

Criteria of openness for relations

by

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Abstract. Under some hypotheses, nearly open graph-closed relations are open. The domain spaces considered are: Čech-complete spaces and groups, uniformly Čech-complete spaces, B -groups, B -complete vector spaces.

1. Introduction. The general problem studied in the paper is this: When is a nearly open and/or graph-closed relation open? It contains simultaneously the problems of openness and of continuity of functions, and was considered e.g. in [9], [14], [12] and [11]. Our main results are Theorems 1, 2 and 15, which state some criteria in topological spaces, topological groups and uniform spaces, respectively. They imply, among other things, some results of [1], [9], [7], [2], [3], [11] and [17]. The domain spaces of the relations considered here are mostly assumed to be Čech-complete. In the case of uniform spaces this topological assumption is not satisfactory; in Section 6 the notion of uniform Čech-completeness is introduced and investigated. B -groups [7] and B -complete vector spaces [13] are also considered, as domains.

2. Separating relations. Let X and Y be topological spaces, and let $R \subset X \times Y$. R is said to be *separating* [11] if for each pair of distinct points x_1, x_2 in X there are neighbourhoods U_i of x_i such that $R[U_1] \cap R[U_2] = \emptyset$. Such a relation is injective, i.e., $x_1 \neq x_2$ implies $R[x_1] \cap R[x_2] = \emptyset$ (equivalently, R^{-1} is a function), $R^{-1}[Y]$ is a T_2 -space, and R is a closed subset of $X \times R[X]$ (because $R \ni (x_\sigma, y_\sigma) \rightarrow (x_1, y)$, $(x_2, y) \in R$ and $x_1 \neq x_2$ lead to a contradiction). The last property implies that all images (pre-images) of compact subsets of X (of $R[X]$) are closed in $R[X]$ (in X , respectively) [9; 6.A]. R is called *open (closed)* if all images of open (closed) subsets of X are open (closed) in Y ; R is called *lower (upper) semicontinuous* if R^{-1} is open (closed). If R is a closed subset of $X \times Y$, we sometimes say that R is graph-closed. The following is a relation version of Proposition 7 from [17] (it can be proved similarly).

PROPOSITION 1. Consider the following conditions:

- (1) If $x_\sigma \rightarrow x$ and $(x_\sigma, y_\sigma) \in R$, then the net $\{y_\sigma\}$ has a cluster point in $R[x]$ ($x_\sigma, x \in X, y_\sigma \in Y$).

- (2) R is upper semicontinuous and all images of points are compact.
- (3) R is graph-closed and all images of compact sets are compact.

Then (1) \Leftrightarrow (2). If $Y \in T_2$, then (1) \Rightarrow (3). If X is a k -space, then (3) \Rightarrow (2).

If X and Y are uniform spaces (i.e., $T_{3\frac{1}{2}}$ -spaces with fixed uniformities \mathcal{U} and \mathcal{V} , resp.) then R is said to be *uniformly open* if for every $U \in \mathcal{U}$ there is a $V \in \mathcal{V}$ such that $R[U[x]] \supseteq V[R[x]]$ for all $x \in X$; R is said to be *uniformly lower semicontinuous* if R^{-1} is uniformly open.

PROPOSITION 2. *Suppose that R is injective and $X \in T_2$. Each of the following conditions implies that R is separating:*

- (4) R is open.
- (5) $Y \in T_2$ and R is a continuous 1-1 function.
- (6) $Y \in T_2$ and 1 (or (2)) holds.
- (7) X is a k -space, $Y \in T_2$ and (3) holds.
- (8) $Y \in T_4$, R is upper semicontinuous and all images of points are closed.
- (9) X is locally compact and R is graph-closed.
- (10) Y is compact and R is graph-closed.
- (11) X and Y are uniform spaces, R is uniformly lower semicontinuous and all images of points are compact.
- (12) X and Y are (T_0) topological groups and R is a closed subgroup of $X \times Y$.

Proof. Assertions (4), (6), and (8) are mentioned in [11]; (9) is equally easy. By Proposition 1, condition (7) implies (6); (5) and (10) also imply (6).

(11) We will prove that (1) holds. Given $V \in \mathcal{V}$, there is a $U \in \mathcal{U}$ such that $R^{-1}[V[y_\sigma]] \subset U[x_\sigma]$ for all σ ; there are indices $\sigma(V)$, $V \in \mathcal{V}$, such that for $\sigma \geq \sigma(V)$ we have $z_\sigma^V \in R[x] \cap V[y_\sigma]$. The net $\{z_\sigma^V, V \in \mathcal{V} \text{ and } \sigma \geq \sigma(V)\}$ has a cluster point in $R[x]$. It follows that y is a cluster point of $\{y_\sigma\}$.

(12) Assume R is not separating. There are points x, v, x_U^V, v_U^V in X and y_U^V, z_U^V in Y such that $x \neq v$, $x_U^V \in xU \cap R^{-1}[y_U^V]$, $v_U^V \in vU \cap R^{-1}[z_U^V]$ and $y_U^V(z_U^V)^{-1} \in V$ for all neighbourhoods U, V of $1_X, 1_Y$, resp. The net $\{(x_U^V(v_U^V)^{-1}, y_U^V(z_U^V)^{-1}) \in V\}$ converges to $(xv^{-1}, 1_Y)$. Hence the last point is in R , so that $xv^{-1} = 1_X$; a contradiction.

Remark 1. Let $X \in T_2$ and $R = g^{-1}$, where g is a function on Y to X . If g has a Δ -closed graph in the sense of [17], then R is separating (proof a contrario as in (12)).

3. Criteria in topological spaces. Let us start with a characterization of openness in terms of nets (proof omitted).

PROPOSITION 3. *Suppose $R[X] \subset Y$. Then R is open iff $y_\sigma \rightarrow y \in R[x]$ implies there is a subnet $\{y_{\sigma'}\}$ and points $x_{\sigma'} \in R^{-1}[y_{\sigma'}]$ with $x_{\sigma'} \rightarrow x$.*

A relation R is called *nearly open* [13, 14] (or *almost open*) if for each open subset U of X , $R[U]$ is nearly open in Y , i.e., $R[U] \subset \text{Int}R[U]$. In case R is injective with $R^{-1} = f$, R is (nearly) open iff f is (nearly) continuous.

THEOREM 1. *Let X and Y be topological spaces, X being Čech-complete. Let R be a separating relation in $X \times Y$. If R is nearly open, then R is open as a relation from X to $R[X]$.*

Proof. $R \subset X \times R[X]$ is also separating and nearly open; we may suppose that $R[X] = Y$. Assume, to get a contradiction, that R is not open. There exists an open set G in X such that $R[G] \cap R[X \setminus G] \neq \emptyset$ (otherwise $R[G] \subset R[G]$ for all G , and near-openness of R and regularity of X imply openness of R). Put $V_0 = G$ and $W_0 = X \setminus G$. Let $\{\mathcal{U}_i\}$ be a complete sequence of open covers of X (see the beginning of Section 6). Let $y \in \overline{R[V_0]} \cap R[w]$ for a certain $w \in W_0$, and let W_1 be an open neighbourhood of w with diameter less than \mathcal{U}_1 and $\overline{W_1} \subset W_0$. Since $R[W_1]$ is a neighbourhood of y , there is a $z \in R[W_1] \cap R[v]$ for a certain $v \in V_0$. Let V_1 be an open neighbourhood of v with diameter less than \mathcal{U}_1 and $\overline{V_1} \subset V_0$. Then $R[V_1]$ is a neighbourhood of z , so that $R[V_1] \cap R[W_1] \neq \emptyset$. Inductively, there are open sets $V_i, W_i \subset X$ such that $\overline{V_{i+1}} \subset V_i, \overline{W_{i+1}} \subset W_i, V_i, W_i$ are of diameter less than \mathcal{U}_i and $R[V_i] \cap R[W_i] \neq \emptyset$ for $i = 1, 2, \dots$. Put $C = \bigcap_i V_i$ and $K = \bigcap_i W_i$; they are non-empty compact sets with open bases $\{V_i\}, \{W_i\}$, resp.

(Up to this moment we have followed the proof of Theorem in [3].) Let us say that V, W separate A, B if V, W are open subsets of X containing A, B , resp., $R[V] \cap R[W] = \emptyset$. Fix $v \in C$. There are V_w, W_w that separate $\{v\}, \{w\}$ ($w \in K$). Let $w_1, \dots, w_n \in K$ be such that $K \subset W_v = \bigcup_{i=1}^n W_{w_i}$. Put $V_v = \bigcap_{i=1}^n V_{w_i}$. Then, as can easily be seen, V_v, W_v separate $\{v\}, K$ ($v \in C$). Let $v_1, \dots, v_m \in C$ be such that $C \subset V = \bigcup_{i=1}^m V_{v_i}$. Put $W = \bigcap_{i=1}^m W_{v_i}$. Then, as can easily be checked, V, W separate C, K . There is an index i_0 such that $V_{i_0} \subset V$ and $W_{i_0} \subset W$. V_{i_0}, W_{i_0} separate C, K ; a contradiction.

Remark 2. R need not be open to Y (take X dense and co-dense in $Y, R = 4_X$). Theorem 1 contains the theorem of [3] (by Proposition 2 (7)) and Theorem 5 of [11] (X complete metric); in fact, it was inspired by [11] and its proof modifies that of [3]. Theorem 1 contains also the theorem of [17] (by Remark 1), and is closely related to the Theorem (X the Rudin-complete Moore space) and the Conjecture ($X \in T_3$ strongly countably complete), both from [11; Added in revision]. Proposition 2 yields, directly or indirectly, many more consequences of Theorem 1; most of them can be found in [3], [17] and references therefrom. What is most important, Theorem 1 induces strong results in the case of groups (Section 4).

Now, let us restate two negative results (they answer some questions from [12]):

1° [3] There exists a continuous nearly open function f on X onto Y which is not open, where X is a certain separable complete metric space and Y is the unit interval $[0, 1]$.

2° [18] There exists a nearly continuous nearly open graph-closed one-to-one

function f on X onto Y which is neither continuous nor open, where X and Y are certain separable metric spaces, X — complete.

4. Criteria in topological groups. All groups (and vector spaces) considered in the paper are T_0 -spaces (hence $T_{3\frac{1}{2}}$ -spaces).

THEOREM 2. *Let G and H be topological groups, G being Čech-complete. Let R be a closed subgroup of $G \times H$. If R is nearly open, then R is open.*

Proof. It is sufficient to prove the theorem under the additional assumption that $R^{-1}[H]$ is dense in G (then consider R in $R^{-1}[H] \times H$). The assumption guarantees that the closed subgroup $K = R^{-1}[H]$ of G is invariant. The quotient group $G_1 = G/K$ is Čech-complete and complete in its two-sided uniformity [2]. Consider the induced injective relation R_1 in $G_1 \times H((\dot{x}, y) \in R_1 \text{ iff } (x, y) \in R)$; R_1 is a subgroup of $G_1 \times H$. To prove that R_1 is closed in $G_1 \times H$, we will prove that $R_1 \ni (x_\sigma^1, y) \rightarrow (\dot{x}, y)$ implies $(\dot{x}, y) \in R_1$. Since the quotient homomorphism of G onto G_1 is open, there is a subnet $\{x_{\sigma'}^1\}$ and points $x_{\sigma'} \in G$ with $\dot{x}_{\sigma'} = x_{\sigma'}^1$ and $x_{\sigma'} \rightarrow x$ (Proposition 3). Now $R \ni (x_{\sigma'}, y_{\sigma'}) \rightarrow (x, y)$, so that $(x, y) \in R$. By Proposition 2 (12), R_1 is separating. Evidently R_1 is nearly open. By Theorem 1, R_1 is open as a relation from G_1 to $H_1 = R_1[G_1] = R[G]$. Hence R is open as a relation from G to H_1 . It now remains to prove that H_1 is open in H . We will prove that H_1 is closed in H ; then it is open, being nearly open. Let $H_1 \ni y_\sigma^1 \rightarrow y \in H$. Since $g = R_1^{-1}$ is a continuous homomorphism of H_1 to G_1 , the net $\{g(y_\sigma^1)\}$ is two-sided Cauchy in G_1 , and so converges to a point x_1 in G_1 . Since R_1 is a closed subset of $G_1 \times H$, $(x_1, y) \in R_1$. Hence $y \in H_1$, and the proof is complete.

Theorem 2 contains Theorem 6.R of [9] (additional hypotheses: G -locally compact or metrizable left-complete, R — a function on G or R^{-1} — a function on H), Theorem 31.3 of [7] (\bar{G} -metrizable, R — a continuous function on G onto H), Theorem 4 of [2] (R — a continuous function on G). Corollaries 4 and 7 of [17] (R — a function on G or R^{-1} — a function on H).

G is called a B -group [7] if each continuous nearly open homomorphism of G onto another group is open (such are all Čech-complete groups).

THEOREM 3. *Let G and H be topological groups, G being an Abelian B -group. Let R be a closed subgroup of $G \times H$. If R is nearly open, then R is open as a relation from G to $R[G]$.*

Proof (notation as in Theorem 2). G_1 is a B -group as well; g is a nearly continuous graph-closed homomorphism of H_1 to G_1 . By Theorem 2 of [8], g is continuous. This yields the assertion.

Remark 3. In [16] there is an example of an Abelian B -group G which is not complete (in its canonical uniformity). The embedding of G in its completion \bar{G} is continuous and nearly open but not open.

THEOREM 4. *Let G be a separable or Lindelöf group, and let H be a second category group. Let R be a closed subgroup of $G \times H$ with $R[G] = H$. If G is a Čech-complete group or an Abelian B -group, then R is open.*

Proof. In view of Theorems 2 and 3, it suffices to verify near-openness of R . In the Lindelöf case the proof proceeds just as in [9; 6.R]. The separable case needs slightly more care. Let D be a countable dense subset of G . Let U be a symmetric neighbourhood of 1_G . Put $D_1 = \{d \in D : dU \cap R^{-1}[H] \neq \emptyset\}$, and choose $(x_d, y_d) \in R$ with $x_d \in dU$ for $d \in D_1$. Then

$$R^{-1}[H] \subset \bigcup_{d \in D_1} dU \subset \bigcup_{d \in D_1} x_d U^2.$$

Hence

$$H = \bigcup_{d \in D_1} R[x_d U^2] = \bigcup_{d \in D_1} y_d R[U^2].$$

Since H is second category, $\text{Int} \overline{R[U^2]} \neq \emptyset$. It follows that $1_H \in \text{Int} \overline{R[U^4]}$, which proves the near-openness of R .

5. Criteria in topological vector spaces. The next theorem is an obvious consequence of Theorem 2 and well-known arguments e.g. from [9; 6.R] and [15; IV.8]. It unifies some classical open mapping and closed graph theorems of functional analysis.

THEOREM 5. *Let E and F be topological vector spaces, E being complete metric. Let R be a closed vector subspace of $E \times F$. If R is nearly open, then R is open. In particular, R is open provided $R[E] = F$ and either (i) F is of second category, or (ii) E and F are locally convex spaces and F is barrelled.*

Recall that E is complete metric iff E is Čech-complete [2]. A locally convex space E is called B -complete [13] (or a Pták space [15]) if each continuous nearly open linear mapping of E onto another locally convex space is open. Banach [1] essentially proved that each Fréchet space (i.e., complete metric l.c.s.) is B -complete. This is also provided by Theorem 5. Let us recall Theorem 1, based on duality theory, from [14], slightly improved.

THEOREM 6. *The assertion of Theorem 5 remains true if E and F are locally convex spaces, E being a Pták space.*

Proof. By Theorem 1 of [14], R is open as a relation from E to $R[E]$. $E/R^{-1}[0]$ is a Pták space, hence complete (cf. [13] or [15]). Proceeding as in Theorem 2, one can prove that $R[E]$ is closed, and so open in F .

6. Uniformly Čech-complete spaces. Let \mathcal{C} be a collection of covers of X ; \mathcal{C} is said to be *complete* [5] if any centred family \mathcal{F} of closed subsets of X has a non-empty intersection, provided for each $\mathcal{C} \in \mathcal{C}$ there is an $F \in \mathcal{F}$ of diameter less than \mathcal{C} (i.e., $F \subset C$ for a certain $C \in \mathcal{C}$). A $T_{3\frac{1}{2}}$ -space X is Čech-complete iff there exists a countable complete family of open covers of X (cf. [5] or [4]). Let X be a uniform space, i.e., a $T_{3\frac{1}{2}}$ -space with a uniformity \mathcal{U} on X (inducing the given topology). X is complete iff the family of all uniform covers of X is complete. X is uniformly locally compact iff there exists a uniform cover \mathcal{C} of X such that the one-element family $\{\mathcal{C}\}$ is complete [9; 6.T]. In view of these facts, we think that the following definition is quite natural.

DEFINITION. A uniform space X is *uniformly Čech-complete* (UČC) if there exists a countable complete family of uniform covers of X .

If X is UČC, then X is complete and (topologically) Čech-complete. If X is uniformly locally compact, then X is UČC. In case \mathcal{U} is metrizable, X is UČC iff X is complete [4; 4.3.10]. If X is UČC and \mathcal{U}' on X is finer than \mathcal{U} , then X is UČC with respect to \mathcal{U}' . A closed subspace of a UČC space is UČC.

Let $\mathcal{U}_0 \subset \mathcal{U}$; a net $\{x_\sigma\}$ is said to be \mathcal{U}_0 -Cauchy if for every U in \mathcal{U}_0 there is an index σ_U such that $(x_\sigma, x_{\sigma'}) \in U$ whenever $\sigma \geq \sigma_U$.

PROPOSITION 4. X is UČC iff there exists a countable subfamily \mathcal{U}_0 of \mathcal{U} with the property that each \mathcal{U}_0 -Cauchy net has a cluster point.

Let d be a pseudometric on X , we say that d is *perfect* if the quotient mapping of X onto the metric space X/d is perfect. This is so iff $X \in T_2$, d is continuous and each d -convergent net has a cluster point in X (cf. Proposition 1).

THEOREM 7. Let X be a uniform space. The following statements are equivalent:

- (i) X is UČC;
- (ii) X has a perfect complete uniform pseudometric d ;
- (iii) The family of all perfect complete pseudometrics on X generates the uniformity.

Proof. (i) \Rightarrow (iii) Given $U_0 \in \mathcal{U}$, construct $\mathcal{U}_0 = \{U_i\}_{i=1}^\infty \subset \mathcal{U}$ so that $3U_i \subset U_{i-1}$ for $i = 1, 2, \dots$ and \mathcal{U}_0 has the property from Proposition 4. By [4; 8.1.10], there exists a pseudometric d on X satisfying $U_i \subset U_2^d \subset U_{i-1}$ for $i = 1, 2, \dots$. The inclusions imply that d is uniform and each d -Cauchy net has a cluster point in X . Hence d is perfect and complete. Since U_0 was arbitrary, (iii) follows.

(ii) \Rightarrow (i) $\mathcal{U}_0 = \{U_i\}_{i=1}^\infty$, where $U_i = U_{i-1}^d$, has the property from Proposition 4.

COROLLARY 1. If there exists a uniformly continuous perfect mapping of X onto a UČC space Y , then X is UČC.

COROLLARY 2. The Cartesian product of countably many UČC spaces is UČC.

Proof. The key argument is that a countable (in fact, arbitrary) product of perfect mappings is perfect (cf. [6] or [4]).

THEOREM 8. Let X be a $T_{3\frac{1}{2}}$ -space. The following conditions are equivalent:

- (i) X is UČC with respect to some uniformity \mathcal{U} on X ;
- (ii) X is UČC with respect to the finest uniformity \mathcal{U}_f on X ;
- (iii) X is Čech-complete and paracompact.

Proof. The first two conditions are clearly equivalent. By Theorem 7 (ii) and [4; 3.9.10 and 5.1.35], (i) implies (iii). The implication (iii) \Rightarrow (ii) follows from the fact that each open cover of X is uniform with respect to \mathcal{U}_f provided X is paracompact [4; 8.5.13(d)].

If Y is a UČC space containing the uniform space X as a dense subspace, then $Y = \bar{X}$, because the completion \bar{X} is (essentially) unique [4; 8.3.12]. Therefore X possesses "uniform Čech-completion" iff \bar{X} is UČC.

THEOREM 9. Let X be a uniform space. The following statements are equivalent:

- (i) \bar{X} is UČC;
- (ii) There exists a countable subfamily \mathcal{U}_0 of \mathcal{U} such that each \mathcal{U}_0 -Cauchy net has a \mathcal{U} -Cauchy subnet;
- (iii) There exists a uniform pseudometric d on X such that each d -Cauchy net has a \mathcal{U} -Cauchy subnet;
- (iv) The family of all such pseudometrics d generates the uniformity.

Proof. In view of Theorem 8, it suffices to prove that (ii) implies (i). Let $\mathcal{U}_0 = \{U_n\}_{n=1}^\infty$ be as in (ii). There are $\hat{U}_n \in \mathcal{U}$ with $U_n \supset \hat{U}_n \cap X \times X$ and $\hat{U}_n \supset 3\hat{U}_{n+1}$ for $n = 1, 2, \dots$. Put $\hat{\mathcal{U}}_0 = \{\hat{U}_n\}_{n=1}^\infty$, and let $S = \{x_\sigma, \sigma \in \Sigma\}$ be a $\hat{\mathcal{U}}_0$ -Cauchy net in \bar{X} . Choose $x_\sigma^V \in X$ with $(x_\sigma, x_\sigma^V) \in V$ for $\sigma \in \Sigma$ and $V \in \hat{\mathcal{U}}$. The net

$$S' = \{x_\sigma^V, (\sigma, V) \in \Sigma \times \hat{\mathcal{U}}\}$$

is \mathcal{U}_0 -Cauchy, and so has a \mathcal{U} -Cauchy subnet. The subnet is also $\hat{\mathcal{U}}$ -Cauchy, and hence converges to some point x in \bar{X} . It follows that x is a cluster point of S .

Now let G be a topological group. G_i (G_i) will denote the two-sided (left) uniform space of G , the corresponding uniformity denoted by \mathcal{U}_i (\mathcal{U}_l). Notice that if G is locally compact, then both G_i and G_l are UČC spaces. Given a pseudometric d on G , define $d^*(x, y) = d(x, y) + d(x^{-1}, y^{-1})$ for $x, y \in G$ [9; 6.Q]. The next theorems of this section are based on the results of [2].

THEOREM 10. Let G be a topological group. The following statements are equivalent:

- (i) G_i is UČC;
- (ii) G is Čech-complete;
- (iii) There exists a compact subgroup K of G such that G/K is completely metrizable;
- (iv) There exists a left-invariant pseudometric d on G such that d^* is perfect and complete;
- (v) The family of all such pseudometrics d^* generates \mathcal{U}_l .

Proof. The equivalence of (ii), (iii) and (iv) is proved in [2]. By Theorem 7, (iv) implies (i). Let $\{d_\alpha\}_{\alpha \in A}$ be the family of all left-invariant continuous pseudometrics on G . If (iv) holds, then the family $\{(d + d_\alpha)^*\}_{\alpha \in A}$ generates \mathcal{U}_l and consists of perfect complete pseudometrics; therefore (v) holds. The implications (i) \Rightarrow (ii) and (v) \Rightarrow (iv) are obvious. The implication (i) \Rightarrow (iii) yields the following

COROLLARY. Let E be a topological vector space over a number field, with its translation-invariant uniformity. E is UČC iff E is completely metrizable.

THEOREM 11. Let G be a topological group. The following statements are equivalent:

- (i) G_i is UČC;
- (ii) G is Čech-complete and G_i is complete;
- (iii) There exists a perfect complete left-invariant pseudometric d on G ;
- (iv) \mathcal{U}_l is generated by the family of all such pseudometrics d .

Proof. (ii) \Leftrightarrow (iii) is proved in [2]. By Theorem 7, (iii) implies (i). Clearly (i) \Rightarrow (ii) and (iii) \Rightarrow (iv) (see the proof of Theorem 10).

THEOREM 12. Let H be a closed invariant subgroup of a topological group G . (i) If G_i is UČC, then so is $(G/H)_i$. (ii) If G_i is UČC, then so is $(G/H)_i$.

Proof. If G is Čech-complete, then so is G/H [2]. Taking into account Theorems 10 and 11, we get (i) and (ii), the latter — provided we know that $(G/H)_i$ is complete. This fact is a consequence of the forthcoming Theorem 16.

THEOREM 13. Let G be a topological group. The following statements are equivalent:

(i) \tilde{G}_i is UČC;
 (ii) There exists a closed \mathcal{U}_i -totally bounded subgroup K of G such that G/K is metrizable;

(iii) There exists a continuous left-invariant pseudometric d on G with the property that each d^* -Cauchy net has a \mathcal{U}_i -Cauchy subnet;

(iv) \mathcal{U}_i is generated by the family of all such pseudometrics d^* .

Note. A subgroup is \mathcal{U}_i -totally bounded iff is \mathcal{U}_i -totally bounded.

Proof. Theorem 10 shows that (i) implies (iv) (\Rightarrow (iii)). A set K is totally bounded iff each net in K has a Cauchy subnet. It follows that (iii) implies (ii). Finally, we must prove that (ii) implies the Čech-completeness of the group \tilde{G}_i . In case K is compact, this is exactly Corollary 2 to Theorem 1 of [2]; its proof can be slightly modified so as to cover the general case.

It would be interesting to investigate when the uniform space \tilde{G}_i is UČC.

7. Criteria in uniform spaces. Let X and Y be uniform spaces (with uniformities \mathcal{U} and \mathcal{V} , resp.), and let R be a relation in $X \times Y$. R is called *uniformly open* iff for every $U \in \mathcal{U}$ there is a $V \in \mathcal{V}$ such that for all $x \in X$

$$(*) \quad R[U[x]] \supseteq V[R[x]].$$

If the set on the left is replaced with its closure, the notion of uniform near-openness appears.

Notice that R is *closed* iff for each $y \in Y$ and each open set U in X with $U \supseteq R^{-1}[y]$ there is a neighbourhood V of y such that $U \supseteq R^{-1}[V]$. Thus R may be called *uniformly closed* if for every $U \in \mathcal{U}$ there is a $V \in \mathcal{V}$ such that for all $y \in Y$

$$(**) \quad U[R^{-1}[y]] \supseteq R^{-1}[V[y]].$$

PROPOSITION 5. R is uniformly open iff R is uniformly closed.

Proof. Notice that $y \in R[U[x]]$ iff $x \in U[R^{-1}[y]]$, $y \in V[R[x]]$ iff $x \in R^{-1}[V[y]]$. Therefore (*) holds for all $x \in X$ iff (**) holds for all $y \in Y$.

R is called *open* at y_0 if for each point $x \in R^{-1}[y_0]$ and each neighbourhood U of x , $R[U]$ is a neighbourhood of y_0 . R is called *graph-closed* at y_0 if

$$R \ni (x_\alpha, y_\alpha) \rightarrow (x, y_0) \quad \text{implies} \quad (x, y_0) \in R.$$

LEMMA. Let X be UČC, and let R be uniformly nearly open. Put $C = \{y \in Y: R \text{ is graph-closed at } y\}$. For every $U \in \mathcal{U}$ there is a $V \in \mathcal{V}$ such that (**) holds for all $y \in C$, i.e., R is uniformly closed at the points of C . Hence, if R is injective and $R[X] = Y$, then for every $U \in \mathcal{U}$ there is a $V \in \mathcal{V}$ such that

$$R[U[x]] \supseteq V[y], \quad x = R^{-1}[y], \quad y \in C,$$

i.e., R is uniformly open at the points of C .

Proof. Let d be a perfect complete uniform pseudometric on X , $\varepsilon > 0$, $y \in C$. There are $V_n \in \mathcal{V}$ such that

$$V_n[R[x]] \subset \overline{R[U_{\varepsilon 2^{-n}}[x]]}, \quad x \in X, n \in \mathbb{N},$$

where $U_\delta^d = \{(x_1, x_2): d(x_1, x_2) < \delta\}$. Let $(x', y) \in R$ and $(y, y') \in V_2$; in view of Theorem 7 (iii) and the free choice of d and ε , it is sufficient to prove that $x' \in U_n^d[R^{-1}[y]]$. Let $\mathcal{B}(y)$ be the set of all neighbourhoods of y directed by inclusion; put $G_n = V_n[y] \in \mathcal{B}(y)$. Since $y \in V_2[y'] \subset R[U_{\varepsilon 2^{-2}}[x']]$, there are $(x_2^G, y_2^G) \in R$ with $d(x_2^G, x_2^G) < \varepsilon 2^{-2}$ and $y_2^G \in G$ for $G \in \mathcal{B}(y)$. Since $y \in V_3[y_3^G] \subset R[U_{\varepsilon 2^{-3}}[x_3^G]]$, there are $(x_3^G, y_3^G) \in R$ with $d(x_3^G, x_3^G) < \varepsilon 2^{-3}$ and $y_3^G \in G$ for $G \in \mathcal{B}(y)$. Inductively, there are $(x_n^G, y_n^G) \in R$ with $d(x_n^G, x_n^G) < \varepsilon 2^{-n}$ and $y_n^G \in G$ for $G \in \mathcal{B}(y)$, $n = 2, 3, \dots$. The net $\{x_n^G, (n, G) \in \mathbb{N} \times \mathcal{B}(y)\}$ is, as can easily be seen, d -Cauchy, and so has a cluster point $x \in X$, which satisfies also $d(x', x) \leq \varepsilon 2^{-1}$. The corresponding net $\{y_n^G\}$ converges to y . Since $y \in C$, $(x, y) \in R$. This proves what was required.

Remark 4. The lemma remains true if Y is any topological space and \mathcal{V} is any family of symmetric neighbourhoods of the diagonal Δ_Y (i.e., sets V with $V = -V$ and $\text{Int } V \supseteq \Delta_Y$, or if (Y, \mathcal{V}) is a Morita uniform space (cf. [11]).

The lemma and Proposition 5 yield the following two results:

THEOREM 14. Let X and Y be uniform spaces, X —UČC. Let R be an injective uniformly nearly open relation in $X \times Y$ with $R[X] = Y$. If R is graph-closed at y_0 , then R is open at y_0 .

THEOREM 15. Let X and Y be uniform spaces, X being UČC. Let R be a closed subset of $X \times Y$. If R is uniformly nearly open, then R is uniformly open.

For a complete metric space X , Theorem 15 gives Kelley's uniformly open relation theorem [9; 6.36]. Pettis [12] asked whether Kelley's theorem holds for an X complete in a more general sense, while in [11] it is proved for uniformly locally compact space X . Theorem 15 answers the question. It proves also that each UČC space is B -complete in the sense of [10]. The next theorem, for the metrizable case, in [9; 6.37].

THEOREM 16. Let X and Y be uniform spaces, X being UČC. If there exists a continuous uniformly nearly open mapping of X onto Y , then Y is complete.

Proof. The mapping f , considered as a map into \tilde{Y} , is also continuous and uniformly nearly open. By Theorem 15 and Proposition 5, f is closed. Hence $Y = \tilde{Y}$.

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A rest point free dynamical system on R^3 with uniformly bounded trajectories

by

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Abstract. In this paper, we show that if $\varepsilon > 0$, then there exists a C^∞ transformation G from R^3 into R^3 such that the unique solution Φ to the differential equation $y' = G(y)$ is a dynamical system (a continuous transformation from $R \times R^3$ into R^3 such that $\Phi(0, p) = p$, $\Phi(t_1, \Phi(t_2, p)) = \Phi(t_1 + t_2, p)$ and $\partial/\partial t \Phi(0, p) = G(p)$) with the following two properties: (1) For each point p in R^3 and each number t , $\Phi(t, p)$ is in the ε -neighborhood for p ; and (2) for each integer $n \neq 0$, $\Phi(n, p) \neq p$. Notice that Scottish Book problem number 110 of Ulam follows as a corollary where $f(p) = \Phi(1, p)$ and the manifold is R^2 .

Introduction. In 1935 S. Ulam raised the following question [7], Problem 110: "Let M be a given manifold. Does there exist a numerical constant K such that every continuous mapping f of the manifold M into part of itself which satisfies the condition $|f^n(x) - x| < K$ for $n = 1, 2, \dots$ (where $f^n(x)$ denotes the n th iteration of the image $f(x)$) possesses a fixed point: $f(x_0) = x_0$? The same under more general assumptions about M (general continuum?)." In this paper, we solve this problem in the negative, where $M = R^3$, f is a homeomorphism onto, f is C^∞ , and for each $x \in R^3$ and each positive integer n , $f^n(x) \neq x$. Moreover, $f(x) = \Phi(1, x)$, where Φ is a C^∞ dynamical system on R^3 with uniformly bounded trajectories.

By a dynamical system Φ on a metric space X we mean a continuous mapping $\Phi: R \times X \rightarrow X$ (where R is the set of real numbers) such that for each $t \in R$, $\Phi(\{t\} \times X) = X$, and such that if each of t_1 and t_2 is a number and $p \in X$ is a point, then $\Phi(t_1, \Phi(t_2, p)) = \Phi(t_1 + t_2, p)$ and $\Phi(0, p) = p$. If G is a transformation from R^3 into R^3 , then G is said to generate a dynamical system Φ provided that, for each point $p \in R^3$, $\lim_{t \rightarrow 0} \frac{\Phi(t, p) - p}{t} = G(p)$.

The set of all points $\Phi(t, p)$ for a fixed p and $-\infty < t < +\infty$ is called a *trajectory of the dynamical system*. A point q is called an ω -limit point of a trajectory $\Phi(t, p)$ if there exists a sequence $t_1, t_2, \dots, t_n, \dots \rightarrow +\infty$ such that $\lim \Phi(t_n, p) = q$. A point q is called an α -limit point of a trajectory $\Phi(t, p)$ if there exists a sequence $t_1, t_2, \dots, t_n, \dots \rightarrow -\infty$ such that $\lim \Phi(t_n, p) = q$.

A classical result which we will employ is the following: If G is a transformation from R^3 into R^3 satisfying globally a Lipschitz condition with constant L , then the differential equation $y' = G(y)$ has a unique solution for each initial condition and