppose $W \subset \omega^n$ is r -simple and $k \in \omega$. Then there exists a $k^+ > k$ such that the interval $[k, k^+)$ is a witness for W .

Proof of the claim. Parts (a) and (b) follow immediately from Definition 12. We prove (c).

Let k, r, W be as in the hypothesis. Since all equivalence classes of the relation $=_W$ are infinite, we find numbers $x_0, \dots, x_{r-1} \geq k$ which are representatives

of all the equivalence classes of the relation $=_W$. Now let $k^+ = \sup\{x_j : j < r\} + 1$. In order to show that k^+ is as required, it suffices to show that for all $i < n$ and $y, y' \in [k, k^+)$ the relation $y =_{W,i} y'$ holds iff the relation $y =_{W \cap [k, k^+)^n, i} y'$ holds.

The "only if" direction follows immediately from Definition 10.

Now suppose that for some $i < n$ and $y, y' \in [k, k^+)$ we have $y \neq_{W,i} y'$, i.e. there exist numbers $y_0, \dots, y_{i-1}, y_{i+1}, \dots, y_{n-1}$ such that w.l.o.g.

$$\langle y_0, \dots, y_{i-1}, y, y_{i+1}, \dots, y_{n-1} \rangle \in W$$

and

$$\langle y_0, \dots, y_{i-1}, y', y_{i+1}, \dots, y_{n-1} \rangle \notin W.$$

By our choice of k^+ there exist numbers

$$\beta_0, \dots, \beta_{i-1}, \beta_{i+1}, \dots, \beta_{n-1} \in [k, k^+)$$

such that $\beta_j =_{W,j} y_j$ for $j \in n \setminus \{i\}$. By induction over j one shows that

$$\langle y_0, \dots, y_{i-1}, y, y_{i+1}, \dots, y_{n-1} \rangle \in W$$

iff $\langle \beta_0, \dots, \beta_j, y_{j+1}, \dots, y, \dots, y_{n-1} \rangle \in W$ and analogously if we replace y by y' . It follows that

$$\langle \beta_0, \dots, \beta_{i-1}, y, \beta_{i+1}, \dots, \beta_{n-1} \rangle \in W \quad \text{and}$$

$$\langle \beta_0, \dots, \beta_{i-1}, y', \beta_{i+1}, \dots, \beta_{n-1} \rangle \notin W,$$

witnessing that $y \neq_{W \cap [k, k^+)^n, i} y'$, which concludes the proof of Claim 13. ■

The following claim says that witnesses allow us to reconstruct W from the relation $=_W$.

CLAIM 14. Suppose $W, W' \subset \omega^n$ are both r -semisimple, the set $E \subset \omega$ is a witness for W and $W \cap E^n = W' \cap E^n$. Assume furthermore that for all $z, z' \in \omega$ the relation $z =_W z'$ holds iff the relation $z =_{W'} z'$ holds. Then $W = W'$.

Proof of the claim. Suppose W, W' and E satisfy the hypothesis of the claim and let $\bar{z} = \langle z_0, \dots, z_{n-1} \rangle \in \omega^n$. We put $j(\bar{z}) = \{i < n : z_i \notin E\}$. By induction over j we show that

$$(*) \quad \bar{z} \in W \quad \text{iff} \quad \bar{z} \in W'.$$

For $j(\bar{z}) = 0$ this is obvious. Suppose $(*)$ holds for all $\bar{z}^* \in \omega^n$ such that $j(\bar{z}^*) \leq j$, and assume that $j(\bar{z}) = j + 1$. Fix an $i < n$ such that $z_i \notin E$. By our assumption there exists a $\hat{z}_i \in E$ such that $\hat{z}_i =_W z_i =_{W'} \hat{z}_i$. Let $\bar{z}^* = \langle z_0, \dots, z_{i-1}, \hat{z}_i, z_{i+1}, \dots, z_{n-1} \rangle$. Then $j(\bar{z}^*) = j$, and by our induction hypothesis $\bar{z}^* \in W$ iff $\bar{z}^* \in W'$. But the relation $\hat{z}_i =_{W'} z_i$ implies that $\bar{z} \in W$ iff $\bar{z}^* \in W$, and since $\hat{z}_i =_{W'} z_i$ we have $\bar{z} \in W'$ iff $\bar{z}^* \in W'$. Hence $(*)$ holds, concluding the proof of Claim 14. ■

By $\|z\|_W$ we shall denote the equivalence class of the relation $=_W$ containing z .

For a given $W \in \mathcal{B}_n$ some of the equivalence classes of the relation $=_W$ may be finite. Therefore we set for $W \subset \omega^n$ $k(W) = \sup\{z: \|z\|_W \in \text{Fin}\}$. By Claim 11 (b), if $W \in \mathcal{B}_n$, then $k(W) < +\infty$. We write $W^- = W \setminus I_{k(W)}$ for $W \in \mathcal{B}_n$. One easily verifies that $\|z\|_{W^-} \leq k(W) < \|z\|_W$ for all $W \in \mathcal{B}_n$ and $z > k(W)$, hence every equivalence class of the relation $=_{W^-}$ is infinite.

Now, let us recall that the definitions of $u, k', t, \mathcal{X}(k', t)$ are given at the beginning of the proof of Lemma 6.

Since the relation " W is r -semisimple & $k(W) < m$ " defines a Borel subset of $\mathcal{P}(\omega^n)$, it follows that there exist numbers $k \geq k'$ and r such that the set

$$\{X \in [u] \cap \mathcal{X}(k', t): F^*(X) \setminus I_k \text{ is } r\text{-semisimple \& } k(F^*(X) \setminus I_k) \leq k\}$$

is of second Baire category in $\mathcal{P}(A)$.

Until the end of this paper we fix $k^* \geq k'$, a set $v \in [u] \cap \mathcal{P}(I_{k^*})$ and a number r such that the set

$$S = \{X \in [v] \cap \mathcal{X}(k', t): k(F^*(X) \setminus I_{k^*}) \geq k^* \text{ or } F^*(X) \setminus I_{k^*} \text{ is not } r\text{-semisimple}\}$$

is of first Baire category in $\mathcal{P}(A)$. Here $[v]$ denotes the set $\{X \subset A: X \cap I_{k^*} = v\}$.

In the sequel we write

$$M = [v] \cap \mathcal{X}(k^*, t) \setminus S, \text{ and } =_X^* \text{ instead of } =_{F^*(X) \setminus I_{k^*}} \text{ for } X \in M.$$

Moreover, a subset $E \subset \omega$ will be called a $*$ -witness for $X \in M$ if it is a witness for the set $F^*(X) \setminus I_{k^*} \subset \omega^n$.

Now we are ready to formulate the crucial statement.

SUBLEMMA 15. *There exist a set $E \subset \omega$ and sequences*

$$\bar{k} = \langle k(p): p \in \omega \rangle, \quad \bar{b} = \langle b_p: p \in \omega \rangle, \quad \bar{c} = \langle c_p: p \in \omega \rangle$$

satisfying for all $p \in \omega$ and $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ -amenable sets X_0 and X_1 :

- (0) $k(0) \geq k^*$,
- (1) $b_p \subseteq c_p \subseteq A \cap [I_{k(p)}, I_{k(p+1)})$ for $p > 0$ and $b_0 \subseteq c_0 \subseteq A \cap I_{k(1)}$,
- (2) $c_p \setminus b_p \neq \emptyset$,
- (3) $X_0, X_1 \in M$,
- (4) If $X_0 \Delta X_1 \cap [I_{k(p)}, I_{k(p+1)}) = \emptyset$, then

$$F^*(X_0) \Delta F^*(X_1) \cap [k(p), k(p+1))^n = \emptyset,$$

- (5) $E \cap [k(p), k(p+1))$ is a $*$ -witness for X_0 and X_1 ,
- (6) $E^n \cap F^*(X_0) = E^n \cap F^*(X_1)$,
- (7) For all $w, w' \in E$ the relation $w =_{X_0}^* w'$ holds iff the relation $w =_{X_1}^* w'$ holds.

Before proving the sublemma we show how to deduce Lemma 6 from it.

We fix a triple $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ of sequences satisfying the statement of the sublemma and show that it also satisfies (2) of Definition 5.

Suppose X_0, X_1 are amenable and $q_0, \dots, q_{n-1} \in \omega$ are such that

$$X_0 \Delta X_1 \cap \bigcup_{i < n} [I_{k(q_i)}, I_{k(q_i+1)}) = \emptyset.$$

Let

$$Z_0 = F^*(X_0) \setminus I_{k(0)} \text{ and } Z_1 = F^*(X_1) \setminus I_{k(0)}.$$

Furthermore, we set

$$U = \bigcup_{i < n} [k(q_i), k(q_i+1)) \text{ and } V_0 = Z_0 \cap U^n, \quad V_1 = Z_1 \cap U^n.$$

We show that $V_0 = V_1$, which obviously implies (2).

It follows from (6) and Claim 13(b) that $E \cap [k(q_i), k(q_i+1))$ is a witness for both V_0 and V_1 . Consequently, if we show that for arbitrary $z, z' \in U$ we have $z =_{V_0} z'$ iff $z =_{V_1} z'$, then the equality $V_0 = V_1$ becomes an easy consequence of (7) and Claim 14.

Hence let $z, z' \in U$ and let $i, i' < n$ be such that $z \in [k(q_i), k(q_i+1))$ and $z' \in [k(q_{i'}), k(q_{i'}+1))$. By (6) there are numbers $w \in [k(q_i), k(q_i+1)) \cap E$ and $w' \in [k(q_{i'}), k(q_{i'}+1)) \cap E$ such that $z =_{V_0} w$ and $z' =_{V_0} w'$. We fix such w and w' . Obviously, $z =_{V_0} z'$ iff $w =_{V_0} w'$.

On the other hand, by (5) we have

$$(\S) \quad V_0 \cap [k(q_i), k(q_i+1))^n = V_1 \cap [k(q_i), k(q_i+1))^n = W.$$

Since $E \cap [k(q_i), k(q_i+1))$ is a witness for both V_0 and V_1 , it follows from (\S) and from Claim 13 that $z =_{V_0} w$ iff $z =_W w$ iff $z =_{V_1} w$. By an analogous reasoning one can show that $z' =_{V_1} w'$. Therefore, $z' =_{V_1} z$ iff $w' =_{V_1} w$, which is by (8) equivalent to $w' =_{V_0} w$. Hence the relation $z' =_{V_0} z$ holds iff the relation $z' =_{V_1} z$ holds.

We have thus shown that the triple of sequences $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ satisfying (0), (1) and (3)–(8) satisfies (2) of Definition 5 as well, and hence the proof of Lemma 6 reduces to the

Proof of Sublemma 15. Recall that the definitions of M and S were given before the statement of the sublemma. Let $S = \bigcup S_p$, where S_p is a nowhere dense subset of $\mathcal{P}(A)$ and $S_p \subseteq S_{p+1}$ for all $p \in \omega$.

We construct inductively and increasing sequence of natural numbers $\bar{m} = \langle m(p): p \in \omega \rangle$ and a sequence $\bar{e} = \langle e_p: p \in \omega \cup \{-1\} \rangle$ of finite subsets of A such that:

- (i) $e_{-1} \subset [0, I_{m(0)})$,
- (ii) $m(0) \geq k^*$,
- (iii) $e_{-1} \in [v]$,

and for all $p \in \omega$ we have:

$$(iv) \quad e_p \subset [I_{m(p)}, I_{m(p+1)}).$$

(v) If we let $D_p = G_{m(p+1)}(v \cup e_{p-1} \cup e_p) \cap [m(p), m(p+1))^n$, then the relation $=_{D_p}$ splits $[m(4p), m(4p+1))$ into exactly r nonempty equivalence classes.

(vi) If $X \subset A$ and $X \cap [I_{m(4p+1)}, I_{m(4p+2)}] = e_{4p+1}$, then $X \notin S_p$.

(vii) $|e_{4p+2}| \geq r!$

(viii) e_{4p+3} is an $[m(4p+3), m(4p+4)]$ -stabilizer.

First we should convince ourselves that sequences satisfying (i)–(viii) exist. It is obvious that constructing $m(p)$ and e_p inductively we can always take care of (i)–(iv) and (vii). Proposition 4 tells us that we can deal with (viii) as well. In order to see how to take care of (vi), notice that S_p is nowhere dense in the topology of $\mathcal{P}(A)$ and that there are only finitely many candidates for $X \cap I_{m(4p+1)}$, so we can deal with all of them successively extending initial fragments of e_{4p+1} .

It remains to show that at stage $4p$ of the construction we can make sure that (v) holds. In order to see this, notice that given any p , by the definition of M and the Baire category theorem there exists $X \in M$ such that $X \cap I_{m(4p)} = v \cup e_{4p-1}$. Since $X \in M$, the set $F^*(X) \setminus I_{k^*} = Y$ is r -simple, and hence by Claim 13(c) there exists an $m(4p+1) > m(4p)$ such that $[m(4p), m(4p+1)]$ is a witness for Y . By the choice of the functions G_x we have $D_{4p} = Y \cap [m(4p), m(4p+1)]^n$ whenever $X \cap m(4p+1) = v \cup e_{4p-1} \cup e_{4p}$.

For the remainder of this proof we fix sequences \bar{m} and \bar{e} satisfying (i)–(viii).

Suppose that we are given two sequences $\bar{b}' = \langle b'_p : p \in \omega \rangle$ and $\bar{c}' = \langle c'_p : p \in \omega \rangle$ such that $b'_p \subseteq c'_p \subseteq e_{4p+2}$ for all p . We define:

$$\begin{aligned} b_0 &= e_{-1} \cup e_0 \cup e_1 \cup b'_0 \cup e_3, \\ c_0 &= e_{-1} \cup e_0 \cup e_1 \cup c'_0 \cup e_3, \\ b_p &= e_{4p} \cup e_{4p+1} \cup b'_p \cup e_{4p+3}, \quad \text{and} \\ c_p &= e_{4p} \cup e_{4p+1} \cup c'_p \cup e_{4p+3} \quad \text{for } p > 0. \end{aligned}$$

Moreover, we put $k(p) = m(4p)$ for every $p \in \omega$.

Sequences \bar{k} , \bar{b} and \bar{c} which are defined by the above method from some sequences \bar{b}' and \bar{c}' will be called *feasible*. Moreover, a subset $X \subset A$ will be called *feasible* if it is $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ -amenable for some feasible sequences \bar{k} , \bar{b} , \bar{c} .

Let \bar{k} , \bar{b} , \bar{c} be feasible. Conditions (1) and (3) of the sublemma are satisfied by the choice of b'_p and c'_p . Moreover, (4) follows from (iii), (vi), the definition of M and the choice of S_p . (0) is a consequence of the definition of \bar{k} .

In order to see that (5) holds as well, suppose X_0 and X_1 are feasible and let $p \in \omega$ be such that

$$X_0 \cap [I_{k(p)}, I_{k(p+1)}] = X_1 \cap [I_{k(p)}, I_{k(p+1)}] = a_p.$$

If $p = 0$, then

$$F^*(X_0) \cap k(p+1)^n = G_{k(p+1)}(e_{-1} \cup a_p) = F^*(X_1) \cap k(p+1)^n.$$

If $p > 0$, then $X_0 \cap [I_{m(4p-1)}, I_{m(4p)}] = X_1 \cap [I_{m(4p-1)}, I_{m(4p)}] = e_{4p-1}$. But according to (viii), the set e_{4p-1} was chosen to be an $[m(4p-1), m(4p)]$ -stabilizer, so

$$F^*(X_0) \Delta F^*(X_1) \cap k(p+1)^n \subset I_{m(4p)} = I_{k(p)}$$

by the definition of a stabilizer; hence (5) holds.

Now let $E = \bigcup_{p \in \omega} [m(4p), m(4p+1)]$. We show that E satisfies (6).

Indeed, arguing as in the proof of (5), we notice that

$$F^*(X_0) \cap [m(4p), m(4p+1)]^n = D_{4p}$$

for arbitrary $p \in \omega$, and now (6) is an immediate consequence of (4), the choice of M , and Claim 13(b).

It remains to show that we can find sequences \bar{b}' and \bar{c}' such that (7) and (8) are satisfied for \bar{b} , \bar{c} and E defined as above.

We fix $x_0(0), \dots, x_0(r-1) \in [m(0), m(1)]$ which are assumed to be representatives of all equivalence classes of the relation $=_{D_0}$. Notice that the $x_0(j)$'s may be chosen independently of the choice of \bar{b}' and \bar{c}' . Given a feasible X we define a function $f_X: E \rightarrow r$ as follows: $f_X(w) = j$ iff $w = \overset{*}{x} x_0(j)$.

We show that there are feasible sequences \bar{k} , \bar{b} , \bar{c} such that $f_{X_0} = f_{X_1}$ for all $\langle \bar{k}, \bar{b}, \bar{c} \rangle$ -amenable sets X_0 and X_1 . It is not hard to see that for such sequences (8) will be satisfied.

For $p > 0$ we choose $x_p(0), \dots, x_p(r-1) \in [m(4p), m(4p+1)]$ to be representatives of all equivalence classes of the relation $=_{D_p}$. For every feasible X we define functions $f_{p,X}: r \rightarrow r$ as follows:

$$f_{p,X}(j) = j' \quad \text{iff} \quad x_p(j) = \overset{*}{x} x_{p+1}(j').$$

Notice that for $w \in [m(4p), m(4p+1)]$ and arbitrary feasible X we have: $f_X(w) = \overset{*}{x}$ iff $x_p(f_{p-1,X} \circ f_{p-2,X} \circ \dots \circ f_{0,X}(j)) = \overset{*}{x}$ iff $x_p(f_{p-1,X} \circ f_{p-2,X} \circ \dots \circ f_{0,X}(j)) =_{D_p} w$. It follows that for feasible X_0, X_1 the functions f_{X_0} and f_{X_1} are equal iff $f_{p,X_0} = f_{p,X_1}$ for all $p \in \omega$.

CLAIM 16. Let $p \in \omega$ and X_0, X_1 be such that

$$X_0 \Delta X_1 \cap [I_{k(p)}, I_{k(p+1)}] = \emptyset.$$

Then $f_{p,X_0} = f_{p,X_1}$.

Proof of the claim. Repeating the argument used for demonstrating (5) shows that there is a set V such that

$$F^*(X_0) \cap [m(4p), m(4p+5)]^n = F^*(X_1) \cap [m(4p), m(4p+5)]^n = V$$

whenever X_0, X_1 are as in the hypothesis of the claim. On the other hand, by (6) and Claim 13(b) we know that V is a $*$ -witness for both X_0 and X_1 . It follows that we have $w = \overset{x_0}{x} w'$ iff $w = \overset{x_1}{x} w'$ iff $w = \overset{v}{x} w'$ for $w, w' \in [m(4p), m(4p+5)]$. To conclude the proof of the claim it suffices to observe that the function $f_{p,X}$ is completely determined by the relation $=_x$ restricted to $[m(4p), m(4p+5)]$. ■

Claim 16 tells us that for feasible X the function $f_{p,X}$ depends only on $X \cap e_{4p+2}$. In other words, given a subset $d_p \subset e_{4p+2}$ there is a unique bijection $f_p[d_p]: r \rightarrow r$ such that $f_{p,X} = f_p[d_p]$ whenever X is feasible and $X \cap e_{4p+2} = d_p$. But there are only $r!$ bijections from r to r , and hence by (vii) we can find

$b'_p \not\subseteq c'_p \subseteq e_{4p+2}$ such that $f_p[b'_p] = f_p[c'_p]$. This shows that there are feasible sequences \bar{b}, \bar{c} such that $f_{X_0} = f_{X_1}$ for all $\langle k, \bar{b}, \bar{c} \rangle$ -amenable sets X_0, X_1 , which concludes the proof of (8).

(7) is an easy consequence of (8) and Claim 14, because $E \cap [m(0), m(1)]$ is a $*$ -witness and $F^*(X_0) \cap m(1)^n = F^*(X_1) \cap m(1)^n$ for all amenable X_0 and X_1 .

This concludes the proof of Sublemma 15, Lemma 6 and Theorem 1. ■ ■ ■

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Received 12 December 1986

Sur le nombre de côtés d'une sous-variété

by

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Abstract. Let A be a connected, locally connected and locally closed subset of a metric space X which is locally two-sided in X in the sense that every point x of A has arbitrary small connected neighbourhoods U such that $U \setminus A$ has exactly two components whose closure contains x . We use elementary methods from sheaf theory to study when A is globally two-sided in X (i. e., A has a connected neighbourhood V such that $V \setminus A$ is not connected). We give some applications to concrete examples.

1. Introduction et notations. Soient X une variété de dimension $n+1$, et A une variété connexe de dimension n (pas nécessairement fermée) contenue dans X . On dit que A a deux côtés dans X si elle a un voisinage ouvert connexe W dans X tel que $W \setminus A$ ait exactement deux composantes; sinon, on dit que A n'a qu'un côté dans X . Le problème de reconnaître quand A a deux côtés se pose naturellement, et divers résultats partiels sont connus, l'un des plus généraux étant celui de Rushing [7] selon lequel une n -variété simplement connexe localement plate dans X a deux côtés (et a même un double collier dans X). Rushing remarque aussi que les techniques de la topologie algébrique ne semblent pas suffire à montrer qu'une n -variété orientable non fermée dans S^{n+1} a deux côtés. Nous montrerons dans cet article que l'utilisation des premiers éléments de la théorie des faisceaux permet de caractériser les sous-variétés ayant deux côtés (voir le corollaire 2.2). L'avantage de notre approche abstraite est qu'elle s'applique à des espaces beaucoup plus généraux que les variétés; il suffit que A soit un sous-espace localement fermé et localement connexe d'un espace métrique X "séparant localement X en deux morceaux". A titre d'exemple d'applications de ce raisonnement général, nous prouverons les résultats suivants:

(1) Soit A un sous-ensemble connexe et localement connexe d'un espace métrique X qui a en tout point un double collier local dans X (voir section 2 pour la définition). Alors

(a) Si A n'admet pas de revêtement non trivial à deux feuillets, A a un double collier dans X .

(b) Pour toute distance admissible d sur X , A a un double collier dans X si, et