

Proof. Use Theorem 3.4 and the observation that for any open expansion $\{U(L) \mid L \in \mathcal{L}\}$ of \mathcal{L} , the set $\{x \in X \mid \{L \in \mathcal{L} \mid x \in U(L)\} \text{ is finite}\}$ is an F_σ -set.

We close this paper with a question related to the results of Theorems 3.2 and 3.3 above.

QUESTION 3.6. Does there exist, in ZFC, a topological space that is not σ -discrete but whose every subset is a G_δ -set?

Under $\text{MA} + \neg \text{CH}$, such spaces do exist, and they can even be metrizable (in fact, subspaces of R ; see [15] or [14]). By a result in [13], no space giving an affirmative answer to the above question could be normal and first countable.

Added in proof. Independently of the author, Z. Balogh has shown that, under PMEA, a space of character less than \mathfrak{c} is σ -discrete, if every subset of the space is an F_σ -set.

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Retracts and homotopies for multi-maps

by

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Abstract. By means of upper semi-continuous multi-functions defined on compacta and with values of trivial shape we introduce the notions of multi-retracts and multi-homotopies. We give some characterizations of absolute multi-retracts and absolute neighborhood multi-retracts and apply the notion of multi-homotopy to the construction of groups, called multi-homotopy groups. In particular, we show that if $Y \in \text{ANR}$, then the n th multi-homotopy group of the space (Y, γ) is isomorphic to the n th shape group of this space.

1. Introduction. Throughout this paper all spaces are compact and metric. By a multi-function φ from a space X to a space Y ($\varphi: X \rightarrow Y$) we mean one that assigns to every point $x \in X$ a closed non-empty subset $\varphi(x)$ of Y . The upper semi-continuity (shortly u.s.c.) of $\varphi: X \rightarrow Y$ means that the graph Φ of φ defined as

$$\Phi = \{(x, y) \in X \times Y \mid y \in \varphi(x)\}$$

is closed in $X \times Y$. A map denotes, as usual, a continuous function. The notion of shape is understood in the sense of Borsuk [3]. A u.s.c. multi-function $\varphi: X \rightarrow Y$ is called a *multi-map* of X into Y if $\varphi(x)$ is a set of trivial shape for every $x \in X$. By an *extension* of a u.s.c. multi-function $\varphi: X \rightarrow Y$ onto $M \supset X$ we mean a u.s.c. multi-function $\varphi': M \rightarrow Y$ such that $\varphi' \upharpoonright X = \varphi$ and $\varphi'(x)$ has the shape of a point for every $x \in M \setminus X$. We say that a map f of Y onto X is a *cellular map* (compare [12]) if $f^{-1}(x)$ has trivial shape for every $x \in X$. Let us note that, if f is a cellular map of Y onto X , then the multi-function $\varphi: X \rightarrow Y$, defined by the formula $\varphi(x) = f^{-1}(x) \subset Y$ is a multi-map. Let us call such a multi-function an *inverse* of the map f . We say that X is *countable-dimensional* if it is the union of a countable family of finite-dimensional subspaces.

In the sequel we will need the following theorems:

1.1. THEOREM (Kozłowski [9] thms 9 and 12). *Let f be a cellular map of a space Y onto X such that the set $\{x \in X \mid f^{-1}(x) \text{ is a nondegenerate set}\}$ is contained in a compact and countable-dimensional subset of X . Then for every closed subset A of X the map $f \upharpoonright f^{-1}(A): f^{-1}(A) \rightarrow A$ is a shape equivalence. Moreover, if $Y \in \text{ANR}$, then $X \in \text{ANR}$.*

1.2. THEOREM ([14], [15]). *Let φ be a multi-map of a space X into $Y \in \text{ANR}$, where $X \subset M$. If $\dim(M \setminus X) < \infty$ or X is countable-dimensional, then there exists a neighborhood U of X in M such that φ has an extension onto U . Moreover, if $Y \in \text{AR}$, then φ has an extension onto M .*

By a Z -set in a space Y (compare [1], p. 366) we mean a closed set $X \subset Y$ such that for every $\varepsilon > 0$ there exists a map $g: Y \rightarrow Y \setminus X$, ε -near to the identity map id_Y . We use $X \cong Y$ to indicate that X is homeomorphic to Y . Q denotes the Hilbert cube. Small Greek letters are reserved to denote multi-functions. I is the unit interval $(0, 1)$ of reals.

2. Multi-retracts. Suppose that Y is a subset of X . Then a multi-map $\sigma: X \rightarrow Y$ is said to be a *multi-retraction of X to Y* if $y \in \sigma(y)$ for every $y \in Y$. We say that a subset Y of a space N is a *neighborhood multi-retract in the space N* if there is a neighborhood U of Y in N and a multi-retraction $\sigma: U \rightarrow Y$. If $U = N$, then we call Y a *multi-retract of the space N* .

Obviously every retract of a space is a multi-retract of that space, and a neighborhood retract is a neighborhood multi-retract. Moreover, if $N \supset Y \in \text{FAR}$, then Y is a multi-retract of N . Indeed, defining $\sigma: N \rightarrow Y$ so that $\sigma(y) = Y$ for every $y \in N$ we get a multi-retraction of N to Y . Hence we infer that not every multi-retract of a space is a retract of that space.

Let σ be a multi-retraction of X to Y . Denote by $\Sigma \subset X \times Y$ the graph of σ and by p and q the projections of Σ onto X and Y , respectively. Then $p: \Sigma \rightarrow X$ is a cellular map. Moreover, if X has finite dimension, then by ([13], p. 86) $\text{Sh}(X) = \text{Sh}(\Sigma)$. But $\text{Sh}(Y) \leq \text{Sh}(\Sigma)$, because Y is homeomorphic to the diagonal of the set $Y \times Y$, which is a retract of Σ . Hence we infer (compare [8], Theorem 1) that

2.1. If Y is a multi-retract of a finite-dimensional space X , then $\text{Sh}(X) \geq \text{Sh}(Y)$, and, by ([3], p. 151, (1.10))

2.2. A multi-retract of a finite-dimensional and movable space is movable.

Let us note that the analogous properties for infinite-dimensional compacta fail (see Example 2.11).

A space Y will be called an *absolute neighborhood multi-retract* ($Y \in m\text{-ANR}$) provided that, for every space $N \supset Y$, Y is a neighborhood multi-retract of N . If Y is a multi-retract of every space $N \supset Y$, then we call Y an *absolute multi-retract* ($Y \in m\text{-AR}$).

We have the following properties

2.3. A space homeomorphic to an $m\text{-AR}$ -space is an $m\text{-AR}$ -space.

2.4. A space homeomorphic to an $m\text{-ANR}$ -space is an $m\text{-ANR}$ -space.

2.5. $Y \in m\text{-AR} \Leftrightarrow Y \subset N \in \text{AR}$ is a multi-retract of N .

2.6. $Y \in m\text{-ANR} \Leftrightarrow Y \subset N \in \text{AR}$ is a neighborhood multi-retract in N .

We show that

2.7. $Y \in m\text{-AR} \Leftrightarrow$ for each closed subset X of a space M and for every map $f: X \rightarrow Y$ there is a multi-map $\varphi: M \rightarrow Y$ such that $f(x) \in \varphi(x)$ for every $x \in X$.

Indeed, if $Y \in m\text{-AR}$ and f is a map of X into $Y \subset Q$, then there exist a multi-retraction $v: Q \rightarrow Y$ and a map $f': M \rightarrow Q$, such that $f'(x) = f(x)$ for every $x \in X$. Then the composition $v \circ f'$ is a multi-map of X into Y such that $(v \circ f')(x) = v(f(x))$ for every $x \in X$.

To prove the sufficiency let us put $X = Y \subset Q = M$, $f = \text{id}_Y$. Then there is a multi-map $\varphi: Q \rightarrow Y$ such that $y \in \varphi(y)$ for every $y \in Y$. It follows that φ is a multi-retraction of Q to Y , and by 2.5 we infer that $Y \in m\text{-AR}$.

In a similar way one can prove the following

2.8. $Y \in m\text{-ANR} \Leftrightarrow$ for each closed subset X of a space M and for every map $f: X \rightarrow Y$ there exist a neighborhood U of X in M and a multi-map $\varphi: U \rightarrow Y$ such that $f(x) \in \varphi(x)$ for every $x \in X$.

Notice that it is not possible to replace the condition $f(x) \in \varphi(x)$ in 2.7 and 2.8 by the condition $\varphi|_X = f$. This results from the following known fact:

2.9. If id_Y has an extension φ onto a locally connected space $X \supset Y$, then Y is also locally connected.

Proof. Assume that Y is not locally connected at a point $p \in Y$. Then there is a closed neighborhood U of p in Y and a sequence $(p_n)_{n=1}^{\infty}$ of points of Y convergent to p and such that if $i \neq j$, then p_i and p_j belong to different components of the neighborhood U . Let $0 < \varepsilon < \text{diam } U$. Since φ is u.s.c., there exists a number η , $0 < \eta < \varepsilon$, such that if $q(x, p) < \eta$, $x \in X$, then $\varphi(x)$ is contained in the ball $K \subset Y$ with center p and radius ε . It follows from the local connectedness of X that for arbitrarily given $\delta > 0$ there exists a closed and connected neighborhood V of p in X with a diameter less than δ . Let us take $\delta = \eta$. There is an index i such that $p_i \in V$ and $p_i \neq p$. Then the image $\varphi(V) \subset U$ of a connected set is a connected set containing the points p and p_i , which is impossible. Hence it follows that Y must be locally connected.

We now formulate several properties of $m\text{-AR}$ (resp. $m\text{-ANR}$)-spaces which give a partial answer to the following problem: Are $m\text{-AR}$ (resp. $m\text{-ANR}$)-spaces invariant under cellular maps?

2.10. Let $\varphi: X \rightarrow Y$ be a multi-map and $g: Y \rightarrow X$ a map such that $y \in \varphi(g(y))$ for every $y \in Y$. If $X \in \text{ANR}$, then $Y \in m\text{-ANR}$. Moreover, if $X \in \text{AR}$, then $Y \in m\text{-AR}$.

Proof. Let $Y \subset M \in \text{AR}$. Since $X \in \text{ANR}$, there exists a neighborhood U of Y in M and a map $g': U \rightarrow X$ such that $g'|_Y = g$. Then the composition $\varphi \circ g': U \rightarrow Y$ is a multi-map satisfying the condition $y \in (\varphi \circ g')(y)$ for every $y \in Y$; therefore $\varphi \circ g'$ is a multi-retraction of U to Y . We infer by 2.6 that $Y \in m\text{-ANR}$.

If $X \in \text{AR}$, then putting $U = M$ in the above proof we find that $Y \in m\text{-AR}$.

In particular, if g is a cellular map of Y onto $X \in \text{ANR}$ (resp. AR), then by 2.10 $Y \in m\text{-ANR}$ (resp. $m\text{-AR}$).

2.11. EXAMPLE. Consider a cellular map of a non-movable compactum Y^* onto the Hilbert cube Q (see [16]). Non-movability Y^* implies that $Y^* \notin \text{FANR}$. However, by 2.10 $Y^* \in m\text{-AR}$.

Consequently, we infer by the fact that every FAR -space is an $m\text{-AR}$ -space that the class of $m\text{-AR}$ -spaces contains the class of FAR -spaces as its proper subclass.

2.12. Let f be a cellular map of a space X onto $Y \in m\text{-ANR}$, $Y \subset N \in \text{AR}$. If there exist a neighborhood V of Y in N and a multi-retraction $\sigma: V \rightarrow Y$ such that $\dim \sigma(y) < \infty$ for each $y \in V$, then $X \in m\text{-ANR}$. Moreover, if $Y \in m\text{-AR}$ and $V = N$, then $X \in m\text{-AR}$.

Proof. Let $X \subset Q$ and let $f': Q \rightarrow N$ be a map such that $f'(x) = f(x)$ for $x \in X$. It follows by Theorem 1.1 that the composition $f^{-1} \circ \sigma: V \rightarrow X$ (f^{-1} being the inverse of f) is a multi-map. Denote $U = f'^{-1}(V)$. Then $f^{-1} \circ \sigma \circ f': U \rightarrow X$ is also a multi-map and in addition satisfies the following condition:

$$f^{-1}[\sigma(f'(x))] = f^{-1}[\sigma(f(x))] \supset f^{-1}(f(x)) \ni x \quad \text{for every } x \in X.$$

Therefore $f^{-1} \circ \sigma \circ f'$ is a multi-retraction of U to X , and to finish the proof it suffices to apply 2.6. If $Y \in m\text{-AR}$ and $V = N$, then the proof of Theorem 2.12 is similar.

Let us observe that if f is a cellular map of X onto Y^* (Y^* being as in Example 2.11), then by Theorem 2.12 $X \in m\text{-AR}$. Indeed, the cellular Taylor map of Y^* onto Q has finite-dimensional sets as its point-inverses (see [16]), and we infer by the proof of 2.10 that the point-inverses are the values of the multi-retraction of M to Y^* .

2.13. THEOREM. Let f be a cellular map of Y onto X and suppose that one of the sets X, Y is an FAR-space. Then the cylinder $M_f = Y \times I \cup_f X$ of the map f is an $m\text{-AR}$ -space.

Proof. Let $Y \in \text{FAR}$. Every point $z \in M_f$ is of the form (y, t) , where $y \in Y$ and $t \in I$. Let us fix a point $x_0 \in X$ and let $y_0 \in Y$ be a point in $f^{-1}(x_0)$. We show that there is a multi-map $\varphi: M_f \rightarrow M_f$ such that

$$(1) \quad z \in \varphi(z) \quad \text{and} \quad x_0 \in \varphi(z) \quad \text{for every } z \in M_f.$$

Let $z = (y, t) \in M_f$. Denote by F_y the set $\{(y', t') \in M_f \mid f(y) = f(y')\}$. Then $Y \cap F_y = f^{-1}[f(y)] \in \text{FAR}$ and $F_y \in \text{FAR}$ as a cone with base $f^{-1}[f(y)]$. Hence, by ([3], (7.5), p. 321), $Y \cup F_y \in \text{FAR}$ and $(Y \cup F_y) \cup F_{y_0} \in \text{FAR}$. We define $\varphi: M_f \rightarrow M_f$ as follows:

$$\varphi(z) = \varphi((y, t)) = Y \cup F_y \cup F_{y_0} \quad \text{for } z \in M_f.$$

Then φ satisfies condition (1) and, what is easy to check, φ is a multi-map. Now, let $M_f \subset Q$. We define the multi-map $\sigma: Q \rightarrow M_f$ by the following conditions: $\sigma|_{M_f} = \varphi$, $\sigma|_{Q \setminus M_f}$ is a constant map into $\{x_0\}$. By (1), σ is a multi-retraction. Hence $M_f \in m\text{-AR}$.

If $X \in \text{FAR}$, then it suffices to repeat the procedure, defining $\varphi(z) = X \cup F_y \cup F_{y_0}$ for $z \in M_f$.

2.14. COROLLARY. Let f be a cellular map of Q onto X such that the set

$$B = \{f^{-1}(x) \mid x \in X \text{ and } f^{-1}(x) \text{ is a nondegenerate set}\}$$

is a Z-set in Q . Then $X \in m\text{-AR}$.

Proof. We may assume that $Q = Q_1 \times I$, where $Q_1 \cong Q$, and that $B \subset Q_1 \times \{1\}$. Define a map $f_1: Q_1 \rightarrow f(Q_1)$ by the formula $f_1(y) = f(y)$ for $y \in Q_1$, where we identify Q_1 with $Q_1 \times \{1\}$. Then X is the cylinder of the cellular map f_1 ; therefore we may apply Theorem 2.13.

We say that non-empty sets $F_1, \dots, F_k, k \in N$, constitute a simple decomposition of a space X if their union is X and the intersection of any number of the sets is the empty set or an FAR-set.

2.15. THEOREM. If X has a simple decomposition F_1, \dots, F_k , then there exist closed neighborhoods U_i of $F_i, i = 1, \dots, k$, in $M \supset X$ and a multi-retraction $v: U = \bigcup_{i=1}^k U_i \rightarrow X$ such that, if $x \in U$ and $U_x = U_{i_1} \cup \dots \cup U_{i_m}$ is the union of all sets $U_{i_j}, 1 \leq i_j \leq k$, that contain the point x , then

$$v(x) = F_{i_1} \cup \dots \cup F_{i_m}.$$

Proof. We show that there exist closed neighborhoods U_i of the sets $F_i, i = 1, 2, \dots, k$ in $M \supset X$ such that for every subsequence $(i_j)_{j=1}^n$ of the sequence $(1, 2, \dots, k)$ the following implication is satisfied

$$(1) \quad U_{i_1} \cap \dots \cap U_{i_n} \neq \emptyset \Rightarrow F_{i_1} \cap \dots \cap F_{i_n} \neq \emptyset.$$

To this end suppose the contrary. Let us take decreasing sequences $(U_i^t)_{t=1}^\infty$ of closed neighborhoods of the sets $F_i, i = 1, 2, \dots, k$ such that $\bigcap_{t=1}^\infty U_i^t = F_i$ for every $i = 1, 2, \dots, k$. Then there exist a subsequence $(i_j)_{j=1}^m$ of the sequence $(1, 2, \dots, k)$ and a sequence $(p_n)_{n=1}^\infty$ of points of $U = \bigcup_{i=1}^k U_i$ convergent to a point $p \in X$ such that

$$(2) \quad p_n \in \bigcap_{j=1}^m U_{i_j}^n \quad \text{for every } n \in N,$$

$$(3) \quad \bigcap_{j=1}^m F_{i_j} = \emptyset.$$

It follows by (2) that $p \in \bigcap_{j=1}^m U_{i_j}^n$ for every $n \in N$. Therefore $p \in \bigcap_{j=1}^m F_{i_j}$, which contradicts (3). This proves the existence of neighborhoods U_i satisfying (1). Now, let v be a multi-function from $U = \bigcup_{i=1}^k U_i$ to X , defined as in the theorem. Notice that if $x \in X$ then the union of all sets of the sequence (F_1, F_2, \dots, F_k) containing the point x is an FAR-set. Hence (1) implies that all values of v have a trivial shape. Moreover, since the sets $U_i, i = 1, 2, \dots, k$ constitute a closed covering of U , we see that v is u.s.c. Thus v is a multi-retraction of U to X .

2.16. COROLLARY. A set having a simple decomposition is an $m\text{-ANR}$ -set.

2.17. Remark. The set Y^* in Taylor's example (see 2.11) is an $m\text{-AR}$ -space, but does not have a simple decomposition. Indeed, if Y^* had a simple decompo-

sition, then by the theorem on the union of two FANR-spaces (see [6]), Y^* would be an FANR-space, which is impossible, because Y^* is non-movable.

There is an example ([4], (4.18), p. 156) of a 2-dimensional set $X \in \text{ANR}$ which is not decomposable into a finite number of AR-sets. It follows that there exist points of the set X no neighborhood of which is an AR-set. If the set X had a simple decomposition, then for each $x \in X$ the union F_x of all sets of the decomposition containing the point x would be a neighborhood of x in X and an FAR-set. Using the fact that FAR-sets are contractible in each of their neighborhoods (in an ANR-space) and applying the argument as in ([4], (4.17), p. 155) (in particular using [4], (4.1), p. 153), one derives a contradiction of the fact that $F_x \in \text{FAR}$. Hence we obtain the following fact:

2.18. *There exist ANR-spaces having no simple decompositions.*

Since every $Y \in \text{FAR}$ has a simple decomposition, we have

2.19. *Every FAR-set is an m -AR-set.*

For finite-dimensional multi-retracts we have the following properties:

2.20. *If Y has finite dimension, then*

$$Y \in m\text{-AR} \Leftrightarrow Y \in \text{FAR}.$$

Indeed, let Y be a multi-retract of a finite-dimensional space $N \in \text{AR}$. It follows by 2.1 that $\text{Sh}(Y) \leq \text{Sh}(N)$. Hence $Y \in \text{FAR}$. The converse follows from 2.19.

2.21. *Every finite-dimensional $Y \in m\text{-ANR}$ is an FANR-space.*

To prove 2.21 assume that Y is a subset of a finite-dimensional cube I^n . Then there exist a neighborhood U of Y in I^n and a multi-retraction $\sigma: U \rightarrow Y$. Moreover, we may assume $U \in \text{ANR}$. Then by 2.1 $\text{Sh}(Y) \leq \text{Sh}(U)$, and we infer by ([3], (1.2), p. 254) that $Y \in \text{FANR}$.

If $Y \in m\text{-AR}$ has infinite dimension, then it need not be an FANR-space (see 2.11).

Let us remark that the fundamental dimension $\text{Fd}(Y)$ for $Y \in m\text{-AR}$ can be infinite. To prove this it suffices to observe that if $\text{Fd}(Y^*)$ (for Y^* see 2.11) were finite, then, by the movability of Q and the result of Bogatyj ([2], p. 261) on the shape equivalence of compacta under cellular maps, we would have $\text{Sh}(Y^*) = \text{Sh}(Q)$. But it is known that $\text{Sh}(Y^*) \neq \text{Sh}(1)$.

2.22. Remark. By Theorem 1.1 properties 2.20 and 2.21 remain true for countable-dimensional spaces.

Let us denote by $H_n(X)$ the n th Vietoris homology group of X with an abelian coefficient group \mathcal{U} . If f is a cellular map of Y onto X , then by ([11], (2.3) and (4.7)) f induces an isomorphism between the fundamental groups $\pi_n(Y, y)$ and $\pi_n(X, f(y))$ for every $y \in Y$ and f induces an isomorphism of the homology groups $H_n(X)$ and $H_n(Y)$, $n \in \mathbb{N}$.

Let $\sigma: X \rightarrow Y$ be a multi-retraction and $\Sigma \subset X \times Y$ the graph of σ . Fix a point $(x, y) \in \Sigma$ and denote by p and q the projections of Σ onto X and Y , respectively.

Since p is a cellular map of Σ onto X , by the theorems mentioned above p induces the isomorphisms

$$p_*: H_n(\Sigma) \rightarrow H_n(X), \quad n = 0, 1, 2, \dots$$

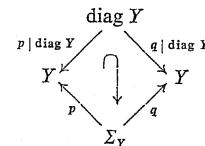
and

$$p_f: \pi_n(\Sigma, (x, y)) \rightarrow \pi_n(X, x), \quad n \in \mathbb{N}.$$

Let p_*^{-1} and p_f^{-1} denote the isomorphisms inverse to p_* and p_f , respectively, and q_* and q_f the homomorphisms of homology and fundamental groups induced by the projection q . Then we say that the compositions $\sigma_* = q_* \circ p_*^{-1}: H_n(X) \rightarrow H_n(Y)$ and $\sigma_f = q_f \circ p_f^{-1}: \pi_n(X, x) \rightarrow \pi_n(Y, y)$ are the *homomorphisms induced by σ* .

2.23. *If $\sigma: X \rightarrow Y$ is a multi-retraction, then the homomorphisms $(\sigma|Y)_*$ and $(\sigma|Y)_f$ induced by $\sigma|Y: Y \rightarrow Y$ are the identity homomorphisms.*

Indeed, let $\Sigma_Y \subset Y \times Y$ denotes the graph of $\sigma|Y$ and let p and q denote the projections of Σ_Y onto the first and the second factor, respectively. Then 2.23 follows by the commutativity of the diagram



where $\text{diag } Y$ means the diagonal of the set $Y \times Y$.

Since the multi-retract Y of X is homeomorphic to the $\text{diag } Y$ which is a retract of Σ and since p_* and p_f are isomorphisms, it follows by ([3], (5.3) and (5.4), p. 191) that

2.24. *If Y is a multi-retract of X , then for every $n = 0, 1, \dots$ the group $H_n(Y)$ is a direct divisor of the group $H_n(X)$ and for $n > 1$ the group $\pi_n(Y, y)$ is a direct divisor of $\pi_n(X, x)$.*

By 2.24 we have

2.25. *If $Y \in m\text{-AR}$, then for $n \neq 0$ and every $y \in Y$ the groups $H_n(Y)$ and $\pi_n(Y, y)$ are trivial.*

2.26. *If $Y \in m\text{-ANR}$, then almost all groups $H_n(Y)$ are trivial; moreover, if \mathcal{U} is finitely generated, then all groups $H_n(Y)$ are finitely generated.*

It follows from 2.26 and the example of a locally contractible compactum with a positive n th Betti number for every $n = 0, 1, \dots$ ([4], (11.1), p. 124) that there exist locally contractible compacta that are not $m\text{-ANR}$ -spaces.

2.27. EXAMPLE. Let L be a curve lying in the plane E^2 and defined in polar coordinates (r, a) as follows:

$$r = 1/a + 1 \quad \text{for } a \in \langle 1, \infty \rangle.$$

Denote by Y the closure (in E^2) of L . Then $Y \in \text{FANR}$. We show that $Y \notin m\text{-ANR}$.

To this end assume the converse. Then there is a compact neighborhood U of Y in E^2 and a multi-retraction $\sigma: U \rightarrow Y$. Let y be a point of the circle $S = Y \setminus L$. Then $\sigma(y)$ is contained in S , and moreover it is an arc. Let y' be a point of the set $S \setminus \sigma(y)$. We take a closed neighborhood V of $\sigma(y)$ in Y such that $y' \notin V$. Then V is the set with countable many components. We infer by the upper semi-continuity of σ that there exists a closed neighborhood U_0 of y in U such that $\sigma(U_0) \subset V$. Let $B_0 \subset U_0$ be a closed ball in U with center y . Denote by y_0 a point of $B_0 \setminus S$. Then $\sigma(y_0)$ and $\sigma(y)$ lie in different components of V , which is impossible by the fact that the image of any connected set (in our case B_0) under any multi-map is connected. Thus must be $Y \notin m\text{-ANR}$.

2.28. EXAMPLE. Denote by X_0 the subset of the plane E^2 which is the closure of the graph L_0 of the function $f(x) = \sin(\pi/x)$ for $0 < x \leq 1$. Let $a_0 = (0, -1)$, $b_0 = (0, 1)$. Write $X_i = X_0 \times \{i\}$, $(a_0, i) = a_i \in X_i$, $(b_0, i) = b_i \in X_i$, $i = 1, 2, 3$. Assume now that $X \subset E^2$ is the set formed from the sum $X_1 \cup X_2 \cup X_3$ by the identification of the points a_{i+1} with b_i for $i = 1, 2$ and b_3 with a_1 . Then X has a simple decomposition, hence $X \in m\text{-ANR}$. Since X is not locally connected, it is not the image of an ANR-set under any map. We show that

(a) There exists no cellular map of X onto any ANR-set.

To prove (a) suppose, conversely, that there exists a cellular map g of X onto an ANR-set Y . Then there are a compact neighborhood U of X in E^2 and a map $g': U \rightarrow Y$ such that $g'|_X = g$. Therefore the composition $\sigma = g^{-1} \circ g'$, where g^{-1} is the inverse of g , is a multi-retraction of U to X such that, for $x \in X$, $\sigma(x)$ is a point-inverse under g . Let us take a point $x \in A = X \setminus (L_0 \times \{i\})$, $i = 1, 2, 3$. Then we must have $\sigma(x) \supset A$ (otherwise there would be a point $x' \in A \setminus \sigma(x)$ and a closed neighborhood V of $\sigma(x)$ in X such that $x' \notin V$, which, by a similar argument as in Example 2.27, is impossible). However, the condition $A \subset \sigma(x)$ fails, because $\sigma(x)$ is a point-inverse under the cellular map g and hence has the shape of a point. The contradiction proves condition (a).

Let us formulate some problems concerning multi-retracts.

2.29. PROBLEM. Is it true that every plane $m\text{-ANR}$ -set has a simple decomposition?

2.30. PROBLEM. Is it true that every retract (deformation retract) of an $m\text{-AR}$ -space (resp. $m\text{-ANR}$ -space) is an $m\text{-AR}$ -space (resp. $m\text{-ANR}$ -space)?

In particular,

2.31. PROBLEM. Is the image of Q under any cellular map an $m\text{-AR}$ -space?

2.32. PROBLEM. Is it true that finite-dimensional $m\text{-ANR}$ -spaces have the shape of a compact polyhedron?

3. Multi, homotopies. Let (X, x_0) and (Y, y_0) be spaces with any given basic points x_0 and y_0 . We write $\varphi: (X, x_0) \rightarrow (Y, y_0)$ if φ is a multi-map of X into Y such that $y_0 \in \varphi(x_0)$. If f and g are maps of X into Y such that $f(x_0) = g(x_0) = y_0$, then by a *homotopy* of the maps f and g we understand a map $h: X \times I \rightarrow Y$ satis-

fying the conditions $h|_{X \times \{0\}} = f$, $h|_{X \times \{1\}} = g$ and $h(x_0, t) = y_0$ for every $t \in I$. We call a homotopy h an *isotopy* if $h|_{X \times \{t\}}$ is a homeomorphism onto $h(X \times \{t\})$ for each $t \in I$.

Let $\varphi_1, \varphi_2: (X, x_0) \rightarrow (Y, y_0)$ be multi-maps. We say that a multi-map $\chi: X \times I \rightarrow Y$ is a *multi-homotopy connecting* φ_1 with φ_2 if $\chi(x, 0) = \varphi_1(x)$, $\chi(x, 1) = \varphi_2(x)$ and $y_0 \in \chi(x_0, t)$ for every $x \in X$ and $t \in I$. In this case the multi-maps φ_1 and φ_2 are said to be *multi-homotopic* (notation: $\varphi_1 \stackrel{m}{\simeq} \varphi_2$).

3.1. The relation of multi-homotopy is an equivalence relation; hence the collection of all multi-maps $(X, x_0) \rightarrow (Y, y_0)$ decomposes into disjoint classes of multi-maps multi-homotopic to one another, called *multi-homotopy classes*.

Let us note that, if $f_1, f_2: (X, x_0) \rightarrow (Y, y_0)$ are homotopic maps, then they are multi-homotopic. The converse is not true.

3.2. EXAMPLE. Let X be a non-contractible FAR-set. Let us select a point $x_0 \in X$. Assume that $f_1 = \text{id}_X$ and $f_2: X \rightarrow X$ is the constant map of X into x_0 . Then f_1 and f_2 are not homotopic, but they are multi-homotopic under the multi-homotopy $\chi: X \times I \rightarrow X$ defined by the conditions

$$\chi(X \times \langle 0, \frac{1}{2} \rangle) = \{x_0\}, \quad \chi(x, \frac{1}{2}) = X, \quad \chi(\{x\} \times \langle \frac{1}{2}, 1 \rangle) = \{x\}, \quad x \in X.$$

If $\varphi: (X, x_0) \rightarrow (X, x_0)$ is a multi-map of X into itself with the property that $x \in \varphi(x)$ for every $x \in X$, then we write $\varphi = m\text{-id}_X$. If $\varphi: (X, x_0) \rightarrow (Y, y_0)$ is a multi-map, then $\varphi \stackrel{m}{\simeq} \theta$ will mean that φ is multi-homotopic to the map θ of X into Y such that $\theta(x) = y_0$ for every $x \in X$. A set (X, x_0) is said to be *multi-contractible*, if $\text{id}_X \stackrel{m}{\simeq} \theta$.

3.3. If $\varphi = m\text{-id}_X$, then $\varphi \stackrel{m}{\simeq} \text{id}_X$.

Indeed, the conditions $\chi(\{x\} \times \langle 0, 1 \rangle) = \{x\}$, $\chi(x, 1) = \varphi(x)$ define the multi-homotopy connecting φ with id_X .

3.4. If $\varphi: (X, x_0) \rightarrow (Y, y_0)$ is a multi-map of X into Y such that $\varphi(x) = \varphi(x_0)$ for every $x \in X$, then $\varphi \stackrel{m}{\simeq} \theta$.

3.5. If f is a map of (X, x_0) into a multi-contractible space (Y, y_0) , then $f \stackrel{m}{\simeq} \theta$.

In fact, if $\chi: Y \times I \rightarrow Y$ realizes the relation $\text{id}_Y \stackrel{m}{\simeq} \theta$, then $\tilde{\chi}: X \times I \rightarrow Y$ defined by the formula $\tilde{\chi}(x, t) = \chi(f(x), t)$ is a multihomotopy realizing $f \stackrel{m}{\simeq} \theta$.

3.6. Let $\varphi: (X, x_0) \rightarrow (Y, y_0)$ be a multi-map of X into $Y \in \text{FAR}$. Then $\varphi \stackrel{m}{\simeq} \theta$.

To show this, it suffices to define the multi-homotopy $\chi: X \times I \rightarrow Y$ by the following conditions: $\chi(x, t) = \varphi(x)$ for $t \in \langle 0, \frac{1}{2} \rangle$, $\chi(x, \frac{1}{2}) = Y$, $\chi(X \times \langle \frac{1}{2}, 1 \rangle) = \{y_0\}$.

3.7. Let $\varphi: (S^n, x_0) \rightarrow (Y, y_0)$ be a multi-map of the n -dimensional sphere S^n into Y . Then the following conditions are equivalent

(a) φ has an extension onto the ball B^{n+1} .

(b) $\varphi \stackrel{m}{\simeq} \theta$.

Proof. Let φ' be the extension of φ onto B^{n+1} . We define $\chi: S^n \times I \rightarrow Y$ by the formula

$$\chi(x, t) = \varphi'[(1-t)x + tx_0].$$

Since $\chi(x, 0) = \varphi'(x)$, $\chi(x, 1) = \varphi'(x_0) = \varphi(x_0)$ and $\chi(x_0, t) = \varphi(x_0)$ for every $t \in I$ and $x \in S^n$, then χ is a multi-homotopy connecting φ with $\chi|_{S^n \times \{1\}}$. So, it suffices to apply 3.4. To prove the implication (b) \Rightarrow (a), we define the extension φ' of φ by setting $\varphi'(x) = \{y_0\}$ for $0 \leq \|x\| \leq \frac{1}{2}$ and $\varphi'(x) = \chi[\|x\|, 2-2\|x\|]$ for $\frac{1}{2} \leq \|x\| \leq 1$, where χ realizes the relation $\varphi \stackrel{m}{\cong} \theta$.

3.8. *Every m -AR-set is multi-contractible.*

Proof. Let $X \subset Q$ be an m -AR-set. Let us fix a point x_0 in X . Then there exists a multi-retraction $\sigma: Q \rightarrow X$ such that $x \in \sigma(x)$ for every $x \in X$. We define the multi-homotopy $\chi: X \times I \rightarrow X$ connecting $\sigma|_X = m\text{-id}_X$ with the multi-map ψ , which assigns to every $x \in X$ a set $\sigma(x_0)$:

$$\chi(x, t) = \sigma[(1-t)x + tx_0].$$

By 3.3 and 3.4 we get

$$\text{id}_X \stackrel{m}{\cong} \sigma|_X \stackrel{m}{\cong} \psi \stackrel{m}{\cong} \theta.$$

This implies the following

3.9. *FAR-sets are multi-contractible.*

There exist multi-contractible spaces not having the shape of a point. For instance the non-movable compactum Y^* (see 2.11) is an m -AR-set and, by 3.8, is multi-contractible.

We now prove the following theorem on the extension of a multi-homotopy:

3.10. **THEOREM.** *Let $\chi: X \times I \rightarrow Y \in \text{ANR}$ be a multi-map, where $X \subset M$ and $\dim(M \setminus X) < \infty$ or M is countable-dimensional. If $\chi|_{X \times \{0\}}: X \rightarrow Y$ has an extension $\chi_0: M \rightarrow Y$, then there exists a multi-map $\tilde{\chi}: M \times I \rightarrow Y$, such that $\tilde{\chi}|_{X \times I} = \chi$ and $\tilde{\chi}|_{M \times \{0\}} = \chi_0$.*

Proof. Put $P = M \times \{0\} \cup X \times I$. By Theorems 1.1 and 1.2, there is a neighborhood U of P in $M \times I$ such that the multi-map $\psi: P \rightarrow Y \in \text{ANR}$ defined by the formulae

$$\begin{aligned} \psi(x, 0) &= \chi_0(x) & \text{for } x \in M, \\ \psi(x, t) &= \chi(x, t) & \text{for } x \in X \text{ and } t \in I \end{aligned}$$

has an extension ψ' onto U . There exists a map $f: M \times I \rightarrow U$ which is the identity onto P (see [4], (8.2), p. 94). Then it suffices to define $\tilde{\chi}: M \times I \rightarrow Y$ as the composition

$$\tilde{\chi} = \psi' \circ f.$$

For $(x, t) \in X \times I$ we get

$$\tilde{\chi}(x, t) = \psi'(f(x, t)) = \psi'(x, t) = \chi(x, t).$$

For $x \in M$

$$\tilde{\chi}(x, 0) = \psi'(f(x, 0)) = \psi'(x, 0) = \chi_0(x).$$

Thus $\tilde{\chi}$ is the required multi-map.

One can easily see that the classical homotopy extension theorem cannot be directly transferred to the case of multi-maps into m -ANR-sets. Instead, the supposition $Y \in m\text{-ANR}$ leads to the following

3.11. **THEOREM.** *Let h be a map of $P = M \times \{0\} \cup X \times I$ into $Y \in m\text{-ANR}$, where X is a subset of M . Then there exists a multi-map $\varphi: M \times I \rightarrow Y$, such that for every $(x, t) \in P$, $h(x, t) \in \varphi(x, t)$.*

Proof. Let Y be a subset of the Hilbert cube Q . Then there are a neighborhood V of Y in Q and a multi-retraction $\sigma: V \rightarrow Y$. Let $h': M \times I \rightarrow Q$ be a map satisfying the condition $h'(x, t) = h(x, t)$ for every $(x, t) \in P$. Put $U = h'^{-1}(V)$. Then exists (see [4], (8.2), p. 94) a map $f: M \times I \rightarrow U$ which is the identity onto P . We define $\varphi: M \times I \rightarrow Y$ as the composition $\varphi = \sigma \circ h' \circ f$. For $(x, t) \in P$ we get

$$\varphi(x, t) = \sigma(h'(f(x, t))) = \sigma(h'(x, t)) = \sigma(h(x, t)).$$

Since $y \in \sigma(y)$ for every $y \in Y$, we have $h(x, t) \in \sigma(h(x, t))$. This completes the proof.

3.12. *Every multi-contractible ANR-space is an m -AR-space.*

Proof. Let (X, x_0) be a multi-contractible ANR-set contained in $M \in \text{AR}$. Let us denote by $\chi: X \times I \rightarrow X$ the multi-homotopy connecting id_X with the map $\chi|_{X \times \{0\}}$ of X into x_0 . Setting

$$\varphi(x, 0) = \{x_0\} \text{ for } x \in M, \quad \varphi(x, t) = \chi(x, t) \text{ for } x \in X \text{ and } t \in (0, 1),$$

we get the multi-map φ of $P = M \times \{0\} \cup X \times I$ into X . Since $P \in \text{ANR}$, there exists a neighborhood U of P in $M \times I$ and a retraction $r: U \rightarrow P$. There exists a map $f: M \times I \rightarrow U$ which is the identity onto P (see [4], (8.2), p. 94). Therefore $\psi = \varphi \circ r \circ f|_{M \times \{1\}}: M \times \{1\} \rightarrow X$ is a multi-map such that $\psi(x) = \varphi(r(f(x))) = \varphi(r(x)) = \varphi(x) = \chi(x, 1) = \{x\}$ for every $x \in X \times \{1\}$. Thus ψ is a multi-retraction of M to X and we conclude that $X \in m\text{-AR}$.

From this it follows the following corollary

3.13. *The sphere S^n is not multi-contractible.*

4. **Multi-homotopy groups.** Let S^+ and S^- denote disjoint sets, homeomorphic to the closed halfspheres in the n -dimensional sphere S^n , $n \in N$. By S we denote the set obtained from the disjoint union $S^+ \cup S^- \cup (S^{n-1} \times I)$ by identifying $S^{n-1} \times \{0\}$ with the boundary $\dot{S}^+ = (S^+ \setminus \text{Int} S^+)$ of S^+ and $S^{n-1} \times \{1\}$ with the boundary $\dot{S}^- = (S^- \setminus \text{Int} S^-)$ of S^- . Clearly $S \cong S^n$. Let x_0 be a selected point of S belonging to $S^{n-1} \times \{\frac{1}{2}\}$. If Y is a space with the basic point y_0 , then the multi-homotopy class (see 3.1) containing the multi-map $\varphi: (S, x_0) \rightarrow (Y, y_0)$ we denote by $[\varphi]$. In the set of the multi-homotopy classes we introduce the group operation. To this end we first define the operation assigning to every two multi-maps $\varphi, \psi: (S, x_0) \rightarrow (Y, y_0)$ a multi-map $\varphi \nabla \psi: (S, x_0) \rightarrow (Y, y_0)$.

It is known that one can define isotopies $h_i: (S \setminus \{x_0\}) \times I \rightarrow S \setminus \{x_0\}$, $i = 1, 2$,

such that $h_{i1}(S \setminus \{x_0\}) \times \{0\} = \text{id}_{S \setminus \{x_0\}}$, $i = 1, 2$, $h_1((S \setminus \{x_0\}) \times \{1\}) = S^+ \setminus \dot{S}^+$ and $h_2((S \setminus \{x_0\}) \times \{1\}) = S^- \setminus \dot{S}^-$. If we set

$$(\varphi \nabla \psi)(x) = \begin{cases} \varphi(h_1^{-1}(x, 1)) & \text{for } x \in S^+ \setminus \dot{S}^+, \\ \varphi(x_0) & \text{for } x \in \dot{S}^+, \\ \{y_0\} & \text{for } x \in S^{n-1} \times (0, 1), \\ \psi(x_0) & \text{for } x \in \dot{S}^-, \\ \psi(h_2^{-1}(x, 1)) & \text{for } x \in S^- \setminus \dot{S}^-, \end{cases}$$

then we get the multi-map $\varphi \nabla \psi: (S, x_0) \rightarrow (Y, y_0)$. In particular, $(\varphi \nabla \psi)(x_0) = \{y_0\}$.

For classes $[\varphi]$ and $[\psi]$ we define their group operation as follows

$$(i) \quad [\varphi][\psi] = [\varphi \nabla \psi].$$

Let us verify that the multi-homotopy class $[\varphi \nabla \psi]$ does not depend on the choice of the multi-maps φ, ψ , i.e. that if $\varphi', \psi': (S, x_0) \rightarrow (Y, y_0)$ are multi-maps and $\varphi \stackrel{m}{\simeq} \varphi'$, $\psi \stackrel{m}{\simeq} \psi'$, then

$$(ii) \quad [\varphi \nabla \psi] = [\varphi' \nabla \psi'].$$

Let $\chi_1, \chi_2: S \times I \rightarrow Y$ denote multi-homotopies connecting φ with φ' and ψ with ψ' , respectively. Then $\chi: S \times I \rightarrow Y$ defined by the formula

$$\chi(x, t) = \begin{cases} \chi_1(h_1^{-1}(x, 1), t) & \text{for } x \in S^+ \setminus \dot{S}^+, \\ \chi_1(x_0, t) & \text{for } x \in \dot{S}^+, \\ \{y_0\} & \text{for } x \in S^{n-1} \times (0, 1), \\ \chi_2(x_0, t) & \text{for } x \in \dot{S}^-, \\ \chi_2(h_2^{-1}(x, 1), t) & \text{for } x \in S^- \setminus \dot{S}^-, \end{cases}$$

for every $t \in I$, is a multi-homotopy connecting $\varphi \nabla \psi$ with $\varphi' \nabla \psi'$. Therefore (ii) is satisfied.

It is not hard to prove (cf. the definition of homotopy groups) that the set of all multi-homotopy classes of multi-maps $(S, x_0) \rightarrow (Y, y_0)$ with the operation defined by (i) constitutes a group, abelian for $n > 1$. The neutral element of this group is the multi-homotopy class containing a map $\theta: (S, x_0) \rightarrow (Y, y_0)$ such that $\theta(x) = y_0$ for every $x \in S$. Moreover, the group does not depend on the choice of the point $x_0 \in S$. Let us call that group n -th multi-homotopy group of Y with base point y_0 . We shall denote this group by $m\text{-}\pi_n(Y, y_0)$.

4.1. If Y has the property that for every two of its points there is a subset $F \in \text{FAR}$ of Y containing these points (in particular, if Y is arcwise connected), then the group $m\text{-}\pi_n(Y, y_0)$ is isomorphic to the group $m\text{-}\pi_n(Y, y'_0)$, where y'_0 is another basic point of Y .

Indeed, it suffices to remark that if $F \in \text{FAR}$ contains y_0 and y'_0 then for every multi-map $\varphi: (S, x_0) \rightarrow (Y, y_0)$ there exists a multi-map $\psi: (S, x_0) \rightarrow (Y, y'_0)$, multi-homotopic with φ (relative y_0), namely

$$\varphi \stackrel{m}{\simeq} \varphi \nabla \theta \stackrel{m}{\simeq} \psi,$$

where $[\theta]$ is the neutral element of $m\text{-}\pi_n(Y, y_0)$ and ψ is defined as follows:

$$\psi|_{S \setminus \{x_0\}} = \varphi \nabla \theta, \quad \psi(x_0) = F.$$

The isomorphism of the groups $m\text{-}\pi_n(Y, y_0)$ and $m\text{-}\pi_n(Y, y'_0)$ is then established by the assignment

$$[\varphi] \mapsto [\psi].$$

If h is a homeomorphism of spaces (Y, y_0) and (Y', y'_0) , then assigning to every element $[\varphi] \in m\text{-}\pi_n(Y, y_0)$ the element $[h \circ \varphi] \in m\text{-}\pi_n(Y', y'_0)$ we define a homomorphism of the multi-homotopy groups of (Y, y_0) and (Y', y'_0) . Moreover, this homomorphism is an isomorphism; hence

4.2. The groups $m\text{-}\pi_n(Y, y_0)$ are topological invariants of the space (Y, y_0) .

It follows from 3.6 that

4.3. All the multi-homotopy groups of an FAR-set are trivial.

We now define a homomorphism of the group $m\text{-}\pi_n(Y, y_0)$ into the n th fundamental group $\pi_n(Y, y_0)$ of a space (Y, y_0) (see [3], p. 132 for the definition of fundamental groups).

Let $\varphi: (S, x_0) \rightarrow (Y, y_0)$ be a multi-map. We may assume (taking, if necessary, the multi-map $\theta \nabla \varphi$ instead of φ) that $\varphi(x_0) = \{y_0\}$. Assume that S is a Z -set in $Q_1 \cong Q$ and that $Y \subset Q_2 \cong Q$. Let us take the upper semi-continuous decomposition \mathcal{D} of the space $Q_1 \times Q_2$, whose elements are the sets $\{x\} \times \varphi(x)$ for $x \in S$ and the remaining one-point sets. Denote by r the projection of $Q_1 \times Q_2$ onto the decomposition space $\mathcal{M} = Q_1 \times Q_2 / \mathcal{D}$ and by Φ the graph of φ . It follows by Theorem 1.1 that $\mathcal{M} \in \text{AR}$. Since r is a cellular map of Q onto an AR-set and the set of non-degenerated point-images of r is a Z -set in Q , by ([17], Theorem 3), we get $\mathcal{M} \cong Q$. From ([7], also [12], Lemma 2.3) we infer that $r(\Phi)$ is a Z -set in \mathcal{M} . Let us denote by p and q the projections of $Q_1 \times Q_2$ onto the first and second factor, respectively. Setting

$$h: x \mapsto r[(p\Phi)^{-1}(x)] \quad \text{for } x \in S,$$

we get a homeomorphism of S onto $r(\Phi)$. Denote by Q_3 the space formed from $Q_1 \times I$ by contracting $\{x_0\} \times I$ to a point. Let $r_1: Q_1 \times I \rightarrow Q_3$ the projection. Then $Q_3 \cong Q$ and by ([1], Theorem 10.1) the homeomorphism h between Z -sets $S \subset Q$ and $r(\Phi) \subset \mathcal{M}$ extends to a homeomorphism $\bar{h}: Q_3 \rightarrow \mathcal{M}$.

We define $\chi^\varphi: S \times I \rightarrow Q_2$ by the formula

$$\chi^\varphi(x, t) = r^{-1}[\bar{h}(r_1(x, t))].$$

Then χ^φ is a multi-map and $\chi^\varphi(x_0, t) = \{x_0, y_0\}$ for every $t \in I$. Hence χ^φ is a multi-homotopy connecting $\chi^\varphi|_S \times \{1\}$ with the multi-map $\chi^\varphi|_S \times \{0\}$. Moreover, $\chi^\varphi(x, 0) = \{x\} \times \varphi(x)$ for every $x \in S$ and $\chi^\varphi|_S \times \{t\}$ is a homeomorphism onto $\chi^\varphi(S \times \{t\})$ for each $t \in (0, 1)$. Therefore, defining the sequence of maps

$$a^\varphi = \{a_k^\varphi: (S, x_0) \rightarrow (Q_2, y_0)\}$$

by the formula

$$a_k^q(x) = q(\chi^q(x, 1/k)) \quad \text{for } k = 1, 2, \dots,$$

we obtain an approximative map from (S, x_0) towards (Y, y_0) (for the definition of approximative maps see [3], p. 128).

4.4. THEOREM. *Assigning to every element of the group $m\text{-}\pi_n(Y, y_0)$ with a representative $\varphi: (S, x_0) \rightarrow (Y, y_0)$ the approximative class with*

$$[\varphi] = \{a_k^q: (S, x_0) \rightarrow (Q_2, y_0)\}$$

as a representative, we get a homomorphism \mathfrak{J} of the multi-homotopy group $m\text{-}\pi_n(Y, y_0)$ into the fundamental group $\pi_n(Y, y_0)$. Moreover, if $Y \in \text{ANR}$, then \mathfrak{J} is an isomorphism.

Proof. First we show that

$$(iii) \quad \mathfrak{J}([\varphi] \cdot [\psi]) = [\varphi^{\circ\psi}] = [\varphi^{\circ}] \cdot [\varphi^{\psi}],$$

where $\varphi, \psi: (S, x_0) \rightarrow (Y, y_0)$ are multi-maps. With this aim we remark that a representative of the class $[\varphi^{\circ}] \cdot [\varphi^{\psi}]$ is to be found in the approximative map $b = \{b_k: (S, x_0) \rightarrow (Y, y_0)\}_{Q_2}$ defined by the formula

$$b_k(x) = \begin{cases} a_k^q(x) & \text{for } x \in S^+, \\ y_0 & \text{for } x \in S \setminus (S^+ \cup S^-), \\ a_k^{\psi}(x) & \text{for } x \in S^-. \end{cases}$$

Thus, to prove (iii) it suffices to show that the approximative map

$$\{a_k^{\varphi^{\circ\psi}}, (S^+, \hat{S}^+) \rightarrow (Y, y_0)\}_{Q_2}$$

is homotopic to the approximative map

$$\{a_k^q, (S^+, \hat{S}^+) \rightarrow (Y, y_0)\}_{Q_2} \quad \text{and} \quad \{a_k^{\psi}, (S^-, \hat{S}^-) \rightarrow (Y, y_0)\}_{Q_2},$$

homotopic to the approximative map $\{a_k^{\psi}, (S^-, \hat{S}^-) \rightarrow (Y, y_0)\}_{Q_2}$ (\hat{S}^+ and \hat{S}^- are regarded here as points). We only show the former condition. The proof of the latter is similar.

Let us observe that χ^q and $\chi^{\varphi^{\circ\psi}}$, restricted to the set $S^+ \times I$, are multi-homotopies such that $\chi^q(x, 0) = \{x\} \times \varphi(x) = \chi^{\varphi^{\circ\psi}}(x, 0)$ for $x \in S^+$. Let V_2 be a neighborhood of Y in Q_2 and let $U \in \text{ANR}$, $U \subset r(Q_1 \times V_2)$ be a neighborhood of $r(\Phi)$ in \mathcal{M} . Since $U \setminus r(\Phi) \in \text{ANR}$, there is an $\varepsilon > 0$ such that every two ε -near maps into $U \setminus r(\Phi)$ are homotopic in $U \setminus r(\Phi)$. Choose $k_0 \in \mathbb{N}$ so large that for every $k \geq k_0$ and $x \in S^+$

$$q(r[\{x\} \times \varphi(x)], r[\chi^{\varphi^{\circ\psi}}(x, 1/k)]) + q(r[\{x\} \times \varphi(x)], r[\chi^q(x, 1/k)]) < \varepsilon.$$

Then for every $k \geq k_0$ the maps $r \circ \chi^{\varphi^{\circ\psi}}|_{S^+ \times \{1/k\}}$ and $r \circ \chi^q|_{S^+ \times \{1/k\}}$ of the set $S^+ \times \{1/k\}$ into $U \setminus r(\Phi)$ are homotopic in $U \setminus r(\Phi)$. Let g_k be a homotopy joining these maps. Setting

$$\tilde{g}_k(x, t) = (q \circ r^{-1} \circ g_k)(x, 1/k, t) \quad \text{for } (x, t) \in S^+ \times I,$$

we get a homotopy $\tilde{g}_k: S^+ \times I \rightarrow V_2$ joining in V_2 the map $a_k^{\varphi^{\circ\psi}}|_{S^+}$ with the map $a_k^q|_{S^+}$. Thus (iii) is satisfied and hence \mathfrak{J} is a homomorphism. We now show that, in the case of $Y \in \text{ANR}$, \mathfrak{J} is an isomorphism.

By ([3], (2.5), p. 129) if $\varrho = \{c_k, (S, x_0) \rightarrow (Y, y_0)\}_{Q_2}$ is an approximative map and $Y \in \text{ANR}$, then the class $[\varrho]$ is generated by a map $c: (S, x_0) \rightarrow (Y, y_0)$. But every map is a multi-map; therefore we may obtain the approximative map $\varrho^c = \{a_k^c, (S, x_0) \rightarrow (Y, y_0)\}_{Q_2}$ for the map c . Moreover, χ^c is then a map, and for every neighborhood W of Y in Q_2 there is a $k_0 \in \mathbb{N}$ such that, for $k \geq k_0$, $q \circ \chi^c|_{S \times \langle 0, 1/k \rangle}$ is a homotopy joining in W the maps a_k^c and c . Hence ϱ^c and ϱ are homotopic and \mathfrak{J} is an epimorphism. In order to prove that \mathfrak{J} is a monomorphism, let $\varphi: (S, x_0) \rightarrow (Y, y_0)$ be a multi-map and assume that an approximative map $[\varphi]$ is null-homotopic. The assumption $Y \in \text{ANR}$ implies the existence of a neighborhood V_2 of Y in Q_2 and a retraction $b: V_2 \rightarrow Y$. Besides there is a $k_1 \in \mathbb{N}$ such that

$$\chi^q(S \times \langle 0, 1/k_1 \rangle) \subset Q_1 \times V_2'.$$

Since $[\varphi]$ is null-homotopic, there exists a homotopy $g: S \times I \rightarrow V_2'$ joining in V_2' the map $a_{k_1}^q$ with the map $g|_{S \times \{1\}}$, which is the constant map of S into y_0 . Then $\tilde{\chi}^q: S \times I \rightarrow Y$ defined by the formula

$$\tilde{\chi}^q(x, t) = \begin{cases} b\{q(\chi^q(x, t))\} & \text{for } x \in S \text{ and } t \in \langle 0, 1/k_1 \rangle, \\ b\left\{g\left(x, \frac{tk_1 - 1}{k_1 - 1}\right)\right\} & \text{for } x \in S \text{ and } t \in \langle 1/k_1, 1 \rangle \end{cases}$$

is a multi-homotopy connecting in Y the multi-map φ with the constant map of S into y_0 . Hence \mathfrak{J} is a monomorphism and the proof of 4.4 is finished.

By Theorem 4.4 and ([3], (4.1), p. 133) we have the following corollary:

4.5. *If $y_0 \in Y \in \text{ANR}$, then the group $m\text{-}\pi_n(Y, y_0)$ is isomorphic to the n -th homotopy group $\pi_n(Y, y_0)$.*

Let us remark that if the group $\pi_n(Y, y_0)$ of a space (Y, y_0) is isomorphic to the group $\pi_n(Y, y_0)$, e.g., when Y is locally n -connected (see [11], also [10]), then by an analogous argument as in the proof of 4.4 we infer that \mathfrak{J} is an epimorphism.

Notice that a certain kind of classes of multi-homotopy was investigated in [5], namely multi-functions from finite-dimensional compacta to S^n with values which are cellular subsets of S^n . Our Theorem 4.4 is a certain analogue of Theorems 2 and 3 in [5].

4.6. THEOREM. *Let $f: (Y', y'_0) \rightarrow (Y, y_0)$ be a cellular map of Y' onto $Y \in \text{ANR}$. Then, assigning*

$$[g] \mapsto [f^{-1} \circ g]$$

to every map $g: (S^n, x_0) \rightarrow (Y, y_0)$, we get a monomorphism of the group $\pi_n(Y, y_0)$ into the group $m\text{-}\pi_n(Y', y'_0)$.

Proof. We show that the function defined in the theorem is a homomorphism. Let $g_1, g_2: (S, x_0) \rightarrow (Y, y_0)$ be maps ($S = S^n$). Then

$$[g_1] \cdot [g_2] = [g_1 \nabla g_2] \rightarrow [f^{-1} \circ (g_1 \nabla g_2)].$$

But

$$f^{-1} \circ (g_1 \nabla g_2) \stackrel{m}{\cong} (f^{-1} \circ g_1) \nabla (f^{-1} \circ g_2)$$

under the multi-homotopy $\chi: S \times I \rightarrow Y'$ defined by the conditions

$$\chi|_{S^+ \times I} = f^{-1} \circ g_1, \quad \chi|_{S^- \times I} = f^{-1} \circ g_2$$

and, for $x \in S \setminus (S^+ \cup S^-)$,

$$\chi(x, 0) = f^{-1}(y_0) \ni y'_0, \quad \chi(x, t) = \{y'_0\} \quad \text{for } t \in (0, 1).$$

Hence $[f^{-1} \circ (g_1 \nabla g_2)] = [f^{-1} \circ g_1] \cdot [f^{-1} \circ g_2]$. We now prove that the homomorphism is a monomorphism. Let $Y' \subset Q$. Since $Y \in \text{ANR}$, there exist a neighborhood $U \in \text{ANR}$ of Y' in Q and a map $f': U \rightarrow Y$ such that $f'|_{Y'} = f$. The assumption $f^{-1} \circ g \stackrel{m}{\cong} \theta$ for a map $g: (S, x_0) \rightarrow (Y, y_0)$ implies the existence of a multi-map $\chi: S \times I \rightarrow Y'$ such that $\chi|_{S \times \{0\}} = f^{-1} \circ g$ and $\chi|_{S \times \{1\}}$ is the constant map of S into y'_0 . It follows from Theorem 4.4 that χ is multi-homotopic in U to a map $g_1: S \times I \rightarrow Y'$, and moreover the multi-homotopy χ_1 connecting χ with g_1 is a map for $0 < t \leq 1$. Hence we infer that $h: S \times I \rightarrow Y$ defined as

$$h(x, t) = \begin{cases} f' \{ \chi_1[(x, 0), 2t] \} & \text{for } 0 \leq t \leq \frac{1}{2}, \\ f' \{ \chi_1[(x, 2t-1), 1] \} & \text{for } \frac{1}{2} \leq t \leq 1 \end{cases}$$

is a homotopy joining the map $g: (S, x_0) \rightarrow (Y, y_0)$ with the constant map of S into y_0 . This completes the proof.

4.7. COROLLARY. If $f: (Y', y'_0) \rightarrow (S^n, x_0)$ is a cellular map of Y' onto S^n , then the group $m\text{-}\pi_n(Y', y'_0)$ is isomorphic to $\pi_n(S^n)$.

4.8. EXAMPLE. Let Y be the same set as in Example 2.27. Put $a = (2, 1)$, $b = (1, 1)$. Then $\text{Sh}(Y, a) = \text{Sh}(Y, b)$ (see [3], (15.1), p. 240). If $x \in S = S^n$ and φ is a multi-map of S into Y , then

$$(1) \quad \varphi(x) \subset Y \setminus L \quad \text{or} \quad \varphi(x) \subset L$$

Furthermore,

$$(2) \quad \varphi(S) \subset Y \setminus L \quad \text{or} \quad \varphi(S) \subset L.$$

Condition (1) is clear. We show that also condition (2) is satisfied. It follows from the upper semi-continuity of φ and condition (1) that the inverse image of $Y \setminus L$ under φ is closed in S . If (2) is not true, then there is a point $x \in S$ such that in each of its neighborhoods in S there exists a point $x' \in S$ with the property that $\varphi(x') \subset L$. Let $s \in (Y \setminus L) \setminus \varphi(x)$. Take a closed neighborhood G of $\varphi(x)$ in Y , disjoint with the point s . Then there is a neighborhood V of x in S , such that $\varphi(V) \subset G$ (we may assume V to be an arc).

Let $x' \in V$ be the point described above. Then the image $\varphi(V) \subset G$ of the connected set V contains points lying in different components of the set G , which contradicts the property of preserving connectedness under multi-maps. Thus, condition (2) is proved.

From (2) we infer that $m\text{-}\pi_1(Y, a) = 0$ and that $m\text{-}\pi_1(Y, b)$ is a cyclic infinite group. Hence we infer that multi-homotopy groups are not the invariants of shape of pointed spaces.

4.9. EXAMPLE. Let \hat{S} be the union of the circles S_i lying in the plane, $i = 0, 1, 2, \dots$ with centres 0 and radii r_i , such that

$$r_0 = 1, \quad r_i = \frac{i}{i+1} \quad \text{for } i = 1, 2, \dots$$

In the set $\hat{S} \times I$ we identify each circle $S_i \times \{1\}$ with the circle $S_{i+1} \times \{0\}$, $i = 1, 2, \dots$, the circle $S_0 \times [0]$ with $S_0 \times \{1\}$ and the circle S_1 with a point. Let $a \in S_0$. Denote by T the union of the resulting set and the disc whose boundary is the circle $\{a\} \times I$ in T . Then T is approximately 1-connected, hence it follows that the group $\pi_1(T, a)$ is trivial. However, $m\text{-}\pi_1(T, a) \neq 0$, because there is an embedding of the sphere S^1 into T (for instance with values in $S_0 \times \{0\}$) which is not multi-homotopic to the constant map of S^1 into a (the proof of this fact is similar to that given in Example 4.8). Moreover, one can prove that $T \notin m\text{-ANR}$ (cf. Example 2.27).

Example 4.9 and Theorems 4.4 and 4.6 suggest a positive answer to the following problem:

4.10. PROBLEM. Is it true that homomorphism \mathfrak{J} defined in Theorem 4.4 is an isomorphism if $Y \in m\text{-ANR}$?

4.11. PROBLEM. Is it true that the multi-homotopy groups are invariant under cellular maps?

4.12. PROBLEM. Are the multi-homotopy groups of $m\text{-ANR}$ -sets finitely generated?

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On elementary cuts in models of arithmetic

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Abstract. Let $M \models P$ (= Peano arithmetic). We put $Y := \{N \subset M : N \prec_{\text{end}} M\}$. This family, as each family of initial segments of M , is simply ordered by inclusion. The order type of Y heavily depends on M : we shall compute this order type in the following cases: (a) M is countable and recursively saturated and (b) M is saturated. In both cases the proofs give fairly complete description of the situation.

We assume that the reader is familiar with saturated models (see Chang, Keisler [1]) and with recursively saturated models (see Schlipf [5]). We use standard model-theoretic terminology and notation.

§ 1. **The recursively saturated case.** Toward this section let $M \models P$ be recursively saturated. Our result is the following.

THEOREM 1. *If M is countable, then Y is of the order type of the Cantor set 2^ω with its lexicographical ordering:*

$$b^1 < b^2 \equiv (\exists n \in \omega) (b_n^1 = 0 \wedge b_n^2 = 1 \wedge (\forall m < n) (b_m^1 = b_m^2)).$$

Before proving this we shall prove some lemmas. For $a \in M$ we shall denote by $M(a)$ the closure under the initial segment of the Skolem closure of a ; formally

$$M(a) := \{b \in M : \text{there exists a parameter-free term } t(v) \text{ such that } M \models b < t(a)\}.$$

By Gaifman [2, Theorem 4.1] $M(a) \prec_{\text{end}} M$.

LEMMA 2. *$M(a)$ is not recursively saturated.*

Proof. $M(a)$ omits the type $\{v > t(a) : t \text{ is a term}\}$. ■

Let $Y_1 = \{N \in Y : N \text{ is not recursively saturated}\}$. Our first aim is to prove the converse of Lemma 2, it will be our Lemma 4.

LEMMA 3 (with W. Marek). *If $D \subseteq Y$ has no greatest element, then $\bigcup D$ is recursively saturated.*

Proof. Let $p(v)$ be a recursive type in parameters b_1, \dots, b_k . There exists an $N \in D$ such that $b_1, \dots, b_k \in N$ (in fact, D is linearly ordered by inclusion), and so by the assumption there exists $N_1 \in D$ such that an $N \prec_{\text{end}} N_1$. Therefore pick $c \in N_1$

such that $\forall a \in N M \models a < c$. Now consider the type $p(v) \cup \{v < c\}$. This is still a recursive type and consistent, and so it is realized in M . But any of its realizations is in $\bigcup D$. ■