

Remark 10. There are several known methods of assigning to a space E a polyhedral (ANR) associated system, e.g. assigning to E its Čech system [19] (also see [13]). Theorem 15 shows that the proofs of Theorems 11 and 13 offer alternative methods, which generalize the original Mardešić–Segal ANR-system approach to shape [18].

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On the k -pseudo-symmetrical approximate differentiability *

by

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Abstract. The purpose of this paper is to establish a connection between two ways of generalizing the notion of derivative.

1. It is well-known that a number of significant properties of differentiable functions can be expressed in terms of some symmetrical or, generally, bilateral differential quotients (see, for instance, [4] and [3]). On the other hand, a powerful way of generalizing the notion of derivative is that of picking up only these values of the differential quotient that correspond to a suitable set having positive density at a given point: so one obtains, e.g., the approximate (or asymptotical) derivative (see, for instance, [1] and [3]).

Within the present paper, our purpose is to establish a transparent connection between the first and the second way to get a notion of derivative; more precisely, we shall give a theorem who clarifies the relation between the usual approximate derivative and a new one, here called k -pseudo-symmetrical approximate (or asymptotical) derivative.

Such a theorem shows that this new definition, based on a method introduced elsewhere [4] by one of us (S. V.), gives place to an approximate derivative that exists, at least almost everywhere, in any measurable set where the usual one does.

As for a complete understanding of the demonstration it will be useful the knowledge of a deep and elegant theorem by A. Kintchine [2], we report here its statement: let $f(x)$ be a measurable function, assigned on a measurable set E . Then almost all points of E do belong to one of the following sets

$$E_1 \equiv \{x \in E: \text{the approximate derivative of } f(x) \text{ exists } ^{(1)}\};$$

$$E_2 \equiv \{x \in E: \text{its upper (lower) approximate derivatives are both } +\infty (-\infty)\}.$$

2. Let $f(x)$ be a real function of a real variable, i.e. let $A \subset R$ and $f(x): A \rightarrow R$. It is well-known that one can give the notion of approximate (or asymptotical) derivative of $f(x)$ at the point $x \in R$ in the following way [1]:

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DEFINITION 1. If the limit

$$(1) \quad \lim_{y \rightarrow x} \frac{f(y) - f(x)}{y - x}$$

does exist when one subtracts to A a set of density 0 at x , then this limit is called the *approximate* (or *asymptotical*) *derivative* of $f(x)$ at x (on A). (We shall denote it by $D_A f(x)$).

Furthermore, one introduces also the notion of *upper right approximate* (or *asymptotical*) *derivate* of $f(x)$, namely $D_A^+ f(x)$, at the point $x \in R$, as follows:

DEFINITION 2. The upper right approximate (or asymptotical) derivate $D_A^+ f(x)$ of $f(x)$ at x (on A) is the *least upper bound of the set of the real numbers* a such that

$$(2) \quad \frac{f(y) - f(x)}{y - x} \geq a, \quad y > x,$$

where y belongs to a set of density > 0 at x . (One defines quite analogously the other three derivatives, namely $D_{+A} f(x)$, $D_{-A} f(x)$ and $D_{-A} f(x)$).

Now, following an idea contained within a previous paper [4], we introduce a new definition, which constitutes the kernel of the present paper, i. e.:

DEFINITION 3. If $k > 0$ is a given number, we shall call the *k -pseudo-symmetrical approximate* (or *asymptotical*) *derivative* of $f(x)$ at x (on A) the limit (if it exists)

$$(3) \quad \lim_{h \rightarrow 0^+} \frac{f(x+kh) - f(x-h)}{(k+1)h},$$

where $x+kh \in A$, $x-h \in A$ and we are allowed to subtract to the set of all possible h a set $H(x)$ of density 0 at 0. (We shall denote such a limit by $D_{kA} f(x)$).

It is our purpose to sketch the connections between this notion of approximate derivative and the ordinary one. In effect, we are going to prove that the existence of $D_{kA} f(x)$ implies the existence of the usual approximate derivative; all that, of course, being valid almost everywhere in A . (Actually, in what follows we prefer to assign $f(x)$ on an interval A of the real line, but the reader will easily see that our assumption introduces no restriction within the final result).

3. Now, we pass straightforward to prove the following

THEOREM. *Let Δ be an interval of the real line R , $f(x)$ a function from Δ to R and E the subset of Δ where the k -pseudo-symmetrical approximate derivative of $f(x)$ does exist. Then, if $f(x)$ is measurable, it has the approximate derivative a.e. in E .*

Proof. Suppose that our theorem does not hold. Then, there exists a subset X of E where $f(x)$ is not approximately differentiable, and such that

$$(4) \quad \text{meas}_e(X) > 0;$$

(meas_e means the Lebesgue's exterior measure).

(*) Whenever we say that a derivative exists, we mean that it is finite.

After, consider a mapping Γ , from the set N^+ of the positive integers onto a subset $\{X_n\}$ of the power set $\mathcal{P}(X)$ of X , so defined:

$$(5) \quad \forall n \in N^+, \Gamma(n) = X_n \equiv \{x \in X: 0 < h < 1/n, h \notin H(x) \\ \Rightarrow f(x+kh) - f(x-h) \leq (k+1)hn\}.$$

The following equivalence is obvious:

$$(6) \quad f(x+kh) - f(x-h) \leq (k+1)hn \Leftrightarrow f(x+kh) - n(x+kh) - f(x-h) + n(x-h) \leq 0,$$

so that, by means of the measurable functions

$$(7) \quad F_n(x) = f(x) - nx,$$

one obtains the other equivalence

$$(8) \quad x \in X_n \Leftrightarrow \{0 < h < 1/n, h \notin H(x) \Rightarrow F_n(x+kh) - F_n(x-h) \leq 0\}.$$

Besides, by virtue of (4) and since

$$(9) \quad X = \bigcup_{n=1}^{\infty} X_n,$$

we can find an index, say $r \in N^+$, such that

$$(10) \quad \text{meas}_e(X_r) > 0;$$

on the other hand, there is a perfect set of continuity for $F_r(x)$, say $P \subset \Delta$, such that

$$(11) \quad \text{meas}(P) > \text{meas}(A) - \text{meas}_e(X_r)$$

so that, for its density set, say D , one draws from (11)

$$(12) \quad \text{meas}_e(D \cap X_r) > 0.$$

Consider, then, a density point of $D \cap X$, and call it z : at present, we shall prove that the measurable set

$$(13) \quad A \equiv \{x: x > z, F_r(x) > F_r(z)\}$$

is of density 0 at z .

In effect, if the density of A at z was > 0 , we could find a positive number τ , with $\tau < 1/r$, such that, for all $y \in]z, z + \tau[$, the following inequality can be written:

$$(14) \quad \text{meas}_e(X_r \cap]z, y]) > (y-z) \left[1 - \frac{\text{dens}(A, z)}{2k+2} \right];$$

(dens means the density of a given set at a given point). Further, it would be

$$(15) \quad \text{meas}(D \cap]z, y]) > \frac{3}{4}(y-z) \text{dens}(A, z),$$

$$\text{meas}(A \cap]z, y]) > \frac{3}{4}(y-z) \text{dens}(A, z);$$

which implies

$$(16) \quad \text{meas}(A \cap D \cap]z, y]) > \frac{1}{2}(y-z)\text{dens}(A, z).$$

Consider, now, a mapping T , from the set $B \equiv A \cap D \cap]z, y[$ into R , defined as follows:

$$(17) \quad \forall x \in B, x \rightarrow T(x) \equiv \hat{x} \equiv \frac{kz+x}{k+1};$$

formula (16) ensures us that the set $T(B)$ has measure greater than the quantity $[(y-z)\text{dens}(A, z)]/(2k+2)$, so that, according to (14), we would have

$$(18) \quad \text{meas}_e[T(B) \cap X_r] > 0.$$

Then, set $\hat{x}_r \in [T(B) \cap X_r]$; by virtue of this choice one has:

$$(19) \quad x_r \equiv T^{-1}(\hat{x}_r) = (k+1)\hat{x}_r - kz \in A \cap D \cap]z, y[, \quad F_r(x_r) > F_r(z);$$

but the restriction of $F_r(x)$ to P is continuous, so that we can find a neighborhood of z , say $\beta(z) =]z-\beta, z+\beta[$, contained in $]y-1/r, y[$, and a neighborhood of x_r , say $\alpha(x_r)$, contained in $]x_r-k\beta, x_r+k\beta[\cap]\hat{x}_r, y[$, such that:

$$(20) \quad x_\alpha \in \alpha(x_r) \cap P, \quad z_\beta \in \beta(z) \cap P \Rightarrow F_r(x_\alpha) > F_r(z_\beta).$$

Then, consider another mapping T'' , from the set $P \cap \alpha(x_r)$ into R , defined as follows:

$$(21) \quad \forall x_\alpha \in P \cap \alpha(x_r), \quad x_\alpha \rightarrow T''(x_\alpha) \equiv \hat{x}'' = \frac{(k+1)\hat{x}_r - x_\alpha}{k};$$

as the measurable set $P \cap \alpha(x_r)$ has density 1 at x_r , also its image $T''[P \cap \alpha(x_r)]$ will have the same density at z , whence the set $\hat{X}'' \equiv P \cap T''[P \cap \alpha(x_r)]$ has density >0 at z . On the other hand, from (20) and (21) we draw

$$(22) \quad \hat{x}'' \in \hat{X}'' \Rightarrow F_r(x_\alpha) \equiv F_r[\hat{x}_r + k(\hat{x}_r - \hat{x}'')] > F_r(\hat{x}'') \equiv F_r[\hat{x}_r - (\hat{x}_r - \hat{x}'')] \\ \Rightarrow F_r[\hat{x}_r - k(\hat{x}_r - \hat{x}'')] - F_r[\hat{x}_r - (\hat{x}_r - \hat{x}'')] > 0;$$

but this is in contradiction with (8), because

$$(23) \quad \text{dens}(\hat{X}'', z) > 0, \quad 0 < \hat{x}_r - \hat{x}'' < 1/r.$$

So, in effect, the density of A at z is 0.

All that means, obviously:

$$(24) \quad [D_A^+ F_r(t)]_{t=z} \leq 0$$

and this holds for all density points of $D \cap X_r$.

But such a circumstance contradicts (12), because the fundamental theorem quoted at the beginning [2] ensures us that if the approximate derivative of any measurable function does not exist a.e. in a measurable set, then every subset where (24) holds must be negligible.

Concluding, $F_r(x)$ [and consequently $f(x)$] has the approximate derivative $D_A F_r(x)$ [$D_A f(x)$] a.e. in A and our theorem is proved.

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