

(Such an  $r$  must exist by Observation 3.8 ii). Thus by Ramsey's Theorem there must be an infinite  $X \subset \omega$ , which is homogeneous for  $f$ . That is, there is  $s \leq n$  such that if  $i, j$  and  $k$  are in  $X$  and  $i < j < k$  then

$$(*) \quad M \models \psi_s(\bar{a}_k, \bar{c}_{ij}).$$

Now choose  $i_0 < i_1 < i_2 < i_3 < i_4$  all in  $X$ . Thus by  $(*)$ , we have

$$M \models \psi_s(\bar{a}_{i_4}, \bar{c}_{i_0 i_1}) \wedge \psi_s(\bar{a}_{i_2}, \bar{c}_{i_0 i_1}) \wedge \psi_s(\bar{a}_{i_4}, \bar{c}_{i_2 i_3}).$$

But  $\psi_s \in \Phi$ . Thus it easily follows (from Definition 3.1 ii) that  $M \models \psi_s(\bar{a}_{i_2}, \bar{c}_{i_2 i_3})$ . But this contradicts Observation 3.8 i). This contradiction proves that  $M$  is relatively homogeneous. As in the proof of Proposition 2.9 it follows that there is some type  $q$  of  $T$  which is omitted in  $M$ . Let  $N$  be prime over a realisation of  $q$ . Then  $M, N$  and the prime and countable saturated models of  $T$  give us our four models. So Proposition 3.3 is proved.

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## Fixed point theorems and almost continuity

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**Abstract.** In 1959, John Stallings asked the following question which he attributed to K. Borsuk: Suppose  $K$  is a non-separating planar continuum contained in the interior of a disk  $D$ . Is there an almost continuous function  $r: D \rightarrow D$  such that  $r(D) = K$  and  $r|_K = \text{id}$ ? We answer this question negatively. We also show that if  $X_0 \supset X_1 \supset \dots \supset X_n \supset X_{n+1} \supset \dots$  is a sequence of ARs, with retractions  $f_n: X_{n-1} \rightarrow X_n$ , such that  $x \in X_{n-1} \sim X_n$  implies  $f_n(x) \in \cap X_i$ , then  $\cap X_i$  has the fixed point property.

**1. Introduction.** Throughout this paper  $X, Y$  and  $Z$  will denote topological spaces. A map is a continuous function. When  $f: X \rightarrow Y$  may not be continuous, we refer to it simply as the function  $f$ . An absolute retract (AR) is a retract of the Hilbert cube. A space  $X$  has the fixed point property, if for each map  $f: X \rightarrow X$  there exists  $x \in X$  such that  $f(x) = x$ . The graph of a function  $f: X \rightarrow Y$  is the subset of  $X \times Y$  consisting of the points  $(x, f(x))$ ; this set will be symbolized  $\Gamma(f)$ .

J. Stallings [11] defined a class of functions, which he named almost continuous, for the purpose of studying the fixed point property.

**DEFINITION 1** [11, p. 252]. A function  $f: X \rightarrow Y$  is almost continuous if for each open subset  $\mathcal{U}$  of  $X \times Y$  such that  $\Gamma(f) \subset \mathcal{U}$ , there exists a map  $g: X \rightarrow Y$  such that  $\Gamma(g) \subset \mathcal{U}$ .

**THEOREM 1** [11, p. 252]. A Hausdorff space  $X$  has the fixed point property if and only if every almost continuous function  $f: X \rightarrow X$  leaves a point fixed.

**THEOREM 2** [11, p. 260]. If  $f: X \rightarrow Y$  is almost continuous and  $g: Y \rightarrow Z$  is a map, then  $gf: X \rightarrow Z$  is almost continuous.

**DEFINITION 2.** If  $Y \subset X$  and  $r: X \rightarrow X$  is an almost continuous function such that  $r(X) = Y$  and  $r(x) = x$  for all  $x \in Y$ , then  $r$  is called a quasi retraction and  $Y$  is called a quasi retract of  $X^{(1)}$ .

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<sup>(1)</sup> In the literature quasi retractions have been called almost continuous retractions. We have avoided the term "almost continuous retraction" because it has also been used for almost continuous  $r: X \rightarrow Y$  such that  $r(x) = x$  for all  $x \in Y$ .

**THEOREM 3.** *If  $X$  is a Hausdorff space with the fixed point property,  $Y \subset X$  and each map  $g: Y \rightarrow Y$  has a continuous extension  $G: X \rightarrow X$ , and if  $Y$  is a quasi retract of  $X$ , then  $Y$  has the fixed point property.*

**Proof.** Let  $g: Y \rightarrow Y$  be a map and let  $G: X \rightarrow X$  be its extension. Let  $r: X \rightarrow X$  be a quasi retraction associated with  $Y$ . By Theorem 2,  $Gr: X \rightarrow X$  is almost continuous. Hence by Theorem 1 there is  $x \in X$  such that  $Gr(x) = x$ . But  $r(x) \in Y$ . So  $Gr(x) = gr(x) \in Y$ , therefore  $x \in Y$ . Thus  $r(x) = x$ . Hence  $x = g(x)$ .

**COROLLARY.** *If  $X$  is an AR and  $Y$  a closed quasi retract of  $X$ , then  $Y$  has the fixed point property.*

B. Garrett pointed out the assumption in Theorem 3, that each map  $g: Y \rightarrow Y$  has a continuous extension  $G: X \rightarrow X$ , is essential by defining the following example. Let  $S$  be the sin  $1/x$  circle and  $D$  a disk such that  $S \cap D$  is an arc (see Fig. 1a). Let  $X = S \cup D$ . Let  $Y$  be the double sin  $1/x$  circle, represented in Figure 1b. Even though  $Y$  is a quasi retract of  $X$  and  $X$  has the fixed point property,  $Y$  does not have the fixed point property.

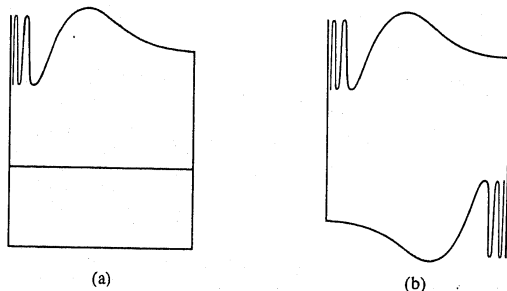


Fig. 1

Stallings' strategy was to prove that a certain continuum  $Y$  has the fixed point property, by exhibiting an AR,  $X$ , containing  $Y$  as a quasi retract. In particular he asked the following question, which he attributes to Borsuk: Let  $C$  be an

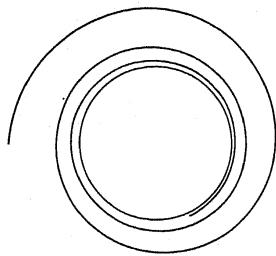


Fig. 2.

acyclic planar continuum contained in the interior of a disk  $D$ . Is  $Y$  a quasi retract of  $D$ ? [11, p. 263]. In this paper we answer this question negatively, by showing that the disk with a spiral about its boundary is not a quasi retract of any AR. This object is represented in Figure 2.

**2. Almost continuous approximation.** An almost continuous function is a function approximated by maps in the sense of Definition 1. A natural question to ask is: How is this approximation associated to the familiar pointwise and uniform convergence of functions? The following definitions and theorems answer this question.

Given spaces  $X, Y$ , we let  $C(X, Y)$  denote the space of all maps from  $X$  into  $Y$ , with the compact open topology. We let  $A(X, Y)$  denote the set of all almost continuous functions from  $X$  into  $Y$ . If  $S$  is a subset of  $X$  by C1S we denote the closure of  $S$  in  $X$ .

**DEFINITION 3.** A sequence  $\{f_n\}$  of functions of  $X$  into  $Y$  *almost continuously approximates* a function  $f: X \rightarrow Y$  if for every sequence  $\{x_n\} \subset X$ , either there exists  $n$  such that  $f_n(x_n) = f(x_n)$  or there exists a subsequence  $\{x_{n_i}\} \subset \{x_n\}$  and  $x \in X$  such that  $x_{n_i} \rightarrow x$  and  $f_{n_i}(x_{n_i}) \rightarrow f(x)$ .

The next theorem shows that if  $\{f_n\}$  almost continuously approximates  $f$ , then  $\{f_n\}$  approximates  $f$  in the same sense that maps approximate an almost continuous function.

**THEOREM 4.** *The sequence  $\{f_n\}$  almost continuously approximates  $f$  if and only if for each open  $\mathcal{U} \subset X \times Y$ ,  $\Gamma(f) \subset \mathcal{U}$  implies that for some  $n$ ,  $\Gamma(f_n) \subset \mathcal{U}$ .*

**Proof.** For the "only if" part let  $B = X \times Y \sim \mathcal{U}$ . If for all  $n$ ,  $\Gamma(f_n) \not\subset \mathcal{U}$ , then for each  $n$  there exists  $x_n$  such that  $(x_n, f_n(x_n)) \in B$ . But  $\{f_n\}$  almost continuously approximates  $f$  and since  $B$  is closed we infer that  $\Gamma(f) \cap B \neq \emptyset$ . A contradiction.

For the "if" part assume  $\{x_n\} \subset X$ . Let  $\mathcal{U} = X \times Y \sim \text{Cl}\{(x_n, f_n(x_n))\}$ . Since for all  $n$ ,  $\Gamma(f_n) \not\subset \mathcal{U}$ , we conclude that  $\Gamma(f) \not\subset \mathcal{U}$  so  $\Gamma(f) \cap \text{Cl}\{(x_n, f_n(x_n))\} \neq \emptyset$ . Hence  $\{f_n\}$  almost continuously approximates  $f$ .

**THEOREM 5.** *If  $\{f_n\} \subset A(X, Y)$  and  $\{f_n\}$  almost continuously approximates  $f$ , then  $f \in A(X, Y)$ .*

**Proof.** For any open set  $\mathcal{U}$  such that  $\Gamma(f) \subset \mathcal{U} \subset X \times Y$ , there is some  $n$  such that  $\Gamma(f_n) \subset \mathcal{U}$ , but since  $f$  is almost continuous there is a map  $g: X \rightarrow Y$  such that  $\Gamma(g) \subset \mathcal{U}$ .

Theorems 4 and 5 apply to arbitrary topological spaces  $X$  and  $Y$ . We proceed to show in the case that  $X$  and  $Y$  are compact and metrizable,  $f: X \rightarrow Y$  is almost continuous if and only if for some sequence  $\{f_n\} \subset C(X, Y)$ ,  $\{f_n\}$  almost continuously approximates  $f$ . More specifically we show that if  $\{f_n\}$  is a countable dense subset of  $C(X, Y)$ , then for any almost continuous function  $f: X \rightarrow Y$ ,  $\{f_n\}$  almost continuously approximates  $f$ . Hence by Theorem 4, for every open  $\mathcal{U} \subset X \times Y$  if  $\Gamma(f) \subset \mathcal{U}$  then for some  $n$ ,  $\Gamma(f_n) \subset \mathcal{U}$ .

DEFINITION 4. A sequence  $\{f_n\}$  in  $C(X, Y)$  converges continuously to an  $f \in C(X, Y)$  if  $f_n(x_n) \rightarrow f(x)$  for each  $x \in X$  and sequence  $x_n \rightarrow x$ .

It turns out that if  $X$  and  $Y$  are compact metric spaces, continuous convergence is equivalent to uniform convergence in  $C(X, Y)$  [3, p. 268].

THEOREM 6. Assume  $X$  and  $Y$  are compact metric spaces. Then  $f \in A(X, Y)$  if and only if there exists a sequence  $\{f_n\} \subset C(X, Y)$  such that  $\{f_n\}$  almost continuously approximates  $f$ .

Proof. The "if" part follows from Theorem 4 and the fact that  $C(X, Y) \subset A(X, Y)$ .

For the "only if" part suppose  $f \in A(X, Y)$ . Let  $\{f_n\}$  be a countable dense subset of  $C(X, Y)$ . We claim  $\{f_n\}$  almost continuously approximates  $f$ . Given any sequence  $\{x_n\} \subset X$ , let  $B = \text{Cl}\{(x_n, f_n(x_n))\}$ . If  $g \in C(X, Y)$  then there exists a subsequence  $\{x_{n_i}\}$  which converges uniformly, and hence continuously to  $g$ . We may assume  $\{x_{n_i}\}$  is convergent (if not we could take a convergent subsequence of  $\{x_{n_i}\}$ , say  $x_{n_i} \rightarrow x$ . Then  $f_{n_i}(x_{n_i}) \rightarrow g(x)$ , so  $(x, g(x)) \in B$ . We have shown that  $g \in C(X, Y)$  implies that  $\Gamma(g) \cap B \neq \emptyset$  and since  $f \in A(X, Y)$  we have that  $\Gamma(f) \cap B \neq \emptyset$ . From the definition of  $B$  it follows that  $\{f_n\}$  almost continuously approximates  $f$ .

From the proof of Theorem 6, we extract the following:

COROLLARY. If  $X$  and  $Y$  are compact metric spaces, then for any  $f \in A(X, Y)$ , and any countable dense subset  $\{f_n\}$  of  $C(X, Y)$ ,  $\{f_n\}$  almost continuously approximates  $f$ .

From this corollary we conclude that  $\{f_n\}$  almost continuously approximates  $f$  does not imply that  $\{f_n\}$  converges pointwise to  $f$ . Therefore in the spirit of Definition 4, we introduce the idea of almost continuous convergence.

DEFINITION 5. A sequence  $\{f_n\}$  in  $A(X, Y)$  converges almost continuously to  $f$ , if  $\{f_n\}$  converges pointwise to  $f$  and  $\{f_n\}$  almost continuously approximates  $f$ .

Clearly if some sequence  $\{f_n\} \subset C(X, Y)$  converges almost continuously to  $f$ , then  $f \in A(X, Y)$ , the converse however is not true. K. Kellum [6], has defined a function  $f \in A(I, Y)$ , where  $I$  is an arc and  $Y$  any 2nd countable space, such that the graph of  $f$  is dense in  $I \times Y$ . From [8, p. 394, Thm. 1] it follows that if  $X$  and  $Y$  are compact metric spaces and if  $\{f_n\} \subset C(X, Y)$  converges pointwise to  $f$ , then the graph of  $f$  is nowhere dense. In this paper however all the examples of almost continuous functions are of the type described in Definition 5.

**3. The main results.** We now proceed to show that the disk with a spiral about its boundary is not a quasi retract of an AR.

DEFINITION 6. For any space  $X$ , the cone  $TX$  over  $X$ , is the quotient space  $(X \times I)/R$ , where  $R$  is the equivalence relation  $(x, t) \sim (y, s)$  if and only if  $t = s = 1$  or  $x = y$  and  $t = s$  for all  $x, y \in X$  and  $s, t \in I$ .

By  $\langle x, t \rangle$  we denote the equivalence class of  $(x, t) \in X \times I$ . Given a function

$f: X \rightarrow Y$  we define a function  $Tf: TX \rightarrow TY$  by the rule  $Tf\langle x, t \rangle = \langle f(x), t \rangle$ .

LEMMA [3, p. 127]. If  $f \in C(X, Y)$  then  $Tf \in C(TX, TY)$ .

LEMMA. Suppose  $X$  and  $Y$  are compact metric spaces. If  $f \in A(X, Y)$  then  $Tf \in A(TX, TY)$ .

Proof. Since  $f \in A(X, Y)$  there exists a sequence  $\{f_n\} \subset C(X, Y)$  such that  $\{f_n\}$  almost continuously approximate  $f$ . By the above lemma,  $\{Tf_n\} \subset C(TX, TY)$ . We claim that  $\{Tf_n\}$  almost continuously approximates  $Tf$ . Let for  $n = 1, 2, 3, \dots$ ,  $\langle x_n, t_n \rangle \in TX$ . Either there is an  $n$  such that  $f_n(x_n) = f(x_n)$ , hence  $\langle f_n(x_n), t_n \rangle = \langle f(x_n), t_n \rangle$ , or for some subsequence  $\{x_{n_i}\}$  and  $x \in X$ ,  $x_{n_i} \rightarrow x$  and  $f_{n_i}(x_{n_i}) \rightarrow f(x)$ . In the last case assume with no loss of generality that  $\{t_{n_i}\}$  is convergent, say  $t_{n_i} \rightarrow t$ . Hence  $\langle x_{n_i}, t_{n_i} \rangle \rightarrow \langle x, t \rangle$  and  $\langle f_{n_i}(x_{n_i}), t_{n_i} \rangle \rightarrow \langle f(x), t \rangle$ . We have shown that either there is an  $n$  such that  $Tf_n\langle x_n, t_n \rangle = Tf\langle x_n, t_n \rangle$  or for some subsequence  $\{\langle x_{n_i}, t_{n_i} \rangle\}$ ,  $\langle x_{n_i}, t_{n_i} \rangle \rightarrow \langle x, t \rangle$  and  $Tf_{n_i}\langle x_{n_i}, t_{n_i} \rangle \rightarrow Tf\langle x, t \rangle$ . Thus  $\{Tf_n\}$  almost continuously approximates  $Tf$ .

THEOREM 7. Suppose  $X$  is a compact metric space, and that  $Y$  is a quasi retract of  $X$ , then  $TY$  is a quasi retract of  $TX$ .

Proof. If  $r: X \rightarrow X$  is a quasi retraction associated with  $Y$ , then  $Tr: TX \rightarrow TX$  is a quasi retraction associated with  $TY$ .

COROLLARY. If  $X$  is an AR and  $Y$  is a quasi retract of  $X$  then  $TY$  has the fixed point property.

R. Knill has shown that the cone over the disk with a spiral about its boundary does not have the fixed point property [7], [2]. We conclude that the disk with a spiral about its boundary is not a quasi retract of an AR.

At this point we would like to make the following parenthetical remark. If we alter Definition 2 to require  $r \in A(X, Y)$ , instead of  $r \in A(X, X)$ , we obtain a class of functions different from the quasi retracts, which we call *almost continuous retracts*. For a contrast of these two types of retracts we refer the reader to B. Garrett's article "Almost continuous retracts" [4]. It is easy to see that  $A(X, Y) \subset A(X, X)$ , hence an almost continuous retract of  $X$  is a quasi retract of  $X$ , but the converse is not true. K. Kellum studied almost continuous retracts and has the following theorem: Given a 2nd countable space  $Y$ , there exists a Peano continuum  $P$  such that  $A(P, Y) \neq \emptyset$  if and only if  $Y$  is almost Peano. That  $Y$  is almost Peano means that for each finite collection of nonempty open subsets of  $Y$  there is a Peano continuum in  $Y$  which intersects each of them [6]. Using this result we see that the double  $\sin 1/x$  curve, represented by Figure 3, is not the almost continuous retract of a disk containing it. But as we will show later, this space is a quasi retract of a disk.

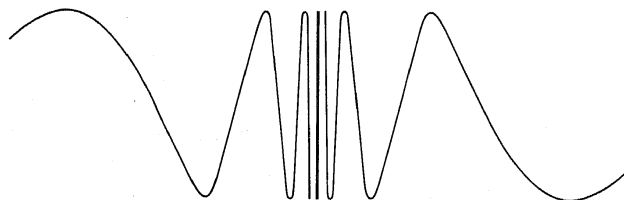


Fig.3

Returning our attention to the disk with a spiral about its boundary, we see that since it is not almost Peano, Kellum's theorem would assert that it is not an almost continuous retract of a disk. This is consistent with our result which says that the disk with a spiral about its boundary is not even a quasi retract of a disk.

We now continue with the study of some other properties of quasi retracts. First we point out that there is a theorem involving the suspension of a space, analogous to Theorem 7.

**DEFINITION 7.** For any space  $X$ , the *suspension*  $SX$  of  $X$ , is the quotient space  $(X \times I)/R$ , where  $R$  is the equivalence relation  $(x, t) \sim (y, s)$  if and only if  $t = s = 1$  or  $t = s = 0$  or  $x = y$  and  $t = s$ , for  $x, y \in X$  and  $s, t \in I$ .

**THEOREM 8.** Suppose  $X$  is a compact metric space, and that  $Y$  is a quasi retract of  $X$ , then  $SY$  is a quasi retract of  $SX$ .

The proof of Theorem 8 is similar to the proof of Theorem 7.

**DEFINITION 8.** A closed subset  $Y$  of the Hilbert cube  $H$ , is an *absolute quasi retract* (AQR) if  $Y$  is a quasi retract of  $H$ .

**THEOREM 9.** The following are equivalent.

- 1)  $Y$  is an AQR.
- 2)  $Y$  is a closed subset of the Hilbert cube  $H$ , and  $Y$  is a quasi retract of any AR containing it.
- 3)  $Y$  is a closed quasi retract of an AR.

**Proof.** We first show that 1) implies 2). Let  $X$  be an AR containing  $Y$ . Let  $f \in A(H, H)$  be a quasi retraction associated with  $Y$ . By [11; p. 260, Prop. 2]  $f|X \in A(X, H)$ . Let  $r: H \rightarrow X$  be a continuous retraction. By Theorem 2  $rf|X \in A(X, X)$ . Thus  $Y$  is a quasi retract of  $X$  with  $rf|X$  its associated quasi retraction. It is clear that 2) implies 3). It remains to show that 3) implies 1). If  $X$  is an AR and  $Y$  a closed quasi retract of  $X$ , let  $r: H \rightarrow X$  be a continuous retraction and  $f \in A(X, X)$  be a quasi retraction associated with  $Y$ . Let  $\{f_n\} \subset C(X, X)$  such that  $\{f_n\}$  almost continuously approximates  $f$ . Extend each  $f_n$  to  $F_n \in C(H, H)$ . We claim  $\{F_n r\}$  almost continuously approximates  $fr$ . Let  $\{x_n\} \subset H$ , then  $\{r(x_n)\} \subset X$ . Hence either there exists  $n$  such that  $f_n r(x_n) = fr(x_n)$ , in which case  $F_n r(x_n) = fr(x_n)$ , or there exists a

subsequence  $\{x_{n_i}\}$  such that  $r(x_{n_i}) \rightarrow y$  and  $f_{n_i} r(x_{n_i}) \rightarrow f(y)$ . We may assume with no loss of generality that  $x_{n_i} \rightarrow x$ . Hence  $r(x_{n_i}) \rightarrow r(x) = y$ . Thus  $f_{n_i} r(x_{n_i}) = F_{n_i} r(x_{n_i}) \rightarrow fr(x)$ . Therefore  $fr \in A(H, H)$ , is the quasi retraction associated with  $Y$ .

We will show that the product of an AR with an AQR is an AQR, for this we will use the following:

**THEOREM 10.** Let  $X, Y$  and  $Z$  be compact metric spaces. If  $f \in A(X, Y)$  and  $g \in C(X, Z)$  then  $F(x) = (f(x), g(x))$  is in  $A(X, Y \times Z)$ .

**Proof.** Let  $\{f_n\} \subset C(X, Y)$  such that  $\{f_n\}$  almost continuously approximates  $f$ . For each  $n$  let  $F_n(x) = (f_n(x), g(x))$ ,  $F_n \in C(X, Y \times Z)$ . It is easy to show that  $\{F_n\}$  almost continuously approximates  $F$ .

**COROLLARY.** Let  $X, Y, Z$  and  $W$  be compact metric spaces. If  $f \in A(X, Y)$  and  $g \in C(Z, W)$ , then  $F \in A(X \times Z, Y \times W)$ , where  $F(x, z) = (f(x), g(z))$ .

**Proof.** Let  $p_1 \in C(X \times Z, X)$ ,  $p_2 \in C(X \times Z, Z)$  be the projection maps. Then  $fp_1 \in A(X \times Z, Y)$  by [11, p. 261] and  $gp_2 \in C(X \times Z, W)$ . Since  $F(x, z) = (fp_1(x, z), gp_2(x, z))$ , by Theorem 10,  $F \in A(X \times Z, Y \times W)$ .

**THEOREM 11.** If  $X$  is an AR and if  $Y$  is an AQR, then  $X \times Y$  is an AQR.

**Proof.** Let  $H$  be the Hilbert cube,  $r: H \rightarrow X$  be a continuous retraction, and  $f: H \rightarrow H$  be a quasi retraction associated with  $Y$ . Let  $R(a, b) = (r(a), f(b))$ . By the previous corollary,  $R \in A(H \times H, H \times H)$ . Hence  $X \times Y$  is a quasi retract of  $H \times H$  and thus it is an AQR.

**COROLLARY.** If  $X$  is an AR and  $Y$  an AQR, then  $X \times Y$  has the fixed point property.

R. Knill [7] has shown that if  $Y$  is the can-with-a-skirt in Figure 8 of [2], then  $Y$  has the fixed point property, but  $I \times Y$  does not have the fixed point property. From the above corollary, we conclude that  $Y$  is not an AQR.

One might hope to get a stronger version of Theorem 10, by allowing  $g \in A(X, Z)$ . The following example shows this cannot be done.

Let  $B = \{z: |z| < 1\}$  be the unit disk in the plane.

Let  $I = [-1, 1]$  and define functions  $f: I \times I \rightarrow I$  and  $g: I \times I \rightarrow I$  as follows:

$$f(z) = \begin{cases} \cos \frac{2\pi}{1-|z|} & \text{if } |z| < 1, \\ p_1(z) & \text{if } |z| \geq 1, \end{cases} \quad g(z) = \begin{cases} \sin \frac{2\pi}{1-|z|} & \text{if } |z| < 1, \\ p_2(z) & \text{if } |z| \geq 1. \end{cases}$$

We claim that  $f, g \in A(I \times I, I)$ . For  $n = 2, 3, 4, \dots$  the restriction of the function  $\cos x$  to the interval  $[n\pi, (n+1)\pi]$  is a homeomorphism. Hence its inverse  $\cos^{-1}x$  is a homeomorphism of  $I$  onto  $[n\pi, (n+1)\pi]$ . Similarly, define  $\sin^{-1}: I \rightarrow [n\pi, (n+1)\pi]$ . Let for  $n = 2, 3, 4, \dots$

$$f_n = \begin{cases} \cos \frac{2\pi}{1-|z|} & \text{if } |z| \leq 1 - \frac{2\pi}{\cos_n^{-1} p_1(z)}, \\ p_1(z) & \text{if } |z| > 1 - \frac{2\pi}{\cos_n^{-1} p_1(z)}, \end{cases}$$

$$g_n = \begin{cases} \sin \frac{2\pi}{1-|z|} & \text{if } |z| \leq 1 - \frac{2\pi}{\sin_n^{-1} p_2(z)}, \\ p_2(z) & \text{if } |z| > 1 - \frac{2\pi}{\sin_n^{-1} p_2(z)}, \end{cases}$$

$f_n, g_n \in C(I \times I, I)$  because if  $|z| = 1 - \frac{2\pi}{\cos_n^{-1} p_1(z)}$  then  $p_1(z) = \cos \frac{2\pi}{1-|z|}$ , and if

$|z| = 1 - \frac{2\pi}{\sin_n^{-1} p_2(z)}$ , then  $p_2(z) = \sin \frac{2\pi}{1-|z|}$ . We claim that  $\{f_n\}$  almost continuously approximates  $f$  and that  $\{g_n\}$  almost continuously approximates  $g$ . To check this, let  $\{z_n\} \subset I \times I$ . If for each  $n \geq 2$ ,  $f_n(z_n) \neq f(z_n)$ , then  $|z_n| < 1$  and  $f_n(z_n) = p_1(z_n)$ . Let  $\{z_{n_i}\}$  be a subsequence of  $\{z_n\}$  converging to some  $z \in I \times I$ . Because  $f_{n_i}(z_{n_i}) = p_1(z_{n_i})$ , we must have that  $|z| = 1$ , hence  $p_1(z) = f(z)$ . By the continuity of  $p_1$  we conclude that  $f_{n_i}(z_{n_i}) \rightarrow f(z)$ . We have shown that  $\{f_n\}$  almost continuously approximates  $f$ .

Similarly one can show that  $\{g_n\}$  almost continuously approximates  $g$ . Hence,  $f, g \in A(I \times I, I)$ . Now let  $F(z) = (f(z), g(z))$ . If  $F \in A(I \times I, I \times I)$  then  $I \times I \sim B$  would be a quasi retract of  $I \times I$ . This is a contradiction because  $I \times I \sim B$  does not have the fixed point property. Therefore  $F \notin A(I \times I, I \times I)$  even though  $f, g \in A(I \times I, I)$ .

Theorems 7, 8 and 11 tell us how to construct new quasi retracts from old. The next theorem is of a different nature, giving a sufficient condition for a space to be a quasi retract.

**THEOREM 12.** *If  $X_0$  is compact, and if  $X_0 \supset X_1 \supset X_2 \supset \dots$  is a sequence of subspaces of  $X_0$ , with retractions  $f_n: X_{n-1} \rightarrow X_n$ , such that  $x \in X_{n-1} \sim X_n$  implies  $f_n(x) \in \bigcap X_i$ , then  $\bigcap X_i$  is a quasi retract of  $X_0$ .*

**Proof.** We define a function  $f: X_0 \rightarrow X_0$  as follows:  $f(x) = x$  if  $x \in \bigcap X_i$  and  $f(x) = f_n(x)$  if  $x \in X_{n-1} \sim X_n$ . Let  $g_n = f_n \circ f_{n-1} \dots \circ f_1$  for  $n = 1, 2, 3, \dots$ ;  $g_n \in C(X_0, X_0)$ . We claim that  $\{g_n\}$  converges almost continuously to  $f$ , and hence  $f \in A(X_0, X_0)$ , thus  $f$  is a quasi retraction. It is clear that  $\{g_n\}$  converges pointwise to  $f$ . We proceed to show that  $\{g_n\}$  almost continuously approximates  $f$ .

Let  $A_n = \{x \in X_0: f(x) \neq g_n(x)\}$ , we show that  $A_n = X_n \sim \bigcap X_i$ . If  $x \in X \sim X_n$  then for some  $m \leq n$ ,  $x \in X_{m-1} \sim X_m$ . Hence  $f_m(x) = f(x)$  and  $f_1(x) = f_2(x) = \dots = f_{m-1}(x) = x$ . Therefore  $f(x) = g_m(x) \in \bigcap X_i$ . Hence  $g_n(x) = f_n \circ f_{n-1} \dots \circ g_m(x) = g_m(x)$ . Thus  $f(x) = g_n(x)$ , and we conclude that  $A_n \subset X_n$ . If  $x \in \bigcap X_i$  then  $f(x) = x = g_n(x)$ . Therefore  $A_n \subset X_n \sim \bigcap X_i$ . If  $x \in X_n \sim \bigcap X_i$ ,

then for some  $k > n$ ,  $x \in X_{k-1} \sim X_k$ . Hence  $f(x) = f_k(x) \in \bigcap X_i$ , thus  $x \neq f(x)$ . But since  $x \in X_n$ , for any  $m \leq n$ ,  $f_m(x) = x$ , thus  $g_n(x) = x$ . Therefore  $f(x) \neq g_n(x)$ , and we conclude that  $X_n \sim \bigcap X_i \subset A_n$ .

Now we are ready to verify the conditions of Definition 3. Let  $\{x_n\} \subset X_0$ . If for all  $n$ ,  $f_n(x_n) \neq f(x_n)$  then for each  $n$ ,  $x_n \in A_n$ . Let  $x_{n_i} \rightarrow x$ . Now since  $A_n \subset X_n$ ,  $f_{n_i}(x_{n_i}) = x_{n_i}$ . So  $f_{n_i}(x_{n_i}) \rightarrow x$ . Also  $x \in \bigcap X_i \subset A_n \subset \bigcap X_i$ . Hence  $f(x) = x$ .

**COROLLARY.** *For  $n = 1, 2, 3, \dots$  let  $X_n$  be as in Theorem 12, and also assume that  $X_0$  is an AR, then  $\bigcap X_i$  has the fixed point property.*

Theorem 12 may be used to show that for the spaces  $X$  and  $Y$  represented in Figure 1,  $Y$  is a quasi retract of  $X$ . Also one can use Theorem 12 to show that the double  $\sin 1/x$  curve of Figure 3 is a quasi retract of a disk containing it. The cone over a Cantor set, Knaster's  $U$ -continuum [9, p. 205] and Ingram's  $T$ -like non-chainable continuum [5], represented in Figure 4, are also examples of quasi retracts of a disk containing them, by virtue of Theorem 12.

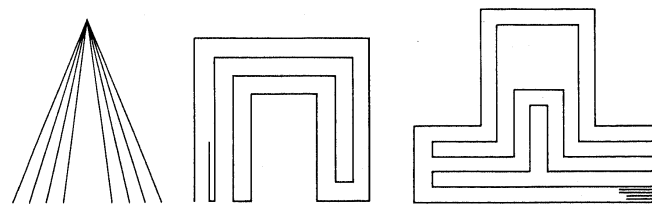


Fig.4

Let  $D$  be a topological disk. Dig into  $D$ , a canal, by removing from  $D$ , the interior of a topological disk which intersects the boundary of  $D$  at an arc (this arc is also removed). In the resulting continuum, dig a canal as described above, starting from the boundary of  $D$ . Continue this process inductively, always starting a canal from the boundary of  $D$ . The continuum thus obtained will be a quasi retract of the disk  $D$  by virtue of Theorem 12. Hence it will have the fixed point property. Each of the continua of Figure 4 can be constructed in this manner. As indicated by Theorem 7, the disk with a spiral (Figure 2) is not a quasi retract of a disk therefore it is not of this type. Note that the canal of this continuum is not obtained by removing from a disk the interior of a topological disk. However, according to the Bell-Sieklucki Theorem [1], [10], the continuum of Figure 2 has the fixed point property. This theorem states that if a non-separating planar continuum does not have the fixed point property, then it has an indecomposable subcontinuum in its boundary.

Let  $X$  be an arc with a spiral as shown in Figure 5a. From our result that the disk with a spiral of Figure 2 is not a quasi retract of a disk, one



may also think that  $X$  is not a quasi retract of a disk. This is not so. The continuum  $X$  can be embedded as the  $\sin 1/x$  curve as shown in Figure 5b. By Theorem 12, the continuum in Figure 5b is a quasi retract of a disk.

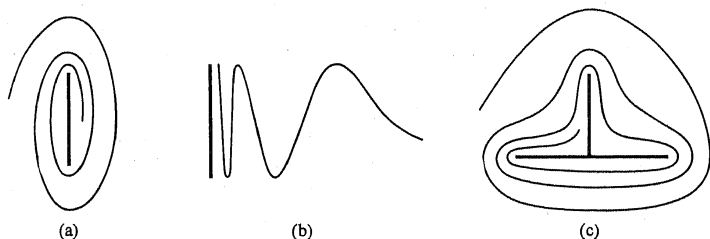


Fig.5

It is also easy to show that being a quasi retract of a disk is a topological property, i.e., it does not depend on the embedding. Hence the continuum in Figure 5a is a quasi retract of the disk. It is not known if the triod with a spiral shown in Figure 5c is a quasi retract of a disk.

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## Baire category in spaces of probability measures, II

by

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**Abstract.** Completeness relationships for a space  $X$ , and its space of probability measures  $P(X)$  are compared. All implications between  $X$  and  $P(X)$  and between compactness, local compactness, topological completeness, pseudo completeness, Baire completeness, and strong Baire completeness are resolved. The continuum hypothesis has been assumed when needed.

**1. Introduction.** In [B], completeness relationships between a separable metric space  $(X, d)$  and the space of probability measures on  $X$  endowed with the separable metric of weak convergence,  $(P(X), q)$  were investigated. It was shown that  $X \text{ PC} \rightarrow P(X) \text{ BC} \rightarrow X \text{ BC}$  and none of the implications are reversible. Here, as in [B], TC means topologically complete, PC means pseudo complete (i.e., contains a dense TC subspace), and BC means Baire complete (i.e., is a Baire space). We also denote strongly Baire complete by SBC. A space is SBC if every closed subspace is BC.

Based upon results of Prohorov [P] and Luther [L], we know that  $X \text{ compact} \leftrightarrow P(X) \text{ compact} \leftrightarrow P(X) \text{ locally compact}$ , and also that  $X \text{ TC} \leftrightarrow P(X) \text{ TC}$ . The purpose of this paper is to resolve the following diagram.

